



MEMORANDUM

July 23, 2012

To: House Oversight and Government Reform Committee
Attention: [REDACTED]

From: Baird Webel, Specialist in Financial Economics, x7-0652

Subject: **Hearing Testimony by Chairman of the Federal Reserve Board and the Comptroller of the Currency**

This responds to your request for information on testimony by the Chairman of the Federal Reserve Board and the Comptroller of the Currency before congressional committees, particularly the makeup of the panels on which they testified. Attached please find the hearing titles, dates, and accompanying witnesses for the time period from 2007-2012 as compiled using the ProQuest Congressional database.

To summarize the information: CRS identified 63 times that the Chairman of the Federal Reserve Board appeared before a congressional committee. In general, the Chairman appeared on a panel alone or with other witnesses holding federal government positions. In one instance, Chairman Ben Bernanke appeared before the House Financial Services Committee on a panel that included a state banking regulator representing the Conference of Bank Supervisors. This hearing is highlighted below. We were unable to identify any hearings where the Chairman of the Federal Reserve testified with witnesses that did not hold a federal or state governmental position.

CRS identified 28 times that the Comptroller of the Currency (or acting Comptroller) has testified before a congressional committee between 2007-2012. The Comptroller of the Currency generally appeared on a panel including other witnesses holding federal government positions. In 10 hearings, the Comptroller of the Currency testified on a panel including a state government official, such as a state banking regulator, a state insurance regulator, and a state Attorney General. These hearings are highlighted below. We were unable to identify any hearings where the Comptroller of the Currency testified with witnesses that did not hold a federal or state governmental position.

ProQuest Congressional Federal Reserve Chairman as a Witness (2007-2012)

TITLE: [Economic Outlook]

CIS-NO: Not Yet Assigned

SOURCE: Committee on Economic. Joint

DOC-TYPE: Hearing

DATE: June 7, 2012.

WITNESSES REFERENCED IN DAILY DIGEST:

BERNANKE, BEN S., (Chairman, Federal Reserve Board)

TITLE: [Europe's Sovereign Debt Crisis: Causes, Consequences for the United States and Lessons Learned]

CIS-NO: Not Yet Assigned

SOURCE: Committee on Oversight and Government Reform. House

DOC-TYPE: Hearing

DATE: Mar. 21, 2012.

WITNESSES REFERENCED IN DAILY DIGEST:

GEITHNER, TIMOTHY F., (Secretary, Department of Treasury)

BERNANKE, BEN S., (Chairman, Federal Reserve Board)

TITLE: [Semiannual Monetary Policy Report to the Congress]

CIS-NO: Not Yet Assigned

SOURCE: Committee on Banking, Housing, and Urban Affairs. Senate

DOC-TYPE: Hearing

DATE: Mar. 1, 2012.

WITNESSES REFERENCED IN DAILY DIGEST:

BERNANKE, BEN S., (Chairman, Federal Reserve Board)

TITLE: [Monetary Policy and the State of the Economy]

CIS-NO: Not Yet Assigned

SOURCE: Committee on Financial Services. House

DOC-TYPE: Hearing

DATE: Feb. 29, 2012.

WITNESSES REFERENCED IN DAILY DIGEST:

BERNANKE, BEN S., (Chairman, Federal Reserve Board)

TITLE: [U.S. Monetary and Fiscal Policy]

CIS-NO: Not Yet Assigned

SOURCE: Committee on Budget. Senate

DOC-TYPE: Hearing

DATE: Feb. 7, 2012.

WITNESSES REFERENCED IN DAILY DIGEST:

BERNANKE, BEN S., (Chairman, Federal Reserve Board)

TITLE: The State of the U.S. Economy

DOCUMENT-DATE: Feb. 2, 2012

COMMITTEE: Committee on Budget. House
HEARING-TYPE: Published
WITNESS:
(p. 6-50)
BERNANKE, Ben S., Chairman, Federal Reserve Board

TITLE: The Economic Outlook
DOCUMENT-DATE: Oct. 4, 2011
COMMITTEE: Committee on Economic. Joint
HEARING-TYPE: Published
LENGTH: 72 pp.
WITNESS:
BERNANKE, Ben S., Chairman, Federal Reserve Board

TITLE: Enhanced Oversight After the Financial Crisis: The Wall Street Reform Act at One Year
DOCUMENT-DATE: July 21, 2011
COMMITTEE: Committee on Banking, Housing, and Urban Affairs. Senate
HEARING-TYPE: Published
LENGTH: 209 pp.
WITNESSES:
FRANK, Barney (Rep, D-Mass)
WOLIN, Neal S., Deputy Secretary, Department of Treasury
BERNANKE, Ben S., Chairman, Federal Reserve Board
SCHAPIRO, Mary L., Chairman, SEC
GENSLER, Gary, Chairman, CFTC
GRUENBERG, Martin J., Acting Chairman, FDIC
WALSH, John, Acting Comptroller, Office of Comptroller of the Currency

TITLE: Semiannual Monetary Policy Report to Congress
DOCUMENT-DATE: July 14, 2011
COMMITTEE: Committee on Banking, Housing, and Urban Affairs. Senate
HEARING-TYPE: Published
LENGTH: 124 pp.
WITNESS:
(p. 3-120)
BERNANKE, Ben S., Chairman, Federal Reserve Board

TITLE: Monetary Policy and the State of the Economy
DOCUMENT-DATE: July 13, 2011
COMMITTEE: Committee on Financial Services. House
HEARING-TYPE: Published
WITNESS:
BERNANKE, Ben S., Chairman, Federal Reserve Board

TITLE: Oversight of Dodd-Frank Implementation: Monitoring Systemic Risk and Promoting Financial Stability

DOCUMENT-DATE: May 12, 2011

COMMITTEE: Committee on Banking, Housing, and Urban Affairs. Senate

HEARING-TYPE: Published

LENGTH: 141 pp.

WITNESSES:

WOLIN, Neal S., Deputy Secretary, Department of Treasury

BERNANKE, Ben S., Chairman, Federal Reserve Board

BAIR, Sheila C., Chairman, FDIC

WALSH, John, Acting Comptroller of the Currency, OCC

SCHAPIRO, Mary L., Chairman, SEC

GENSLER, Gary, Chairman, CFTC

TITLE: Monetary Policy and the State of the Economy

DOCUMENT-DATE: Mar. 2, 2011

COMMITTEE: Committee on Financial Services. House

HEARING-TYPE: Published

LENGTH: 127 pp.

WITNESS:

(p. 7-51, 55-123)

BERNANKE, Ben S., Chairman, Federal Reserve Board

TITLE: Federal Reserve's First Monetary Policy Report for 2011

DOCUMENT-DATE: Mar. 1, 2011

COMMITTEE: Committee on Banking, Housing, and Urban Affairs. Senate

HEARING-TYPE: Published

LENGTH: 134 pp.

WITNESS:

(p. 3-130)

BERNANKE, Ben S., Chairman, Federal Reserve Board

TITLE: Oversight of Dodd-Frank Implementation: A Progress Report by the Regulators at the Half-Year Mark

DOCUMENT-DATE: Feb. 17, 2011

COMMITTEE: Committee on Banking, Housing, and Urban Affairs. Senate

HEARING-TYPE: Published

LENGTH: 219 pp.

WITNESSES:

(p. 4-215)

BERNANKE, Ben S., Chairman, Federal Reserve Board

BAIR, Sheila C., Chairman, FDIC

SCHAPIRO, Mary L., Chairman, SEC

GENSLER, Gary, Chairman, CFTC

WALSH, John G., Acting Comptroller, OCC

TITLE: The State of the U.S. Economy
DOCUMENT-DATE: Feb. 9, 2011
COMMITTEE: Committee on Budget. House
HEARING-TYPE: Published
LENGTH: 76 pp.
WITNESS:
(p. 6-67)
BERNANKE, Ben S., Chairman, Federal Reserve Board

TITLE: [U.S. Economic Outlook]
CIS-NO: Not Yet Assigned
SOURCE: Committee on Budget. Senate
DOC-TYPE: Hearing
DATE: Jan. 7, 2011.
WITNESSES REFERENCED IN DAILY DIGEST:
BERNANKE, BEN S., (Chairman, Federal Reserve Board)

TITLE: Implementing the Dodd-Frank Wall Street Reform and Consumer Protection Act
DOCUMENT-DATE: Sept. 30, 2010
COMMITTEE: Committee on Banking, Housing, and Urban Affairs. Senate
HEARING-TYPE: Published
LENGTH: 206 pp.
WITNESSES:
(p. 6-54, 56-202)
WOLIN, Neal S., Deputy Secretary, Department of Treasury
BERNANKE, Ben S., Chairman, Federal Reserve Board
BAIR, Sheila C., Chairman, FDIC
SCHAPIRO, Mary L., Chairman, SEC
GENSLER, Gary, Chairman, CFTC
WALSH, John G., Acting Comptroller of the Currency, OCC

TITLE: Monetary Policy and the State of the Economy, Part I
DOCUMENT-DATE: July 22, 2010
COMMITTEE: Committee on Financial Services. House
HEARING-TYPE: Published
LENGTH: 133 pp.
WITNESS:
(p. 6-46, 49-129)
BERNANKE, Ben S., Chairman, Federal Reserve Board

TITLE: Federal Reserve's Second Monetary Policy Report for 2010
DOCUMENT-DATE: July 21, 2010
COMMITTEE: Committee on Banking, Housing, and Urban Affairs. Senate

HEARING-TYPE: Published

LENGTH: 135 pp.

WITNESS:

(p. 5-131)

BERNANKE, Ben S., Chairman, Federal Reserve Board

TITLE: State of the Economy: View from the Federal Reserve

DOCUMENT-DATE: June 9, 2010

COMMITTEE: Committee on Budget. House

HEARING-TYPE: Published

LENGTH: 65 pp.

WITNESS:

(p. 5-35, 49-61)

BERNANKE, Ben S., Chairman, Federal Reserve Board

TITLE: Public Policy Issues Raised by the Report of the Lehman Bankruptcy Examiner

DOCUMENT-DATE: Apr. 20, 2010

COMMITTEE: Committee on Financial Services. House

HEARING-TYPE: Published

LENGTH: 232 pp.

WITNESS PANEL #1:

(p. 1-7, 102-113)

ESHOO, Anna G. (Rep, D-Calif)

PERLMUTTER, Ed (Rep, D-Colo)

WITNESS PANEL #2:

(p. 13-47, 166-174, 179-192)

GEITHNER, Timothy F., Secretary, Department of Treasury

BERNANKE, Ben S., Chairman, Federal Reserve Board

SCHAPIRO, Mary L., Chairman, SEC

WITNESS PANEL #3:

(p. 48-69, 193-208)

VALUKAS, Anton R., Examiner, Lehman Brothers Holdings bankruptcy

WITNESS PANEL #4:

(p. 69-100, 122-165)

FULD, Richard S., Jr., former Chairman and CEO, Lehman Brothers Holdings

CRUIKSHANK, Thomas H., former Board Member and Chairman, Audit Committee, Lehman Brothers Holdings

BLACK, William K., Associate Professor, Economics and Law, University of Missouri, Kansas City School of Law

LEE, Matthew, former Senior Vice President, Lehman Brothers Holdings

TITLE: The Economic Outlook

DOCUMENT-DATE: Apr. 14, 2010

COMMITTEE: Committee on Economic. Joint

HEARING-TYPE: Published

LENGTH: 74 pp.

WITNESS:

(p. 6-46, 62-70)

BERNANKE, Ben S., Chairman, Federal Reserve Board

TITLE: Unwinding Emergency Federal Reserve Liquidity Programs and Implications for Economic Recovery

DOCUMENT-DATE: Mar. 25, 2010

COMMITTEE: Committee on Financial Services. House

HEARING-TYPE: Published

WITNESS PANEL #1:

(p. 6-41, 69-75)

BERNANKE, Ben S., Chairman, Federal Reserve Board

WITNESS PANEL #2:

(p. 42-55, 76-102)

MEYER, Laurence H., Vice Chair, Macroeconomic Advisers, LLC

TAYLOR, John B., Professor, Economics, Stanford University

GOODFRIEND, Marvin, Professor, Economics, Tepper School of Business, Carnegie Mellon University

BALL, Laurence, Professor, Economics, Johns Hopkins University

TITLE: Examining the Link Between Fed Bank Supervision and Monetary Policy

DOCUMENT-DATE: Mar. 17, 2010

COMMITTEE: Committee on Financial Services. House

HEARING-TYPE: Published

LENGTH: 133 pp.

WITNESS PANEL #1:

(p. 6-42, 66-72, 100-113)

BERNANKE, Ben S., Chairman, Federal Reserve Board

VOLCKER, Paul A., former Chairman, Federal Reserve Board

WITNESS PANEL #2:

(p. 43-58, 73-99)

MELTZER, Allan H., Professor, Political Economy, Tepper School of Business, Carnegie Mellon University

KASHYAP, Anil K., Professor, Economics and Finance, Booth School of Business, University of Chicago

NICHOLS, Robert S., President and Chief Operating Officer, Financial Services Forum

GERHART, Jeffrey L., President, Bank of Newman Grove, Newman Grove, Nebr., representing Independent Community Bankers

TITLE: Federal Reserve's First Monetary Policy Report for 2010

DOCUMENT-DATE: Feb. 25, 2010

COMMITTEE: Committee on Banking, Housing, and Urban Affairs. Senate

HEARING-TYPE: Published

LENGTH: 134 pp.

WITNESS:

(p. 4-130)

BERNANKE, Ben S., Chairman, Federal Reserve Board

TITLE: Monetary Policy and the State of the Economy
DOCUMENT-DATE: Feb. 24, 2010
COMMITTEE: Committee on Financial Services. House
HEARING-TYPE: Published
LENGTH: 145 pp.
WITNESS:
(p. 7-62, 71-82, 87-141)
BERNANKE, Ben S., Chairman, Federal Reserve Board

TITLE: Nomination of Ben S. Bernanke
DOCUMENT-DATE: Dec. 3, 2009
COMMITTEE: Committee on Banking, Housing, and Urban Affairs. Senate
HEARING-TYPE: Published
LENGTH: 161 pp.
WITNESS:
(p. 6-157)
BERNANKE, Ben S.; nominee to be Chairman, Federal Reserve Board.

TITLE: Federal Reserve Perspectives on Financial Regulatory Reform Proposals
DOCUMENT-DATE: Oct. 1, 2009
COMMITTEE: Committee on Financial Services. House
HEARING-TYPE: Published
LENGTH: 81 pp.
WITNESS:
(p. 7-54, 58-77)
BERNANKE, Ben S., Chairman, Federal Reserve Board

TITLE: Regulatory Perspectives on the Obama Administration's Financial Regulatory Reform Proposals, Part II
DOCUMENT-DATE: July 24, 2009
COMMITTEE: Committee on Financial Services. House
HEARING-TYPE: Published
LENGTH: 280 pp.
WITNESS PANEL #1:
(p. 7-33, 140-148)
GEITHNER, Timothy F., Secretary, Department of Treasury
WITNESS PANEL #2:
(p. 34-49, 56-139, 149-174, 189-276)
BERNANKE, Ben S., Chairman, Federal Reserve Board
BAIR, Sheila C., Chairman, FDIC
DUGAN, John C., Comptroller, OCC
BOWMAN, John E., Acting Director, Office of Thrift Supervision
SMITH, Joseph A., Jr., Commissioner, North Carolina Office of the Commissioner of Banks,
representing Conference of State Bank Supervisors

TITLE: Federal Reserve's Second Monetary Policy Report for 2009
DOCUMENT-DATE: July 22, 2009
COMMITTEE: Committee on Banking, Housing, and Urban Affairs. Senate
HEARING-TYPE: Published
LENGTH: 126 pp.
WITNESS:
(p. 5-51, 54-122)
BERNANKE, Ben S., Chairman, Federal Reserve Board

TITLE: Monetary Policy and the State of the Economy
DOCUMENT-DATE: July 21, 2009
COMMITTEE: Committee on Financial Services. House
HEARING-TYPE: Published
LENGTH: 139 pp.
WITNESS:
(p. 7-59, 68-78, 82-135)
BERNANKE, Ben S., Chairman, Federal Reserve Board

TITLE: Bank of America and Merrill Lynch: How Did a Private Deal Turn into a Federal Bailout? Part II
DOCUMENT-DATE: June 25, 2009
COMMITTEE: Committee on Oversight and Government Reform. House; Subcom on Domestic Policy, Committee on Oversight and Government Reform. House
HEARING-TYPE: Published
LENGTH: 146 pp.
WITNESS:
(p. 16-54, 74-98, 112-113)
BERNANKE, Ben S., Chairman, Federal Reserve Board

TITLE: Challenges Facing the Economy: The View of the Federal Reserve
DOCUMENT-DATE: June 3, 2009
COMMITTEE: Committee on Budget. House
HEARING-TYPE: Published
LENGTH: 77 pp.
WITNESS:
(p. 5-64)
BERNANKE, Ben S., Chairman, Federal Reserve Board

TITLE: Economic Outlook
DOCUMENT-DATE: May 5, 2009
COMMITTEE: Committee on Economic. Joint
HEARING-TYPE: Published
LENGTH: 54 pp.
WITNESS:

(p. 3-42)

BERNANKE, Ben S., Chairman, Federal Reserve Board

TITLE: Oversight of the Federal Government's Intervention at American International Group

DOCUMENT-DATE: Mar. 24, 2009

COMMITTEE: Committee on Financial Services. House

HEARING-TYPE: Published

LENGTH: 91 pp.

WITNESSES:

(p. 7-66, 70-87)

GEITHNER, Timothy F., Secretary, Department of Treasury

BERNANKE, Ben S., Chairman, Federal Reserve Board

DUDLEY, William C., President and CEO, Federal Reserve Bank of New York

TITLE: Economic and Budget Challenges for the Short and Long Term

DOCUMENT-DATE: Mar. 3, 2009

COMMITTEE: Committee on Budget. Senate

HEARING-TYPE: Published

LENGTH: 54 pp.

WITNESS:

BERNANKE, Ben S., Chairman, Federal Reserve Board

TITLE: Monetary Policy and the State of the Economy, Part I

DOCUMENT-DATE: Feb. 25, 2009

COMMITTEE: Committee on Financial Services. House

HEARING-TYPE: Published

LENGTH: 140 pp.

WITNESS:

(p. 7-56, 60-136)

BERNANKE, Ben S., Chairman, Federal Reserve Board

TITLE: Federal Reserve's First Monetary Policy Report for 2009

DOCUMENT-DATE: Feb. 24, 2009

COMMITTEE: Committee on Banking, Housing, and Urban Affairs. Senate

HEARING-TYPE: Published

LENGTH: 147 pp.

WITNESS:

(p. 5-143)

BERNANKE, Ben S., Chairman, Federal Reserve Board

TITLE: Examination of the Extraordinary Efforts by the Federal Reserve Bank To Provide Liquidity in the Current Financial Crisis

DOCUMENT-DATE: Feb. 10, 2009

COMMITTEE: Committee on Financial Services. House

HEARING-TYPE: Published

LENGTH: 123 pp.

WITNESS:

(p. 5-60, 67-80, 91-117)

BERNANKE, Ben S., Chairman, Federal Reserve Board

TITLE: Oversight of Implementation of the Emergency Economic Stabilization Act of 2008 and of Government Lending and Insurance Facilities: Impact on the Economy and Credit Availability

DOCUMENT-DATE: Nov. 18, 2008

COMMITTEE: Committee on Financial Services. House

HEARING-TYPE: Published

LENGTH: 268 pp.

WITNESS PANEL #1:

(p. 6-46, 100-125, 246-252)

PAULSON, Henry M., Jr., Secretary, Department of Treasury

BERNANKE, Ben S., Chairman, Federal Reserve Board

BAIR, Sheila C., Chairman, FDIC

WITNESS PANEL #2:

(p. 46-64, 126-138, 145-163, 194-214)

BARTLETT, Steve, President and CEO, Financial Services Roundtable

YINGLING, Edward L., President and CEO, American Bankers Association

BLANKENSHIP, Cynthia L., Vice Chairman and Chief Operating Officer, Bank of the West, Irving, Tex., representing Independent Community Bankers

FINDLAY, D. Cameron, Executive Vice President and General Counsel, Aon Corp., also representing Council of Insurance Agents and Brokers

WITNESS PANEL #3:

(p. 64-83, 164-184)

BLINDER, Alan S., Professor, Economics and Public Affairs, Princeton University

FELDSTEIN, Martin S., Professor, Economics, Harvard University

TITLE: Economic Recovery Options and Challenges

DOCUMENT-DATE: Oct. 20, 2008

COMMITTEE: Committee on Budget. House

HEARING-TYPE: Published

LENGTH: 93 pp.

WITNESS PANEL #1:

(p. 4-35)

BERNANKE, Ben S., Chairman, Federal Reserve Board

WITNESS PANEL #2:

(p. 35-86)

BAILY, Martin N., Senior Fellow, Brookings Institution

LAV, Iris J., Deputy Director, Center on Budget and Policy Priorities

BEACH, William W., Director, Center for Data Analysis, Heritage Foundation

TITLE: Economic Outlook

DOCUMENT-DATE: Sept. 24, 2008

COMMITTEE: Committee on Economic. Joint

HEARING-TYPE: Published

LENGTH: 98 pp.

WITNESS:

(p. 9-47)

BERNANKE, Ben S., Chairman, Federal Reserve Board

TITLE: Future of Financial Services: Exploring Solutions for the Market Crisis

DOCUMENT-DATE: Sept. 24, 2008

COMMITTEE: Committee on Financial Services. House

HEARING-TYPE: Published

LENGTH: 93 pp.

WITNESS PANEL #1:

(p. 1-23)

SHERMAN, Brad (Rep, D-Calif)

HENSARLING, Jeb (Rep, R-Tex)

WATT, Melvin L. (Rep, D-NC)

GARRETT, Scott (Rep, R-NJ)

MOORE, Dennis (Rep, D-Kans)

GREEN, Al (Rep, D-Tex)

BARRETT, J. Gresham (Rep, R-SC)

CLEAVER, Emanuel (Rep, D-Mo)

KLEIN, Ron (Rep, D-Fla)

CARSON, Andre (Rep, D-Ind)

DAVIS, David (Rep, R-Tenn)

PERLMUTTER, Ed (Rep, D-Colo)

FOSTER, Bill (Rep, D-Ill)

CROWLEY, Joseph (Rep, D-NY)

WITNESS PANEL #2:

(p. 26-61, 82-89)

PAULSON, Henry M., Jr., Secretary, Department of Treasury

BERNANKE, Ben S., Chairman, Federal Reserve Board

TITLE: Turmoil in U.S. Credit Markets: Recent Actions Regarding Government-Sponsored Entities, Investment Banks, and Other Financial Institutions

DOCUMENT-DATE: Sept. 23, 2008

COMMITTEE: Committee on Banking, Housing, and Urban Affairs. Senate

HEARING-TYPE: Published

LENGTH: 138 pp.

WITNESSES:

(p. 25-134)

PAULSON, Henry M., Jr., Secretary, Department of Treasury

BERNANKE, Ben S., Chairman, Federal Reserve Board

COX, C. Christopher, Chairman, SEC

LOCKHART, James B., III, Director, Federal Housing Finance Agency

TITLE: Monetary Policy and the State of the Economy

DOCUMENT-DATE: July 16, 2008
COMMITTEE: Committee on Financial Services. House
HEARING-TYPE: Published
LENGTH: 125 pp.
WITNESS:
(p. 6-50, 53-121)
BERNANKE, Ben S., Chairman, Federal Reserve Board

TITLE: Federal Reserve's Second Monetary Policy Report for 2008
DOCUMENT-DATE: July 15, 2008
COMMITTEE: Committee on Banking, Housing, and Urban Affairs. Senate
HEARING-TYPE: Published
LENGTH: 97 pp.
WITNESS:
(p. 4-93)
BERNANKE, Ben S., Chairman, Federal Reserve Board

TITLE: Recent Developments in U.S. Financial Markets and Regulatory Responses to Them
DOCUMENT-DATE: July 15, 2008
COMMITTEE: Committee on Banking, Housing, and Urban Affairs. Senate
HEARING-TYPE: Published
LENGTH: 55 pp.
WITNESSES:
(p. 4-51)
PAULSON, Henry M., Jr., Secretary, Department of Treasury
COX, C. Christopher, Chairman, SEC
BERNANKE, Ben S., Chairman, Federal Reserve Board

TITLE: Systemic Risk and the Financial Markets
DOCUMENT-DATE: July 10, 2008
COMMITTEE: Committee on Financial Services. House
HEARING-TYPE: Published
LENGTH: 86 pp.
WITNESSES:
(p. 7-55, 61-75)
PAULSON, Henry M., Jr., Secretary, Department of Treasury
BERNANKE, Ben S., Chairman, Federal Reserve Board

TITLE: Turmoil in U.S. Credit Markets: Examining the Recent Actions of Federal Financial Regulators
DOCUMENT-DATE: Apr. 3, 2008
COMMITTEE: Committee on Banking, Housing, and Urban Affairs. Senate
HEARING-TYPE: Published
LENGTH: 209 pp.
WITNESS PANEL #1:
(p. 10-71, 95-153, 163-203)

BERNANKE, Ben S., Chairman, Federal Reserve Board
COX, C. Christopher, Chairman, SEC
STEEL, Robert K., Under Secretary, Domestic Finance, Department of Treasury
GEITHNER, Timothy F., President and CEO, Federal Reserve Bank of New York
WITNESS PANEL #2:
(p. 71-93, 154-161)
DIMON, James, Chairman and CEO, JPMorgan Chase and Co.
SCHWARTZ, Alan D., President and CEO, Bear Stearns and Co.

TITLE: Economic Outlook
DOCUMENT-DATE: Apr. 2, 2008
COMMITTEE: Committee on Economic. Joint
HEARING-TYPE: Published
LENGTH: 69 pp.
WITNESS:
(p. 8-54)
BERNANKE, Ben S., Chairman, Federal Reserve Board

TITLE: Federal Reserve's First Monetary Policy Report for 2008
DOCUMENT-DATE: Feb. 28, 2008
COMMITTEE: Committee on Banking, Housing, and Urban Affairs. Senate
HEARING-TYPE: Published
LENGTH: 115 pp.
WITNESS:
(p. 6-44, 46-111)
BERNANKE, Ben S., Chairman, Federal Reserve Board

TITLE: Monetary Policy and the State of the Economy, Part II
DOCUMENT-DATE: Feb. 27, 2008
COMMITTEE: Committee on Financial Services. House
HEARING-TYPE: Published
LENGTH: 118 pp.
WITNESS:
(p. 6-49, 53-112)
BERNANKE, Ben S., Chairman, Federal Reserve Board

TITLE: State of the United States Economy and Financial Markets
DOCUMENT-DATE: Feb. 14, 2008
COMMITTEE: Committee on Banking, Housing, and Urban Affairs. Senate
HEARING-TYPE: Published
LENGTH: 118 pp.
WITNESSES:
(p. 6-51, 55-93)
PAULSON, Henry M., Jr., Secretary, Department of Treasury
BERNANKE, Ben S., Chairman, Federal Reserve Board
COX, C. Christopher, Chairman, SEC

TITLE: Near-Term Outlook for the U.S. Economy
DOCUMENT-DATE: Jan. 17, 2008
COMMITTEE: Committee on Budget. House
HEARING-TYPE: Published
LENGTH: 58 pp.
WITNESS:
(p. 5-54)
BERNANKE, Ben S., Chairman, Federal Reserve Board

TITLE: Economic Outlook
DOCUMENT-DATE: Nov. 8, 2007
COMMITTEE: Committee on Economic. Joint
HEARING-TYPE: Published
LENGTH: 63 pp.
WITNESS:
(p. 7-38, 49-57)
BERNANKE, Ben S., Chairman, Federal Reserve Board

TITLE: Legislative and Regulatory Options for Minimizing and Mitigating Mortgage Foreclosures
DOCUMENT-DATE: Sept. 20, 2007
COMMITTEE: Committee on Financial Services. House
HEARING-TYPE: Published
LENGTH: 279 pp.
WITNESS PANEL #1:
(p. 6-32, 71-83, 184-194)
PAULSON, Henry M., Jr., Secretary, Department of Treasury
JACKSON, Alphonso R., Secretary, HUD
BERNANKE, Ben S., Chairman, Federal Reserve Board
WITNESS PANEL #2:
(p. 32-63, 84-135, 140-183, 195-225)
MUDD, Daniel H., President and CEO, FNMA
SYRON, Richard F., Chairman and CEO, Federal Home Loan Mortgage Corp.
LIBEN, Judith, Housing Attorney, Massachusetts Law Reform Institute
ROBBINS, John M., Chairman, Mortgage Bankers Association
DINHAM, Harry H., Owner, Dinham Companies, representing National Association of Mortgage Brokers
MARKS, Bruce, CEO, Neighborhood Assistance Corp.
POLLOCK, Alex J., Resident Fellow, American Enterprise Institute for Public Policy Research

TITLE: Federal Reserve's Second Monetary Policy Report for 2007
DOCUMENT-DATE: July 19, 2007
COMMITTEE: Committee on Banking, Housing, and Urban Affairs. Senate
HEARING-TYPE: Published
LENGTH: 90 pp.

WITNESS:

(p. 9-50, 53-86)

BERNANKE, Ben S., Chairman, Federal Reserve Board

TITLE: Monetary Policy and the State of the Economy, Part II

DOCUMENT-DATE: July 18, 2007

COMMITTEE: Committee on Financial Services. House

HEARING-TYPE: Published

LENGTH: 181 p.

WITNESS:

(p. 8-49, 65-106, 169-177)

BERNANKE, Ben S., Chairman, Federal Reserve Board

TITLE: Economic Outlook

DOCUMENT-DATE: Mar. 28, 2007

COMMITTEE: Committee on Economic. Joint

HEARING-TYPE: Published

LENGTH: 43 pp.

WITNESS:

(p. 5-32)

BERNANKE, Ben S., Chairman, Federal Reserve Board

TITLE: Fiscal Challenges and the Economy in the Long Term

DOCUMENT-DATE: Feb. 28, 2007

COMMITTEE: Committee on Budget. House

HEARING-TYPE: Published

LENGTH: 54 pp.

WITNESS:

(p. 5-50)

BERNANKE, Ben S., Chairman, Federal Reserve Board

TITLE: Monetary Policy and the State of the Economy, Part I

DOCUMENT-DATE: Feb. 15, 2007

COMMITTEE: Committee on Financial Services. House

HEARING-TYPE: Published

LENGTH: 121 pp.

WITNESS:

(p. 7-60, 71-117)

BERNANKE, Ben S., Chairman, Federal Reserve Board

TITLE: Federal Reserve's First Monetary Policy Report for 2007

DOCUMENT-DATE: Feb. 14, 2007

COMMITTEE: Committee on Banking, Housing, and Urban Affairs. Senate

HEARING-TYPE: Published

LENGTH: 106 pp.

WITNESS:

(p. 12-102)

BERNANKE, Ben S., Chairman, Federal Reserve Board

TITLE: Concurrent Resolution on the Budget for FY2008, Vol. I

DOCUMENT-DATE: Jan. 11, 18, 23, 25, 30, 31, 2007

COMMITTEE: Committee on Budget. Senate

HEARING-TYPE: Published

LENGTH: 441 pp.

WITNESS PANEL #1:

(p. 12-68, 72-77)

WALKER, David M., Comptroller General, Government Accountability Office

WITNESS PANEL #2:

(p. 93-126)

BERNANKE, Ben S., Chairman, Federal Reserve Board

WITNESS PANEL #3:

(p. 140-208)

MCINTYRE, Robert S., Director, Citizens for Tax Justice

BROSTEK, Michael, Director, Tax Issues, Strategic Issues Team, Government Accountability Office

SATAGAJ, John S., President and General Counsel, Small Business Legislative Council, representing Coalition for Fairness in Tax Compliance

WITNESS PANEL #4:

(p. 218-252)

ORSZAG, Peter R., Director, Congressional Budget Office

WITNESS PANEL #5:

(p. 266-335)

GREENSTEIN, Robert, Founder and Executive Director, Center on Budget and Policy Priorities

REISCHAUER, Robert D., President, Urban Institute

STEUERLE, C. Eugene, Senior Fellow, Urban Institute

ProQuest Congressional Comptroller as a Witness (2007-2012)

TITLE: [Examining Bank Supervision and Risk Management in Light of JPMorgan Chase's Trading Loss]

SOURCE: Committee on Financial Services. House

DOC-TYPE: Hearing Retrieve selected transcripts

DATE: June 19, 2012.

WITNESSES REFERENCED IN DAILY DIGEST:

PANEL#1

CURRY, THOMAS J., (Comptroller, OCC)

SCHAPIRO, MARY L., (Chairman, SEC)

GENSLER, GARY, (Chairman, CFTC)

GRUENBERG, MARTIN J., (Acting Chairman, FDIC)

ALVAREZ, SCOTT G., (General Counsel, Federal Reserve Board)

PANEL#2

DIMON, JAMIE, (Chairman and CEO, JPMorgan Chase and Co)

TITLE: [Implementing Wall Street Reform: Enhancing Bank Supervision and Reducing Systemic Risk]

SOURCE: Committee on Banking, Housing, and Urban Affairs. Senate

DOC-TYPE: Hearing Retrieve selected transcripts

DATE: June 6, 2012.

WITNESSES REFERENCED IN DAILY DIGEST:

WOLIN, NEAL S., (Deputy Secretary, Department of Treasury)

TARULLO, DANIEL K., (Governor, Federal Reserve Board)

CURRY, THOMAS J., (Comptroller, OCC)

GRUENBERG, MARTIN J., (Acting Chairman, FDIC)

CORDRAY, RICHARD, (Director, Consumer Financial Protection Bureau)

TITLE: [Wall Street Reform]

SOURCE: Committee on Banking, Housing, and Urban Affairs. Senate

DOC-TYPE: Hearing Retrieve selected transcripts

DATE: Mar. 22, 2012.

WITNESSES REFERENCED IN DAILY DIGEST:

BRAINARD, LAEL, (Under Secretary, International Affairs, Department of Treasury)

TARULLO, DANIEL K., (Governor, Federal Reserve Board)

WALTER, ELISSE B., (Commissioner, SEC)

GRUENBERG, MARTIN J., (Acting Chairman, FDIC)

WALSH, JOHN, (Acting Comptroller, OCC)

MESA, JACQUELINE H., (Director, Office of International Affairs, CFTC)

TITLE: [Examining the Impact of the Volcker Rule on Markets, Businesses, Investors and Job Creation]

CIS-NO: Not Yet Assigned

SOURCE: Committee on Financial Services. House; Committee on Financial Services. House

DOC-TYPE: Hearing Retrieve selected transcripts

DATE: Jan. 18, 2012.

WITNESSES REFERENCED IN DAILY DIGEST:

PANEL#1:

TARULLO, DANIEL K., (Governor, Federal Reserve Board)
SCHAPIRO, MARY, (Chairman, SEC)
GENSLER, GARY, (Chairman, CFTC)
GRUENBERG, MARTIN J., (Acting Chairman, FDIC)
WALSH, JOHN, (Acting Comptroller of the Currency, OCC)

PANEL#2

CARFANG, ANTHONY J., (Partner, Treasuries Strategies, Chamber of Commerce of the U.S)
ELLIOTT, DOUGLAS J., (Fellow, Economic Studies, Brookings Institution)
EVANS, SCOTT, (Executive Vice President, President of Asset Management, TIAA-CREF)
JOHNSON, SIMON, (Professor, Entrepreneurship, Sloan School of Management, MIT)
MARX, ALEXANDER, (Head, Global Bond Trading, Fidelity Investments)
PEEBLES, DOUGLAS J., (Chief Investment Officer and Head, Fixed Income, AllianceBernstein; representing Securities Industry and Financial Markets Association Asset Management Group)
STANDISH, MARK, (President and Co-CEO, RBC Capital Markets; representing Institute of International Bankers)
TURBEVILLE, WALLACE, (Americans for Financial Reform)

TITLE: [Implementation of the Wall Street Reform Act]

CIS-NO: Not Yet Assigned

SOURCE: Committee on Banking, Housing, and Urban Affairs. Senate

DOC-TYPE: Hearing

DATE: Dec. 6, 2011.

WITNESSES REFERENCED IN DAILY DIGEST:

WOLIN, NEAL S., (Deputy Secretary, Department of Treasury)
WALSH, JOHN, (Acting Comptroller of the Currency, OCC)
TARULLO, DANIEL K., (Member, Federal Reserve Board)
SCHAPIRO, MARY L., (Chairman, SEC)
GENSLER, GARY, (Chairman, CFTC)
GRUENBERG, MARTIN J., (Acting Chairman, FDIC)

TITLE: Enhanced Oversight After the Financial Crisis: The Wall Street Reform Act at One Year

DOCUMENT-DATE: July 21, 2011

COMMITTEE: Committee on Banking, Housing, and Urban Affairs. Senate

HEARING-TYPE: Published

LENGTH: 209 pp.

WITNESSES:

FRANK, Barney (Rep, D-Mass)
WOLIN, Neal S., Deputy Secretary, Department of Treasury
BERNANKE, Ben S., Chairman, Federal Reserve Board
SCHAPIRO, Mary L., Chairman, SEC
GENSLER, Gary, Chairman, CFTC

GRUENBERG, Martin J., Acting Chairman, FDIC
WALSH, John, Acting Comptroller, Office of Comptroller of the Currency

TITLE: Financial Regulatory Reform: The International Context

DOCUMENT-DATE: June 16, 2011

COMMITTEE: Committee on Financial Services. House

LENGTH: 307 pp.

WITNESS PANEL #1:

(p. 11-35, 59-109, 146-155, 191-221, 286-303)

BRAINARD, Lael, Under Secretary, International Affairs, Department of Treasury

TARULLO, Daniel K., Member, Federal Reserve Board

BAIR, Sheila C., Chairman, FDIC

SCHAPIRO, Mary L., Chairman, SEC

GENSLER, Gary, Chairman, CFTC

WALSH, John, Acting Comptroller, OCC

WITNESS PANEL #2:

(p. 36-55, 110-145, 156-190, 222-238)

O'CONNOR, Stephen, Chairman, International Swaps and Derivatives Association

RYAN, T. Timothy, Jr., President and CEO, Securities Industry and Financial Markets Association

SCOTT, Hal S., Director, Program on International Financial Systems, Harvard Law School

ZUBROW, Barry, Chief Risk Officer, JPMorgan Chase and Co.

SILVERS, Damon A., Policy Director and Special Counsel, AFL-CIO, also representing Americans for Financial Reform

TITLE: Oversight of Dodd-Frank Implementation: Monitoring Systemic Risk and Promoting Financial Stability

DOCUMENT-DATE: May 12, 2011

COMMITTEE: Committee on Banking, Housing, and Urban Affairs. Senate

HEARING-TYPE: Published

LENGTH: 141 pp.

WITNESSES:

(p. 3-137)

WOLIN, Neal S., Deputy Secretary, Department of Treasury

BERNANKE, Ben S., Chairman, Federal Reserve Board

BAIR, Sheila C., Chairman, FDIC

WALSH, John, Acting Comptroller of the Currency, OCC

SCHAPIRO, Mary L., Chairman, SEC

GENSLER, Gary, Chairman, CFTC

TITLE: Oversight of Dodd-Frank Implementation: A Progress Report by the Regulators at the Half-Year Mark

DOCUMENT-DATE: Feb. 17, 2011

COMMITTEE: Committee on Banking, Housing, and Urban Affairs. Senate

HEARING-TYPE: Published

LENGTH: 219 pp.

WITNESSES:

(p. 4-215)

BERNANKE, Ben S., Chairman, Federal Reserve Board

BAIR, Sheila C., Chairman, FDIC

SCHAPIRO, Mary L., Chairman, SEC

GENSLER, Gary, Chairman, CFTC

WALSH, John G., Acting Comptroller, OCC

TITLE: Robo-Signing, Chain of Title, Loss Mitigation, and Other Issues in Mortgage Servicing

DOCUMENT-DATE: Nov. 18, 2010

COMMITTEE: Subcom on Housing and Community Opportunity, Committee on Financial Services. House

HEARING-TYPE: Published

LENGTH: 375 pp.

WITNESS PANEL #1:

(p. 7-39, 174-212, 328-356)

CALDWELL, Phyllis, Chief, Homeownership Preservation Office, Department of Treasury

DUKE, Elizabeth A., Governor, Federal Reserve Board

STEVENS, David H., Assistant Secretary, Housing and Commissioner, FHA, also representing HUD

WALSH, John G., Acting Comptroller, OCC

DEMARCO, Edward J., Acting Director, Federal Housing Finance Agency

WITNESS PANEL #2:

(p. 40-56, 91-173, 252-261, 292-322, 357-366)

MAIRONE, Rebecca, Default Servicing Executive, Bank of America Home Loans

MARANO, Thomas, CEO, Mortgage Operations, Ally Financial, Inc., also representing GMAC Mortgage, LLC

MUDICK, Stephanie, Head, Office of Consumer Practices, JPMorgan Chase and Co.

JONES, Alan, Manager, Operations, Wells Fargo Home Mortgage Servicing

LEWIS, Harold, Managing Director, CitiMortgage, Inc.

ARNOLD, R. K., President and CEO, Mortgage Electronic Registration Systems, Inc. (MERS), also representing MERSCORP, Inc.

WITNESS PANEL #3:

(p. 56-90, 213-251, 262-291)

LEVITIN, Adam J., Associate Professor, Law, Georgetown University Law Center

SANDERS, Anthony B., Professor, Finance, George Mason University

GORDON, Julia, Senior Policy Counsel, Center for Responsible Lending, also representing Center for Community Self-Help

FISHER, Linda E., Professor, Law, Seton Hall University School of Law

ANASTASI, Anne, President, American Land Title Association

TITLE: Problems in Mortgage Servicing from Modification to Foreclosure

DOCUMENT-DATE: Nov. 16, Dec. 1, 2010

COMMITTEE: Committee on Banking, Housing, and Urban Affairs. Senate

HEARING-TYPE: Published

WITNESS PANEL #1:

(p. 7-49, 53-254)

MILLER, Thomas J., Attorney General, Iowa

DESOER, Barbara J., President, Bank of America Home Loans

ARNOLD, R. K., President and CEO, Mortgage Electronic Registration Systems (MERS), also representing MERSCORP, Inc.

LEVITIN, Adam J., Associate Professor, Law, Georgetown University Law Center

LOWMAN, David B., CEO, Home Lending, JPMorgan Chase and Co.

THOMPSON, Diane E., Counsel, National Consumer Law Center, also representing National Association of Consumer Advocates

WITNESS PANEL #2:

(p. 283-320, 342-386, 475-483)

CALDWELL, Phyllis R., Chief, Homeownership Preservation Office, Department of Treasury

BAIR, Sheila C., Chairman, FDIC

TARULLO, Daniel K., Member, Federal Reserve Board

WALSH, John G., Acting Comptroller, OCC

DEMARCO, Edward J., Acting Director, Federal Housing Finance Agency

WITNESS PANEL #3:

(p. 321-339, 386-474, 483-499)

EDWARDS, Terence, Executive Vice President, Credit Portfolio Management, FNMA (Fannie Mae)

BISENIUS, Donald, Executive Vice President, Single Family Credit Guarantee Business, Federal Home Loan Mortgage Corp. (Freddie Mac)

DEUTSCH, Tom, Executive Director, American Securitization Forum

EGGERT, Kurt, Professor, Law, Chapman University School of Law

TITLE: Implementing the Dodd-Frank Wall Street Reform and Consumer Protection Act

DOCUMENT-DATE: Sept. 30, 2010

COMMITTEE: Committee on Banking, Housing, and Urban Affairs. Senate

HEARING-TYPE: Published

WITNESSES:

(p. 6-54, 56-202)

WOLIN, Neal S., Deputy Secretary, Department of Treasury

BERNANKE, Ben S., Chairman, Federal Reserve Board

BAIR, Sheila C., Chairman, FDIC

SCHAPIRO, Mary L., Chairman, SEC

GENSLER, Gary, Chairman, CFTC

WALSH, John G., Acting Comptroller of the Currency, OCC

TITLE: Condition of Small Business and Commercial Real Estate Lending in Local Markets

DOCUMENT-DATE: Feb. 26, 2010

COMMITTEE: Committee on Financial Services. House; Committee on Small Business. House
HEARING-TYPE: Published

LENGTH: 633 pp.

WITNESS PANEL #1:

(p. 4-25, 271-311, 322-338)

TURNBULL, David W., President and Owner, Brighton Corp.

DORFMAN, Margot, CEO, U.S. Women's Chamber of Commerce

GORDON, Steve, President, INSTANT-OFF

ZYWICKI, Todd J., Professor, Law, George Mason University School of Law

SMITH, Wes, President, E&E Manufacturing, representing Motor and Equipment Manufacturers Association

WITNESS PANEL #2:

(p. 26-64, 114-128, 159-202, 219-237, 598-611)

ALLISON, Herbert M., Jr., Assistant Secretary, Financial Stability, Department of Treasury

MILLS, Karen G., Administrator, SBA

DUKE, Elizabeth A., Member, Federal Reserve Board

DUGAN, John C., Comptroller of the Currency, OCC

GRUENBERG, Martin J., Vice Chairman, FDIC

BOWMAN, John E., Acting Director, Office of Thrift Supervision

WITNESS PANEL #3:

(p. 65-88, 99-113, 129-155, 206-218, 238-251, 256-270, 312-321)

ANDREWS, Stephen G., President and CEO, Bank of Alameda, Alameda, Calif., representing Independent Community Bankers

BRIDGEMAN, David L., Chairman and CEO, Pinnacle Bank, Orange City, Fla.

GRANT, William B., Chairman, CEO, and President, First United Bank and Trust, Oakland, Md., representing American Bankers Association

COVEY, Ronald, President and CEO, St. Mary's Bank Credit Union, Manchester, N.H., representing Credit Union National Association

WIECZOREK, Rick, President and CEO, Mid-Atlantic Federal Credit Union, Germantown, Md., representing National Association of Federal Credit Unions

NASH, Cathleen H., President and CEO, Citizens Republic Bancorp, representing Consumer Bankers Association

HOYT, David, Head, Wholesale Banking, Wells Fargo and Co.

MCCUSKER, Charles, Founder and Managing Partner, Patriot Capital, representing National Association of Small Business Investment Companies

ROBERTSON, Sally B., President and CEO, Business Finance Group, representing National Association of Development Companies

TITLE: Systemic Regulation, Prudential Matters, Resolution Authority, and Securitization

DOCUMENT-DATE: Oct. 29, 2009

COMMITTEE: Committee on Financial Services. House

HEARING-TYPE: Published

WITNESS PANEL #1:

(p. 8-37, 150-154)

GEITHNER, Timothy F., Secretary, Department of Treasury

WITNESS PANEL #2:

(p. 38-63, 99-116, 127-137, 219-228, 291-307)

BAIR, Sheila C., Chairman, FDIC

DUGAN, John C., Comptroller of the Currency, OCC

TARULLO, Daniel K., Member, Federal Reserve Board

BOWMAN, John E., Acting Director, Office of Thrift Supervision (OTS)

SULLIVAN, Thomas R., Insurance Commissioner, Connecticut, representing National Association of Insurance Commissioners

WITNESS PANEL #3:

(p. 64-95, 117-126, 138-149, 155-218, 229-290, 308-334)

TRUMKA, Richard L., President, AFL-CIO

BAKER, Richard H., President and CEO, Managed Funds Association

SWAGEL, Phillip L., Visiting Professor, McDonough School of Business, Georgetown University

TALBOTT, Scott, Senior Vice President, Government Affairs, Financial Services Roundtable

KANDARIAN, Steven A., Executive Vice President and Chief Investment Officer, MetLife, Inc.

MENZIES, R. Michael, Sr., President and CEO, Easton Bank and Trust Co., representing Independent Community Bankers

WALLISON, Peter J., Fellow, Financial Policy Studies, American Enterprise Institute for Public Policy Research

D'ARISTA, Jane, representing Americans for Financial Reform

YINGLING, Edward L., President and CEO, American Bankers Association

RYAN, T. Timothy, Jr., President and CEO, Securities Industry and Financial Markets Association

TITLE: Examining the State of the Banking Industry

DOCUMENT-DATE: Oct. 14, 2009

COMMITTEE: Subcom on Financial Institutions, Committee on Banking, Housing, and Urban Affairs. Senate

HEARING-TYPE: Published

LENGTH: 180 pp.

WITNESSES:

(p. 4-176)

BAIR, Sheila C., Chairman, FDIC

DUGAN, John C., Comptroller of the Currency, OCC

TARULLO, Daniel K., Member, Federal Reserve Board

MATZ, Deborah, Chairman, National Credit Union Administration (NCUA)

WARD, Timothy T., Deputy Director, Examinations, Supervision and Consumer Protection, Office of Thrift Supervision (OTS)

SMITH, Joseph A., Jr., Commissioner, North Carolina Office of the Commissioner of Banks, representing Conference of State Bank Supervisors

CANDON, Thomas J., Deputy Commissioner, Banking and Securities, Vermont Department of Banking, Insurance, Securities and Health Care Administration, representing National Association of State Credit Union Supervisors

TITLE: Federal Regulator Perspectives on Financial Regulatory Reform Proposals

DOCUMENT-DATE: Sept. 23, 2009

COMMITTEE: Committee on Financial Services. House

HEARING-TYPE: Published

LENGTH: 188 pp.

WITNESSES:

(p. 6-45, 49-156, 173-184)

BAIR, Sheila C., Chairman, FDIC

DUGAN, John C., Comptroller, OCC

BOWMAN, John E., Acting Director, Office of Thrift Supervision (OTS)

SMITH, Joseph A., Jr., Commissioner, North Carolina Office of the Commissioner of Banks,
representing Conference of State Bank Supervisors

TITLE: Strengthening and Streamlining Prudential Bank Supervision

DOCUMENT-DATE: Aug. 4, Sept. 29, 2009

COMMITTEE: Committee on Banking, Housing, and Urban Affairs. Senate

HEARING-TYPE: Published

LENGTH: 186 pp.

WITNESS PANEL #1:

(p. 2-42, 44-98)

BAIR, Sheila C., Chairman, FDIC

DUGAN, John C., Comptroller of the Currency, OCC

TARULLO, Daniel K., Member, Federal Reserve Board

BOWMAN, John E., Acting Director, Office of Thrift Supervision

WITNESS PANEL #2:

(p. 101-182)

LUDWIG, Eugene A., CEO, Promontory Financial Group, LLC

BAILY, Martin N., Senior Fellow, Economic Studies, Brookings Institution

CARNELL, Richard S., Associate Professor, Fordham University School of Law

HILLMAN, Richard J., Managing Director, Financial Markets and Community Investment,
GAO

TITLE: Regulatory Perspectives on the Obama Administration's Financial Regulatory Reform
Proposals, Part II

DOCUMENT-DATE: July 24, 2009

COMMITTEE: Committee on Financial Services. House

HEARING-TYPE: Published

LENGTH: 280 pp.

WITNESS PANEL #1:

(p. 7-33, 140-148)

GEITHNER, Timothy F., Secretary, Department of Treasury

WITNESS PANEL #2:

(p. 34-49, 56-139, 149-174, 189-276)

BERNANKE, Ben S., Chairman, Federal Reserve Board

BAIR, Sheila C., Chairman, FDIC

DUGAN, John C., Comptroller, OCC

BOWMAN, John E., Acting Director, Office of Thrift Supervision

SMITH, Joseph A., Jr., Commissioner, North Carolina Office of the Commissioner of Banks, representing Conference of State Bank Supervisors

TITLE: Federal and State Enforcement of Financial Consumer and Investor Protection Laws

DOCUMENT-DATE: Mar. 20, 2009

COMMITTEE: Committee on Financial Services. House

HEARING-TYPE: Published

LENGTH: 318 pp.

WITNESS PANEL #1:

(p. 6-36, 62-93, 102-132, 144-171, 223-314)

DUKE, Elizabeth A., Member, Federal Reserve Board

DUGAN, John C., Comptroller, OCC

WALTER, Elisse B., Commissioner, SEC

GRUENBERG, Martin J., Vice Chairman, FDIC

POLAKOFF, Scott M., Acting Director, Office of Thrift Supervision

GLAVIN, Rita M., Acting Assistant Attorney General, Criminal Division, Department of Justice

PISTOLE, John S., Deputy Director, FBI

WITNESS PANEL #2:

(p. 37-58, 94-101, 133-143, 172-222)

GALVIN, William F., Secretary and Chief Securities Regulator, Massachusetts Securities Division

MADIGAN, Lisa, Attorney General, Illinois

RASKIN, Sarah B., Commissioner, Maryland Office of Financial Regulation, also representing Conference of State Bank Supervisors

ROPP, James B., Commissioner, Delaware Division of Securities, also representing North American Securities Administrators Association

SHARICK, Merle D., Jr., Consultant, Vertical Solutions, Risk and Information Analytics Group, LexisNexis, representing Mortgage Asset Research Institute

TITLE: Modernizing Bank Supervision and Regulation--Part I

DOCUMENT-DATE: Mar. 19, 2009

COMMITTEE: Committee on Banking, Housing, and Urban Affairs. Senate

HEARING-TYPE: Published

LENGTH: 330 pp.

WITNESSES:

(p. 5-322)

DUGAN, John C., Comptroller of the Currency, OCC

BAIR, Sheila C., Chairman, FDIC

FRYZEL, Michael E., Chairman, National Credit Union Administration

TARULLO, Daniel K., Member, Federal Reserve Board

POLAKOFF, Scott M., Acting Director, Office of Thrift Supervision

SMITH, Joseph A., Jr., Chair-Elect, Conference of State Bank Supervisors

REYNOLDS, George, Chairman, National Association of State Credit Union Supervisors

TITLE: State of the Banking Industry: Part II

DOCUMENT-DATE: June 5, 2008

COMMITTEE: Committee on Banking, Housing, and Urban Affairs. Senate

HEARING-TYPE: Published

LENGTH: 227 pp.

WITNESSES:

(p. 8-223)

BAIR, Sheila C., Chairman, FDIC

DUGAN, John C., Comptroller of the Currency, OCC

REICH, John M., Director, Office of Thrift Supervision (OTS)

JOHNSON, JoAnn M., Chairman, National Credit Union Administration

KOHN, Donald L., Vice Chairman, Federal Reserve Board

KARSKY, Timothy J., Chairman, Conference of State Bank Supervisors (CSBS)

TITLE: Using FHA for Housing Stabilization and Homeownership Retention, Part I

DOCUMENT-DATE: Apr. 9, 2008

COMMITTEE: Committee on Financial Services. House

HEARING-TYPE: Published

WITNESS PANEL #1:

(p. 13-66, 94-113, 123-184, 214-225)

BAIR, Sheila C., Chairman, Federal Deposit Insurance Corp.

DUGAN, John C., Comptroller of the Currency, Office of the Comptroller of the Currency

REICH, John M., Director, Office of Thrift Supervision

KROSZNER, Randall S., Member, Federal Reserve Board

MONTGOMERY, Brian D., Assistant Secretary, Housing, Commissioner, Department of Housing and Urban Development, also representing Federal Housing Administration

WITNESS PANEL #2:

(p. 66-87, 114-122, 185-205)

BLINDER, Alan S., former Vice Chair, Federal Reserve Board

WESBURY, Brian S., Chief Economist, First Trust Portfolios L.P.

SINAI, Allen, Chief Global Economist and President, Decision Economics, Inc.

TITLE: State of the Banking Industry

DOCUMENT-DATE: Mar. 4, 2008

COMMITTEE: Committee on Banking, Housing, and Urban Affairs. Senate

HEARING-TYPE: Published

LENGTH: 400 pp.

WITNESSES:

(p. 8-383)

BAIR, Sheila C., Chairman, FDIC

DUGAN, John C., Comptroller of the Currency, OCC

REICH, John M., Director, Office of Thrift Supervision (OTS)

JOHNSON, JoAnn M., Chairman, National Credit Union Administration

KOHN, Donald L., Vice Chairman, Federal Reserve Board

GRONSTAL, Thomas B., Superintendent, Banking, Iowa, representing Conference of State Bank Supervisors (CSBS)

TITLE: Accelerating Loan Modifications, Improving Foreclosure Prevention, and Enhancing Enforcement

DOCUMENT-DATE: Dec. 6, 2007

COMMITTEE: Committee on Financial Services. House

HEARING-TYPE: Published

LENGTH: 218 pp.

WITNESS PANEL #1:

(p. 15-40, 78-98, 110-123, 130-159, 166-177, 181-190)

BAIR, Sheila C., Chairman, Federal Deposit Insurance Corp.

KROSZNER, Randall S., Member, Federal Reserve Board

DUGAN, John C., Comptroller of the Currency, Office of the Comptroller of the Currency

HYLAND, Gigi, Board Member, National Credit Union Administration

POLAKOFF, Scott M., Senior Deputy Director and Chief Operating Officer, Office of Thrift Supervision

PEARCE, Mark E., Deputy Commissioner of Banks, North Carolina, representing State Foreclosure Prevention Working Group and Conference of State Bank Supervisors

WITNESS PANEL #2:

(p. 40-63, 124-129, 191-214)

DEUTSCH, Tom, Deputy Executive Director, American Securitization Forum

SCHWARTZ, Faith, Executive Director, HOPE NOW Alliance

SHELTON, Hilary O., Director, D.C. Bureau, NAACP

SILVERS, Damon A., Associate General Counsel, AFL-CIO

GREEN, Richard K., Professor, Real Estate and Finance, School of Business, George Washington University

WITNESS PANEL #3:

(p. 63-74, 99-109)

PLATT, Laurence, attorney, representing Securities Industry and Financial Markets Association

CALHOUN, Michael D., President, Center for Responsible Lending

SILVER, Josh, Vice President, Policy, National Community Reinvestment Coalition

TITLE: Legislative Proposals on Reforming Mortgage Practices

DOCUMENT-DATE: Oct. 24, 2007

COMMITTEE: Committee on Financial Services. House

HEARING-TYPE: Published

LENGTH: 343 pp.

WITNESS PANEL #1:

(p. 20-61, 128-152, 195-235)

GRUENBERG, Martin J., Vice Chairman, FDIC; on behalf of BAIR, Sheila C., Chairman, FDIC

DUGAN, John C., Comptroller of the Currency, OCC

REICH, John M., Director, Office of Thrift Supervision

JOHNSON, JoAnn M., Chairman, National Credit Union Administration

KROSZNER, Randall S., Member, Federal Reserve Board

ANTONAKES, Steven L., Commissioner, Massachusetts Division of Banks, representing Conference of State Bank Supervisors

WITNESS PANEL #2:

(p. 61-96, 153-194, 292-311)

CALHOUN, Michael D., President and Chief Operating Officer, Center for Responsible Lending

BOWDLER, Janis, Senior Housing Policy Analyst, National Council of La Raza

SHELTON, Hilary O., Director, D.C. Bureau, NAACP

TAYLOR, John, President and CEO, National Community Reinvestment Coalition, also representing National Consumer Law Center and RainbowPUSH Coalition

BRYANT, John H., Founder, Chairman, and CEO, Operation HOPE

WITNESS PANEL #3:

(p. 96-124, 236-291)

ROCK, Bradley E., Chairman, President, and CEO, Bank of Smithtown, representing America's Community Bankers and American Bankers Association

PFOTENHAUER, Kurt, Senior Vice President, Government Affairs and Public Policy, Mortgage Bankers Association

LACKRITZ, Marc E., President and CEO, Securities Industry and Financial Markets Association, also representing American Securitization Forum

SAVITT, Marc, President, Mortgage Center, representing National Association of Mortgage Brokers

LAMPE, Donald C., attorney

TITLE: Recent Events in the Credit and Mortgage Markets and Possible Implications for U.S. Consumers and the Global Economy

DOCUMENT-DATE: Sept. 5, 2007

COMMITTEE: Committee on Financial Services. House

HEARING-TYPE: Published

LENGTH: 139 pp.

WITNESSES:

(p. 19-64, 76-134)

STEEL, Robert K., Under Secretary, Domestic Finance, Department of Treasury

DUGAN, John C., Comptroller of the Currency, OCC

BAIR, Sheila C., Chairman, FDIC

SIRRI, Erik R., Director, Division of Market Regulation, SEC

TITLE: Improving Federal Consumer Protection in Financial Services

DOCUMENT-DATE: June 13, 2007

COMMITTEE: Committee on Financial Services. House

HEARING-TYPE: Published

LENGTH: 257 pp.

WITNESSES:

(p. 12-61, 67-236, 239-253)

KROSZNER, Randall S., Member, Federal Reserve Board

DUGAN, John C., Comptroller, OCC

BAIR, Sheila C., Chairman, FDIC

MAJORAS, Deborah P., Chairman, FTC

POLAKOFF, Scott M., Deputy Director and Chief Operating Officer, Office of Thrift Supervision

MILLER, Thomas J., Attorney General, Iowa

ANTONAKES, Steven L., Commissioner, Banks, Massachusetts, representing Conference of State Bank Supervisors

TITLE: Improving Credit Card Consumer Protection: Recent Industry and Regulatory Initiatives

DOCUMENT-DATE: June 7, 2007

COMMITTEE: Subcom on Financial Institutions and Consumer Credit, Committee on Financial Services. House

HEARING-TYPE: Published

LENGTH: 317 pp.

WITNESS PANEL #1:

(p. 13-43, 85-107, 131-174, 185-207, 249-294)

MISHKIN, Frederic S., Member, Federal Reserve Board

DUGAN, John C., Comptroller, OCC

BAIR, Sheila C., Chairman, FDIC

REICH, John M., Director, Office of Thrift Supervision

JOHNSON, JoAnn M., Chairman, National Credit Union Administration

NEIMAN, Richard H., Superintendent, New York State Department of Banking, also representing Conference of State Bank Supervisors

WITNESS PANEL #2:

(p. 43-78, 108-130, 175-184, 208-248)

KEEST, Kathleen E., Senior Policy Counsel, Center for Responsible Lending

HUIZINGA, James A., attorney

CAREY, John P., Chief Administrative Officer, Citi Cards

CAYWOOD, William, Consumer Operational Risk and Compliance Officer, Bank of America Corp.

FINNERAN, John G., Jr., General Counsel, Capital One Financial Corp.

LANDIS, Marilyn, Owner, Basic Business Concepts, Inc., representing National Small Business Association

MIERZWINSKI, Edmund, Consumer Program Director, U.S. Public Interest Research Group
