

Congress of the United States
House of Representatives

COMMITTEE ON OVERSIGHT AND GOVERNMENT REFORM

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<http://oversight.house.gov>

October 11, 2016

The Honorable John H. Thompson
Director
U.S. Census Bureau
4600 Silver Hill Road
Suitland, MD 20746

Dear Director Thompson:

The Committee is interested in learning more about the Census Bureau's new Census Enterprise Data Collection and Processing (CEDCaP) initiative.¹ Systems under the CEDCaP program and other 2020 Census system upgrades are undergoing a number of site-tests prior to the decennial census. In August 2017, the Census Bureau begins its end-to-end test—a critical juncture whereby all key systems and redesigned operations are tested to ensure readiness for the 2020 Census. Before undertaking that test, the Bureau will need to develop, acquire, secure, and implement the systems it will use to conduct the census.

On June 9, 2016, the Committee held a hearing on the CEDCaP program and the Bureau's overall preparedness for the 2020 Census.² At the hearing, the Government Accountability Office (GAO) testified that the Bureau had not yet begun implementation of most of the key systems needed to support the redesign, and considerable work remained before critical systems are in place. On September 8, 2016, GAO released a report that found the Bureau lacked detailed project plans, documentation of risk status updates, and complete risk mitigation plans needed to monitor critical CEDCaP projects.³

The Bureau's Chief Information Officer, Kevin Smith, recently informed the Committee that the Bureau identified 65 systems needed to conduct the 2020 Census and that they are in various stages of development.⁴ In addition, Mr. Smith stated that 51 IT decisions related to the 2020 Census had yet to be made.⁵ As a result, we have questions about the status of planned tests for these critical IT components. To help the Committee understand how the Bureau is addressing these issues, please provide the following documents and information as soon as possible, but by no later than October 25, 2016:

¹ *Preparing for the 2020 Census: Will the Technology be Ready*, Joint Hearing before the Subcomm. on Gov't Operations and the Subcomm. on Info. Tech. of the H. Comm. on Oversight and Gov't Reform, 114th Cong. (2015) (Testimony of Carol Cha).

² *Census 2020: Examining the Readiness of Key Aspects of the Census Bureau's 2020 Census Preparation*, Hearing before the H. Comm. on Oversight and Gov't Reform, 114th Cong. (2016)

³ U.S. Government Accountability Office, *Information Technology: Better Management of Interdependencies between Programs Supporting 2020 Census Is Needed* (Washington D.C.: released Sept. 8, 2016).

⁴ Conference call between Mark Meadows, Chairman, H. Subcomm. on Gov't Operations; Hon. John Thompson, Director, U.S. Census Bureau; Kevin Smith, Chief Info. Officer, U.S. Census Bureau; and Robert Goldenkoff, Director, Strategic Issues, Gov't Accountability Office (Sept. 29, 2016).

⁵ *Id.*

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1. An inventory (list) of the 50-70 systems the Bureau has identified as being required to deliver the 2020 Census, to include a description of the current phase of development (i.e., requirements/pre-design, build/buy decision, out for bid, design, development, testing, or delivered/final);
2. A description of each system's role in the 2020 Census, how it is intended to be used in the end-to-end test, and for each system not intended to be used in the end-to-end test, the reason for not including it in the end-to-end test and its role in the 2020 census;
3. The estimated or actual delivery date for systems to be used in the end-to-end test, and the final product delivery date for all systems to be used in the 2020 Census;
4. A list of the 51 IT decisions the Bureau has to make related to the 2020 Census, the date by which they will be made, and a description of the role of each of the 51 IT decisions in the 2020 Census;
5. A list of T-Rex's system integration actual and projected milestones for the end-to-end test and for the 2020 Census;
6. A list of current contracts with options to extend the period of performance and the Bureau's plans for doing so and rationale; and
7. The request for proposal for services to provide enumerator devices and field support during the 2018 end-to-end test.

The Committee on Oversight and Government Reform is the principal investigative committee in the U.S. House of Representatives. Pursuant to House Rule X, the Committee has authority to investigate "any matter" at "any time."

Please contact Patrick Hartobey or Shea Bader of the Majority staff at (202) 225-5074, or Mark Stephenson with the Minority Committee staff at (202) 225-5051, if you have any questions. Thank you for your attention to this matter.

Sincerely,



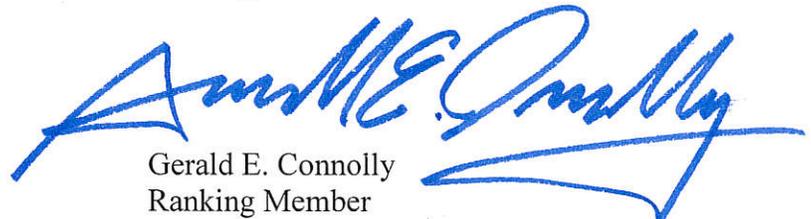
Jason Chaffetz
Chairman



Elijah E. Cummings
Ranking Member



Mark Meadows
Chairman
Subcommittee on Government Operations



Gerald E. Connolly
Ranking Member
Subcommittee on Government Operations

Enclosure

Responding to Committee Document Requests

1. In complying with this request, you are required to produce all responsive documents that are in your possession, custody, or control, whether held by you or your past or present agents, employees, and representatives acting on your behalf. You should also produce documents that you have a legal right to obtain, that you have a right to copy or to which you have access, as well as documents that you have placed in the temporary possession, custody, or control of any third party. Requested records, documents, data or information should not be destroyed, modified, removed, transferred or otherwise made inaccessible to the Committee.
2. In the event that any entity, organization or individual denoted in this request has been, or is also known by any other name than that herein denoted, the request shall be read also to include that alternative identification.
3. The Committee's preference is to receive documents in electronic form (i.e., CD, memory stick, or thumb drive) in lieu of paper productions.
4. Documents produced in electronic format should also be organized, identified, and indexed electronically.
5. Electronic document productions should be prepared according to the following standards:
 - (a) The production should consist of single page Tagged Image File ("TIF"), files accompanied by a Concordance-format load file, an Opticon reference file, and a file defining the fields and character lengths of the load file.
 - (b) Document numbers in the load file should match document Bates numbers and TIF file names.
 - (c) If the production is completed through a series of multiple partial productions, field names and file order in all load files should match.
 - (d) All electronic documents produced to the Committee should include the following fields of metadata specific to each document;

BEGDOC, ENDDOC, TEXT, BEGATTACH, ENDATTACH,
PAGECOUNT, CUSTODIAN, RECORDTYPE, DATE, TIME, SENTDATE,
SENTTIME, BEGINDATE, BEGINTIME, ENDDATE, ENDTIME, AUTHOR, FROM,
CC, TO, BCC, SUBJECT, TITLE, FILENAME, FILEEXT, FILESIZE,
DATECREATED, TIMECREATED, DATELASTMOD, TIMELASTMOD,
INTMSGID, INTMSGHEADER, NATIVELINK, INTFILPATH, EXCEPTION,
BEGATTACH.
6. Documents produced to the Committee should include an index describing the contents of the production. To the extent more than one CD, hard drive, memory stick, thumb drive, box or folder is produced, each CD, hard drive, memory stick, thumb drive, box or folder should contain an index describing its contents.

7. Documents produced in response to this request shall be produced together with copies of file labels, dividers or identifying markers with which they were associated when the request was served.
8. When you produce documents, you should identify the paragraph in the Committee's schedule to which the documents respond.
9. It shall not be a basis for refusal to produce documents that any other person or entity also possesses non-identical or identical copies of the same documents.
10. If any of the requested information is only reasonably available in machine-readable form (such as on a computer server, hard drive, or computer backup tape), you should consult with the Committee staff to determine the appropriate format in which to produce the information.
11. If compliance with the request cannot be made in full by the specified return date, compliance shall be made to the extent possible by that date. An explanation of why full compliance is not possible shall be provided along with any partial production.
12. In the event that a document is withheld on the basis of privilege, provide a privilege log containing the following information concerning any such document: (a) the privilege asserted; (b) the type of document; (c) the general subject matter; (d) the date, author and addressee; and (e) the relationship of the author and addressee to each other.
13. If any document responsive to this request was, but no longer is, in your possession, custody, or control, identify the document (stating its date, author, subject and recipients) and explain the circumstances under which the document ceased to be in your possession, custody, or control.
14. If a date or other descriptive detail set forth in this request referring to a document is inaccurate, but the actual date or other descriptive detail is known to you or is otherwise apparent from the context of the request, you are required to produce all documents which would be responsive as if the date or other descriptive detail were correct.
15. Unless otherwise specified, the time period covered by this request is from January 1, 2009 to the present.
16. This request is continuing in nature and applies to any newly-discovered information. Any record, document, compilation of data or information, not produced because it has not been located or discovered by the return date, shall be produced immediately upon subsequent location or discovery.
17. All documents shall be Bates-stamped sequentially and produced sequentially.
18. Two sets of documents shall be delivered, one set to the Majority Staff and one set to the Minority Staff. When documents are produced to the Committee, production sets shall be delivered to the Majority Staff in Room 2157 of the Rayburn House Office Building and the Minority Staff in Room 2471 of the Rayburn House Office Building.

19. Upon completion of the document production, you should submit a written certification, signed by you or your counsel, stating that: (1) a diligent search has been completed of all documents in your possession, custody, or control which reasonably could contain responsive documents; and (2) all documents located during the search that are responsive have been produced to the Committee.

Definitions

1. The term “document” means any written, recorded, or graphic matter of any nature whatsoever, regardless of how recorded, and whether original or copy, including, but not limited to, the following: memoranda, reports, expense reports, books, manuals, instructions, financial reports, working papers, records, notes, letters, notices, confirmations, telegrams, receipts, appraisals, pamphlets, magazines, newspapers, prospectuses, inter-office and intra-office communications, electronic mail (e-mail), contracts, cables, notations of any type of conversation, telephone call, meeting or other communication, bulletins, printed matter, computer printouts, teletypes, invoices, transcripts, diaries, analyses, returns, summaries, minutes, bills, accounts, estimates, projections, comparisons, messages, correspondence, press releases, circulars, financial statements, reviews, opinions, offers, studies and investigations, questionnaires and surveys, and work sheets (and all drafts, preliminary versions, alterations, modifications, revisions, changes, and amendments of any of the foregoing, as well as any attachments or appendices thereto), and graphic or oral records or representations of any kind (including without limitation, photographs, charts, graphs, microfiche, microfilm, videotape, recordings and motion pictures), and electronic, mechanical, and electric records or representations of any kind (including, without limitation, tapes, cassettes, disks, and recordings) and other written, printed, typed, or other graphic or recorded matter of any kind or nature, however produced or reproduced, and whether preserved in writing, film, tape, disk, videotape or otherwise. A document bearing any notation not a part of the original text is to be considered a separate document. A draft or non-identical copy is a separate document within the meaning of this term.
2. The term “communication” means each manner or means of disclosure or exchange of information, regardless of means utilized, whether oral, electronic, by document or otherwise, and whether in a meeting, by telephone, facsimile, email (desktop or mobile device), text message, instant message, MMS or SMS message, regular mail, telexes, releases, or otherwise.
3. The terms “and” and “or” shall be construed broadly and either conjunctively or disjunctively to bring within the scope of this request any information which might otherwise be construed to be outside its scope. The singular includes plural number, and vice versa. The masculine includes the feminine and neuter genders.
4. The terms “person” or “persons” mean natural persons, firms, partnerships, associations, corporations, subsidiaries, divisions, departments, joint ventures, proprietorships, syndicates, or other legal, business or government entities, and all subsidiaries, affiliates, divisions, departments, branches, or other units thereof.

5. The term "identify," when used in a question about individuals, means to provide the following information: (a) the individual's complete name and title; and (b) the individual's business address and phone number.
6. The term "referring or relating," with respect to any given subject, means anything that constitutes, contains, embodies, reflects, identifies, states, refers to, deals with or is pertinent to that subject in any manner whatsoever.
7. The term "employee" means agent, borrowed employee, casual employee, consultant, contractor, de facto employee, independent contractor, joint adventurer, loaned employee, part-time employee, permanent employee, provisional employee, subcontractor, or any other type of service provider.