UNITED STATES OFFICE OF PERSONNEL MANAGEMENT
Washington, DC 20415

Office of the
Inspector General

Executive Summary

U.S. OFFICE OF PERSONNEL MANAGEMENT

FEDERAL INFORMATION SECURITY MANAGEMENT ACT AUDIT
FY 2011

WASHINGTON, D.C.

Report No. 4A-CI-00-11-009

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This audit report documents the Office of Personnel Management's (OPM's) continued efforts to manage and secure its information resources. We have significant ongoing concerns regarding the overall quality of the information security program at OPM.

In fiscal year (FY) 2007 and FY 2008, we reported a material weakness in controls over the development and maintenance of OPM's information technology (IT) security policies. In FY 2009, we issued a Flash Audit Alert to OPM's Director highlighting our concerns with the agency's IT security program. We also expanded the material weakness related to IT security policies to include concerns with the agency's overall information security governance and its information security management structure. This material weakness was rolled forward through FY 2010.

In FY 2011, OPM's Office of the Chief Information Officer (OCIO) made progress in updating its IT security and privacy policies, procedures, and guidance. However, the OCIO continues to operate with a decentralized IT security structure and does not have the authority or the resources available to adequately implement the new policies. We continue to believe that information security governance represents a material weakness in OPM's IT security program.