

Appendix Z

National Archives and Records
Administration

| Highest level of guidance. Bulletins are all submitted to OIRA for review. Most of the notices are not <i>Federal Register</i> notices, but NARA program notices to agencies. FR notices include a citation to the FR instead of a notice number. All guidance listed is current. | | | | | | | | |
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| Title of guidance | Type | Brief description of the subject | Date | To whom (who covered by the guidance) | Issuing office, component, or program | Federal Register citation or other identification number | Hyperlink to a copy of the document | Submitted for review by OIRA |
| Records Storage Facility Standards | RM Bulletin | Reminds agencies of their obligations to ensure that agency records centers, agency records staging or holding areas, and commercial records storage facilities used to store their agency records meet NARA's records storage facility standards in 36 CFR part 1234. It also provides guidance to assist agencies with obtaining NARA approval as outlined in that subpart, an updated checklist agencies may use as a guide for evaluating their own records centers and commercial records facilities for compliance. | 8/25/2008 | Heads of Federal Agencies, Agency Records Officers | Office of the Chief Records Officer | Bulletin 2008-06 | https://www.archives.gov/records-mgmt/bulletins/2008/2008-06.html | Submitted to OIRA; same title |
| Endorsement of DoD Electronic Records Management Software Applications Design Criteria Standard, version 3 | RM Bulletin | Advises agencies that NARA endorses version 3 of the Department of Defense (DoD) Electronic Records Management Software Application (RMA) Design Criteria Standard (DoD 5015.2-STD, April 2007) for use by all Federal agencies. Also advises agencies that new records transfer requirements in DoD 5015.2-STD version 3 comply with NARA's instructions for transferring permanent electronic records to NARA. | 9/10/2008 | Heads of Federal Agencies, Agency Records Officers | Office of the Chief Records Officer | Bulletin 2008-07 | https://www.archives.gov/records-mgmt/bulletins/2008/2008-07.html | Submitted to OIRA; same title |
| Handling NATO Information Identified during Automatic Declassification Processing | ISOO Notice | Guidance on how to handle NATO information within documents reviewed for automatic declassification | 12/12/2008 | Federal agencies | Information Security Oversight Office | ISOO Notice 2009-06 | https://www.archives.gov/files/isoo/notices/notice-2009-06.pdf | |
| Mandatory Declassification Review - Inappropriate Citation of Section 6.2(d) of E.O. 13526 | ISOO Notice | Explains that Section 6.2(d) of E.O. 13526 is not the authority for withholding of information declassified under mandatory declassification review provisions. | 1/8/2009 | Federal agencies | Information Security Oversight Office | ISOO Notice 2009-07 | https://www.archives.gov/files/isoo/notices/notice-2009-07.pdf | |
| Guidance concerning managing records in multi-agency environments | RM Bulletin | Provides guidance on managing records produced when Federal agencies collaborate in multi-agency environments. | 6/18/2009 | Heads of Federal Agencies, Agency Records Officers | Office of the Chief Records Officer | Bulletin 2009-02 | https://www.archives.gov/records-mgmt/bulletins/2009/2009-02.html | Submitted to OIRA; same title |
| Pre-accessioning permanent electronic records | RM Bulletin | Defines what NARA means when discussing pre-accessioning of electronic records with archival value and describes the criteria for determining when pre-accessioning is appropriate. | 7/30/2009 | Heads of Federal Agencies, Agency Records Officers | Office of the Chief Records Officer | Bulletin 2009-03 | https://www.archives.gov/records-mgmt/bulletins/2009/2009-03.html | Submitted to OIRA; same title |
| Continuing Agency Responsibilities for Scheduling Electronic Records | RM Bulletin | Reminds agencies of their continuing responsibility to schedule all their electronic records series and electronic systems, including website content records. Also requires agencies to report to NARA semi-annually on the status of their electronic records scheduling activities. | 2/5/2010 | Heads of Federal Agencies, Agency Records Officers | Office of the Chief Records Officer | Bulletin 2010-02 | https://www.archives.gov/records-mgmt/bulletins/2010/2010-02.html | Submitted to OIRA; same title |
| Flexible Scheduling | RM Bulletin | Announces additional guidance to agencies that want to create flexible "big bucket" or large aggregation schedules for their records or wish to use flexible retentions for record disposition. | 5/3/2010 | Heads of Federal Agencies, Agency Records Officers | Office of the Chief Records Officer | Bulletin 2010-03 | https://www.archives.gov/records-mgmt/bulletins/2010/2010-03.html | Submitted to OIRA; same title |
| Guidance Concerning Notifications for Previously Scheduled Permanent Records | RM Bulletin | Addresses use of notifications when an agency implements electronic recordkeeping for records covered by a previously approved permanent schedule item. Also provides guidance to agencies concerning the disposal of original hard copy records that have been converted to an electronic format (e.g., by scanning). | 9/10/2010 | Heads of Federal Agencies, Agency Records Officers | Office of the Chief Records Officer | Bulletin 2010-04 | https://www.archives.gov/records-mgmt/bulletins/2010/2010-04.html | Submitted to OIRA; same title |
| Guidance on Managing Records in Cloud Computing Environments | RM Bulletin | Addresses records management considerations in cloud computing environments and is a formal articulation of NARA's view of agencies' records management responsibilities. | 9/30/2010 | Heads of Federal Agencies, Agency Records Officers | Office of the Chief Records Officer | Bulletin 2010-05 | https://www.archives.gov/records-mgmt/bulletins/2010/2010-05.html | Submitted to OIRA; same title |
| Grantee Cost-Share Obligations | NHPRC Notice | Requirements for grantees to meet cost share pledged in grant project's budget | 12/0/10 | Grantees and potential grantees (public) | National Historical Publications and Records Commission | | https://www.archives.gov/nhprc/administer/costshare.html | |
| Guidance Concerning the use of E-mail Archiving Applications to Store E-mail | RM Bulletin | Provides guidance to Federal agencies on using e-mail archiving applications and similar technologies for managing e-mail records. Also advises agencies that if they decide to use e-mail archiving applications to manage Federal records, the agency is responsible for addressing those areas where the applications do not meet the requirements of the Federal Records Act and applicable NARA regulations. | 12/30/2010 | Heads of Federal Agencies, Agency Records Officers | Office of the Chief Records Officer | Bulletin 2011-03 | https://www.archives.gov/records-mgmt/bulletins/2011/2011-03.html | Submitted to OIRA; same title |
| Further Guidance and Clarification on Commingling Atomic Energy Information and Classified National Security Information | ISOO Notice | Provides guidance on the classification authority block for documents containing RD/FRD and NSI | 5/18/2011 | Federal agencies | Information Security Oversight Office | ISOO Notice 2011-02 | https://www.archives.gov/files/isoo/notices/notice-2011-02.pdf | |
| Initial Implementation Guidance for Executive Order 13556 | CUI Office Notice | Implementation guidance on meeting requirements of E.O. 13556 regarding CUI and first steps | 6/9/2011 | Federal agencies | Information Security Oversight Office | CUI Notice 2011-01 | https://www.archives.gov/files/cui/registry/policy-guidance/registry-documents/2011-cuio-notice-2011-01-initial-guidance.pdf | |

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| Guidance on Managing Mixed-Media Files | RM Bulletin | Provides agencies with guidance about the records management implications when records in various types of media are intermixed in one file. Also reminds agencies of lifecycle management requirements for electronic records as described in 36 CFR 1236.20 and for audiovisual, cartographic, and related records per 36 CFR 1237. | 7/18/2011 | Heads of Federal Agencies, Agency Records Officers | Office of the Chief Records Officer | Bulletin 2011-04 | https://www.archives.gov/records-mgmt/bulletins/2011/2011-04.html | Submitted to OIRA; same title |
| Guidance on Managing Content on Shared Drives | RM Bulletin | Outlines records management implications and challenges, agency responsibilities, and benefits of organizing and managing content stored on shared drives. | 12/6/2011 | Heads of Federal Agencies, Agency Records Officers | Office of the Chief Records Officer | Bulletin 2012-02 | https://www.archives.gov/records-mgmt/bulletins/2012/2012-02.html | Submitted to OIRA; same title |
| Requirements for Derivative Classifier Training | ISOO Notice | Outlines the required elements that should be included in agency derivative classification training | 12/8/2011 | Federal agencies | Information Security Oversight Office | ISOO Notice 2012-01 | https://www.archives.gov/files/isoo/notices/notice-2012-01.pdf | |
| Classification Marking Instructions on the Use of "50X1-HUM" vs "25X1-human" as a Declassification Instruction | ISOO Notice | Provides guidance on the change from "25X1-human" to 50X1-HUM" on the "Declassify On" line in classified documents | 12/23/2011 | Federal agencies | Information Security Oversight Office | ISOO Notice 2012-02 | https://www.archives.gov/files/isoo/notices/notice-2012-02.pdf | |
| Additional Guidance on Supplemental Controls Required for Safeguarding Classified National Security Information | ISOO Notice | Provides guidance for safeguarding of classified national security information when using supplemental controls for security containers and vaults | 4/2/2012 | Federal agencies | Information Security Oversight Office | ISOO Notice 2012-03 | https://www.archives.gov/files/isoo/notices/notice-2012-03.pdf | |
| Instructions Concerning the Use of the Electronic Records Archives (ERA) for Scheduling Records and Transferring Permanent Records to the National Archives | RM Bulletin | Provides guidance to agencies on change to use of the ERA, policies, and procedures for accessioning records | 8/21/2012 | Heads of Federal agencies, Agency Records Officers | Office of the Chief Records Officer | Bulletin 2012-03 | https://www.archives.gov/records-mgmt/bulletins/2012/2012-03.html | Submitted to OIRA; same title |
| Additional Guidance on Standards for Security Equipment | ISOO Notice | Provides guidance on the procurement of GSA-approved security containers and the elimination of non-GSA approved security containers | 10/12/2012 | Federal agencies | Information Security Oversight Office | ISOO Notice 2012-04 | https://www.archives.gov/files/isoo/notices/notice-2012-04.pdf | |
| Further Marking Guidance on Commingling North Atlantic Treaty Organization (NATO) and Classified National Security Information (NSI) | ISOO Notice | Provides marking guidance in respect to the classification authority block on documents containing both CNSI and NATO information | 11/1/2012 | Federal agencies | Information Security Oversight Office | ISOO Notice 2013-01 | https://www.archives.gov/files/isoo/notices/notice-2013-01.pdf | |
| Processing of Mandatory Declassification Review Requests Appealed to the Interagency Security Classification Appeals Panel | ISOO Notice | Informs agencies that even though an MDR has been appealed to ISCAP, they are still required to continue to process those MDR requests | 4/10/2013 | Federal agencies | Information Security Oversight Office | ISOO Notice 2013-03 | https://www.archives.gov/files/isoo/notices/notice-2014-03.pdf | |
| Provisional Approval of Proposed CUI Categories and Subcategories | CUI Office Notice | Procedures and policy for provisional approval of CUI categories | 5/22/2013 | Federal agencies | Information Security Oversight Office | CUI Notice 2013-01 | https://www.archives.gov/files/cui/registry/policy-guidance/registry-documents/2013-cuio-notice-2013-01-provisional-category-approval.pdf | Submitted to OIRA; same title, May 2013 |
| Guidance on a New Approach to Managing Email Records | RM Bulletin | Provides agencies with a new records management approach, known as "Capstone," for managing their Federal record emails electronically. Discusses the considerations that agencies should review if they choose to implement the Capstone approach to manage their email records. | 8/29/2013 | Heads of Federal Agencies, Agency Records Officers | Office of the Chief Records Officer | Bulletin 2013-02 | https://www.archives.gov/records-mgmt/bulletins/2013/2013-02.html | Submitted to OIRA; same title |
| Guidance for Agency Employees on the Management of Federal Records, Including Email Accounts, and the Protection of Federal Records from Unauthorized Removal | RM Bulletin | Clarifies records management responsibilities regarding the use of personal email accounts for official business and the use of more than one Federal email account. NARA recommends that Federal agencies refer to this guidance when advising incoming and departing agency employees about their records management responsibilities. | 9/9/2013 | Heads of Federal Agencies, Agency Records Officers | Office of the Chief Records Officer | Bulletin 2013-03 | https://www.archives.gov/records-mgmt/bulletins/2013/2013-03.html | Submitted to OIRA; same title |
| Guidance on Managing Social Media Records | RM Bulletin | Provides high-level recordkeeping requirements and best practices for capturing records created when Federal agencies use social media. | 10/25/2013 | Heads of Federal Agencies, Agency Records Officers | Office of the Chief Records Officer | Bulletin 2014-02 | https://www.archives.gov/records-mgmt/bulletins/2014/2014-02.html | Submitted to OIRA; same title |
| Guidance on Agency Records Officer Training Requirements | RM Bulletin | Provides guidance to assist Federal agency records officers in meeting Requirement 2.3 in the joint Office of Management and Budget (OMB) and National Archives and Records Administration (NARA) Directive M-12-18, Managing Government Records (August 24, 2012). | 12/2/2013 | Heads of Federal Agencies, Agency Records Officers | Office of the Chief Records Officer | Bulletin 2014-03 | https://www.archives.gov/records-mgmt/bulletins/2014/2014-03.html | Submitted to OIRA; same title |
| Revised Format Guidance for the Transfer of Permanent Electronic Records | RM Bulletin | Specifies which file formats are acceptable when transferring permanent electronic records to NARA. | 2/14/2014 | Heads of Federal Agencies, Agency Records Officers | Office of the Chief Records Officer | Bulletin 2014-04 | https://www.archives.gov/records-mgmt/bulletins/2014/2014-04.html | Submitted to OIRA; same title |
| Appeal Rights for Mandatory Declassification Review Requests and the Rejection of Requests for Specific Reasons | ISOO Notice | Explains appeal rights for mandatory declassification review requests and why an appeal might be rejected | 3/26/2014 | Federal agencies | Information Security Oversight Office | ISOO Notice 2014-01 | https://www.archives.gov/files/isoo/notices/notice-2014-01.pdf | |
| U.S. Protection of Information Shared by the Government of the United Kingdom | ISOO Notice | Iterates new UK marking system and equivalency with US marking system | 8/27/2014 | Federal agencies | Information Security Oversight Office | ISOO Notice 2014-03 | https://www.archives.gov/files/isoo/notices/notice-2014-03.pdf | |
| Guidance on Managing Email | RM Bulletin | Reminds Federal agencies about their records management responsibilities regarding email. This is especially important in light of the requirement in the Managing Government Records Directive (OMB M-12-18) for all email to be managed electronically by December 31, 2016. | 9/15/2014 | Heads of Federal Agencies, Agency Records Officers | Office of the Chief Records Officer | Bulletin 2014-06 | https://www.archives.gov/records-mgmt/bulletins/2014/2014-06.html | Submitted to OIRA; same title |

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| Guidance on NARA-Created Transfer Requests | RM Bulletin | Establishes a time period for agencies to take action on NARA-created Transfer Requests in the Electronic Records Archives (ERA TRs). | 9/24/2014 | Heads of Federal Agencies, Agency Records Officers | Office of the Chief Records Officer | Bulletin 2014-05 | https://www.archives.gov/records-mgmt/bulletins/2014/2014-05.html | Submitted to OIRA; same title |
| Withholding Authorities for Mandatory Declassification Review | ISOO Notice | Explains guidelines for determining appropriate reasons for withholding of information in response to MDR requests | 6/5/2015 | Federal agencies | Information Security Oversight Office | ISOO Notice 2015-01 | https://www.archives.gov/files/isoo/notices/notice-2015-01.pdf | |
| Scheduling Guidance on the Appropriate Age for Legal Transfer of Permanent Records to the National Archives of the United States | RM Bulletin | Provides agencies with scheduling guidance on determining the appropriate age at which permanent records, regardless of their media or format, should be transferred into the legal custody to the National Archives of the United States. | 6/17/2015 | Heads of Federal Agencies, Agency Records Officers | Office of the Chief Records Officer | Bulletin 2015-01 | https://www.archives.gov/records-mgmt/bulletins/2015/2015-01.html | Submitted to OIRA; same title |
| Guidance on Managing Electronic Messages | RM Bulletin | Provides records management guidance for electronic messages. Specifically, text messaging, chat/instant messaging, messaging functionality in social media tools or applications, voice messaging, and similar forms of electronic messaging systems. | 6/29/2015 | Heads of Federal Agencies, Agency Records Officers | Office of the Chief Records Officer | Bulletin 2015-02 | https://www.archives.gov/records-mgmt/bulletins/2015/2015-02.html | Submitted to OIRA; same title |
| Guidance on Managing Digital Identity Authentication Records | RM Bulletin | Provides guidance for agencies on managing digital identity authentication related transactional records, such as digital certificates and Public Key Infrastructure (PKI) files, created or used in the course of agency business. | 8/11/2015 | Heads of Federal Agencies, Agency Records Officers | Office of the Chief Records Officer | Bulletin 2015-03 | https://www.archives.gov/records-mgmt/bulletins/2015/2015-03.html | Submitted to OIRA; same title |
| Metadata Guidance for the Transfer of Permanent Electronic Records | RM Bulletin | Defines the minimum set of metadata elements that must accompany transfers of permanent electronic records to the National Archives. | 9/15/2015 | Heads of Federal Agencies, Agency Records Officers | Office of the Chief Records Officer | Bulletin 2015-04 | https://www.archives.gov/records-mgmt/bulletins/2015/2015-04.html | Submitted to OIRA; same title |
| Agencies eligible to receive referrals from Automatic Declassification at 25, 50, and 75 years | ISOO Notice | Lists executive branch agencies that are authorized to exempt specific information from automatic declassification | 9/25/2015 | Executive Branch agencies | Information Security Oversight Office | ISOO Notice 2015-05 | https://www.archives.gov/files/isoo/notices/notice-2015-05.pdf | |
| NHPRC Requirements | NHPRC Notice | Summary of requirements for grantees to administer a grant | 8/15/2016 | Grantees and potential grantees (public) | National Historical Publications and Records Commission | | https://www.archives.gov/nhprc/administer/requirements.html | |
| Narrative Reporting | NHPRC Notice | Guidance on what to include in narrative reports | 8/15/2016 | Grantees (public) | National Historical Publications and Records Commission | | https://www.archives.gov/nhprc/administer/narrativereporting.html | |
| Implementation Guidance for the Controlled Unclassified Information Program | CUI Notice | Implementation guidance for CUI Program in light of newly published CUI regulation | 9/14/2016 | Federal agencies | Information Security Oversight Office | CUI Notice 2016-01 | https://www.archives.gov/files/2016-cui-notice-2016-01-implementation-guidance.pdf | |
| Agency Records Management Training Requirements | RM Bulletin | Guidance on training required by OMB's revised Circular No. A-130, establishing policy for the management of Federal information resources and specifically requiring the implementation of records management training and guidance for agencies. | 11/29/2016 | Heads of Federal Agencies, Agency Records Officers | Office of the Chief Records Officer | Bulletin 2017-01 | https://www.archives.gov/records-mgmt/bulletins/2017/2017-01.html | Submitted to OIRA; same title |
| Clarification of Classification by Compilation | ISOO Notice | Adds additional information to definition of "classification by compilation" | 4/17/2017 | Executive Branch agencies | Information Security Oversight Office | ISOO Notice 2017-02 | https://www.archives.gov/files/isoo/notices/notice-2017-02.pdf | |
| Implementation Recommendations for the Controlled Unclassified Information Program | CUI Notice | Implementation recommendations and guidance to agencies on aspects of the CUI Program, based on feedback from agencies and agency questions | 6/19/2017 | Federal agencies | Information Security Oversight Office | CUI Notice 2017-01 | https://www.archives.gov/files/cui/registry/policy-guidance/registry-documents/2017-cui-notice-2017-01-implementation-recommendations.pdf | |
| National Security Council (NSC) Partial Waiver for the Declassification Review of Certain White House and NSC Records | ISOO Notice | Lists NSC records and information that does not need to be referred to the NSC for declassification review | 7/12/2017 | Executive Branch agencies | Information Security Oversight Office | ISOO Notice 2017-03 | https://www.archives.gov/files/isoo/notices/notice-2017-03.pdf | |
| Controlled Unclassified Information (CUI) and Multi-Step Destruction Process | CUI Notice | Destruction recommendations and guidance to agencies for purposes of implementing CUI Program requirements | 8/17/2017 | Federal agencies | Information Security Oversight Office | CUI Notice 2017-02 | https://www.archives.gov/files/cui/documents/2017-cui-notice-2017-02-multi-step-destruction.pdf | |
| Guidance on Senior Agency Officials for Records Management | RM Bulletin | Clarifies roles and responsibilities of the Senior Agency Official for Records Management (SAORM). Also outlines how agencies designate SAORMs and Agency Records Officers. | 9/28/2017 | Heads of Federal Agencies, Agency Records Officers | Office of the Chief Records Officer | Bulletin 2017-02 | https://www.archives.gov/records-mgmt/bulletins/2017/2017-02.html | Submitted to OIRA; same title |
| Publication Procedures for Federal Register Documents During a Funding Hiatus | FR notice | Guidance on what types of documents OFR will publish if the Government shuts down | 12/8/2017 | Federal agencies | Office of the Federal Register | 82 FR 58028 | https://www.gpo.gov/fdsys/pkg/FR-2017-12-08/pdf/2017-26280.pdf | |

| Memoranda are the second level of guidance. They sometimes transmit other items, such as reports and records schedules, that may contain guidance or recommended practices, and they sometimes contain guidance and policy interpretations | | | | | | | | | |
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| Title of guidance | Type | Brief description of the subject | Date | To whom (who covered by the guidance) | Issuing office, component, or program | Federal Register citation or other identification number | Hyperlink to a copy of the document | Submitted for review by OIRA | |
| Responsibilities regarding facility standards for storing Federal records. | RM Memorandum | Guidance for agencies storing Federal records in non-NARA spaces. | 6/19/2008 | Agency Records Officers | Office of the Chief Records Officer | NWM 21.2008 | https://www.archives.gov/records-mgmt/memos/nwm21-2008.html | | |
| Report on Flexible Schedule Implementation by Federal Agencies. | RM Memorandum | Report on aspects of agency surveys on flexible schedules for records management in nine agencies that could be useful to others planning to develop and implement flexible schedules. | 6/11/2009 | Agency Records Officers | Office of the Chief Records Officer | NWM 16.2009 | https://www.archives.gov/records-mgmt/memos/nwm16-2009.html | | |
| Managing Government Records Directive | OMB/NARA RM Memorandum | Creates a robust records management framework complying with statutes and regulations to achieve the benefits outlined in the Presidential Memorandum - Managing Government Records. | 8/24/2012 | Heads of Federal agencies | Office of the Chief Records Officer/Office of Management and Budget | | https://www.archives.gov/files/records-mgmt/m-12-18.pdf | | |
| Appointments of Senior Agency Official and Program Manager for CUI Program Implementation | CUI Memorandum | Clarifies roles and responsibilities of the Senior Agency Official for CUI and CUI Program managers. Also outlines how agencies designate CUI SAOs and Program managers. | 4/11/2013 | Federal agencies | Information Security Oversight Office | | https://www.archives.gov/files/cui/registry/policy-guidance/registry-documents/2013-cui-memo-appointments.pdf | | |
| Revised Guidance regarding Controlled Unclassified Information and the Freedom of Information Act | CUI/DOJ OIP Memorandum | Clarifies the relationship of CUI and FOIA | 7/3/2014 | Federal agencies | Information Security Oversight Office/Office of Information Policy (DOJ) | | https://www.archives.gov/files/cui/registry/policy-guidance/registry-documents/2014-doj-oip-cui-joint-issuance-on-foia.pdf | | |
| Guidance on Managing Email | OMB/NARA RM Memorandum | Guidance to assist agencies in meeting email records management goals and the Federal records management requirements under the Federal Records Act and associated regulations. | 9/15/2014 | Heads of Federal agencies | Office of the Chief Records Officer/Office of Management and Budget | | https://www.archives.gov/files/records-mgmt/m-14-16.pdf | | |
| Records Management Priorities for 2017 | RM Memorandum | Records management priorities for 2017 | 3/16/2017 | Senior Agency Officials for RM, Agency Records Officers | Office of the Chief Records Officer | | https://recordsexpress.files.wordpress.com/2017/03/memo-from-aotus-to-saorms-march-15-2017.pdf | | |

| The third level of guidance. Handbooks, guides, and similar documents provide procedures, tools, and information for users, but also contain clarifications of policy and guidance | | | | | | | | |
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| Title of guidance | Type | Brief description of the subject | Date | To whom (who covered by the guidance) | Issuing office, component, or program | Federal Register citation or other identification number | Hyperlink to a copy of the document | Submitted for review by OIRA |
| Records Management Maturity Model | User guide | This describes an tool that agencies can use to measure the maturity of their records management programs. | 4/22/2015 | Agency Records Officers | Office of the Chief Records Officer | | https://www.archives.gov/files/records-mgmt/prmd/maturity-model-user-guide.pdf | |
| Introduction to Financial Management for Grant Recipients | Handbook | Overview of responsibilities of grantees for financial management of grants | 6/17/2015 | Grantees and potential grantees (public) | National Historical Publications and Records Commission | | https://www.archives.gov/files/nhprc/pdfs/grant-financial-management.pdf | |
| Records Management Handbook | Handbook | Provides guidance on meeting records management requirements and integrating records management into electronic information systems | 2016 | Agency Records Officers | Office of the Chief Records Officer | | https://www.archives.gov/records-mgmt/handbook | |
| Records Managment Guidance for Political Appointees | Web guide | Describes the records management responsibilities political appointees should be aware of when entering, working in, and leaving Federal service. | 7/7/2016 | Senior Agency Officials for Records Managment, Agency Records Officers | Office of the Chief Records Officer | | https://www.youtube.com/watch?time_continue=1&v=wxyB6M_XdDI | |
| Records Managment Guidance for Political Appointees | Handout | Describes the records management responsibilities political appointees should be aware of when entering, working in, and leaving Federal service. | 7/7/2016 | Senior Agency Officials for Records Managment, Agency Records Officers | Office of the Chief Records Officer | | https://www.archives.gov/files/records-mgmt/publications/rm-for-political-appointees.pdf | |
| Documenting Your Public Service | Web guide | Provides all Government employees, including senior agency officials and political appointees, with information regarding their responsibilities for managing Federal records. Identifies recordkeeping responsibilities and explains how to distinguish Federal records from other recorded information, including personal materials. | 10/25/2016 | Senior Agency Officials for Records Managment, Agency Records Officers | Office of the Chief Records Officer | | https://www.archives.gov/records-mgmt/publications/documenting-your-public-service.html | |
| Marking Controlled Unclassified Information Rev 1.1 (Marking Handbook) | Handbook | Provides guidance on how to appropriately mark CUI | 12/6/2016 | Federal agencies | Information Security Oversight Office | | https://www.archives.gov/files/cui/20161206-cui-marking-handbook-v1-1.pdf | |
| Marking Classified National Security Information, Revision 3 | Handbook | Provides clarification on how to appropriately mark classified documents | 2/1/2017 | Federal agencies | Information Security Oversight Office | | https://www.archives.gov/files/isoo/training/marketing-booklet-revision.pdf | |
| CUI Marking Trifold | Brochure | CUI marking guidance | 4/1/2017 | Federal agencies | Information Security Oversight Office | | https://www.archives.gov/cui/additional-tools | |
| CUI Audio, Photography, and Video Markings | Brochure | CUI marking guidance | 4/1/2017 | Federal agencies | Information Security Oversight Office | | https://www.archives.gov/cui/additional-tools | |
| Document Drafting Handbook | Handbook | Guidance on how to draft and submit documents for publication in the <i>Federal Register</i> | 5/0/17 | Federal agencies | Office of the Federal Register | | https://www.archives.gov/files/federal-register/write/handbook/ddh.pdf | |
| Universal Electronic Records Management Requirements | Requirements document | Identifies high-level business needs for managing electronic records. Baseline ERM program requirements derived from existing NARA regulations, policy, and guidance; a starting point for agencies to use when developing system requirements. | 8/4/2017 | Senior Agency Officials for Records Managment, Agency Records Officers | Office of the Chief Records Officer | | https://www.archives.gov/records-mgmt/policy/universalemrequirements | |
| IBR Handbook | Handbook | Guidance on how to draft and submit IBR approval requests to the Director of the Federal Register | 10/0/17 | Federal agencies | Office of the Federal Register | | https://www.archives.gov/files/federal-register/write/handbook/ibr.pdf | |

| Also at the third level of guidance. Reports and white papers include research, agency response information, and inspection results, combined with guidance, clarifications, and agency planning and other requirements. | | | | | | | | |
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| Title of guidance | Type | Brief description of the subject | Date | To whom (who covered by the guidance) | Issuing office, component, or program | Federal Register citation or other identification number | Hyperlink to a copy of the document | Submitted for review by OIRA |
| Continuing Study of Federal Agency Recordkeeping Technologies | White paper | Study of Federal agencies who implementing Records Management Application (RMA) software products to manage their electronic records and recommendations. | 2/1/2008 | Agency Records Officers | Office of the Chief Records Officer | | https://www.archives.gov/files/records-mgmt/resources/recordkeeping-tech-2008.pdf | |
| A Report on Federal Web 2.0 Use and Record Value | Report | Report of agency emerging use of websites and guidance on records management resulting from that use. | 2010 | Agency Records Officers | Office of the Chief Records Officer | | https://www.archives.gov/files/records-mgmt/resources/web2.0-use.pdf | |
| White Paper on Best Practices for the Capture of Social Media Records | White paper | Reports on the current state of social media use in the Federal Government. Offers building blocks for the creation of best practices for the successful capture of social media records. Also provides an overview of available capture tools as of May 2013. | 5/0/13 | Agency Records Officers | Office of the Chief Records Officer | | https://www.archives.gov/files/records-mgmt/resources/socialmediacapture.pdf | |
| Open Source Tools for Records Management | White paper | This report/plan was developed in response to Item A3.2 of the Managing Government Records Directive which required investigation of open source approaches to records management. Identifies open source tools that agencies could use for records management tasks. | 3/18/2015 | Agency Records Officers | Office of the Chief Records Officer | | https://www.archives.gov/files/records-mgmt/prmd/open-source-tools-for-records-mgmt-report.pdf | |
| Criteria for Managing Email Records in Compliance with the Managing Government Records Directive (M-12-18) | White paper | Provides clarification of the existing requirements that directly relate to email management. Agencies should use this guidance internally to evaluate their progress in meeting the Directive goals. | 4/6/2016 | Senior Agency Officials for Records Management, Agency Records Officers | Office of the Chief Records Officer | | https://www.archives.gov/files/records-mgmt/email-management/2016-email-mgmt-success-criteria.pdf | |
| Electronic Messages White Paper | White paper | Helps agencies begin to address management of electronic messages beyond email, including review of current guidance, analysis of agency reports, forums with agency records managers, and research into capture tools. | 8/0/16 | Agency Records Officers | Office of the Chief Records Officer | | https://www.archives.gov/files/records-mgmt/resources/emessageswp.pdf | |
| Automated Electronic Records Report/Plan | Report | Describes suitable approaches to automating electronic records management and next steps for NARA, agencies, and stakeholders. | 9/9/2017 | Agency Records Officers | Office of the Chief Records Officer | | https://www.archives.gov/files/records-mgmt/prmd/A31report-9-19-14.pdf | |

| The 4th level of guidance,. Normally informal, and usually repeat guidance and requirements in less technical ways, respond to questions and issues raised by stakeholders, and update stakeholders on changes. They are not intended to establish policy | | | | | | | | |
|---|-----------|--|------------|---------------------------------------|---------------------------------------|--|---|------------------------------|
| Title of guidance | Type | Brief description of the subject | Date | To whom (who covered by the guidance) | Issuing office, component, or program | Federal Register citation or other identification number | Hyperlink to a copy of the document | Submitted for review by OIRA |
| FDMS Recordkeeping FAQ for Federal Agencies | FAQ | Agency responsibilities for records management within FDMS | 3/19/2008 | Agency Records Officers | Office of the Chief Records Officer | | https://www.archives.gov/files/records-mgmt/faqs/pdf/fdms-faq.pdf | |
| FAQ for agencies using the Federal Docket Management System (FDMS) | FAQ | Records Management requirements for agencies when using FDMS | 5/16/2008 | Agency Records Officers | Office of the Chief Records Officer | | https://www.archives.gov/files/records-mgmt/faqs/pdf/fdms-faq.pdf | |
| Flexible Scheduling FAQ | FAQ | Guidance on flexible scheduling options -- records management strategies agencies can use for greater freedom in how they develop SF115s (Standard Form 115, Request for Disposition Authority), or records schedules, to meet their business needs. | 5/3/2010 | Agency Records Officers | Office of the Chief Records Officer | | https://www.archives.gov/records-mgmt/faqs/flexible-scheduling.html | |
| Technology Update: E-mail Archiving | Blog Post | Follow-up research NARA conducted to Bulletin 2008-05 to see how agencies were dealing with recordkeeping issues posed by this technology. | 6/10/2010 | Agency Records Officers | Office of the Chief Records Officer | | https://records-express.blogs.archives.gov/2010/06/10/technology-update-e-mail-archiving/ | |
| FAQs About Media Neutral Schedule Items | FAQ | Guidance on media neutral schedule items -- items on a records disposition schedule (i.e., SF 115, Request for Records Disposition Authority) that are applied to the described records regardless of their medium. | 8/4/2010 | Agency Records Officers | Office of the Chief Records Officer | | https://www.archives.gov/records-mgmt/faqs/media-neutral.html | |
| FAQs About Changes to Previously Approved Schedule Items | FAQ | Guidance on "pen-and-ink" changes -- certain changes to previously approved schedule items without submitting a new Standard Form 115, Request for Records Disposition Authority (SF 115). | 12/1/2010 | Agency Records Officers | Office of the Chief Records Officer | | https://www.archives.gov/records-mgmt/faqs/previously-approved-schedule-items-faq.html | |
| FAQ about Telework | FAQ | Guidance on records management in a telework environment. Does not require new Government-wide records management policies. Federal employees are responsible for managing records generated in the course of their work, regardless of location. | 6/6/2011 | Agency Records Officers | Office of the Chief Records Officer | | https://www.archives.gov/records-mgmt/faqs/telework.html | |
| Appraisal of US District Court Records | Blog Post | Corrections to reports about the appraisal of US District Court records. | 8/10/2011 | Agency Records Officers | Office of the Chief Records Officer | | https://records-express.blogs.archives.gov/2011/08/10/appraisal-of-us-district-court-records/ | |
| FAQ About Presidential Memorandum | Blog Post | Guidance about the November 2011 Presidential Memorandum. | 12/7/2011 | Agency Records Officers | Office of the Chief Records Officer | | https://records-express.blogs.archives.gov/2011/12/07/faq-about-presidential-memorandum/ | |
| Records Controls Schedules (RCS) Frequently Asked Questions | FAQ | Guidance on scope and use of NARA's RCS repository for records and finding records | 12/0/12 | Agency Records Officers | Office of the Chief Records Officer | | https://www.archives.gov/records-mgmt/faqs/rsc.html | |
| FAQs About Transferring Electronic Records to NARA | FAQ | Overview on the transfer of electronic records | 2/10/2014 | Agency Records Officers | Office of the Chief Records Officer | | https://www.archives.gov/records-mgmt/initiatives/transfer-records-to-nara.html | |
| Capstone Briefings | Blog Post | About development of the Capstone approach to email management. | 2/18/2014 | Agency Records Officers | Office of the Chief Records Officer | | https://records-express.blogs.archives.gov/2014/02/18/capstone-briefings/ | |
| Managing Records in Mobile Environments: Background and Benefits | Blog Post | Part of a series about managing records stored in mobile environments. | 3/13/2014 | Agency Records Officers | Office of the Chief Records Officer | | https://records-express.blogs.archives.gov/2014/03/13/managing-records-in-mobile-environments-background-and-benefits/ | |
| Managing Records in Mobile Environments: Records Management Implications | Blog Post | Part of a series about managing records stored in mobile environments. | 3/20/2014 | Agency Records Officers | Office of the Chief Records Officer | | https://records-express.blogs.archives.gov/2014/03/20/managing-records-in-mobile-environments-records-management-implications/ | |
| Managing Records in Mobile Environments: Addressing Records Management Implications | Blog Post | Part of a series about managing records stored in mobile environments. | 3/27/2014 | Agency Records Officers | Office of the Chief Records Officer | | https://records-express.blogs.archives.gov/2014/03/27/managing-records-in-mobile-environments-addressing-records-management-implications/ | |
| Support for Agencies Using ERA | Blog Post | Describes the support provided for agencies using ERA to transfer and schedule records. | 4/30/2014 | Agency Records Officers | Office of the Chief Records Officer | | https://records-express.blogs.archives.gov/2014/04/30/support-for-agencies-using-era/ | |
| Transfer Guidance Video | Blog Post | Guidance on how to implement NARA's bulletin on transferring electronic records. | 5/13/2014 | Agency Records Officers | Office of the Chief Records Officer | | https://records-express.blogs.archives.gov/2014/05/13/transfer-guidance-video/ | |
| Flexible Disposition Instructions in the GRS | Blog Post | Describes how agencies can use the flexible disposition instructions in the GRS. | 9/18/2014 | Agency Records Officers | Office of the Chief Records Officer | | https://records-express.blogs.archives.gov/2014/09/18/flexible-disposition-instructions-in-the-grs/ | |
| GRS Notifications | Blog Post | Describes the notification process that agencies must follow if they are not using the disposition in the GRS. | 1/16/2015 | Agency Records Officers | Office of the Chief Records Officer | | https://records-express.blogs.archives.gov/2015/01/16/grs-notifications/ | |
| GRS Update: Bucketing Items | Blog Post | Describes how agencies are using the bucket approach and combining GRS items. | 2/24/2015 | Agency Records Officers | Office of the Chief Records Officer | | https://records-express.blogs.archives.gov/2015/02/24/grs-update-bucketing-items/ | |
| What is Metadata... | Blog post | Explains the different definitions of metadata and explains how metadata elements provide administrative, descriptive, and technical information describing the structure and content of electronic records. | 9/23/2015 | Agency Records Officers | Office of the Chief Records Officer | | https://records-express.blogs.archives.gov/2015/09/23/what-is-metadata/ | |
| Update on FAR and GRS | Blog Post | Explains that the FAR issued a final rule on December 4, 2015 that has the same records retention periods as the GRS and guidance on how the two intersect. | 12/30/2015 | Agency Records Officers | Office of the Chief Records Officer | | https://records-express.blogs.archives.gov/2015/12/30/update-on-far-and-grs/ | |

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| Making Use of GRS Crosswalks | Blog Post | Describes how agencies should use crosswalks to understand changes to the GRS. | 1/20/2016 | Agency Records Officers | Office of the Chief Records Officer | | https://records-express.blogs.archives.gov/2016/01/20/making-use-of-grs-crosswalks/ | |
| What is the relationship between NARA's Metadata and Format Guidance? | Blog post | Explains the relationship between NARA Bulletin 2015-04 and NARA Bulletin 2014-04 guidance documents. Following this guidance ensures permanent electronic records transferred to NARA will remain sustainable for as long as they are needed. | 3/21/2016 | Agency Records Officers | Office of the Chief Records Officer | | https://records-express.blogs.archives.gov/2016/03/21/what-is-the-relationship-between-naras-metadata-and-format-guidance/ | |
| Instructions and template for big bucket crosswalks | Blog Post | Describes how agencies should use the template for big bucket crosswalks. | 4/18/2016 | Agency Records Officers | Office of the Chief Records Officer | | https://records-express.blogs.archives.gov/2016/04/18/instructions-and-template-for-big-bucket-crosswalks/ | |
| FOIA Improvement Act of 2016 | Blog Post | Describes the records management impacts of the FOIA Improvement Act of 2016. | 7/12/2016 | Agency Records Officers | Office of the Chief Records Officer | | https://records-express.blogs.archives.gov/2016/07/12/foia-improvement-act-of-2016/ | |
| New Transition Related Materials | Blog Post | Describes the guidance and policy documents related to records management in the transition of administrations. | 7/26/2016 | Agency Records Officers | Office of the Chief Records Officer | | https://records-express.blogs.archives.gov/2016/07/26/new-transition-related-materials/ | |
| More flexibility for managing permanent email under GRS 6.1! | Blog Post | Describes the added flexibility of scheduling email in GRS 6.1. | 9/12/2016 | Agency Records Officers | Office of the Chief Records Officer | | https://records-express.blogs.archives.gov/2016/09/12/more-flexibility-for-managing-permanent-email-under-grs-6-1/ | |
| The Capstone Approach and Legacy Email | Blog Post | Explains how agencies using GRS 6.1, Email Managed Under a Capstone Approach, are expected to apply items the agency uses to all existing email, including legacy email. | 9/28/2016 | Agency Records Officers | Office of the Chief Records Officer | | https://records-express.blogs.archives.gov/2016/09/28/the-capstone-approach-and-legacy-email/ | |
| Social Media Guidance now in DYPs | Blog Post | Guidance builds on NARA Bulletin 2014-02: Guidance on Managing Social Media Records and our Social Media Capture White Paper. It specifically addresses the administration of social media accounts during a time of transition. | 10/31/2016 | Agency Records Officers | Office of the Chief Records Officer | | https://records-express.blogs.archives.gov/2016/10/31/social-media-guidance-now-in-dyps/ | |
| Records Management Guidance for the Presidential Transition | Blog Post | Shares records management resources that reflect changes in guidance since the last Presidential transition and new requirements codified in the 2014 amendments to the Federal Records Act. | 11/16/2016 | Agency Records Officers | Office of the Chief Records Officer | | https://records-express.blogs.archives.gov/2016/11/16/records-management-guidance-for-the-presidential-transition/ | |
| Top 5 Reasons Why NA-1005 Forms for Capstone Email Are Rejected for Registration | Blog Post | TTips to assist agencies as they prepare an NA-1005 for approval to use GRS 6.1 and to ensure the process goes quickly and smoothly. | 11/18/2016 | Agency Records Officers | Office of the Chief Records Officer | | https://records-express.blogs.archives.gov/2016/11/18/top-5-reasons-why-na-1005-forms-for-capstone-email-are-rejected-for-registration/ | |
| Metadata in Electronic Records Management | Blog post | Different categories of metadata for electronic records. It also provides examples of what this metadata could look like for records. | 11/21/2016 | Agency Records Officers | Office of the Chief Records Officer | | https://records-express.blogs.archives.gov/2016/11/21/metadata-in-electronic-records-management/ | |
| Records Management of Social Media and Electronic Records | Blog post | Agency responsibilities for managing social media and other electronic content. | 1/27/2017 | Agency Records Officers | Office of the Chief Records Officer | | https://records-express.blogs.archives.gov/2017/01/27/records-management-of-social-media-and-electronic-records/ | |
| Code of Federal Regulations Incorporation by Reference | Web page | Guidance on where to find IBRD material housed at NARA, and clarification on the policies and purposes of IBR | 3/0/2017 | public | Office of the Federal Register | | https://www.archives.gov/federal-register/cfr/ibr-locations.html | |
| Questions and answers: Marking | Blog post | Clarifications on CUI marking guidance | 11/7/2017 | Federal agencies | Information Security Oversight Office | | https://isoo.blogs.archives.gov/2017/11/07/questions-and-answers-marking/ | |
| CUI and re-marking legacy information | Blog post | Application of marking requirements and waivers for legacy information | 10/31/2017 | Federal agencies | Information Security Oversight Office | | https://isoo.blogs.archives.gov/2017/10/31/cui-and-re-marking-legacy-information/ | |
| The CUI Program and budget considerations | Blog post | Guidance on agency considerations in assessing whether implementing CUI requirements entails additional costs to current protection costs for the same information and on budget submissions | 10/31/2017 | Federal agencies | Information Security Oversight Office | | https://isoo.blogs.archives.gov/2017/10/31/the-cui-program-and-budget-considerations/ | |
| The CUI Registry and agency employees | Blog post | Guidance on who should use the CUI Registry and employee sources of information | 10/31/2017 | Federal agencies | Information Security Oversight Office | | https://isoo.blogs.archives.gov/2017/10/31/the-cui-registry-and-agency-employees/ | |
| OFR Handbooks Frequently Asked Questions | FAQ | Guidance on using the Federal Register's Document Drafting Handbook and IBR Handbook | 10/0/17 | Federal agencies | Office of the Federal Register | | https://www.archives.gov/federal-register/write/handbook/faqs.html#needIBR | |

Appendix AA

National Aeronautics and Space
Administration

National Aeronautics and Space Administration
Headquarters
Washington, DC 20546-001



February 26, 2018

Reply to Attn of:

OLIA/2017-00680:AR:us

The Honorable Trey Gowdy
Chairman
Committee on Oversight and Government Reform
U.S. House of Representatives
Washington, DC 20515

Dear Mr. Chairman:

Thank you for your letter of December 8, 2017, requesting a detailed list of guidance documents issued by NASA since January 1, 2008. We appreciate your interest in this subject and would like to offer you the enclosed in the hopes that it might assist the Committee's inquiry.

Each of the enclosed guidance documents is a significant part of how NASA undertakes activities such as contracts, grants, cooperative agreements and awards. NASA is constantly working to improve the way it interacts with and explains its needs to a wide range of partners and the public in concert with the Office of Management and Budget and across the Administration.

To date, none of these issues has been raised with a regulatory reform task force. You may recall that NASA has designated a representative responsible for overseeing NASA's participation on the Federal Acquisitions Regulatory (FAR) Council's Regulatory Reform Task Force and is prepared to participate in that setting as requested.

Sincerely,

A handwritten signature in purple ink that reads "Rebecca L. Lee".

Rebecca L. Lee
Associate Administrator
for Legislative and Intergovernmental Affairs (Acting)

Enclosure

NASA FAR Supplement (NFS) Version 15.0, effective September 28, 2015. 48 C.F.R. Chapter 18, as modified through PN 17-20 (<http://www.hq.nasa.gov/office/procurement/regs/pn17-20.htm>), November 30, 2017. (<https://www.hq.nasa.gov/office/procurement/regs/nfstoc.htm>)

NASA Headquarters Office of Procurement Sponsored Research Business Activity (SRBA); Alerts to Grant Recipients http://prod.nais.nasa.gov/pub/pub_library/srba/index.html

NASA Headquarters Office of Procurement Sponsored Research Business Activity (SRBA); Grants and Cooperative Agreements Frequently Asked Questions
(https://prod.nais.nasa.gov/pub/pub_library/srba/faq.html)

2 C.F.R. 1800 Grants and Agreements Chapter XVIII – National Aeronautics and Space Administration Part 1800 Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards <https://www.gpo.gov/fdsys/archive/CFR-2015-title2-vol1/CFR-2015-title2-vol1-part1800>

NASA Class Deviations to 2 CFR 1800 FRN 17-01 July 6, 2017 CLASS DEVIATION TO 2 CFR 1800.305 Payments (https://prod.nais.nasa.gov/pub/pub_library/srba/class_deviations/cd17-01.pdf)

NASA Procurement Information Circular 17-05 Achieving Parity in Contract Awards among Small Business Programs in Furtherance of Agency Goals December 21, 2017
<https://www.hq.nasa.gov/office/procurement/regs/PIC17-05.pdf>

NASA Procurement Information Circular 17-04 Double Goaling Credit for FAR Part 26 Local Area Small Business Set-Asides in Response to National Disasters December 21, 2017
<https://www.hq.nasa.gov/office/procurement/regs/PIC17-04.pdf>

NASA Procurement Information Circular 17-03 Guidance for the Implementation of NAICS 2017 October 27, 2017 (<http://www.hq.nasa.gov/office/procurement/regs/pic17-03.htm>)

NASA Procurement Information Circular 15-09 Procurement Guidance for Center Protective Services Contracts
September 21, 2015 (<http://www.hq.nasa.gov/office/procurement/regs/pic15-09.htm>)

NASA Procurement Information Circular 15-06 Guidance on the Integrated Program Management Report for Earned Value Management April 28, 2015
(<http://www.hq.nasa.gov/office/procurement/regs/pic15-06.htm>)

NASA Procurement Information Circular 15-05A Blanket Purchase Agreements Guidance March 1, 2016
(<http://www.hq.nasa.gov/office/procurement/regs/pic15-05A.htm>)

NASA Procurement Information Circular 14-07 Agency-wide Contract Closeout Guidance December 11, 2014
(<http://www.hq.nasa.gov/office/procurement/regs/pic14-07.htm>)

NASA Purchase Card Notice 17-01 December 14, 2017 Verification of Receipt and Tracking of Controlled Equipment (<http://www.hq.nasa.gov/office/procurement/regs/pcn17-01.pdf>)

NASA Procurement Notices (accessed December 20, 2017)
(<https://www.hq.nasa.gov/office/procurement/regs/pn.htm>)

NASA Procurement Class Deviation 17-02B Class Deviation from the FAR for Hurricane Harvey Relief Efforts September 26, 2017 (<https://www.hq.nasa.gov/office/procurement/regs/pcd17-02B.htm>)

NASA Procurement Class Deviation 15-05 Class Deviation to NFS 1834.2, 1834.203-70, 1852.234-1, and 1852.234-2, Earned Value Management System Threshold November 10, 2015
(<https://www.hq.nasa.gov/office/procurement/regs/pcd15-05.htm>)

NASA Procurement Class Deviation 15-03A Class Deviation to NFS 1839 and 1852, Restrictions on Acquiring Moderate or High-Impact Information Technology Systems April 28, 2016
(<https://www.hq.nasa.gov/office/procurement/regs/pcd15-03A.htm>)

NASA Procurement Information Circular 14-01 Class Deviation from FAR 8.404(d) – Pricing Orders under Federal Supply Schedules March 13, 2014
(<https://www.hq.nasa.gov/office/procurement/regs/PIC%2014-01.pdf>)

NASA Procurement Information Circular 13-02 Class Deviation – DCAA Policy and Procedure for Sampling Low-Risk Incurred Cost Proposals February 12, 2013
(<https://www.hq.nasa.gov/office/procurement/regs/pic13-02.doc>)

NASA Procurement Information Circular 12-01A Class Deviation Implementing NASA Restrictions on Funding Activity with the Peoples Republic of China (PRC) February 16, 2012
(<https://www.hq.nasa.gov/office/procurement/regs/pic12-01A.html>)

NASA Procurement Information Circular 10-17 Class Deviation to NFS 1816.405-274; Award Fee Evaluation Factors Are Not Directly Tied to Earned Value Management Metrics November 15, 2010
(<https://www.hq.nasa.gov/office/procurement/regs/pic10-17.html>)

NASA Revised Terms and Conditions – Incorporations by Reference, including 2 CFR 170, 175, and 182.
(https://prod.nais.nasa.gov/pub/pub_library/srba/RevisedTermsAndConditions.docx)

NASA Grant and Cooperative Agreement Manual Revised December 4, 2017 In Accordance with 2 CFR Part 200
(https://prod.nais.nasa.gov/pub/pub_library/srba/documents/Grant_and_CooperativeAgreementManual.doc)

NASA Grant and Cooperative Agreement Handbook (NPR 5800.1 OMB Approval Numbers: 2700-0047, 2700-0049) Section A (June 13, 2008) provides the text of provisions and special conditions and addresses NASA's authority, definitions, applicability, amendments, publications, deviations, pre-award requirements and post-award requirements currently covered by 14 CFR part 1260.
(https://prod.nais.nasa.gov/pub/pub_library/granta.html)

NASA Grant and Cooperative Agreement Handbook Section B (April 20, 2007) adopts the administrative requirements of OMB Circular No. A-110 and includes NASA's choices in alternatives offered by A-110. (https://prod.nais.nasa.gov/pub/pub_library/grantb.html)

NASA Grant and Cooperative Agreement Handbook Section C (May 19, 2005) adopts the administrative requirements of OMB Circular No. A-102 and relates to administrative requirements for grants and

cooperative agreements to state and local governments.

(https://prod.nais.nasa.gov/pub/pub_library/grantc.html)

NASA Grant and Cooperative Agreement Handbook Section D (July 23, 2007) relates to cooperative agreements with commercial firms. (https://prod.nais.nasa.gov/pub/pub_library/grantd.html)

NASA short-term administrative relief authorized by the Office of Management and Budget for areas affected by Hurricanes Harvey, Irma, and Maria.

(https://prod.nais.nasa.gov/pub/pub_library/srba/documents/AdministrativeReliefForGranteesImpactedByHurricanes.docx)

NASA Grant Notice 17-04 December 4, 2017 Revisions to the Grant and Cooperative Agreement Manual (NASA Case 2017-N033) (https://prod.nais.nasa.gov/pub/pub_library/srba/documents/GN17-04.pdf)

NASA Grant Notice 17-03 September 28, 2017 Revisions to the Grant and Cooperative Agreement Manual (GCAM) Part 5.10 concerning research terms and conditions (NASA Case 2017-N030) (https://prod.nais.nasa.gov/pub/pub_library/srba/documents/GN17-03.pdf)

NASA Grant Notice 17-02 May 24, 2017 Revisions to the Grant and Cooperative Agreement Numbering Schemes (NASA Case 2017-N011) (https://prod.nais.nasa.gov/pub/pub_library/srba/documents/GN17-02.pdf)

NASA Grant Notice 17-01 March 8, 2017 Amend the Grants and Cooperative Agreement Manual (GCAM) to Revise Exhibit A (NASA Case 2016-N044) (https://prod.nais.nasa.gov/pub/pub_library/srba/documents/GN17-01.pdf)

NASA Grant Notice 16-04 November 29, 2016 Amend the Grants and Cooperative Agreement Manual (GCAM) to Revise Exhibit E and Remove Exhibit F (NASA Case 2016-N021) (https://prod.nais.nasa.gov/pub/pub_library/srba/documents/GN16-04.pdf)

NASA Grant Notice 16-03 November 28, 2016 Revisions to Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards (NASA Case 2015-N030) (https://prod.nais.nasa.gov/pub/pub_library/srba/documents/GN16-03.pdf)

NASA Grant Notice 16-02 August 16, 2016 Revision of Grant and Cooperative Agreement Manual regarding the section on Announcements, the template for Funding Opportunities Exhibit A, and updating the issuance date of the Terms and Conditions Exhibit D (https://prod.nais.nasa.gov/pub/pub_library/grantnotices/gn16-02.pdf)

NASA Grant Notice 16-01 July 5, 2016 Implementation of Section 872 of the Duncan Hunter National Defense Authorization Act for Fiscal Year 2009 (FAPIS) as it applies to 14 CFR 1274 (NASA Case 2015-N014) (https://prod.nais.nasa.gov/pub/pub_library/srba/documents/GN16-01.pdf)

NASA Grant Notice 00-34 January 29, 2016 NASA Acquisition Internet Service (NAIS) Electronic Posting System (EPS) Shutdown (https://prod.nais.nasa.gov/pub/pub_library/grantnotices/gn00-34.html)

NASA Grant Information Circular 16-03 October 5, 2016 Grant/Cooperative Agreement Administration Guidance Relative to new DOL Overtime Rule

(https://prod.nais.nasa.gov/pub/pub_library/grantnotices/gic16-03.pdf)

NASA Grant Information Circular 16-02 July 18, 2016 FPDS Reporting Guidance

(https://prod.nais.nasa.gov/pub/pub_library/grantnotices/gic16-02.pdf)

NASA Grant Information Circular 16-01 February 17, 2016 2 CFR 200, Federal Awardee Performance and Integrity Information System (FAPIS) Requirements

(https://prod.nais.nasa.gov/pub/pub_library/grantnotices/gic16-01.html)

NASA Grant Information Circular 14-02A April 7, 2015 Grants and Cooperative Agreement Manual

(https://prod.nais.nasa.gov/pub/pub_library/grantnotices/gic14-02A.html)

NASA Grant Information Circular 14-02 December 16, 2014 Grants and Cooperative Agreement Manual

(https://prod.nais.nasa.gov/pub/pub_library/grantnotices/gic14-02.html)

NASA Grant Information Circular 14-01 April 15, 2014 Guidance for the closeout of Grants and Cooperative Agreements

(https://prod.nais.nasa.gov/pub/pub_library/grantnotices/gic14-01.html)

NASA Grant Information Circular 07-02 April 5, 2007 Scientific and Technical Information

(https://prod.nais.nasa.gov/pub/pub_library/grantnotices/gic07-02.html)

NASA Guidebook for Proposers Responding to a NASA Funding Announcement Revised April 2017

(<https://www.hq.nasa.gov/office/procurement/nraguidebook/proposer2017.pdf>)

NASA Guidance for the Preparation and Submission of Unsolicited Proposals Revised May 2016

(https://prod.nais.nasa.gov/pub/pub_library/unSol-Prop.html)

NASA SBIR/STTR Firms Library (accessed December 20, 2017)

(https://sbir.gsfc.nasa.gov/sbir/firm_library/index.html)

NASA SBIR/STTR Awardee Firms Electronic Handbooks (accessed December 20, 2017)

(<https://ehb8.gsfc.nasa.gov/contracts/public/firmHome.do>)

NASA Research Opportunities in Space and Earth Sciences 2017 NASA Research Announcement (NRA) Soliciting Basic and Applied Research Proposals NNH17ZDA001N Catalog of Federal Domestic Assistance (CFDA) Number: 43.001 OMB Approval Number 2700-0092 Issued February 14, 2017

(<https://nspires.nasaprs.com/external/viewrepositorydocument/cmdocumentid=554058/solicitationId=%7BE757EF32-60E6-76AE-A276-21A1F8BA96BB%7D/viewSolicitationDocument=1/FULL%20ROSES-2017%20amend%2057.pdf>) and Amendments as of December 20, 2017

(<https://nspires.nasaprs.com/external/solicitations/solicitationAmendments.do?solId=%7BE757EF32-60E6-76AE-A276-21A1F8BA96BB%7D&path=open&redirectURL=>)

NASA ROSES 2017 FAQ (Accessed December 20, 2017) (<https://science.nasa.gov/researchers/sara/faqs>)

NASA SARA How To Guide (Accessed December 20, 2017)

(<https://science.nasa.gov/researchers/sara/how-to-guide>)

NASA Online Directives Information System (NODIS) Library (accessed December 20, 2017)

(https://nodis3.gsfc.nasa.gov/Rpt_current_directives.cfm)

| Directive No. | Subject | RO | Effective Date | Expiration Date | Directive(s) Cancelled |
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| <u>NPD 1000.0B</u> | NASA Governance and Strategic Management Handbook | Associate Administrator | 11/26/14 | 11/26/19 | NPD 1000.0 A |
| <u>NPD 1000.3E</u> | The NASA Organization w/Change 22 | Associate Administrator | 4/15/15 | 4/15/20 | NPD 1000.3 D |
| <u>NPD 1000.5B</u> | Policy for NASA Acquisition | Chief Engineer | 12/19/13 | 12/19/18 | NPD 1000.5 A |
| <u>NPD 1001.0B</u> | 2014 NASA Strategic Plan | Chief Financial Officer | 3/12/14 | 12/12/18 | NPD 1001.0 A |
| <u>NPD 1020.2I</u> | Displaying The United States Flag At Half-Staff (Revalidated with Change 1, on 01/14/2015) | Protective Services | 2/7/00 | 2/7/20 | NPD 1020.2I |
| <u>NPD 1030.1I</u> | Gifts or Decorations From Foreign Governments and Gifts to Foreign Individuals (Revalidated 2/27/13) | General Counsel | 8/23/00 | 8/23/18 | NPD 1030.1 H |
| <u>NPR 1040.1</u> | NASA Continuity of Operations (COOP) Planning Procedural Requirements (Revalidated with Change 3, 9/13/2012) | Protective Services | 7/3/03 | 3/3/18 | |
| <u>NPD 1040.4B</u> | NASA Continuity of Operations (COOP) Revalidated with Change 2, July 26, 2017 | Protective Services | 9/22/09 | 9/22/22 | NPD 1040.4 A |
| <u>NPD 1050.1I</u> | Authority to Enter into Space Act Agreements | General Counsel | 12/23/08 | 12/23/19 | NPD 1050.1 H |

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| | (Revalidated 10/30/14) | | | | |
| <u>NPD 1050.2</u> | Authority to Enter into Cooperative Research and Development Agreements | General Counsel | 9/12/13 | 9/12/18 | |
| <u>NPR 1080.1B</u> | Requirements for the Conduct of NASA Research and Technology (R&T) | Science Mission Directorate | 2/21/17 | 2/21/22 | NPR 1080.1 A |
| <u>NPD 1080.1C</u> | Policy for the Conduct of NASA Research and Technology | Science Mission Directorate | 11/22/16 | 11/22/21 | NPD 1080.1 B |
| <u>NPD 1090</u> | Challenges, Prize Competitions and Crowdsourcing Activities | Space Technology Mission Directorate | 2/12/14 | 2/12/19 | |
| <u>NPD 1150.1A</u> | Federal Advisory Committee Act (FACA) Committees (Revalidated w/change 1)) | International and Interagency Relations | 6/20/12 | 6/20/22 | NPD 1150.1 1 |
| <u>NPD 1200.1E</u> | NASA Internal Control (Revalidated w/Change 1, 07/21/2017) | Chief Financial Officer | 7/21/08 | 7/21/22 | NPD 1200.1 D |
| <u>NPR 1210.1A</u> | Procedures for Use of Monetary Gifts and Donations by NASA (Revalidated) | Chief Financial Officer | 2/23/05 | 2/23/19 | NPR 1210.1 |
| <u>NPD 1210.1G</u> | Acceptance and Use of Monetary Gifts and Donations- Revalidated w/Change 1, 12/22/14 | Chief Financial Officer | 4/28/10 | 4/28/19 | NPD 1210.1 F |
| <u>NPD 1210.2</u> | NASA Surveys, Audits, and Reviews Policy | Chief Financial Officer | 1/13/05 | 10/24/23 | |

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| | (Revalidated on 10/24/2017) | | | | |
| <u>NPD 1280.1A</u> | NASA Integrated Management System Policy | Chief Financial Officer | 1/28/10 | 1/28/18 | NPD 1280.1 |
| <u>NPD 1360.2B</u> | Initiation and Development of International Cooperation in Space and Aeronautics Programs (Revalidated 8/28/2014) | International and Interagency Relations | 4/16/99 | 4/16/19 | NPD 1360.2 A |
| <u>NPD 1370.1</u> | Reimbursable Utilization of NASA Facilities by Foreign Entities and Foreign- Sponsored Research (Revalidated w/change 1, dated 8/9/2012) | International and Interagency Relations | 10/26/07 | 4/26/18 | |
| <u>NPR 1371.1</u> | Requests For Waivers Of The Residence Abroad Requirements For Exchange Visitors Sponsored By NASA Contractors And Grantees w/Change 3 | International and Interagency Relations | 11/26/97 | 11/26/18 | |
| <u>NPD 1371.1G</u> | Waivers Of the Residence Abroad Requirement for Employees of NASA Contractors and Grantees (Revalidated w/change 2- 10/13/17) | International and Interagency Relations | 11/6/02 | 11/6/22 | NPD 1371.1 F |
| <u>NPD 1380.1</u> | Managing Agency Communications | Communications | 8/14/13 | 8/14/18 | |

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| <u>NPD 1382.17J</u> | NASA Privacy Policy | Chief Information Officer | 6/29/16 | 6/29/21 | NPD 1382.1 7H |
| <u>NPR 1382.1A</u> | NASA Privacy Procedural Requirements | Chief Information Officer | 7/10/13 | 7/10/18 | NPR 1382.1 |
| <u>NPD 1383.1C</u> | Release and Management of Audiovisual Products (Revalidated w/change 1) | Communications | 4/5/05 | 4/5/21 | NPD 1383.1 B |
| <u>NPD 1383.2C</u> | NASA Assistance to Non-Government, Entertainment-Oriented Motion Picture, Television, Video & Multimedia Productions/Enterprises, & Advertising - Revalidated w/change 1 | Communications | 10/7/99 | 10/7/20 | NPD 1383.2 B |
| <u>NPR 1385.1</u> | Public Appearances of NASA Astronauts w/Change 3 (Revalidated 01/20/11) | Communications | 1/7/00 | 6/7/18 | |
| <u>NPD 1385.2H</u> | Public Appearances of NASA Astronauts and Other NASA Personnel (Revalidated 01/12/2011) | Communications | 11/24/99 | 2/24/18 | NPD 1385.2 G |
| <u>NPR 1387.1</u> | NASA Exhibits Program w/Change 2 (Revalidated 03/17/10) | Communications | 1/9/99 | 2/9/18 | |
| <u>NPD 1387.1H</u> | NASA Exhibits Program | Communications | 5/1/17 | 5/1/22 | NPD 1387.1 G |
| <u>NPD 1387.2G</u> | Use, Control, and Loan of Lunar | Communications | 1/18/12 | 7/31/18 | NPD 1387.2 F |

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| | Samples for Public and Educational Purposes | | | | |
| <u>NPD 1388.1</u> | Employee Participation in NASA Education and Communications Activities (w/Change 1) | Education and Communications | 5/21/12 | 5/21/22 | |
| <u>NPR 1400.1G</u> | NASA Directives and Charters Procedural Requirements w/Change 3 | Mission Support Directorate | 7/10/15 | 7/10/20 | NPR 1400.1F |
| <u>NPD 1400.1K</u> | Documentation and Promulgation of Internal NASA Requirements and Charters (Revalidated w/Change 1) | Mission Support Directorate | 9/14/11 | 9/14/21 | NPD 1400.1J |
| <u>NPD 1400.2E</u> | Publishing NASA Documents in the Federal Register and Responding to Regulatory Actions w/Change 3 | Mission Support Directorate | 11/29/11 | 11/29/21 | NPD 1400.2D |
| <u>NPD 1420.1A</u> | NASA Forms Management | Chief Information Officer | 7/25/12 | 12/25/18 | NPD 1420.1 |
| <u>NPD 1440.6I</u> | NASA Records Management | Chief Information Officer | 9/10/14 | 9/10/19 | NPD 1440.6H |
| <u>NPR 1441.1E</u> | NASA Records Management Program Requirements (Update Chapter 5) | Chief Information Officer | 1/29/15 | 1/29/20 | NPR 1441.1D |
| <u>NPR 1450.10D</u> | NASA Correspondence Management and Communications Standards and | Chief of Staff | 3/24/06 | 3/24/21 | NPR 1450.10C |

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| | Style w/Change 3 (3/25/2016) | | | | |
| <u>NPD</u> <u>1450.12D</u> | Handling Correspondence and Information From the Executive Office of the President (Revalidated 2/5/14) | Chief of Staff | 3/26/99 | 3/26/18 | NPD 1450.1 2C |
| <u>NPR</u> <u>1450.4G</u> | Handling Congressional Correspondence And Information Concerning Congressional Activities (Revalidated 06/04/13 w/change 3) | Legislative and Intergovernment al Affairs | 10/31/01 | 10/31/18 | |
| <u>NPD</u> <u>1460.1</u> | Agency Mail Management Program (Revalidated with Change 1, September 9, 2015) | Strategic Infrastructure | 9/9/15 | 9/9/20 | |
| <u>NPD</u> <u>1490.1I</u> | NASA Printing, Duplicating, and Copying Management | Chief Information Officer | 4/10/17 | 4/10/22 | NPD 1490.1 H |
| <u>NPD</u> <u>1490.6</u> | Business Cards | Chief Information Officer | 7/12/15 | 7/12/20 | NPD 9070.1 A |
| <u>NPR</u> <u>1600.1A</u> | NASA Security Program Procedural Requirements | Protective Services | 8/12/13 | 8/12/18 | NPR 1600.1 |
| <u>NPR</u> <u>1600.2</u> | NASA Classified National Security Information (CNSI) w/Change 2 (2/12/2014) | Protective Services | 10/11/11 | 4/11/18 | |
| <u>NPD</u> <u>1600.2E</u> | NASA Security Policy (Revalidated | Protective Services | 4/28/04 | 3/31/20 | NPD 1600.2 D |

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| | on 4/2/2015 w/Change 1) | | | | |
| <u>NPD</u> <u>1600.3</u> | Policy on Prevention of and Response to Workplace Violence (Revalidated on April 30, 2014) | Human Capital Management | 9/4/07 | 9/4/19 | |
| <u>NPR</u> <u>1600.3</u> | Personnel Security (Change 2, April 29, 2013) | Protective Services | 5/31/12 | 5/31/18 | NPR 1600.1 |
| <u>NPD</u> <u>1600.4</u> | National Security Programs (Revalidated with Change 1 on July 28, 2016) | Protective Services | 7/25/11 | 7/28/21 | NPD 1600.2 E |
| <u>NPR</u> <u>1600.4A</u> | Identity and Credential Management | Protective Services | 4/8/16 | 4/8/21 | NPR 1600.4 |
| <u>NPR</u> <u>1600.6</u> | Communications Security (COMSEC) | Protective Services | 3/28/16 | 3/28/21 | |
| <u>NPD</u> <u>1600.9</u> | NASA Insider Threat Program | Protective Services | 10/21/14 | 10/21/19 | |
| <u>NPR</u> <u>1620.2A</u> | Facility Security Assessments | Protective Services | 10/7/15 | 10/7/20 | NPR 1620.2 |
| <u>NPR</u> <u>1620.3A</u> | Physical Security Requirements for NASA Facilities and Property | Protective Services | 10/4/12 | 6/4/18 | NPR 1620.3 |
| <u>NPR</u> <u>1660.1C</u> | NASA Counterintelligenc e and Counterterrorism w/Change 1, May 28, 2015 | Protective Services | 5/7/15 | 5/7/20 | NPD 1660.1 NPD 1660.1 B, NPR 1660.1 B |
| <u>NPR</u> <u>1800.1D</u> | NASA Occupational Health Program Procedure | Chief Health and Medical Officer | 5/13/16 | 5/13/21 | NPR 1800.1 C |
| <u>NPD</u> <u>1800.2D</u> | NASA Occupational Health Program | Chief Health and Medical Officer | 8/12/16 | 8/12/21 | NPD 1800.2 C |
| <u>NPD</u> <u>1850.1</u> | NASA Medical System Quality | Chief Health and Medical Officer | 2/23/10 | 2/23/20 | |

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| | Assurance Revalidated | | | | |
| <u>NPR 1850.1</u> | Quality Assurance of the NASA Medical Care (Revalidated w/change 1) | Chief Health and Medical Officer | 5/11/10 | 5/11/20 | |
| <u>NPR 1900.3B</u> | Ethics Program Management | General Counsel | 4/23/07 | 10/23/18 | NPR 1900.3 A |
| <u>NPD 1900.9E</u> | Ethics Program Management | General Counsel | 10/27/10 | 10/27/18 | NPD 1900.9 D |
| <u>NPD 1920.1</u> | Scientific Integrity | Chief Scientist | 12/12/17 | 12/12/22 | |
| <u>NPD 2000.1G</u> | Authority to Take Certain Actions for The General Counsel | General Counsel | 10/9/12 | 5/9/18 | NPD 2000.1 F |
| <u>NPD 2010.1E</u> | Court Actions or Proceedings Involving NASA or NASA Employees | General Counsel | 6/6/13 | 6/6/18 | NPD 2010.1 D |
| <u>NPD 2010.2C</u> | Alternative Dispute Resolution (REVALIDATED with Change 2 - 11/16/17) | General Counsel | 3/6/03 | 3/30/22 | NPD 2010.2 B |
| <u>NPD 2025.1B</u> | NASA Ombudsman Program | Strategic Infrastructure | 8/28/13 | 8/28/18 | NPD 2025.1 A, NPD 2025.1 B |
| <u>NPR 2081.1A</u> | Nondiscrimination in Federally Assisted and Conducted Programs (Revalidated on May 7, 2015) | Diversity and Equal Opportunity | 5/11/10 | 5/11/20 | NPR 2081.1 |
| <u>NPD 2081.1B</u> | Nondiscrimination in Federally Assisted and Conducted Programs of NASA | Diversity and Equal Opportunity | 11/1/17 | 11/1/22 | NPD 2081.1 A |
| <u>NPD 2086.1</u> | Coordination of Remedies for | General Counsel | 12/23/08 | 12/23/18 | |

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| | Fraud and Corruption Related to NASA Acquisition Activities (Revalidated 9/17/2013) | | | | |
| <u>NPD 2090.6</u> | Authority To Enter Into License Agreements and Implementation of Licensing Authority - Revalidated 4/9/2014 | Space Technology Mission Directorate | 12/23/08 | 12/23/19 | |
| <u>NPD 2091.1B</u> | Inventions Made By Government Employees - Revalidated 1/23/2013 w/change 1 | General Counsel | 4/21/08 | 4/21/18 | NPD 2091.1 A |
| <u>NPR 2092.1B</u> | Distribution of Royalties and Other Payments Received by NASA from the Licensing or Assignment of Inventions | General Counsel | 8/22/14 | 8/22/19 | NPR 2092.1 A |
| <u>NPD 2092.1C</u> | Royalties or Other Payments Received by NASA from the Licensing or Assignment of Inventions | General Counsel | 9/13/14 | 9/13/19 | NPD 2092.1 B |
| <u>NPD 2190.1B</u> | NASA Export Control Program (Revalidated w/change 1) | International and Interagency Relations | 6/20/12 | 6/20/22 | NPD 2190.1 A |
| <u>NPR 2190.1C</u> | NASA Export Control Program | International and Interagency Relations | 9/8/17 | 9/8/22 | NPR 2190.1 B |
| <u>NPD 2200.1C</u> | Management of NASA Scientific and Technical Information | Chief Information Officer | 12/9/14 | 12/9/19 | NPD 2200.1 B |

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| <u>NPR 2200.2D</u> | Requirements for Documentation, Approval and Dissemination of Scientific and Technical Information | Chief Information Officer | 9/7/16 | 9/7/21 | NPR 2200.2 C |
| <u>NPR 2210.1C</u> | Release of NASA Software - Revalidated w/change 1 | Space Technology Mission Directorate | 8/11/10 | 8/11/20 | NPR 2210.1 A |
| <u>NPD 2230.1</u> | Research Data and Publication Access | Chief Scientist | 1/14/16 | 1/14/21 | |
| <u>NPD 2521.1B</u> | Communications and Material Review | Communications | 8/18/15 | 8/18/20 | NPD 2521.1 A |
| <u>NPD 2530.1G</u> | Monitoring or Recording of Telephone or Other Conversations (Revalidated w/change 1 - 2/19/13) | General Counsel | 8/29/02 | 8/29/18 | NPD 2530.1 F |
| <u>NPD 2540.1H</u> | Personal Use of Government Office Equipment Including Information Technology | Chief Information Officer | 2/24/16 | 2/24/21 | NPD 2540.1 G |
| <u>NPR 2570.1C</u> | NASA Radio Frequency (RF) Spectrum Management Manual | Human Explorations and Operations Mission Directorate | 9/22/14 | 9/22/19 | NPR 2570.1 B |
| <u>NPD 2570.5E</u> | NASA Electromagnetic Spectrum Management - Revalidated 9/13/16 | Human Explorations and Operations Mission Directorate | 7/11/11 | 7/11/21 | NPD 2570.5 D |
| <u>NPD 2800.1B</u> | Managing Information Technology | Chief Information Officer | 3/21/08 | 7/21/18 | NPD 2800.1 A |

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| <u>NPR 2800.1B</u> | Managing Information Technology | Chief Information Officer | 3/20/09 | 7/20/18 | NPR 2800.1 |
| <u>NPR 2800.2</u> | Electronic and Information Technology Accessibility | Chief Information Officer | 1/6/11 | 4/6/18 | |
| <u>NPR 2810.1A</u> | Security of Information Technology (Revalidated with Change 1, dated May 19, 2011) | Chief Information Officer | 5/16/06 | 7/16/18 | NPR 2810.1 |
| <u>NPD 2810.1E</u> | NASA Information Security Policy | Chief Information Officer | 7/14/15 | 7/14/20 | NPD 2810.1 D |
| <u>NPD 2830.1A</u> | NASA Enterprise Architecture | Chief Information Officer | 11/2/11 | 1/2/18 | NPD 2830.1 |
| <u>NPR 2830.1A</u> | NASA Enterprise Architecture Procedures | Chief Information Officer | 12/19/13 | 12/19/18 | NPR 2830.1 , NPR 2830.1 A |
| <u>NPR 2841.1</u> | Identity, Credential, and Access Management (Revalidated w/change 1) | Chief Information Officer | 1/6/11 | 1/6/22 | |
| <u>NPD 3000.1C</u> | Human Capital Management (Revalidated 12/14/2010) | Human Capital Management | 8/30/05 | 8/30/19 | NPD 3000.1 B |
| <u>NPD 3010.1A</u> | Strategic Workforce Planning | Human Capital Management | 1/15/09 | 1/15/19 | NPD 3010.1 |
| <u>NPR 3100.1B</u> | Management of the Senior Executive Service (SES) | Human Capital Management | 12/19/14 | 12/19/19 | NPR 3100.1 A , NPR 3317.1 A |
| <u>NPD 3213.1</u> | Excepted Service Appointments | Human Capital Management | 6/1/16 | 6/1/21 | |
| <u>NPD 3280.3J</u> | Detail of Military Personnel to NASA | International and Interagency Relations | 4/18/02 | 4/18/19 | |

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| | (Revalidated 9/25/14) | | | | |
| <u>NPD 3280.8G</u> | Recommendations for Military Awards and Decorations to Military Personnel Assigned to NASA (Revalidated 9/24/2014) | International and Interagency Relations | 4/1/02 | 4/1/19 | NPD 3280.8 F |
| <u>NPR 3300.1C</u> | Employment, Appointment Authorities, and Details | Human Capital Management | 11/1/15 | 11/1/20 | NPR 3300.1 B |
| <u>NPD 3300.3E</u> | Recruitment, Hiring, Placement, Advancement, and Retention of Individuals with Disabilities and Disabled Veterans (Revalidated 10/23/2013) | Diversity and Equal Opportunity | | 10/23/18 | NPD 3300.3 D |
| <u>NPR 3319.1B</u> | Management of Scientific or Professional (ST) and other Senior Level (SL) Positions | Human Capital Management | 12/19/14 | 12/19/19 | NPR 3319.1 A |
| <u>NPR 3330.1A</u> | NASA Career Transition Assistance Plan, (Revalidated with Change 1, 02/13/2013) | Human Capital Management | 6/6/06 | 6/6/19 | NPR 3330.1 |
| <u>NPR 3335.1I</u> | Merit Promotion and Placement | Human Capital Management | 6/20/16 | 6/20/21 | NPR 3335.1 H |
| <u>NPD 3410.2G</u> | Employee and Organizational Development | Human Capital Management | 9/27/13 | 9/27/19 | NPD 3410.2 F |
| <u>NPR 3430.1D</u> | Employee Performance and Communication System (EPCS) | Human Capital Management | 10/19/16 | 10/19/21 | NPR 3430.1 C |
| <u>NPR 3435.1C</u> | NASA Performance Management | Human Capital Management | 11/19/14 | 11/19/19 | NPR 3435.1 B |

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| | System for the Senior Executive Service | | | | |
| <u>NPR 3451.1C</u> | NASA Awards and Recognition Program | Human Capital Management | 12/6/17 | 12/6/22 | NPR 3451.1 B |
| <u>NPR 3451.3</u> | NASA Space Flight Awareness Program | Human Explorations and Operations Mission Directorate | 8/1/14 | 8/1/19 | |
| <u>NPD 3451.5C</u> | Acquisition Improvement Awards (Revalidated w/Change 1 on May 7, 2013) | Procurement | 4/18/03 | 5/7/18 | NPD 3451.5 B |
| <u>NPD 3511.1</u> | Classification, Position Management and Promotions w/Change 1 | Human Capital Management | 10/3/14 | 10/3/19 | NPR 3511.1 |
| <u>NPR 3530.1C</u> | NASA Pay and Compensation Policy | Human Capital Management | 1/12/09 | 1/12/19 | NPR 3530.1 A |
| <u>NPR 3600.1A</u> | Attendance and Leave, (Revalidated with Change 2, 09/11/2013) | Human Capital Management | 4/24/06 | 4/24/19 | NPR 3600.1 |
| <u>NPR 3600.2A</u> | NASA Telework Program | Human Capital Management | 12/19/14 | 12/19/19 | NPR 3600.2 |
| <u>NPR 3600.3</u> | NASA Voluntary Leave Bank Program | Human Capital Management | 11/4/13 | 11/4/19 | |
| <u>NPR 3711.1A</u> | Federal Employee Labor Management Relations Program | Human Capital Management | 7/2/12 | 7/2/19 | NPR 3711.1 |
| <u>NPR 3713.1B</u> | Reasonable Accommodations Procedures | Diversity and Equal Opportunity | 3/2/15 | 3/2/20 | NPR 3713.1 A |
| <u>NPR 3713.2B</u> | Alternative Dispute Resolution in Discrimination Complaints | Diversity and Equal Opportunity | 5/22/17 | 5/22/22 | NPR 3713.2 |

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| <u>NPD 3713.2I</u> | Federal EEO Program of NASA | Diversity and Equal Opportunity | 2/25/16 | 2/25/21 | NPD 3713.2I |
| <u>NPR 3713.3</u> | Anti-Harassment Procedures (Revalidated on February 20, 2014 with Change 2) | Diversity and Equal Opportunity | 10/6/09 | 2/20/19 | |
| <u>NPR 3713.4</u> | Procedures for Discrimination Complaints Based on Sexual Orientation (Revalidated on March 7, 2017) | Diversity and Equal Opportunity | 6/1/12 | 3/7/22 | |
| <u>NPD 3713.6Q</u> | Delegation of Authority to Act in Matters Pertaining to Discrimination Complaints | Diversity and Equal Opportunity | 6/27/13 | 6/27/18 | NPD 3713.6 P |
| <u>NPD 3713.8B</u> | Provision of Reasonable Accommodation for Individuals with Disabilities (Revalidated 11/05/14) | Diversity and Equal Opportunity | 11/5/01 | 11/5/19 | NPD 3713.8 A |
| <u>NPR 3730.1</u> | NASA Suitability Program | Human Capital Management | 6/4/12 | 6/4/19 | |
| <u>NPR 3771.1A</u> | NASA's Administrative Grievance System (AGS) | Human Capital Management | 1/21/16 | 1/21/21 | NPR 3771.1 |
| <u>NPR 3790.1</u> | NASA's Domestic Violence, Sexual Assault, and Stalking Response Plan | Human Capital Management | 6/11/14 | 6/11/19 | |
| <u>NPR 3792.1C</u> | NASA's Plan for A Drug Free Workplace | Human Capital Management | 10/3/14 | 10/3/19 | NPR 3792.1 B |
| <u>NPR 3831.1</u> | Phased Retirement | Human Capital Management | 10/11/16 | 10/11/21 | |

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| <u>NPR 4000.1</u> | NASA Logistics Management Operations . Compensating Controls Reviews, (Revalidated with Change 1) | Strategic Infrastructure | 4/9/10 | 4/9/20 | |
| <u>NPD 4100.1C</u> | Supply Support and Material Management Policy | Strategic Infrastructure | 9/13/14 | 9/13/19 | NPD 4100.1 B |
| <u>NPR 4100.1F</u> | NASA Supply Support and Material Management | Strategic Infrastructure | 3/7/17 | 3/7/22 | NPR 4100.1 D |
| NPD 4200.1C | Equipment Management | Strategic Infrastructure | 7/31/13 | 7/31/18 | NPD 4200.1 B |
| NPR 4200.1H | NASA Equipment Management Procedural Requirements | Strategic Infrastructure | 3/8/17 | 3/8/22 | NPR 4200.1 G , NPR 4200.2 B |
| <u>NPD 4300.1B</u> | NASA Personal Property Disposal Policy (Revalidated 5/2/2016 w/Change 2) | Strategic Infrastructure | 2/19/99 | 8/22/21 | NPD 4300.1 A , NPD 4300.1 B |
| <u>NPR 4300.1C</u> | NASA Personal Property Disposal Procedural Requirements | Strategic Infrastructure | 6/27/13 | 6/27/18 | NPD 4300.4 D , NPR 4300.1 A |
| <u>NPR 4310.1A</u> | Artifact Identification and Disposition | Strategic Infrastructure | 5/12/14 | 5/12/19 | NPR 4310.1 |
| <u>NPD 4500.1</u> | Administration of Property in the Custody of Contractors | Strategic Infrastructure | 9/12/13 | 9/12/18 | |
| <u>NPR 4500.1</u> | Administration of Property in the Custody of Contractors | Strategic Infrastructure | 2/24/14 | 2/24/19 | |
| <u>NPD 5000.2D</u> | Small Business Subcontracting Goals | Small Business Programs | 8/12/16 | 8/12/21 | NPD 5000.2 C |

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| <u>NPD 5101.1E</u> | Requirements for Legal Review of Procurement Matters (Revalidated w/change 2, dated 12/15/2017) | General Counsel | 9/15/97 | 9/15/22 | NPD 5101.1 D |
| <u>NPD 5101.32E</u> | Procurement, Financial Assistance (Revalidated w/change 2) | Procurement | 7/28/13 | 3/30/22 | NPD 5101.3 2D |
| <u>NPR 5101.33A</u> | Procurement Advocacy Programs w/Change 5, Revalidated 3/27/2017 | Procurement | 5/19/00 | 3/27/22 | |
| <u>NPD 5104.1</u> | Government Charge Cards | Mission Support Directorate | 8/19/16 | 8/19/21 | NPD 9070.2 |
| <u>NPD 5109.1</u> | Small Business Program | Small Business Program | 6/1/16 | 6/1/21 | |
| <u>NPR 5810.1D</u> | Industrial Labor Relations Manual (Revalidated April 10, 2013, with admin. changes) | Strategic Infrastructure | 6/23/97 | 6/30/18 | |
| <u>NPR 5810.1A</u> | NPR 5810.1, Standard Format for NASA Research Announcement and Other Announcements for Grants and Cooperative Agreements | Procurement | 3/16/17 | 3/16/22 | NPR 5810.1 |
| <u>NPD 6000.1D</u> | Transportation Management | Strategic Infrastructure | 4/21/14 | 4/21/19 | NPD 6000.1 C |
| <u>NPR 6000.1H</u> | Requirements for Packaging, Handling, and Transportation for Aeronautical and Space Systems, Equipment, and | Strategic Infrastructure | 11/10/10 | 6/10/18 | NPR 6000.1 G |

| | Associated Components | | | | |
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| <u>NPR 6200.1D</u> | NASA Transportation and General Traffic Management | Strategic Infrastructure | 5/1/14 | 5/1/19 | NPR 6200.1C |
| <u>NPD 7010.1K</u> | Processing Legislative Proposals for Incorporation into the NASA Authorization Bill (Revalidated w/change 1 - 12/18/2012) | Legislative and Intergovernmental Affairs | 6/19/02 | 3/19/18 | NPD 7010.1J |
| <u>NPR 7100.1</u> | Protection of Human Research Subjects (Revalidated 6/26/14) | Chief Health and Medical Officer | 3/28/03 | 4/28/19 | |
| <u>NPD 7100.10F</u> | Curation of Institutional Scientific Collections | Chief Scientist | 5/26/16 | 5/26/21 | NPD 7100.1OE |
| <u>NPD 7100.8E</u> | Protection of Human Research Subjects (Revalidated with admin. changes 12/18/2012) | Chief Health and Medical Officer | 5/31/02 | 3/31/18 | NPD 7100.8D |
| <u>NPR 7120.10A</u> | Technical Standards for NASA Programs and Projects | Chief Engineer | 2/21/17 | 2/21/22 | NPR 7120.10 |
| <u>NPR 7120.11</u> | NASA Health and Medical Technical Authority (HMTA) implementation | Chief Health and Medical Officer | 11/1/11 | 4/1/18 | |
| <u>NPD 7120.4E</u> | NASA Engineering and Program/Project Management Policy | Chief Engineer | 6/26/17 | 6/26/22 | NPD 7120.4D |

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| <u>NPR 7120.5E</u> | NASA Space Flight Program and Project Management Requirements w/Changes 1-15 | Chief Engineer | 8/14/12 | 8/14/18 | NPR 7120.5 D |
| <u>NPD 7120.6</u> | Knowledge Policy on Programs and Projects | Chief Engineer | 11/26/13 | 11/26/18 | NPR 7120.6 |
| <u>NPR 7120.7</u> | NASA Information Technology and Institutional Infrastructure Program and Project Management Requirements | Chief Information Officer | 11/3/08 | 7/3/18 | NPR 7120.5 C |
| <u>NPR 7120.8</u> | NASA Research and Technology Program and Project Management Requirements (w/change 4 dated 01/04/2017) | Chief Engineer | 2/5/08 | 2/5/18 | |
| <u>NPR 7123.1B</u> | NASA Systems Engineering Processes and Requirements (Updated w/Change 4) | Chief Engineer | 4/18/13 | 4/18/18 | NPR 7123.1 A |
| <u>NPR 7150.2B</u> | NASA Software Engineering Requirements | Chief Engineer | 11/19/14 | 11/19/19 | NPR 7150.2 A |
| <u>NPD 7330.1I</u> | Approval Authorities for Facility Projects | Strategic Infrastructure | 1/6/16 | 1/6/21 | NPD 7330.1 H |
| <u>NPD 7410.1H</u> | Management of Contract and Grant Support Services Obtained from External Sources (Revalidated 10/21/2013) | Chief Financial Officer | 8/27/03 | 8/27/18 | NPD 7410.1 G |

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| <u>NPD 7410.3J</u> | Performance of Commercial Activities (REVALIDATED 8/27/2013) | Procurement | 10/17/03 | 10/17/18 | NPD 7410.3I |
| <u>NPD 7500.1D</u> | Program and Project Life-Cycle Logistics Support Policy | Strategic Infrastructure | 3/2/15 | 3/2/20 | NPD 7500.1 C |
| <u>NPR 7500.2</u> | NASA Technology Transfer Requirements | Space Technology Mission Directorate | 12/19/14 | 12/19/19 | NPD 2110.1 F, NPD 7500.2 B, NPR 7500.1 |
| <u>NPD 7620.1I</u> | Official Names for Major NASA Projects (Revalidated w/Change 1) | NG | 2/14/00 | 2/14/20 | NPD 7620.1 H |
| <u>NPR 7900.3D</u> | Aircraft Operations Management | Strategic Infrastructure | 5/1/17 | 5/1/22 | NPR 7900.3 C, NPR 7900.3 D |
| <u>NPD 7900.4D</u> | NASA Aircraft Operations Management, Updated with Change 1, March 10, 2015 | Strategic Infrastructure | 7/7/14 | 7/7/19 | NPD 7900.4 C |
| <u>NPR 8000.4B</u> | Agency Risk Management Procedural Requirements | Safety and Mission Assurance | 12/6/17 | 12/6/22 | NPR 8000.4 A |
| <u>NPD 8010.3B</u> | Notification of Intent to Decommission or Terminate Operating Space Systems and Terminate Missions (Revalidated 6/30/2014) | Science Mission Directorate | 6/14/04 | 6/14/19 | NPD 8010.3 A |
| <u>NPR 8020.12D</u> | Planetary Protection | Science Mission Directorate | 4/20/11 | 12/20/18 | NPR 8020.12 C |

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| | Provisions for Robotic Extraterrestrial Missions | | | | |
| <u>NPD 8020.7G</u> | Biological Contamination Control for Outbound and Inbound Planetary Spacecraft (Revalidated 05/17/13 w/change 1) | Safety and Mission Assurance | 2/19/99 | 2/19/18 | NPD 8020.7 F |
| <u>NPD 8074.1</u> | Management and Utilization of NASA's Space Communication and Navigation Infrastructure Revalidated 2/27/15 | Human Explorations and Operations Mission Directorate | 8/11/09 | 8/11/20 | |
| <u>NPD 8091.1</u> | NASA Chemical Rocket Propulsion Testing, Revalidated 3/16/2015 | Human Explorations and Operations Mission Directorate | 2/4/10 | 2/4/20 | |
| <u>NPD 8500.1C</u> | NASA Environmental Management | Strategic Infrastructure | 12/2/13 | 12/2/18 | NPD 8500.1 B |
| <u>NPR 8510.1A</u> | NASA Cultural Resources Management | Strategic Infrastructure | 6/20/17 | 9/15/22 | NPR 8510.1 |
| <u>NPR 8530.1B</u> | NASA Sustainable Acquisition | Strategic Infrastructure | 8/29/16 | 8/29/21 | NPR 8530.1 A |
| <u>NPR 8553.1B</u> | NASA Environmental Management System (Revalidated 8/28/2014) | Strategic Infrastructure | 9/22/09 | 9/22/19 | NPR 8553.1 A |
| <u>NPR 8570.1A</u> | NASA Energy Management Program | Strategic Infrastructure | 7/12/13 | 7/12/18 | NPR 8570.1 |

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| <u>NPR 8580.1A</u> | Implementing the National Environmental Policy Act and Executive Order 12114 (Revalidated with Change 1 on 9/6/2017) | Strategic Infrastructure | 8/1/12 | 9/30/22 | NPR 8580.1 |
| <u>NPR 8590.1A</u> | Environmental Compliance and Restoration Program (Revalidated on April 5, 2016 with Change 1) | Strategic Infrastructure | 7/18/11 | 4/5/21 | NPR 8590.1 |
| <u>NPD 8610.12H</u> | Orbital Space Transportation Services | Human Explorations and Operations Mission Directorate | 9/23/15 | 9/23/20 | NPD 8610.1 2G |
| <u>NPD 8610.23C</u> | Launch Vehicle Technical Oversight Policy (Revalidated 4/12/17) | Human Explorations and Operations Mission Directorate | 8/18/06 | 4/18/22 | NPD 8610.2 3A |
| <u>NPD 8610.24C</u> | Launch Services Program Pre-Launch Readiness Reviews- Revalidated August 17, 2015 | Human Explorations and Operations Mission Directorate | 5/12/05 | 5/12/20 | NPD 8610.2 4B |
| <u>NPD 8610.6G</u> | Graphic Markings on Space Transportation Vehicles, U.S. Components of the International Space Station Component Systems, and Payloads (Revalidated 4/14/2015) | Human Explorations and Operations Mission Directorate | 10/30/03 | 10/30/20 | NPD 8610.6 F |
| <u>NPD 8610.7D</u> | Launch Services Risk Mitigation Policy for NASA-Owned and/or | Human Explorations and Operations Mission Directorate | 1/31/08 | 1/31/18 | NPD 8610.7 C |

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| | NASA-Sponsored Payloads/Missions - Revalidated w/Change 1 (08/27/2012) | | | | |
| <u>NPR 8621.1C</u> | NASA Procedural Requirements for Mishap and Close Call Reporting, Investigating, and Recordkeeping | Safety and Mission Assurance | 5/19/16 | 5/19/21 | NPR 8621.1F |
| <u>NPD 8700.1E</u> | NASA Policy for Safety and Mission Success (Revalidated 12/6/2013) | Safety and Mission Assurance | 10/28/08 | 10/28/18 | NPD 8700.1 C |
| <u>NPR 8705.2C</u> | Human-Rating Requirements for Space Systems | Safety and Mission Assurance | 7/10/17 | 7/10/22 | NPR 8705.2 B |
| <u>NPR 8705.4</u> | Risk Classification for NASA Payloads (Updated w/change 3) | Safety and Mission Assurance | 6/14/04 | 6/14/19 | |
| <u>NPR 8705.5A</u> | Technical Probabilistic Risk Assessment (PRA) Procedures for Safety and Mission Success for NASA Programs and Projects (Rev. w./Change 1) | Safety and Mission Assurance | 6/7/10 | 6/7/22 | NPR 8705.5 |
| <u>NPR 8705.6C</u> | Safety and Mission Assurance (SMA) Audits, Reviews, and Assessments | Safety and Mission Assurance | 1/9/17 | 1/9/22 | NPR 8705.6 B |
| <u>NPD 8710.1D</u> | Emergency Management Program (Revalidated September 13, 2016) | Protective Services | 11/8/06 | 11/8/21 | NPD 8710.1 B |
| <u>NPD 8710.5D</u> | Policy for Pressure Vessels and Pressurized | Safety and Mission Assurance | 3/12/08 | 3/12/18 | NPD 8710.5 C |

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| | Systems (Revalidated 3/25/13 with Change 1) | | | | |
| <u>NPR 8715.1A</u> | NASA Occupational Safety and Health Programs | Safety and Mission Assurance | 3/5/12 | 11/5/18 | NPR 8715.1 |
| <u>NPR 8715.2B</u> | NASA Emergency Management Program Procedural Requirements | Protective Services | 11/19/14 | 11/19/19 | NPR 8715.2 A |
| <u>NPR 8715.3D</u> | NASA General Safety Program Requirements | Safety and Mission Assurance | 8/1/17 | 8/1/22 | NPR 8715.3 C |
| <u>NPR 8715.5A</u> | Range Flight Safety Program (updated with Change 2) | Safety and Mission Assurance | 9/17/10 | 3/17/18 | NPR 8715.5 |
| <u>NPR 8715.6B</u> | NASA Procedural Requirements for Limiting Orbital Debris and Evaluating the Meteoroid and Orbital Debris Environments | Safety and Mission Assurance | 2/16/17 | 2/16/22 | NPR 8715.6 A |
| <u>NPR 8715.7A</u> | Expendable Launch Vehicle (ELV) Payload Safety Program | Safety and Mission Assurance | 2/24/14 | 2/24/19 | NPR 8715.7 |
| <u>NPD 8720.1C</u> | NASA Reliability and Maintainability (R&M) Program Policy (Revalidated with change 1, April 16, 2013 | Safety and Mission Assurance | 4/18/08 | 11/18/18 | NPD 8720.1 B |
| <u>NPD 8730.1C</u> | Metrology and Calibration (Update w/change 2) | Safety and Mission Assurance | 6/27/11 | 6/27/21 | NPD 8730.1 B |
| <u>NPD 8730.2C</u> | NASA Parts Policy (Revalidated 12/6/13) | Safety and Mission Assurance | 11/3/08 | 11/3/18 | NPD 8730.2 B |

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| <u>NPD 8730.5B</u> | NASA Quality Assurance Program Policy | Safety and Mission Assurance | 10/27/05 | 10/27/21 | NPD 8730.5 |
| <u>NPR 8735.1C</u> | Procedures for Exchanging Parts, Materials, Software, and Safety Problem Data Utilizing the Government-Industry Data Exchange Program (GIDEP) and NASA Advisories | Safety and Mission Assurance | 2/13/13 | 9/13/18 | NPR 8735.1 B |
| <u>NPR 8735.2B</u> | Management of Government Quality Assurance Functions for NASA Contracts | Safety and Mission Assurance | 8/12/13 | 8/12/18 | NPR 8735.2 A |
| <u>NPD 8740.1</u> | Notification and Communications Regarding Potential Near-Earth Object Threats | Science Mission Directorate | 1/27/17 | 1/27/22 | |
| <u>NPD 8800.14E</u> | Policy for Real Estate Management | Strategic Infrastructure | 6/3/15 | 6/3/20 | NPD 8800.1 4D |
| <u>NPR 8800.15C</u> | Real Estate Management Program w/Change 1, February 24, 2015 | Strategic Infrastructure | 10/30/14 | 10/30/19 | NPR 8800.15 B |
| <u>NPR 8810.1A</u> | Center Master Planning | Strategic Infrastructure | 2/13/13 | 2/13/18 | NPR 8810.1 |
| <u>NPD 8810.2A</u> | Master Planning for Real Property (Revalidated w/Change 1 on February 20, 2015) | Strategic Infrastructure | 12/9/09 | 2/20/20 | NPD 8810.2 |
| <u>NPD 8820.2D</u> | Design and Construction of Facilities | Strategic Infrastructure | 7/2/13 | 7/2/18 | NPD 8820.2 C |

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| <u>NPR 8820.2G</u> | Facility Project Requirements (FPR) | Strategic Infrastructure | 6/5/14 | 6/5/19 | NPR 8820.2F |
| <u>NPD 8831.1E</u> | Maintenance and Operations of Institutional and Program Facilities and Related Equipment (Revalidated June 17, 2013 w/Change 1) | Strategic Infrastructure | 6/19/03 | 7/19/18 | NPD 8831.1 D |
| <u>NPR 8831.2E</u> | Facilities Maintenance and Operations Management (Updated w/Change 1 on September 2, 2016) | Strategic Infrastructure | 10/7/15 | 10/7/20 | NPR 8831.2E |
| <u>NPR 8900.1B</u> | NASA Health and Medical Requirements for Human Space Exploration | Chief Health and Medical Officer | 12/16/16 | 12/16/21 | NPR 8900.1 A |
| <u>NPD 8900.1G</u> | MEDICAL OPERATIONS RESPONSIBILITIES IN SUPPORT OF HUMAN SPACE FLIGHT PROGRAMS (Revalidated with change 2 dated 8/25/17) | Chief Health and Medical Officer | 5/31/02 | 5/31/22 | NPD 8900.1 F |
| <u>NPD 8900.3H</u> | Astronaut Medical and Dental Observation Study and Care Program, Revalidated with Change 1 | Chief Health and Medical Officer | 8/16/11 | 8/16/22 | NPD 8900.3 G |
| <u>NPD 8900.4D</u> | NASA Use of Global Positioning System Precise Positioning Service | Human Explorations and Operations | 4/27/99 | 4/27/19 | NPD 8900.4 C |

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| | (Revalidated 9/25/14) | | | | |
| <u>NPD 8900.5B</u> | NASA Health and Medical Policy for Human Space Exploration (Revalidated 3/28/17) | Chief Health and Medical Officer | 12/22/11 | 12/22/22 | NPD 8900.5 A |
| <u>NPD 8910.1B</u> | Care and Use of Animals (Revalidated 6/25/13) | Chief Health and Medical Officer | 5/28/08 | 5/28/18 | NPD 8910.1 A |
| <u>NPR 8910.1C</u> | Care and Use of Animals (updated w/Change 2 on 3/20/14) | Chief Health and Medical Officer | 12/2/11 | 6/2/18 | NPR 8910.1 B |
| <u>NPR 9010.1</u> | Financial Management Requirements Overview (Revalidated w/change 1 - 7/10/12) | Chief Financial Officer | 9/30/08 | 6/30/18 | |
| <u>NPD 9010.2A</u> | Financial Management | Chief Financial Officer | 5/6/13 | 5/6/18 | NPD 9010.2 |
| <u>NPR 9010.2A</u> | The Continuous Monitoring Program and Financial Management Operating Procedures | Chief Financial Officer | 8/9/12 | 5/9/18 | NPR 9010.2 |
| <u>NPR 9010.3</u> | Financial Management Internal Control | Chief Financial Officer | 9/30/08 | 5/30/18 | |
| <u>NPR 9050.1A</u> | Official Representational Fund w/Changes 1 - 5 (Revalidated 05/10/2012) | Administrator | 11/4/02 | 5/4/18 | |
| <u>NPD 9050.1B</u> | Official Representational Fund w/Changes 1- | Administrator | 2/11/03 | 2/11/18 | NPD 9050.1 A, NPR 9050.1 A |

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| | 2 (Revalidated 05/10/12) | | | | |
| <u>NPR 9050.2A</u> | Advances and Prepayments | Chief Financial Officer | 7/26/11 | 3/26/18 | NPR 9050.2 |
| <u>NPR 9050.3</u> | The Antideficiency Act (Revalidated May 21, 2013 w/change 1) | Chief Financial Officer | 9/30/08 | 9/30/18 | |
| <u>NPD 9050.3F</u> | Administrative Control of Appropriations and Funds - Revalidated 1/9/17) | Chief Financial Officer | 11/16/07 | 11/16/22 | NPD 7000.3 E , NPD 9050.3 E |
| <u>NPD 9050.6K</u> | NASA Exchange and Morale Support Activities | Strategic Infrastructure | 3/2/15 | 3/2/20 | NPD 9050.6J |
| <u>NPR 9060.1A</u> | Accrual Accounting - Revenues, Expenses, and Program Costs | Chief Financial Officer | 5/2/16 | 5/2/21 | NPR 9060.1 |
| <u>NPD 9080.1H</u> | Review, Approval, and Imposition of User Charges | Chief Financial Officer | 8/22/14 | 8/22/19 | NPD 9080.1 G |
| <u>NPR 9090.1A</u> | Reimbursable Agreements | Chief Financial Officer | 2/25/13 | 2/25/18 | NPR 9090.1 |
| <u>NPR 9095.1</u> | Working Capital Fund Policies and Requirements | Chief Financial Officer | 9/30/08 | 2/9/18 | |
| <u>NPR 9130.1</u> | NASA Financial Information Systems | Chief Financial Officer | 9/30/08 | 9/30/18 | |
| <u>NPR 9200.1</u> | Accounting General Overview (Revalidated 3/27/13 with Change 1) | Chief Financial Officer | 9/30/08 | 9/30/18 | |
| <u>NPR 9210.1A</u> | Fund Balance with Treasury | Chief Financial Officer | 7/3/14 | 7/3/19 | NPR 9210.1 |
| <u>NPR 9220.1B</u> | Journal Voucher Preparation and Approval and | Chief Financial Officer | 2/9/17 | 2/9/21 | NPR 9220.1 A |

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| | Intragovernmental Transactions | | | | |
| <u>NPD 9250.1A</u> | Capital Asset Identification and Treatment | Chief Financial Officer | 10/8/10 | 10/8/19 | NPD 9250.1 |
| <u>NPR 9250.1C</u> | Property, Plant, and Equipment and Operating Materials and Supplies | Chief Financial Officer | 10/29/15 | 10/29/20 | NPR 9250.1 B |
| <u>NPR 9260.1A</u> | Liabilities | Chief Financial Officer | 2/9/15 | 2/9/20 | NPR 9260.1 |
| <u>NPR 9290.1A</u> | Special Accounts | Chief Financial Officer | 4/11/11 | 3/11/18 | NPR 9290.1 |
| <u>NPR 9310.1A</u> | Financial Management Reports - Accounting, Updated w/Change 1 | Chief Financial Officer | 3/15/13 | 3/15/18 | NPR 9310.1 |
| <u>NPD 9310.1D</u> | Delegation of Authority - To Submit the Agency Report on Fund Status and the Agency Certification of Obligations and Expenditures - REVALIDATED W/CHANGE 3 | Chief Financial Officer | 5/30/07 | 5/30/22 | NPD 9310.1 C |
| <u>NPR 9330.1</u> | External Reports-Budget | Chief Financial Officer | 8/1/17 | 8/1/22 | NPR 9311.1 |
| <u>NPR 9420.1A</u> | Budget Formulation | Chief Financial Officer | 9/7/16 | 9/7/21 | NPR 9420.1 |
| <u>NPR 9470.1</u> | Budget Execution | Chief Financial Officer | 12/24/08 | 5/24/18 | |
| <u>NPD 9501.1I</u> | NASA Contractor Financial Management Reporting System (Revalidated w/Change 1) | Chief Financial Officer | 10/27/10 | 10/27/20 | NPD 9501.1 H |

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| <u>NPR 9501.2E</u> | NASA Contractor Financial Management Reporting, REVALIDATED W/CHANGE 1 | Chief Financial Officer | 5/27/11 | 11/27/22 | NPR 9501.2 D |
| <u>NPR 9610.1A</u> | Accounts Receivable, Billing, and Collection | Chief Financial Officer | 10/29/15 | 10/29/20 | NPR 9610.1 , NPR 9635.1 |
| <u>NPR 9620.1A</u> | Payroll | Chief Financial Officer | 5/17/17 | 5/17/22 | NPR 9620.1 |
| <u>NPR 9630.1</u> | Accounts Payable and Disbursements | Chief Financial Officer | 7/10/17 | 7/10/22 | NPR 9050.4 , NPR 9615.1 , NPR 9631.1 |
| <u>NPD 9630.3</u> | Designation of Authority to Render Advice on Questions Involving the Propriety of Certain Payments (Revalidated 12/03/2015) | Chief Financial Officer | 1/2/02 | 1/2/20 | NPD 9630.3 E |
| <u>NPD 9630.4</u> | Approval of Early Payment to Contractors Under the Prompt Payment Act (Revalidated 9/1/15) | Chief Financial Officer | 10/26/06 | 10/26/20 | NPD 7410.5 D |
| <u>NPR 9640.1</u> | Financial Management of Contractor Claims Against NASA | Chief Financial Officer | 1/18/13 | 1/18/18 | |
| <u>NPR 9645.1</u> | Claims for Erroneous Payment of Pay and Allowances, Travel and Transportation, Relocation Expenses and Allowances | Chief Financial Officer | 9/30/08 | 3/30/18 | |
| <u>NPD 9645.2E</u> | Delegation of Authority-To | Chief Financial Officer | 4/11/03 | 4/11/18 | NPD 9645.2 D |

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| | Waive Claims for Erroneous Payment of Pay and Allowances, Travel, Transportation, and Relocation Expenses and Allowances- Revalidated (3/27/2013) with Change 1 | | | | |
| <u>NPR 9660.1A</u> | Vital Financial Records for Emergency Operations | Chief Financial Officer | 12/19/13 | 12/19/18 | NPR 9660.1 |
| <u>NPR 9680.1B</u> | NASA's Grants and Cooperative Agreements Advance Payments | Chief Financial Officer | 8/13/15 | 8/13/20 | NPR 9680.1 A |
| <u>NPR 9690.1</u> | Investments (Revalidated, December 11, 2017) | Chief Financial Officer | 9/30/08 | 9/30/22 | |
| <u>NPR 9700.1</u> | Travel (w/change 3) dated September 12, 2011 | Chief Financial Officer | 9/30/08 | 7/30/18 | |
| <u>NPD 9710.1W</u> | Delegation of Authority - To Authorize or Approve Temporary Duty Travel on Official Business and Related Matters | Chief Financial Officer | 5/8/13 | 5/8/18 | NPD 9710.1 V |
| <u>NPD 9741.1B</u> | Delegation of Authority-To Grant Exemptions from the Mandatory Use of the Government Contractor-Issued Travel Card (Revalidated w/Change 1) | Chief Financial Officer | 1/11/01 | 1/11/20 | NPD 9741.1 A |

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| <u>NPR 9770.1</u> | NASA Conference Approval and Reporting | Chief Financial Officer | 6/9/17 | 6/9/22 | |
| <u>NPR 9780.1</u> | Payment of Expenses Connected With the Death of Certain Employees | Chief Financial Officer | 1/17/17 | 1/17/22 | |
| <u>NPR 9790.1</u> | Payment of Travel Expense from a Non-Federal Source | Chief Financial Officer | 8/30/17 | 8/30/22 | |
| <u>NPD 9800.1B</u> | NASA Office of Inspector General Programs - Revalidated 5/23/2014 | Inspector General | 6/9/09 | 6/9/19 | NPD 9800.1 A |
| <u>NPD 9910.1A</u> | Government Accountability Office/NASA Office of Inspector General Audit Liaison, Resolution, and Follow-up Program - Updated w/Change 6 | Mission Support Directorate | 6/28/06 | 6/28/20 | NPD 9910.1 |

Current Directives count = 274

Appendix BB

Nuclear Regulatory Commission



CHAIRMAN

UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

February 12, 2018

The Honorable Trey Gowdy
Chairman, Committee on Oversight
and Government Reform
United States House of Representatives
Washington, DC 20515

Dear Mr. Chairman:

On behalf of the U.S. Nuclear Regulatory Commission (NRC), I am responding to your December 8, 2017, letter requesting a list of guidance documents issued by the NRC since January 1, 2008. The NRC has a consolidated website¹ where it posts links to the wide variety of documents issued by the agency, including the majority of its guidance documents. The NRC issues guidance in various forms, including as Regulatory Guides and NUREG Series publications. Please find enclosed the list of guidance documents that you requested.

As an independent regulatory agency, portions of the sixth criterion listed in your letter do not apply to the NRC. However, we have identified whether the listed guidance documents were considered "major rules" under the Congressional Review Act (CRA). We have also indicated when the NRC requested a major/non-major rule determination under the CRA and/or review under the Paperwork Reduction Act from the Office of Information and Regulatory Affairs within the Office of Management and Budget for the listed guidance documents. The NRC has also provided accession (or "ML") numbers for documents that are publicly available in the NRC's Agencywide Documents Access and Management System.

I hope this information meets the Committee's needs. If you need anything additional, please contact me or have your staff contact Eugene Dacus, Director of the Office of Congressional Affairs, at [REDACTED].

Sincerely,

A handwritten signature in blue ink, appearing to read "K. Svinicki", is written over a blue horizontal line.

Kristine L. Svinicki

Enclosure:
As stated

cc: Representative Elijah E. Cummings

¹ <https://www.nrc.gov/reading-rm/doc-collections/>.

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| | A | B | C | D | E | F | G | H | I | J |
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| 1 | | | | | | | | | | |
| 2 | Office of Administration | | | | | | | | | |
| 3 | 1. Title | 2. Form of Guidance | 3. Subject Description | 4. Date of Issuance | 5. Issuing Office | 6a. Major rule under CRA? | 6b. Date Requested OMB Major/Non-Major CRA Determination and/or Submitted to OMB under Paperwork Reduction Act (PRA)** | 6c. Date submitted to Congress and GAO Under CRA | 7. If Applicable, Hyperlink or ADAMS Number | 7. If applicable, Federal Register Citation |
| 4 | Management Directive 12.3, NRC Personnel Security Program | Management Directive | Outlines the Personnel Security Program for the NRC | 08/08/2013 | ADM | No | 09/04/2013 | 11/12/2013 | ML13151A402 | N/A |
| 5 | Management Directive 11.1, NRC Acquisition of Supplies and Services | Management Directive | Outlines the Acquisition Program for the NRC | 05/09/2014 | ADM | No | 05/13/2014 | 06/05/2014 | ML13322B277 | N/A |
| 6 | | | | | | | | | | |
| 7 | | | | | | | | | | |
| 8 | Office of the Chief Financial Officer | | | | | | | | | |
| 9 | 1. Title | 2. Form of Guidance | 3. Subject Description | 4. Date of Issuance | 5. Issuing Office | 6a. Major rule under CRA? | 6b. Date Requested OMB Major/Non-Major CRA Determination and submitted to OMB Under Paperwork Reduction Act | 6c. Date submitted to Congress and GAO Under CRA | 7. If Applicable, Hyperlink or ADAMS Number | 7. If applicable, Federal Register Citation |
| 10 | License Fees FAQ | FAQ | Provides responses to frequently asked questions including general questions, materials license annual fee, annual small entity certification and payment of invoice. | ongoing | OCFO | No | **** | **** | https://www.nrc.gov/about-nrc/regulatory/licensing/fees.htm#faq | N/A |
| 11 | Management Directive 11.6, "Financial Assistance Program" | Management Directive | Outlines procedures for the NRC financial assistance program | 07/28/2017 | OCFO | No | **** | **** | https://www.nrc.gov/docs/ML1625/ML16253A024.pdf | N/A |
| 12 | NUREG/BR-0254, Revision 7, Payment Methods | NUREG | Provides payment methods accepted by the NRC for payment of an annual fee, a proposed or imposed civil penalty, a full cost licensing or inspection invoice, or other fees | 07/29/2015 | OCFO | No | 06/02/2017 (most recent PRA) | **** | ML15209A661 | N/A |
| 13 | | | | | | | | | | |
| 14 | Office of Enforcement | | | | | | | | | |
| 15 | 1. Title | 2. Form of Guidance | 3. Subject Description | 4. Date of Issuance | 5. Issuing Office | 6a. Major rule under CRA? | 6b. Date Requested OMB Major/Non-Major CRA Determination and/or Submitted to OMB under Paperwork Reduction Act (PRA)** | 6c. Date submitted to Congress and GAO Under CRA | 7. If Applicable, Hyperlink or ADAMS Number | 7. If applicable, Federal Register Citation |
| 16 | Nuclear Regulatory Commission Enforcement Manual (Revision 10, Change 1) and all appendices | Guidance | Provides specific guidance to staff on implementation of the NRC enforcement program. | 07/28/2017 | OE | No | N/A* | **** | https://www.nrc.gov/docs/ML1721/ML17212A125.pdf | N/A |
| 17 | Allegation Manual and all appendices | Guidance | This manual describes how allegations are received, evaluated, and closed by the NRC. | 12/22/2016 | OE | No | **** | **** | https://www.nrc.gov/docs/ML1700/ML17003A227.pdf | N/A |

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| 18 | Pre-Investigation Alternative Dispute Resolution (ADR) Brochure | Guidance | The pre-investigation program provides an individual and his/her employer (or former employer) the opportunity to resolve an allegation of discrimination through mediation rather than fully litigate the discrimination allegation or have the NRC initiate an investigation. | 08/01/2010 | OE | No | 02/06/2013 | ***** | https://www.nrc.gov/about-nrc/regulatory/enforcement/adr/pre-invest-brochure.pdf | N/A |
| 19 | Alternative Dispute Resolution (ADR) Brochure-Enforcement ADR | Guidance | Enforcement ADR, formerly known as "post-investigation ADR", refers to the use of mediation either (1) anytime after an investigation by the NRC's Office of Investigations is complete and an enforcement panel concludes that pursuit of an enforcement action appears warranted or (2) for nonwillful, traditional cases with the potential for civil penalties. | 07/01/2011 | OE | No | **** | ***** | https://www.nrc.gov/docs/ML1121/ML11210B507.pdf | N/A |
| 20 | Safety Culture Policy Statement | Policy Statement | Statement of Policy that sets forth expectation that individuals and organizations performing or overseeing regulated activities establish and maintain a positive safety culture. | 06/14/2011 | OE | No | 03/31/2011 | ***** | ML111650336 | 76 FR 34773 |
| 21 | Enforcement Policy | Policy | The NRC Enforcement Policy supports the NRC's mission to ensure adequate protection of public health and safety, promote common defense and security, and protect the environment. | Nov-16 | OE | No | **** | 08/10/2016 | https://www.nrc.gov/docs/ML1627/ML16271A446.pdf | 81 FR 78022 |
| 22 | | | | | | | | | | |
| 23 | | | | | | | | | | |
| 24 | Office of International Programs | | | | | | | | | |
| 25 | 1. Title | 2. Form of Guidance | 3. Subject Description | 4. Date of Issuance | 5. Issuing Office | 6a. Major rule under CRA? | 6b. Date Requested OMB Major/Non-Major CRA Determination and Submitted to OMB under Paperwork Reduction Act | 6c. Date submitted to Congress and GAO Under CRA | 7. If Applicable, Hyperlink or ADAMS Number | 7. If applicable, Federal Register Citation |

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| 26 | International Policy Statement | Commission Policy Statement | The International Policy Statement captures a brief history of almost 40 years of involvement in international activities and how this has impacted the NRC. The International Policy Statement also outlines how international activities directly support the NRC's goals and mission, and enumerates specific elements in which the NRC will proactively engage. | 07/10/2014 | OIP | No | **** | **** | https://www.nrc.gov/docs/ML1413/ML14132A317.pdf | 79 FR 39415 |
| 27 | Import/Export Licensing Frequently Asked Questions | FAQ | Document a series of questions and answers related to import/export licensing | ongoing | OIP | No | **** | ***** | https://www.nrc.gov/about-nrc/ip/faq.html | N/A |
| 28 | | | | | | | | | | |
| 29 | | | | | | | | | | |
| 30 | Office of New Reactors | | | | | | | | | |
| 31 | 1. Title | 2. Form of Guidance | 3. Subject Description | 4. Date of Issuance | 5. Issuing Office | 6a. Major rule under CRA? | 6b. Date Requested OMB Major/Non-Major CRA Determination and/or Submitted to OMB under Paperwork Reduction Act (PRA)** | 6c. Date submitted to Congress and GAO Under CRA | 7. If Applicable, Hyperlink or ADAMS Number | 7. If applicable, Federal Register Citation |
| 32 | NUREG-2194 Standard Technical Specifications, Westinghouse Advanced Passive 1000 (AP 1000) Plants | NUREG | Standard Technical Specifications (STS) for Westinghouse Advanced Passive 1000 (AP1000) plants | Apr-16 | NRO | no | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr2194/ | N/A |
| 33 | DC/COL-ISG-1 Interim Staff Guidance On Seismic Issues of High Frequency Ground Motion | Interim Staff Guidance | Describes how the staff will review seismic issues associated with HF ground motion as addressed in DC applications and COL applications | 05/19/2008 | NRO | no | **** | ***** | ML081400293 | 73 FR 30425 |
| 34 | DC/COL-ISG-2 Interim Staff Guidance on Financial Qualifications of Applicants For Combined License Applications | Interim Staff Guidance | Summarizes the requirements under 10 CFR Section 50.33(f)(1) for COL applicants and corrects the information provided in Section C.IV.5.1 of Regulatory Guide 1.206 | 05/02/2008 | NRO | no | **** | ***** | ML080710301 | N/A |
| 35 | DC/COL-ISG-3 PRA Information to Support Design Certification and Combined License Applications | Interim Staff Guidance | Supplements the guidance provided to the staff in Section 19.0, of the SRP concerning the review of PRA information and severe accident assessment submitted to support DC and COL applications | 06/11/2008 | NRO | no | **** | ***** | ML081430675 | 73 FR 34347 |

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| 36 | COL/ESP-ISG-4 Interim Staff Guidance on the Definition of Construction and on Limited Work Authorizations | Interim Staff Guidance | Provides guidance regarding the definition of construction and the delineation of preconstruction activities and those activities requiring prior approval of the NRC | 03/13/2009 | NRO | no | **** | ***** | ML082970729 | 74 FR 7488 |
| 37 | DC/COL-ISG-5 GALE86 Code for Calculation of Routine Radioactive Releases in Gaseous and Liquid Effluents to Support Design Certification and Combined License Applications | Interim Staff Guidance | Provides a clarification on the use of a newer version of the boiling-water reactor and pressurized-water reactors GALE codes that is not referenced in the current NRC guidance. | 07/10/2008 | NRO | no | **** | ***** | ML081710299 | 73 FR 41129 |
| 38 | DC/COL-ISG-6 Evaluation and Acceptance Criteria for 10 CFR 20.1406 to Support Design Certification and Combined License Applications | Interim Staff Guidance | Clarifies the U.S. Nuclear Regulatory Commission (NRC) position on acceptable levels of detail and content required for an applicant to demonstrate compliance with 10 CFR 20.1406 | 10/09/2009 | NRO | no | **** | ***** | ML092470100 | 74 FR 52267 |
| 39 | DC/COL-ISG-7 Assessment of Normal and Extreme Winter Precipitation Loads on the Roofs of Seismic Category I Structures | Interim Staff Guidance | Clarifies the NRC position on identifying winter precipitation events as site characteristics and site parameters for determining normal and extreme winter precipitation loads on the roofs of Seismic Category I structures | 7/1/2009 | NRO | no | **** | ***** | ML091490556 | 74 FR 31470 |
| 40 | DC/COL-ISG-8 Necessary Content of Plant-Specific Technical Specifications | Interim Staff Guidance | Replaces the related guidance in Chapter 16.0 of the Standard Review Plan (NUREG-0800) regarding the content of plant-specific Technical Specifications to support issuing a COL | 12/09/2008 | NRO | no | **** | ***** | ML083310259 | 73 FR 76685 |
| 41 | DC/COL-ISG-10 Review of Evaluation To Address Adverse Flow Effects in Equipment Other Than Reactor Internals | Interim Staff Guidance | Modifies and provides additional clarity in the guidance for the review of analyses of adverse flow effects in equipment other than reactor internals performed by COL and DC applicants | 11/03/2009 | NRO | no | **** | ***** | ML092890285 | 74 FR 58323 |

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| 42 | DC/COL-ISG-11 Finalizing Licensing-basis Information | Interim Staff Guidance | Clarifies the NRC staff position on finalizing licensing basis information at a point during the licensing review, a so-called freeze point, and the control of licensing basis information during and following the initial review of applications for DCs or COLs | 11/02/2009 | NRO | no | 01/04/2012 | ***** | ML092890623 | 74 FR 57715 |
| 43 | DC/COL-ISG-13 Assessing the Radiological Consequences of Accidental Releases of Radioactive Materials from Liquid Waste Tanks for Combined License Applications | Interim Staff Guidance | Addresses the development of the radiological source term contained in the postulated tank failure and mechanism for the radioactivity to reach groundwater or surface water | 01/22/2013 | NRO | no | 10/27/2011 | 01/24/2013 | ML12191A325 | 78 FR 6149 |
| 44 | DC/COL-ISG-14 Assessing the Radiological Consequences of Accidental Releases of Radioactive Materials from Liquid Waste Tanks in Ground and Surface Waters for Combined License Applications | Interim Staff Guidance | Focuses on characterizing the hydro-geologic conditions of the site where radioactivity is assumed to enter groundwater or surface water and modeling the movement of the radioactivity to points beyond the site boundary | 01/22/2013 | NRO | no | 10/27/2011 | ***** | ML12191A330 | 78 FR 6149 |
| 45 | DC/COL-ISG-15 Post-Combined License Commitments | Interim Staff Guidance | Discusses options regarding completion of COL items that cannot be completed until after issuance of the COL | 02/02/2010 | NRO | no | **** | ***** | ML093561416 | 75 FR 5356 |
| 46 | DC/COL-ISG-17 Ensuring Hazard-Consistent Seismic Input for Site Response and Soil Structure Interaction Analyses | Interim Staff Guidance | Provides the staff position on issues related to Seismic Input for Site Response and Soil Structure Interaction Analyses | 03/24/2010 | NRO | no | **** | ***** | ML100570203 | 75 FR 16202 |
| 47 | DC/COL-ISG-19 Gas Accumulation Issues in Safety Related Systems | Interim Staff Guidance | Clarifies the NRC staff guidance to address issues of gas accumulation in safety related systems | 09/09/2011 | NRO | no | **** | ***** | ML111110572 | 76 FR 58846 |
| 48 | DC/COL-ISG-20 Seismic Margin Analysis for New Reactors Based on Probabilistic Risk Assessment | Interim Staff Guidance | Supplements the guidance provided to the staff in Section 19.0 of the SRP to Support Design Certification and Combined License concerning the review of probabilistic risk assessment (PRA) information and severe accident assessments submitted to support DC and COL applications | 03/15/2010 | NRO | no | 07/03/2012 | ***** | ML100491233 | 75 FR 13610 |

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| 49 | DC/COL-ISG-21 Review of Nuclear Power Plant Designs using a Gas Turbine Driven Standby Emergency Alternating Current Power System | Interim Staff Guidance | Clarifies the NRC staff guidance for review of Nuclear Power Plant designs using a Gas Turbine Driven Standby Emergency Alternating Current (AC) Power system and also revises and updates the guidance previously provided in the SRP related to the Standby Emergency AC Power System | 03/22/2011 | NRO | no | 02/11/2011 | ***** | ML102510119 | 76 FR 17160 |
| 50 | DC/COL-ISG-22 Impact of Construction (Under a Combined License) of New Nuclear Power Plant Units on Operating Units at Multi-Unit Sites | Interim Staff Guidance | Assists the NRC staff in the evaluation of COL applicants' compliance with 10 CFR 52.79(a)(31). 10 CFR 52.79(a)(31) requires COL applicants who intend to construct and operate new units on multi-unit sites to provide an evaluation of the potential hazards to structures, systems, and components important to safety for the operating units resulting from construction activities | 05/11/2012 | NRO | no | 05/02/2011 | ***** | ML112630044 | 77 FR 29701 |
| 51 | DC/COL-ISG-24 Interim Staff Guidance Implementation of Regulatory Guide 1.221 on Design-Basis Hurricane and Hurricane Missiles DC/COL-ISG-024 | Interim Staff Guidance | Provides the staff guidance regarding the application of Regulatory Guide 1.221, "Design-Basis Hurricane and Hurricane Missiles for Nuclear Power Plants," in support of NRC reviews of ESP, DC, and COL applications being performed under the March 2007 version of the SRP | 05/14/2013 | NRO | no | 01/15/2013 | 06/30/2013 | ML13015A693 | 78 FR 31614 |
| 52 | DC/COL-ISG-25 Changes during Construction Under Title 10 of the Code of Federal Regulations Part 52 | Interim Staff Guidance | Provides guidance to the NRC staff on the Preliminary Amendment Request (PAR) review process available to the COL holders | 07/31/2015 | NRO | no | 05/02/2011 | ***** | ML15058A377 | 80 FR 48351 |

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| 53 | COL/ESP-ISG-26 Environmental Issues Associated with New Reactors | Interim Staff Guidance | Clarifies the NRC guidance and application of the Environmental Standard Review Plan, regarding the assessment of construction impacts, greenhouse gas and climate change, socioeconomics, environmental justice, need for power, alternatives, cumulative impacts, and cultural/historical resources as part of the preparation of environmental impact statements for ESP and COL applications | 09/03/2014 | NRO | no | 02/26/2013 | 09/09/2014 | ML14092A402 | 79 FR 52373 |
| 54 | COL/ESP-ISG-27 Specific Environmental Guidance for Light Water Small Modular Reactor | Interim Staff Guidance | Clarifies the NRC guidance and application of NUREG-1555, "Standard Review Plans for Environmental Reviews for Nuclear Power Plants," regarding the assessment of environmental reviews for applications for licenses to construct and operate light water small modular reactors | 8/25/2014 | NRO | no | 02/26/2013 | 09/09/2014 | ML14100A648 | 79 FR 53083 |
| 55 | DC/COL-ISG-28 Assessing the Technical Adequacy of the Advanced Light-Water Reactor Probabilistic Risk Assessment for the Design Certification Application and Combined License Application | Interim Staff Guidance | Addresses how applicants for advanced light water reactors can use ASME /ANS RA-S-2008 Standard for Level 1/Large Early Release Frequency Probabilistic Risk Assessment for Nuclear Power Plant Applications," (the PRA Standard), as endorsed by RG 1.200, Revision 2 | Nov-16 | NRO | no | 08/03/2015 | 12/08/2016 | ML16130A468 | 81 FR 87083 |
| 56 | Commission Policy Statement on the Regulation of Advanced Reactors | Commission Policy Statement | Reinforces the Commission's current policy regarding advanced reactors and includes new items to be considered during the design of these reactors, including security, emergency preparedness, threat of theft, and international safeguards | 10/14/2008 | NRO | no | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/commission/policy/73fr60612.pdf | 73 FR 60612 |
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| 63 | Office of Nuclear Reactor Regulation | | | | | | | | | |
| 64 | NUREG-0654 | NUREG | Criteria for Preparation and Evaluation of Radiological Emergency Response Plans and Preparedness in Support of Nuclear Power Plants (FEMA-REP-1, Revision 1, Supplement 3) | Nov-11 | NRR | No | 07/21/2011 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr0654/r1/s3/ | 76 FR 75771 |
| 65 | NUREG-0711 | NUREG | Human Factors Engineering Program Review Model, Revision 3 | Nov-12 | NRR | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr0711/ | N/A |
| 66 | NUREG-0800 | NUREG | Standard Review Plan for the Review of Safety Analysis Reports for Nuclear Power Plants: LWR Edition | Various Chapters: Dates before and after 2008 | NRR/NRO | No | Various | Various | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr0800/ | Various |
| 67 | NUREG-1021 | NUREG | Operator Licensing Examination Standards for Power Reactors | Feb-17 | NRR | No | 01/04/2017 | 02/28/2017 | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1021/ | 82 FR 10809 |
| 68 | NUREG-1022 | NUREG | Event Reporting Guidelines: 10 CFR 50.72 and 50.73 | Sep-14 | NRR | No | 01/15/2013 | 03/22/2013 | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1022/ | 79 FR 58811 |
| 69 | NUREG-1122 | NUREG | Knowledge and Abilities Catalog for Nuclear Power Plant Operators: Pressurized Water Reactors | Apr-17 | NRR | No | 08/31/2017 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1122/ | 82 FR 18018*** |
| 70 | NUREG-1123 | NUREG | Knowledge and Abilities Catalog for Nuclear Power Plant Operators: Boiling Water Reactors | Apr-17 | NRR | No | 08/31/2017 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1123/ | 82 FR 18018*** |
| 71 | NUREG-1307 | NUREG | Report on Waste Burial Charges: Changes in Decommissioning Waste Disposal Costs at Low-Level Waste Burial Facilities | Mar-17 | NRR | No | 12/18/2012 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1307/ | 82 FR 13677 |
| 72 | NUREG-1430 | NUREG | Standard Technical Specifications — Babcock and Wilcox Plants | Apr-12 | NRR | No | 05/27/2011 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1430/ | 77 FR 27490 |
| 73 | NUREG-1431 | NUREG | Standard Technical Specifications — Westinghouse Plants | Apr-12 | NRR | No | 05/27/2011 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1431/ | 77 FR 27490 |

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| 74 | NUREG-1432 | NUREG | Standard Technical Specifications — Combustion Engineering Plants | Apr-12 | NRR | No | 05/27/2011 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1432/ | 77 FR 27490 |
| 75 | NUREG-1433 | NUREG | Standard Technical Specifications — General Electric Plants (BWR/4) | Apr-12 | NRR | No | 05/27/2011 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1433/ | 77 FR 27490 |
| 76 | NUREG-1434 | NUREG | Standard Technical Specifications — General Electric Plants (BWR/6) | Apr-12 | NRR | No | 05/27/2011 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1434/ | 77 FR 27490 |
| 77 | NUREG-1437 | NUREG | Generic Environmental Impact Statement for License Renewal of Nuclear Plants | Various Supplement s: Dates before and after 2008 | NRR | No | 03/30/2012 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1437/ | 61 FR 28467; 78 FR 37325 |
| 78 | NUREG-1475 | NUREG | Applying Statistics | Mar-11 | NRR | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1475/ | N/A |
| 79 | NUREG-1482 | NUREG | Guidelines for Inservice Testing at Nuclear Power Plants: Inservice Testing of Pumps and Valves and Inservice Examination and Testing of Dynamic Restraints (Snubbers) at Nuclear Power Plants | Oct-13 | NRR | No | 04/04/2013 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1482/ | 78 FR 71676 |
| 80 | NUREG-1530 | NUREG | Reassessment of NRC's Dollar Per Person-Rem Conversion Factor Policy | Aug-15 | NRR | No | 10/13/2017 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1530/ | 80 FR 53585*** |
| 81 | NUREG-1555 | NUREG | Standard Review Plans for Environmental Reviews for Nuclear Power Plants: Environmental Standard Review Plan (with Supplement 1, Revision 1, for Operating Reactor License Renewal) | Jun-13 | NRR | No | 03/30/2012 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1555/ | 78 FR 37325 |
| 82 | NUREG-1800 | NUREG | Standard Review Plan for Review of License Renewal Applications for Nuclear Power Plants, Revision 2 | Dec-10 | NRR | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1800/ | 75 FR 80857 |
| 83 | NUREG-1801 | NUREG | Generic Aging Lessons Learned (GALL) Report | Dec-10 | NRR | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1801/ | 75 FR 80857 |

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| 84 | NUREG-2103 | NUREG | Knowledge and Abilities Catalog for Nuclear Power Plant Operators: Westinghouse AP1000 Pressurized-Water Reactors | Oct-11 | NRR/NRO | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr2103/ | 76 FR 73720*** |
| 85 | NUREG-2104 | NUREG | Knowledge and Abilities Catalog for Nuclear Power Plant Operators: Advanced Boiling-Water Reactors | Dec-11 | NRR/NRO | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr2104/ | 77 FR 3009*** |
| 86 | NUREG-2165 | NUREG | Safety Culture Common Language | Mar-14 | NRR | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr2165/ | N/A |
| 87 | NUREG-2188 | NUREG | U.S. Operating Experience with Thermally Treated Alloy 600 Steam Generator Tubes Through December 2013 | Feb-16 | NRR | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr2188/ | N/A |
| 88 | NUREG-2191 | NUREG | Generic Aging Lessons Learned for Subsequent License Renewal (GALL-SLR) Report | Jul-17 | NRR | No | 12/28/2016 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr2191/ | 82 FR 32588 |
| 89 | NUREG-2192 | NUREG | Standard Review Plan for Review of Subsequent License Renewal Applications for Nuclear Power Plants | Jul-17 | NRR | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr2192/ | 82 FR 32588 |
| 90 | GL-08-01, Managing Gas Accumulation in Emergency Core Cooling, Decay Heat Removal, and Containment Spray Systems | Generic Letter | Addresses the issue of gas accumulation in the emergency core cooling, decay heat removal, and containment spray systems | 01/11/2008 | NRR | No | 02/04/2013 | ***** | ML072910759 | 73 FR 4644 |
| 91 | GL-16-01 Monitoring of Neutron-Absorbing Materials in Spent Fuels Pools | Generic Letter | To address degradation of neutron-absorbing materials in wet storage systems for reactor fuel at power and non-power reactors | 04/07/2016 | NRR | No | 12/31/15 (PRA) | ***** | ML16097A169 | 81 FR 22129 |

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| 92 | BL-11-01 Mitigating Strategies | Bulletin | <p>1. To require that addressees provide a comprehensive verification of their compliance with the regulatory requirements of Title 10 of the Code of Federal Regulations (10 CFR) Section 50.54(hh)(2),</p> <p>2. To notify addressees about the NRC staff's need for information associated with licensee mitigating strategies under 10 CFR 50.54(hh)(2) in light of the recent events at Japan's Fukushima Daiichi facility in order to determine if 1) additional assessment of program implementation is needed, 2) the current inspection program should be enhanced, or 3) further regulatory action is warranted, and</p> <p>3. To require that addressees provide a written response to the NRC in accordance with 10 CFR 50.54(f).</p> | 05/11/2011 | NRR | No | **** | ***** | ML111250360 | N/A |

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| 93 | BL-12-01 Design Vulnerability In Electric Power System | Bulletin | <p>1. To notify the addressees that the NRC staff is requesting information about the facilities' electric power system designs, in light of the recent operating experience that involved the loss of one of the three phases of the offsite power circuit (single-phase open circuit condition) at Byron Station, Unit 2, to determine if further regulatory action is warranted.</p> <p>2. To require that the addressees comprehensively verify their compliance with the regulatory requirements of General Design Criterion (GDC) 17, "Electric Power Systems," in Appendix A, "General Design Criteria for Nuclear Power Plants," to 10 CFR Part 50 or the applicable principal design criteria in the updated final safety analysis report; and the design criteria for protection systems under 10 CFR 50.55a(h)(2) and 10 CFR 50.55a(h)(3).</p> <p>3. To require that addressees respond to the NRC in writing, in accordance with 10 CFR 50.54(f).</p> | 07/27/2012 | NRO, NRR | No | **** | ***** | ML12074A115 | N/A |
| 94 | NRC Inspection Manual | Inspection Manual | NRC Inspection Manual documents issued by the various NRC offices that manage and oversee inspection programs | Various | NRR | No | N/A* | ***** | https://www.nrc.gov/reading-rm/doc-collections/insp-manual/manual-chapter/ | N/A |
| 95 | NRC Inspection Procedure | Inspection Procedure | NRC Inspection Procedure documents issued by the various NRC Offices that perform inspections | Various | NRR | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/insp-manual/inspection-procedure/ | N/A |
| 96 | Risk Assessment of Operational Events Handbook, Volume 1-4 | Guidance Document | Risk Assessment of Operational Events Handbook: Internal Event (Vol. 1), External Events (Vol. 2), SPAR Model Reviews (Vol. 3), Shutdown Events (Vol. 4) | <p>12/01/2017</p> <p>11/01/2017</p> <p>9/01/2011</p> <p>4/1/2011</p> | NRR | No | **** | ***** | <p>ML17348A149</p> <p>ML17349A301 ML102850267</p> <p>ML111370163</p> | N/A |

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| 97 | LR-ISG-2011-1, Revision 1 - "Aging Management of Stainless Steel Structures and Components in Treated Borated Water" | Interim Staff Guidance | Provides guidance as to one acceptable approach for managing the effects of aging at nuclear power plants during the period of extended operation for stainless steel structures and components exposed to treated borated water within the scope of 10 CFR Part 54. This LR-ISG revises the NRC staff's aging management recommendations in NUREG-1800, Revision 2, and NUREG-1801, Revision 2. | 12/18/2012 | NRR | No | **** | ***** | https://www.nrc.gov/docs/ML1228/ML12286A275.pdf | 77 FR 74883 |
| 98 | LR-ISG-2011-02 - "Aging Management Program for Steam Generators" | Interim Staff Guidance | Evaluates the suitability of using Revision 3 of Nuclear Energy Institute (NEI) 97-06, "Steam Generator Program Guidelines," to manage the effects of aging of steam generators and corrects an incorrect revision in NUREG-1801, Revision 2, to the Steam Generator Integrity Assessment Guidelines. | 11/21/2011 | NRR | No | **** | ***** | https://www.nrc.gov/docs/ML1129/ML11297A085.pdf | 76 FR 74834 |
| 99 | LR-ISG-2011-03 - "Changes to the Generic Aging Lessons Learned (GALL) Report Revision 2 Aging Management Program XI.M41, "Buried and Underground Piping and Tanks" | Interim Staff Guidance | Changes GALL Report AMP XI.M41 to provide one acceptable approach for managing the effects of aging of buried and underground piping and tanks within the scope of 10 CFR Part 54. This LR-ISG also changes Table 3.0-1, "FSAR Supplement for Aging Management of Applicable Systems," in NUREG-1800, Revision 2. A licensee may reference this ISG in its nuclear power plant license renewal application to demonstrate that its buried and underground piping and tanks program is acceptable to the staff until the guidance in this LR-ISG is implemented into the next update of the license renewal guidance documents. | 07/26/2012 | NRR | No | 03/30/2012 | 08/06/2012 | https://www.nrc.gov/docs/ML1213/ML12138A296.pdf | 77 FR 46127 |

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| 100 | LR-ISG-2011-04 - "Updated Aging Management Criteria for Reactor Vessel Internal Components of Pressurized Water Reactors" | Interim Staff Guidance | Revises the recommendations in NUREG-1801, Revision 2, and the NRC staff's acceptance criteria and review procedures in NUREG-1800, Revision 2 to ensure consistency with Revision 1 to the Final Safety Evaluation of MRP-227-A, "Pressurized Water Reactor (PWR) Internals Inspection and Evaluation Guidelines." This LR-ISG also provides a framework to ensure that PWR license renewal applicants will adequately address age-related degradation and aging management of reactor vessel internal components during the term of the renewed license(s). | 05/28/2013 | NRR | No | 06/01/2012 | 06/03/2013 | https://www.nrc.gov/docs/ML1227/ML12270A436.pdf | 78 FR 33120 |
| 101 | LR-ISG-2011-05 - "Ongoing Review of Operating Experience" | Interim Staff Guidance | Clarifies the NRC guidance in NUREG-1800, Revision 2, that acceptable nuclear power plant license renewal AMPs should be informed, and enhanced when necessary, based on the ongoing review of both plant-specific and industry operating experience. Specifically, this LR-ISG revises the NRC staff's acceptance criteria and review procedures to better address the ongoing review of operating experience within the SRP-LR. This LR-ISG also better aligns NUREG-1801, Revision 2, with the SRP-LR by incorporating the recommendation for ongoing review of operating experience into each of the program descriptions. This LR-ISG also provides a framework to ensure that license renewal applicants' operating experience review activities will adequately address operating experience concerning age-related degradation and aging management during the term of the renewed license(s). | 03/09/2012 | NRR | No | 03/02/2012 | 03/19/2012 | https://www.nrc.gov/docs/ML1204/ML12044A215.pdf | 77 FR 15818 |

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| 102 | LR-ISG-2012-01 - "Wall Thinning Due to Erosion Mechanisms" | Interim Staff Guidance | Provides interim guidance for an approach acceptable to the NRC staff to manage the effects of aging during the period of extended operation for wall thinning due to various erosion mechanisms for piping and components within the scope of 10 CFR Part 54. This LR-ISG revises NUREG-1801, Revision 2 aging management program XI.M17, "Flow-Accelerated Corrosion." It also supplements, or revises, related aging management recommendations in the NUREG-1801, Revision 2 and NUREG-1800, Revision 2. | 04/25/2013 | NRR | No | 10/01/2012 | 04/26/2013 | https://www.nrc.gov/docs/ML1235/ML12352A057.pdf | 78 FR 25487 |
| 103 | LR-ISG-2012-02 - "Aging Management of Internal Surfaces, Fire Water Systems, Atmospheric Storage Tanks, and Corrosion Under Insulation" | Interim Staff Guidance | Changes NUREG-1801, Revision 2 and NUREG-1800, Revision 2, to provide one acceptable approach for managing the associated aging effects for internal surfaces, fire water systems, atmospheric storage tanks, and corrosion under insulation within the scope of 10 CFR Part 54. | 11/14/2013 | NRR | No | 07/02/2013 | ***** | https://www.nrc.gov/docs/ML1322/ML13227A361.pdf | 78 FR 70076 |
| 104 | LR-ISG-2013-01, LR-ISG-2014-004 - "Aging Management of Loss of Coating or Lining Integrity for Internal Coatings/Linings on In-Scope Piping, Piping Components, Heat Exchangers, and Tanks" | Interim Staff Guidance | Changes NUREG-1801, Revision 2 and NUREG-1800, Revision 2, to provide one acceptable approach for managing the associated aging effects of loss of coating or lining integrity for internal components/linings on piping, piping components, heat exchangers, and tanks within the scope of 10 CFR Part 54. | 11/06/2014 | NRR | No | 03/04/2014 | 12/01/2014 | https://www.nrc.gov/docs/ML1422/ML14225A059.pdf | 79 FR 68308 |
| 105 | LR-ISG-2015-01 - "Changes to Buried and Underground Piping and Tank Recommendations" | Interim Staff Guidance | Changes NUREG-1801, Revision 2 and NUREG-1800, Revision 2, to provide one acceptable approach for managing the associated aging effects for buried and underground piping and tanks within the scope of the 10 CFR Part 54. | 01/28/2016 | NRR | No | 11/30/2015 | 02/08/2016 | https://www.nrc.gov/docs/ML1530/ML15308A018.pdf | 81 FR 6055 |

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| 106 | LR-ISG-2016-01 - "Changes to Aging Management Guidance for Various Steam Generator Components" | Interim Staff Guidance | Changes NUREG-1801, Revision 2 and NUREG-1800, Revision 2, to provide one acceptable approach for managing the associated aging effects for steam generator components within the scope of the 10 CFR Part 54. | 11/30/2016 | NRR | No | 08/31/2016 | 12/14/2016 | https://www.nrc.gov/docs/ML1623/ML16237A383.pdf | 81 FR 88276 |
| 107 | Revised Guidance for Review of Final Licensee Responses to Generic Letter 2004-02, "Potential Impact of Debris Blockage on Emergency Recirculation During Design Basis Accidents at Pressurized Water Reactors" | Guidance | Guidance for responding to GL 2004-02 in the areas of head loss, chemical effects, and coatings | 03/28/2008 | NRR | No | **** | ***** | ML080230234 | 69 FR 57101 |
| 108 | Management Directive 8.7, "Reactor Operating Experience Program" | Management Directive | Provides means for assessing the significance of OpE Information, providing timely and effective communication to stakeholders, and applying the lessons learned to regulatory decisions and programs affecting nuclear reactors. | 09/27/2012 | NRR | No | 11/02/2012 | ***** | ML122750292 | N/A |
| 109 | DSS-ISG-2016-01 and Rev. 1 | Interim Staff Guidance | Clarification of Licensee Actions in Receipt of Enforcement Discretion Per Enforcement Guidance Memorandum EGM 15-002, "Enforcement Discretion for Tornado-generated Missile Protection Noncompliance | 11/22/2017 2/25/2016 | NRR | No | 07/28/2017 1/28/2016 | ***** 02/24/2016 | ML17128A344, ML15348A202 | 82 FR 55657, 81 FR 9518 |
| 110 | DSS-ISG-2010-01 | Interim Staff Guidance | Staff Guidance regarding the Nuclear Criticality Safety Analysis for Spent Fuel Pools | 10/13/2011 | NRR | No | **** | 10/03/2011 | ML110620086 | 76 FR 63676 |
| 111 | DI&C-ISG-03 - Review of New Reactor Digital Instrumentation and Control Probabilistic Risk Assessments | Interim Staff Guidance | Provides acceptable methods for evaluating digital instrumentation and control system risk assessments. The primary purpose of this document is to provide clear guidance on how NRC reviewers should evaluate digital instrumentation and control (I&C) system probabilistic risk assessments (PRAs), including common cause failures in PRAs and uncertainty analysis associated with new reactor digital systems. | 08/11/2008 | NRR | No | **** | ***** | ML080570048 | N/A |

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| 112 | DI&C-ISG-04, Rev 1 - Highly-Integrated Control Rooms—Communications Issues (HICRc) | Interim Staff Guidance | Provides acceptable methods for addressing HICRc in digital I&C system designs, and clarifies the criteria the staff will use to evaluate whether an applicant/licensee digital system design is consistent with HICRc guidelines. | 03/06/2009 | NRR | No | **** | ***** | ML083310185 | N/A |
| 113 | DI&C-ISG-05, Rev 1 - Highly Integrated Control Rooms - Human Factors | Interim Staff Guidance | Provides acceptable methods for addressing HICR—HF in digital I&C system designs, and clarifies the criteria the staff would use to evaluate whether an applicant/licensee digital system design is consistent with HICR—HF guidelines. | 11/03/2008 | NRR | No | **** | ***** | ML082740440 | N/A |
| 114 | DI&C-ISG-06 - Licensing Processes | Interim Staff Guidance | Describes the licensing process that may be used in the review (against licensing criteria – the Standard Review Plan, NUREG-0800) of license amendment requests associated with digital I&C system modifications in operating plants originally licensed under Part 50, and covers activities prior to submittal of a license amendment request. | 01/19/2011 | NRR | No | **** | ***** | ML110140103 | N/A |
| 115 | DI&C-ISG-07 - Fuel Cycle Facilities - DI&C Systems in Safety Applications at Fuel Cycle Facilities | Interim Staff Guidance | Provides licensing review criteria that address acceptable means of implementing digital instrumentation and controls (I&C) applications used to accomplish safety functions in fuel cycle facilities. | 12/1/2010 6/1/2009 | NRR | No | **** | ***** | ML101900316 ML091550599 | 74 FR 38673 |
| 116 | RTR-ISG-2009-001 | Interim Staff Guidance | Interim Staff Guidance on Streamlined Review Process for License Renewal for Research Reactors | 10/16/2009 | NRR | No | **** | ***** | ML092240244 | 74 FR 57206 |
| 117 | OL/FR-ISG-2014-01, "Reviewing and Assessing the Financial Condition of Operating Power Reactor Licensees, Including Requests for Additional Information" | Interim Staff Guidance | Clarifies the process by which the NRC staff will review financial conditions of, and financial concerns about, currently operating power reactor licensees. | 03/04/2015 | NRR | No | 02/02/2015 | 03/12/2015 | ML14218A625 | 80 FR 11691 |

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| 118 | Final Interim Staff Guidance Augmenting NUREG-1537, Parts 1 and 2 | Interim Staff Guidance | Provides guidance for preparing and reviewing applications for radioisotope production facilities and aqueous homogeneous reactors | 10/30/2012 | NRR | No | **** | 11/05/2012 | ML12156A069 ML12156A075 | 77 FR 65728 |
| 119 | Draft Interim Staff Guidance Augmenting NUREG-1537, Chapter 7, "Instrumentation and Control Systems," Parts 1 and 2 | Interim Staff Guidance | Provides updated and consolidated guidance for preparing and reviewing applications for initial licensing or to amend a facility operating license for instrumentation and control (I&C) upgrades at non-power production or utilization facilities, as appropriate. | 11/16/2015 | NRR | No | 08/01/2014 | ***** | ML17248A545 ML17248A546 | 80 FR 70850*** |
| 120 | NSIR/DPR-ISG-01, "Interim Staff Guidance - Emergency Planning for Nuclear Power Plants" | Interim Staff Guidance | Provides updated guidance information for addressing emergency planning (EP) requirements for nuclear power plants (NPPs), and provides additional guidance on one topic not specifically addressed in the EP final rule (i.e., integrating offsite response organization (ORO) event response concepts with onsite EP programs). | 12/05/2011 | NRR | No | 07/21/2011 | ***** | ML113010523 | 76 FR 75771 |
| 121 | JLD-ISG-2012-01 - "Interim Staff Guidance for Compliance with Order EA-12-049 Concerning Mitigation Strategies for Beyond-Design-Basis Events" | Interim Staff Guidance | Provides guidance and clarification to assist nuclear power reactor licensees with the identification of measures needed to comply with requirements contained in Order EA-12-049, "Order Modifying Licenses with Regard to Requirements for Mitigation Strategies for Beyond-Design-Basis External Events," to mitigate challenges to key safety functions. | 08/29/12 | NRR | No | 09/10/2012 | 09/10/2012 | ML12229A174 | 77 FR 55230 |

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| 122 | JLD-ISG-2012-01 - "Interim Staff Guidance for Compliance with Order EA-12-049 Concerning Mitigation Strategies for Beyond-Design-Basis Events" (Rev 1) | Interim Staff Guidance | Provides guidance and clarification to assist nuclear power reactor licensees with the identification of measures needed to comply with requirements contained in Order EA-12-049, "Order Modifying Licenses with Regard to Requirements for Mitigation Strategies for Beyond-Design-Basis External Events," to mitigate challenges to key safety functions. | 01/22/16 | NRR | No | | 02/17/2016 | https://www.nrc.gov/docs/ML1535/ML15357A163.pdf | 81 FR 10283 |
| 123 | JLD-ISG-12-02 - "Interim Staff Guidance for Compliance with Order EA-12-050, Reliable Hardened Vents" | Interim Staff Guidance | Provides guidance and clarification to assist nuclear power reactor applicants and licensees with the identification of measures needed to comply with requirements contained in Order EA-12-050, "Order Modifying Licenses with Regard to Reliable Hardened Containment Vents," to mitigate challenges to key safety functions. | 08/09/12 | NRR | No | 06/15/2012 | 09/10/2012 | https://www.nrc.gov/docs/ML1222/ML12229A475.pdf | 77 FR 55231 |
| 124 | JLD-ISG-12-03 - "Interim Staff Guidance for Compliance with Order EA-12-051, Spent Fuel Pool Instrumentation" | Interim Staff Guidance | Provides guidance and clarification to assist nuclear power reactors applicants and licensees with the identification of measures needed to comply with requirements contained in Order EA-12-051, "Order Modifying Licenses with Regard to Reliable Spent Fuel Pool Instrumentation," to install enhanced spent fuel pool monitoring capability. | 08/29/12 | NRR | No | **** | **** | https://www.nrc.gov/docs/ML1222/ML12221A339.pdf | 77 FR 55232 |
| 125 | JLD-ISG-12-04 - "Interim Staff Guidance on Performing a Seismic Margin Assessment in Response to the March 2012 Request for Information Letter" | Interim Staff Guidance | Provides guidance and clarification to assist nuclear power reactor licensees when responding to the NRC staff's request for information dated March 12, 2012, Enclosure 1, "Recommendation 2.1: Seismic." | 11/16/12 | NRR | No | **** | 11/26/2012 | https://www.nrc.gov/docs/ML1228/ML12286A029.pdf | 77 FR 71019 |

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| 126 | JLD-ISG-12-05 - "Interim Staff Guidance for Performing the Integrated Assessment for External Flooding" | Interim Staff Guidance | Provides guidance and clarification to assist nuclear power reactors applicants and licensees with performing an integrated assessment for external flooding in response to Enclosure 2 of a March 12, 2012, information request. | 11/30/12 | NRR | No | 10/01/2012 | 12/10/2012 | https://www.nrc.gov/docs/ML1231/ML12311A214.pdf | 77 FR 73057 |
| 127 | JLD-ISG-12-06 - "Interim Staff Guidance for Performing a Tsunami, Surge, or Seiche Hazard Assessment" | Interim Staff Guidance | Provides guidance and clarification to assist nuclear power reactors applicants and licensees with the flooding hazard reassessment in response to Enclosure 2 of the NRC staff's request for information, "Request for Information Pursuant to section 50.54(f) of Title 10 of the Code of Federal Regulations (10 CFR), Regarding Recommendations 2.1, 2.3, and 9.3 of the Near-Term Task Force Review of Insights from the Fukushima Dai-ichi Accident," dated March 12, 2012. | 01/04/13 | NRR | No | 11/02/2012 | 01/23/2013 | https://www.nrc.gov/docs/ML1231/ML12314A412.pdf | 78 FR 3039 |
| 128 | JLD-ISG-13-01 - "Interim Staff Guidance for Assessment of Flooding Hazards due to Dam Failure" | Interim Staff Guidance | Provides guidance and clarification to assist nuclear power reactors applicants and licensees with the flooding hazard reassessment in response to Enclosure 2 of the NRC staff's request for information, "Request for Information Pursuant to section 50.54(f) of Title 10 of the Code of Federal Regulations (10 CFR), Regarding Recommendations 2.1, 2.3, and 9.3 of the Near-Term Task Force Review of Insights from the Fukushima Dai-ichi Accident," dated March 12, 2012. | 07/29/13 | NRR | No | 04/24/2013 | ***** | https://www.nrc.gov/docs/ML1315/ML13151A153.pdf | 78 FR 48201 |

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| 129 | JLD-ISG-13-02 - "Interim Staff Guidance for Compliance with Order EA-13-109 Regarding Reliable Hardened Containment Vents" | Interim Staff Guidance | Provides guidance and clarifies the requirements in the order to assist the licensees that have Boiling Water Reactors with Mark I and Mark II Containments in the design and implementation of a containment venting system that is capable of a operation under severe accident conditions, and endorses, with clarifications, the industry guidance contained in Nuclear Energy Institute 13-02, "Industry Guidance for Compliance with Order EA-13-109," Revision 0. | 11/25/13 | NRR | No | **** | ***** | ML13304B836 | 78 FR 70356 |
| 130 | JLD-ISG-15-01 - "Interim Staff Guidance for Compliance with Phase 2 of Order EA-13-109, Order Modifying Licenses with Regard to Reliable Hardened Containment Vents Capable of Operation under Severe Accident Conditions" | Interim Staff Guidance | Provides guidance and clarifies the Phase 2 requirements in the order to assist the licensees that have Boiling Water Reactors with Mark I and Mark II Containments in the design and implementation of either a vent path from the containment drywell or a strategy that makes it unlikely that venting would be needed from the drywell before alternate reliable containment heat removal and pressure control is reestablished, and endorses, with clarifications, the industry guidance contained in Nuclear Energy Institute 13-02, "Industry Guidance for Compliance with Order EA-13-109," Revision 1. | 05/07/15 | NRR | No | **** | ***** | https://www.nrc.gov/docs/ML1510/ML15104A118.pdf | 80 FR 26303 |
| 131 | JLD-ISG-16-01 - "Interim Staff Guidance for Activities Related to Near-Term Task Force Recommendation 2.1, Flooding Hazard Reevaluation; Focused Evaluation and Integrated Assessment" | Interim Staff Guidance | Provides guidance and clarification to assist operating power reactor licensees and holders of construction permits under the NRC's regulations with the performance of the focused evaluations and revised integrated assessments for external flooding. | 07/19/16 | NRR | No | 06/28/2016 | 08/17/2016 | https://www.nrc.gov/docs/ML1616/ML16162A301.pdf | 81 FR 46970 |
| 132 | | | | | | | | | | |
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| 136 | Office of Nuclear Security and Incident Response | | | | | | | | | |
| 137 | Letter to Security Managers of nuclear power reactor licensees, "The U.S. Nuclear Regulatory Commission Inspection Approach Related to Industry Implementation of Early Warning systems" | Guidance | Outlines the approach to be used by NRC inspectors when evaluating Early Warning Systems | 05/26/2016 | NSIR | No | **** | **** | Non-public ***** | N/A |
| 138 | NSIR/DSP Interim Staff Guidance (ISG)-03, Review of Security Exemptions / License Amendment Request for Decommissioning Nuclear Power Plants | Interim Staff Guidance | Describes the methods and that the staff of the NRC uses in conducting technical reviews and safety evaluations of a licensee's requests for license amendments, alternative measures, and requests for exemption from security regulations for nuclear power plants that are undergoing the process of decommissioning | 09/28/2015 | NSIR | No | 03/27/2015 | **** | ML15106A737 | 80 FR 62116 |
| 139 | NSIR/DPR Interim Staff Guidance (ISG)-01, Interim Staff Guidance on Emergency Planning for Nuclear Power Plants | Interim Staff Guidance | Describes the methods and procedures that the staff of the NRC uses and considers acceptable for use by licensees and applicants as guidance for implementing changes to their onsite emergency preparedness programs based on the revised requirements (i.e, the 2011 Emergency Preparedness Final Rule). | 11/20/2011 | NSIR | No | 07/21/2011 | 12/05/2011 | ML113010523 | 76 FR 75771 |
| 140 | NSIR/DPR Interim Staff Guidance (ISG)-02, Emergency Planning Exemption Requests for Decommissioning Nuclear Power Plants | Interim Staff Guidance | Describes the methods and procedures that the staff of the NRC uses for conducting the technical review of requests for exemptions from the emergency preparedness requirements for nuclear power reactor licensees that have been permanently shutdown and defueled or are planning to transition to a decommissioning state. | 05/11/2015 | NSIR | No | 11/03/2014 | 05/20/2015 | ML14106A057 | 80 FR 29348 |

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| 141 | NSIR/DPR Interim Staff Guidance (ISG)-03, Review of Security Exemptions/License Amendment Requests for Decommissioning Nuclear Power Plants | Interim Staff Guidance | Describes the methods and procedures that the staff of the NRC uses for conducting the technical review of requests for exemptions from the emergency preparedness requirements for nuclear power reactor licensees that have been permanently shutdown and defueled or are planning to transition to a decommissioning state. | 09/28/2015 | NSIR | No | 03/27/2015 | ***** | ML15106A737 | 80 FR 62116 |
| 142 | Policy Statement of the U.S. Nuclear Regulatory Commission on the Protection of Cesium-137 Chloride Sources | Policy Statement | Set forth Commission policy on the secure uses of sealed sources containing CsCl and to describe potential Commission actions if changes in the security threat environment necessitate regulatory action. The Policy Statement also delineates the Commission's expectations for the secure and safe use of CsCl sources with activity levels of Category 1 and 2 as characterized by the IAEA Code of Conduct. | 07/19/2011 | NSIR/NMS S | No | 03/21/2011 | 08/01/2011 | ML111870035 | 76 FR 44378 |
| 143 | Management Directive 5.12, International Nuclear and Radiological Event Scale (INES) Participation" | Management Directive | Ensure that the rating of events involving reactors, fuel cycle facilities, transportation, and licensed materials is performed in accordance with the guidance provided in "The International Nuclear and Radiological Event Scale (INES) User's Manual, 2008 Edition" (the INES User's Manual) ADAMS Accession No. ML092230008. | 07/17/2017 | NSIR | No | 01/11/2012 | ***** | ML17157B346 | N/A |

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| 144 | NUREG-0654/FEMA-REP-1, Rev. 1, Supplement 3, Criteria for Preparation and Evaluation of Radiological Emergency Response Plans and Preparedness in Support of Nuclear Power Plants: Guidance for Protective Action Strategies | NUREG | Provides an acceptable method to comply with 10 CFR Appendix E, Section IV, paragraph 3 in the use of evacuation time estimates in the formulation of protective action recommendations (PAR) for the plume exposure emergency planning zone, and provides guidance for the provisions of 10 CFR 50.47(b)(10) in the development of a range of PARs. Provides guidance to support the information in NUREG-0654/FEMA-REP-1 that the NRC finds to be an acceptable method of meeting the requirements in 10 CFR 50.47(b)(7) for the development of a public information program. | 12/05/2011 | NSIR | No | 07/21/2011 | 12/05/2011 | ML113010596 | 76 FR 75771 |
| 145 | NUREG-1940, RASCAL 4: Description of Models and Methods | NUREG | Describes the models and calculational methods used in the Radiological Assessment System for Consequence Analysis (RASCAL) 4 computer code and the consequence assessment implications of changes in models and methods from RASCAL 3.0. RASCAL 4 is used by NRC staff to conduct an independent assessment of potential radiological releases from NRC-regulated nuclear power reactors, spent fuel storage pools and casks, fuel cycle facilities, and radioactive material handling facilities. RASCAL 4 includes improvements in the models and methods related to source term calculations, atmospheric dispersion and deposition, and dose calculations. | 12/01/2012 | NSIR | No | **** | **** | ML13031A448 | N/A |

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| 146 | NUREG-1940, Supplement 1, RASCAL 4.3: Description of Models and Methods | NUREG | RASCAL 4.3 computer code contains a number of new features and revision of several old features in response to the lessons learned by the staff of the NRC during its response to the events at the 2011 Fukushima Daiichi nuclear power plants. This guidance document is a supplement to NUREG-1940, "RASCAL 4: Description of Models and Methods," which is the technical basis for the models and methods used in the RASCAL computer code versions 4.0, 4.1 and 4.2. | 05/31/2015 | NSIR | No | **** | ***** | ML15132A119 | N/A |
| 147 | NUREG-1959 Rev. 1, Intrusion Detection Systems and Subsystems | NUREG | Provides information about the design, installation, testing, maintenance, and monitoring of intrusion detection systems and subsystems used for the protection of facilities licensed by the NRC | 09/30/2017 | NSIR | No | **** | ***** | ML17250A867 | N/A |
| 148 | NUREG-2203, Glossary of Security Terms for Nuclear Power Reactors | NUREG | Consists of glossary of security terms specifically for nuclear power reactors that are commonly used in the nuclear industry and regulatory community | 02/28/2017 | NSIR | No | **** | ***** | ML17047A669 | N/A |
| 149 | NUREG/CR-6953, Volume 2, Review of NUREG-0654, Supplement 3, "Criteria for Protective Action Recommendations for Severe Accidents" - Focus Groups and Telephone Survey | NUREG/ Contractor Report | Provides conclusions from focus group research on the views of the public and emergency response personnel, and a telephone survey of residents living within NPP Emergency Planning Zones to understand public tendencies towards emergency preparedness. Understanding the public's knowledge and confidence in protective actions informs the decision process on development of protective actions. | 10/01/2008 | NSIR | No | **** | ***** | ML083110406 | N/A |

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| 150 | NUREG/CR-6953, Volume 3, Review of NUREG-0654, Supplement 3, "Criteria for Protective Action Recommendations for Severe Accidents" - Technical Basis for Protective Action Strategies | NUREG/ Contractor Report | As the final volume of the Protective Action Recommendation Study, this analysis provides a technical basis for decision criteria that can be used by nuclear power plant (NPP) licensees and offsite response organizations to tailor protective action strategies using site specific evacuation time information for NPP accidents that progress rapidly. | 08/31/2010 | NSIR | No | **** | **** | ML102380087 | N/A |
| 151 | NUREG/CR-7002, Criteria for Development of Evacuation Time Estimate Studies | NUREG/ Contractor Report | Provides guidance to NRC licensees, under 10 CFR Part 50 and 10 CFR Part 52, for the development of evacuation time estimates, including those associated with staged evacuation protective actions. The document also identifies the importance of using approved emergency response plans and existing traffic control information to reflect the expected response actions during an emergency. | 12/05/2011 | NSIR | No | 07/21/2011 | 12/05/2011 | ML113010515 | 76 FR 75771 |
| 152 | NUREG/CR-7032, Developing an Emergency Risk Communication (ERC)/Joint Information Center (JIC) Plan for a Radiological Emergency | NUREG/ Contractor Report | Provides best practices for nuclear power reactor licensees and emergency response organizations on developing an Emergency Risk Communication and Joint Information Center plan for a radiological emergency. | 02/28/2011 | NSIR | No | **** | **** | ML110490119 | N/A |
| 153 | NUREG/CR-7033, Guidance on Developing Effective Radiological Risk Communication Messages: Effective Message Mapping and Risk Communication with the Public in Nuclear Plant Emergency Planning Zones | NUREG/ Contractor Report | Provides guidance for nuclear power reactor licensees and local response organizations on message development for radiological emergencies. | 02/28/2011 | NSIR | No | **** | **** | ML110490120 | N/A |
| 154 | NUREG/CR-7154, Volume 1, Risk Informing Emergency Preparedness Oversight: Evaluation of Emergency Action Levels — A Pilot Study of Peach Bottom, Surry and Sequoyah | NUREG/ Contractor Report | Provides generic and plant specific insights to be considered when developing future risk informed emergency planning regulatory activities. | 01/01/2013 | NSIR | No | **** | **** | ML13031A500 | N/A |

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| 155 | NUREG/CR-7154, Volume 2, Risk Informing Emergency Preparedness Oversight: Evaluation of Emergency Action Levels — A Pilot Study of Peach Bottom, Surry and Sequoyah | NUREG/ Contractor Report | Explores the feasibility of using probabilistic risk assessment to provide risk insights about Emergency Action Level schemes. | 01/01/2013 | NSIR | No | **** | **** | ML13031A501 | N/A |
| 156 | NUREG/CR-7160, Emergency Preparedness Significance Quantification Process: Proof of Concept | NUREG/ Contractor Report | To improve prioritization of regulatory activities, a decision process has been developed to aid in the determination of risk significance of Emergency Preparedness (EP) program elements. The DedUctive Quantification Index method was developed and used in a proof of concept application for two representative nuclear power plant sites, and applied to determine risk significance of specific EP elements. | 06/01/2013 | NSIR | No | **** | **** | ML13164A285 | N/A |
| 157 | NUREG/CR-7195, Risk-Informed and Performance-Based Oversight of Radiological Emergency Response Programs | NUREG/ Contractor Report | Provides a concept for performance-based oversight of offsite radiological emergency response preparedness in jurisdictions surrounding commercial nuclear power plants. The report proposes an initial set of objective performance indicators for demonstration in drills and exercises. | 05/01/2015 | NSIR | No | **** | **** | ML15134A035 | N/A |
| 158 | NUREG/CR 7201, Characterizing Explosive Effects on Underground Structures | NUREG | Reviews the effects explosions close to the ground surface and in contact with the ground surface have on underground structures, with emphasis on the Vehicle Borne Improvised Explosive Devices | 09/01/2015 | NSIR | No | **** | **** | ML15245A640 | N/A |
| 159 | NUREG/CR-7183, Best Practices for Behavioral Observation Programs at Operating Power Reactors and Power Reactor Construction Sites | NUREG | Identifies best practices associated with behavioral observation programs (BOP) used by a cross-section of Federal agencies and private entities, discusses the need for effective BOPs at power reactors, and power reactor construction sites, and presents insights and recommendations to improve BOP performance | 06/30/2014 | NSIR | No | **** | **** | ML14189A355 | N/A |

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| 160 | NUREG/CR-7145, Nuclear Power Plant Security Assessment Guide | NUREG | Provides detailed guidance for the format and content of a security assessment of a commercial nuclear power plant | 04/01/2013 | NSIR | No | **** | ***** | ML13122A181 | N/A |
| 161 | NUREG-0561 Rev. 2, Physical Protection of Shipments of Irradiated Reactor Fuel | NUREG | Sets forth means, methods and procedures that the NRC considers acceptable for satisfying the requirements for the physical protection of spent nuclear fuel (SNF) during transportation by road, rail and water, and for satisfying the requirements for background investigations of individuals granted unescorted access to SNF during transportation | 04/01/2013 | NSIR | No | 06/01/2012 | ***** | ML13120A230 | 78 FR 31821 |
| 162 | NUREG-1964, Access Control Systems: Technical Information for NRC Licensees | NUREG | Provides technical details applicable to access control methods and technologies commonly used to protect facilities licensed by the NRC | 04/01/2011 | NSIR | No | **** | ***** | ML11115A078 | N/A |
| 163 | NUREG-0725 Rev. 15, Public Information Circular For Shipments of Irradiated Reactor Fuel | NUREG | Provides information on the shipment of irradiated reactor fuel subject to regulation by the NRC | 05/01/2010 | NSIR | No | **** | ***** | ML101390089 | N/A |
| 164 | | | | | | | | | | |
| 165 | | | | | | | | | | |
| 166 | Office of Nuclear Regulatory Research | | | | | | | | | |
| 167 | 1. Title | 2. Form of Guidance | 3. Subject Description | 4. Date of Issuance | 5. Issuing Office | 6a. Major rule under CRA? | 6b. Date Requested OMB Major/Non-Major CRA Determination and/or Submitted to OMB under Paperwork Reduction Act (PRA)** | 6c. Date submitted to Congress and GAO Under CRA | 7. If Applicable, Hyperlink or ADAMS Number | 7. If applicable, Federal Register Citation |
| 168 | New RGs | | | | | | | | | |
| 169 | Regulatory Guide 1.210, "Qualification of Safety-Related Battery Chargers and Inverters" | Guidance | As described in document title | 06/06/2008 | RES | No | N/A* | ***** | ML080640184 | 73 FR 32362 |
| 170 | Regulatory Guide 4.21, "Minimization of Contamination and Radioactive Waste Generation: Life-Cycle Planning" | Guidance | As described in document title | 06/19/2008 | RES | No | N/A* | ***** | ML080500187 | 73 FR 33465 |
| 171 | Regulatory Guide 1.212, "Sizing Of Large Lead-Acid Storage Batteries" | Guidance | As described in document title | 11/25/2008 | RES | No | N/A* | ***** | ML082740047 | 73 FR 71705 |
| 172 | Regulatory Guide 5.77, "Insider Mitigation Program" | Guidance | As described in document title | 03/01/2009 | RES | No | N/A* | ***** | non-public***** | N/A |
| 173 | Regulatory Guide 5.73, "Fatigue Management for Nuclear Power Plant Personnel" | Guidance | As described in document title | 03/31/2009 | RES | No | N/A* | ***** | ML083450028 | 74 FR 11979 |

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| 174 | Regulatory Guide 1.211, "Qualification of Safety-Related Cables and Field Splices for Nuclear Power Plants" | Guidance | As described in document title | 04/01/2009 | RES | No | N/A* | ***** | ML082530205 | 74 FR 18000 |
| 175 | Regulatory Guide 1.214, "Response Procedures for Potential or Actual Aircraft Attacks" | Guidance | As described in document title | 04/21/2009 | RES | No | N/A* | ***** | non-public***** | 74 FR 13926 |
| 176 | Regulatory Guide 1.213, "Qualification of Safety-Related Motor Control Centers for Nuclear Power Plants" | Guidance | As described in document title | 05/31/2009 | RES | No | N/A* | ***** | ML083010447 | 74 FR 22985 |
| 177 | Regulatory Guide 5.74, "Managing the Safety / Security Interface" | Guidance | As described in document title | 06/01/2009 | RES | No | N/A* | ***** | ML091690036 | 74 FR 32197 |
| 178 | Regulatory Guide 5.76, "Nuclear Power Reactor Physical Security Programs" | Guidance | As described in document title | 07/01/2009 | RES | No | N/A* | ***** | non-public***** | N/A |
| 179 | Regulatory Guide 5.75, "Training and Qualification of Security Personnel at Nuclear Power Reactor Facilities" | Guidance | As described in document title | 07/09/2009 | RES | No | N/A* | ***** | ML091690037 | 74 FR 34052 |
| 180 | Regulatory Guide 1.215, "Guidance for ITAAC Closure Under 10 CFR Part 52" | Guidance | As described in document title | 10/20/2009 | RES | No | N/A* | ***** | ML091480076 | 74 FR 53785 |
| 181 | Regulatory Guide 5.71, "Cyber Security Programs for Nuclear Facilities" | Guidance | As described in document title | 01/07/2010 | RES | No | N/A* | ***** | ML090340159 | 75 FR 1830 |
| 182 | Regulatory Guide 5.78, "Physical Protection of Mixed-Oxide Fuels in Nuclear Power Plants" (SGI) | Guidance | As described in document title | 07/08/2010 | RES | No | N/A* | ***** | non-public***** | N/A |
| 183 | Regulatory Guide 8.40, "Methods for Measuring Effective Dose Equivalent from External Exposure" | Guidance | As described in document title | 07/20/2010 | RES | No | N/A* | 07/30/2010 | ML100610534 | 75 FR 42170 |
| 184 | Regulatory Guide 1.216, "Containment Structural Integrity Evaluation for Internal Pressure Loadings Above Design-Basis Pressure" | Guidance | As described in document title | 08/01/2010 | RES | No | N/A* | 08/10/2010 | ML093200703 | 75 FR 48381 |
| 185 | Regulatory Guide 5.81, "Target Set Identification and Development for Nuclear Power Reactors" (OUO-SRI) | Guidance | As described in document title | 11/30/2010 | RES | No | N/A* | ***** | non-public***** | N/A |
| 186 | Regulatory Guide 5.80, "Pressure-Sensitive and Tamper-Indicating Device Seals for Material Control and Accounting of Special Nuclear Material" | Guidance | As described in document title | 12/17/2010 | RES | No | N/A* | 12/20/2010 | ML101800504 | 75 FR 79049 |
| 187 | Regulatory Guide 5.79, "Protection of Safeguards Information" | Guidance | As described in document title | 04/08/2011 | RES | No | N/A* | 04/18/2011 | ML103270219 | 76 FR 19817 |

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| 188 | Regulatory Guide 1.217, "Guidance for the Assessment of Beyond-Design-Basis Aircraft Impacts" | Guidance | As described in document title | 08/12/2011 | RES | No | N/A* | 08/12/2011 | ML092900004 | 76 FR 50275 |
| 189 | Regulatory Guide 1.221, "Design-Basis Hurricane and Hurricane Missiles for Nuclear Power Plants" | Guidance | As described in document title | 10/14/2011 | RES | No | N/A* | 10/12/2011 | ML110940300 | 76 FR 63541 |
| 190 | Regulatory Guide 1.219, "Guidance on Making Changes to Emergency Plans for Nuclear Power Reactors" | Guidance | As described in document title | 11/30/2011 | RES | No | N/A* | 01/09/2012 | ML102510626 | 76 FR 74630 |
| 191 | Regulatory Guide 3.74, "Guidance for Fuel Cycle Facility Change Processes" | Guidance | As described in document title | 01/06/2012 | RES | No | N/A* | 01/09/2012 | ML100890016 | 77 FR 823 |
| 192 | Regulatory Guide 4.22, "Decommissioning Planning During Operations" | Guidance | As described in document title | 12/31/2012 | RES | No | N/A* | ***** | ML12158A361 | 78 FR 663 |
| 193 | Regulatory Guide 1.79.1, "Initial Test Program of Emergency Core Cooling Systems for New Boiling-Water Reactors" | Guidance | As described in document title | 10/24/2013 | RES | No | N/A* | 10/17/2013 | ML12300A329 | 78 FR 63516 |
| 194 | Regulatory Guide 3.75, "Corrective Action Programs for Fuel Cycle Facilities" | Guidance | As described in document title | 07/09/2014 | RES | No | N/A* | ***** | ML14139A321 | 79 FR 41315 |
| 195 | Regulatory Guide 7.13, "Transportation Security Plans for Classified Matter Shipments" (OUO-SRI) | Guidance | As described in document title | 06/09/2015 | RES | No | N/A* | ***** | non-public***** | 80 FR 32622 |
| 196 | Regulatory Guide 5.84, "Fitness-for-Duty Programs at New Reactor Construction Sites" | Guidance | As described in document title | 08/11/2015 | RES | No | N/A* | ***** | ML15083A412 | 80 FR 48348 |
| 197 | Regulatory Guide 5.83, "Cyber Security Event Notifocations" | Guidance | As described in document title | 11/02/2015 | RES | No | N/A* | 12/02/2015 | ML14269A388 | 80 FR 67275 |
| 198 | Regulatory Guide 4.24, "Aquatic Environmental Studies for Nuclear Power Stations" | Guidance | As described in document title | 12/28/2016 | RES | No | N/A* | ***** | ML15309A219 | 81 FR 95655 |
| 199 | Regulatory Guide 4.25, "Assessment of Abnormal Radionuclide Discharges in Ground Water to the Unrestricted Area at Nuclear Power Plant Sites" | Guidance | As described in document title | 03/10/2017 | RES | No | N/A* | ***** | ML16253A333 | 82 FR 13875 |
| 200 | Regulatory Guide 5.41, "Shipping, Receiving, and Internal Transfer of Special Nuclear Material At Fuel Cycle Facilities" | Guidance | As described in document title | 05/31/2017 | RES | No | N/A* | ***** | ML16348A213 | 82 FR 24997 |
| 201 | Regulatory Guide 1.164, "Dedication of Commercial-Grade Items for Use in Nuclear Power Plants" | Guidance | As described in document title | 06/27/2017 | RES | No | N/A* | ***** | ML17041A206 | 82 FR 29120 |

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| 3 | 1. Title | 2. Form of Guidance | 3. Subject Description | 4. Date of Issuance | 5. Issuing Office | 6a. Major rule under CRA? | 6b. Date Requested OMB Major/Non-Major CRA Determination and/or Submitted to OMB under Paperwork Reduction Act (PRA)** | 6c. Date submitted to Congress and GAO Under CRA | 7. If Applicable, Hyperlink or ADAMS Number | 7. If applicable, Federal Register Citation |
| 202 | Regulatory Guide 5.88, "Physical Inventories and Material Balances at Fuel Cycle Facilities" | Guidance | As described in document title | 09/27/2017 | RES | No | N/A* | ***** | ML17167A292 | 82 FR 45074 |
| 203 | RG Revised | | | | | | | | | |
| 204 | Regulatory Guide 1.45, "Guidance on Monitoring and Responding to Reactor Coolant System Leakage" | Guidance | As described in document title | 05/28/2008 | RES | No | N/A* | ***** | ML073200271 | 73 FR 30648 |
| 205 | Regulatory Guide 3.65, "Standard Format and Content of Decommissioning Plans for Materials Licensees" | Guidance | As described in document title | 05/30/2008 | RES | No | N/A* | ***** | ML081130703 | 73 FR 31154 |
| 206 | Regulatory Guide 3.66, "Standard Format and Content of Financial Assurance Mechanisms" | Guidance | As described in document title | 05/30/2008 | RES | No | N/A* | ***** | ML081130718 | 73 FR 31155 |
| 207 | Regulatory Guide 6.4, "Verification of Containment Properties of Sealed Radioactive Sources" | Guidance | As described in document title | 06/30/2008 | RES | No | N/A* | ***** | ML081140487 | 73 FR 36135 |
| 208 | Regulatory Guide 10.2, "Guidance to Academic Institutions Applying for Specific Byproduct Material Licenses of Limited Scope" | Guidance | As described in document title | 07/31/2008 | RES | No | N/A* | ***** | ML081140578 | 73 FR 39994 |
| 209 | Regulatory Guide 10.3, "Guide for the Preparation of Applications for Special Nuclear Material Licenses for Less than Critical Mass Quantities" | Guidance | As described in document title | 07/31/2008 | RES | No | N/A* | ***** | ML081140586 | 73 FR 39340 |
| 210 | Regulatory Guide 10.5, "Applications for a Type A License of Broad Scope" | Guidance | As described in document title | 07/31/2008 | RES | No | N/A* | ***** | ML081140591 | 73 FR 39993 |
| 211 | Regulatory Guide 10.6, "Guide for the Preparation of Applications for an Industrial Radiography License" | Guidance | As described in document title | 07/31/2008 | RES | No | N/A* | ***** | ML081140599 | 73 FR 39735 |
| 212 | Regulatory Guide 6.1, "Leak Testing Radioactive Brachytherapy Sources" | Guidance | As described in document title | 07/31/2008 | RES | No | N/A* | ***** | ML081140467 | 73 FR 39994 |
| 213 | Regulatory Guide 6.2, "Integrity and Test Specifications for Selected Brachytherapy Sources" | Guidance | As described in document title | 07/31/2008 | RES | No | N/A* | ***** | ML081140471 | 73 FR 42377 |
| 214 | Regulatory Guide 6.5, "General Safety Standards for Installations Using Nonmedical Sealed Gamma-Ray Sources" | Guidance | As described in document title | 07/31/2008 | RES | No | N/A* | ***** | ML081140498 | 73 FR 39993 |
| 215 | Regulatory Guide 10.7, "Guide for the Preparation of Applications for Licenses for Laboratory and Industrial Use of Small Quantities of Byproduct Material" | Guidance | As described in document title | 09/30/2008 | RES | No | N/A* | ***** | ML081960533 | 73 FR 55544 |

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| 216 | Regulatory Guide 10.8, "Guide for the Preparation of Applications for Medical Use Programs" | Guidance | As described in document title | 09/30/2008 | RES | No | N/A* | ***** | ML081960579 | 73 FR 53451 |
| 217 | Regulatory Guide 10.9, "Guide for the Preparation of Applications for the Use of Self-Contained Dry Source-Storage Gamma Irradiators" | Guidance | As described in document title | 09/30/2008 | RES | No | N/A* | ***** | ML081960560 | 73 FR 55544 |
| 218 | Regulatory Guide 1.114, "Guidance to Operators at the Controls and to Senior Operators in the Control Room of a Nuclear Power Unit" | Guidance | As described in document title | 10/31/2008 | RES | No | N/A* | ***** | ML082380236 | 73 FR 64000 |
| 219 | Regulatory Guide 3.11, "Design, Construction, and Inspection of Embankment Retention Systems at Uranium Recovery Facilities" | Guidance | As described in document title | 11/30/2008 | RES | No | N/A* | ***** | ML082380144 | 73 FR 66686 |
| 220 | Regulatory Guide 3.25, "Standard Format and Content of Safety Analysis Reports for Uranium Enrichment Facilities" | Guidance | As described in document title | 01/07/2009 | RES | No | N/A* | ***** | ML082690559 | 74 FR 728 |
| 221 | Regulatory Guide 1.125, "Physical Models for Design and Operation of Hydraulic Structures and Systems for Nuclear Power Plants" | Guidance | As described in document title | 03/24/2009 | RES | No | N/A* | ***** | ML082810208 | 74 FR 12401 |
| 222 | Regulatory Guide 1.200, "An Approach for Determining the Technical Adequacy of Probabilistic Risk Assessment Results for Risk-Informed Activities" | Guidance | As described in document title | 03/31/2009 | RES | No | N/A* | ***** | ML090410014 | 74 FR 11381 |
| 223 | Regulatory Guide 10.4, "Guide for the Preparation of Applications for Licenses to Process Source Material" | Guidance | As described in document title | 03/31/2009 | RES | No | N/A* | ***** | ML083650402 | 74 FR 11382 |
| 224 | Regulatory Guide 3.16, "General Fire Protection Guide for Plutonium Processing and Fuel Fabrication Plants" | Guidance | As described in document title | 04/17/2009 | RES | No | N/A* | ***** | ML083650087 | 74 FR 17885 |
| 225 | Regulatory Guide 1.69, "Concrete Radiation Shields and Generic Shield Testing for Nuclear Power Plants" | Guidance | As described in document title | 05/31/2009 | RES | No | N/A* | ***** | ML090820425 | 74 FR 26893 |
| 226 | Regulatory Guide 3.52, "Standard Format and Content for the Health and Safety Sections of License Renewal Applications for Uranium Processing and Fuel Fabrication" | Guidance | As described in document title | 06/03/2009 | RES | No | N/A* | ***** | ML091050098 | 74 FR 26737 |

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| 227 | Regulatory Guide 1.21, "Measuring, Evaluating, and Reporting Radioactive Material in Liquid and Gaseous Effluents and Solid Waste" | Guidance | As described in document title | 06/15/2009 | RES | No | N/A* | ***** | ML091170109 | 74 FR 29243 |
| 228 | Regulatory Guide 4.1, "Radiological Environmental Monitoring for Nuclear Power Plants" | Guidance | As described in document title | 06/30/2009 | RES | No | N/A* | ***** | ML091310141 | 74 FR 29244 |
| 229 | Regulatory Guide 5.54, "Standard Format and Content of Safeguards Contingency Plans for Nuclear Power Plants" (SGI) | Guidance | As described in document title | 06/30/2009 | RES | No | N/A* | ***** | non-public***** | N/A |
| 230 | Regulatory Guide 1.100, "Seismic Qualification of Electric and Mechanical Equipment for Nuclear Power Plants" | Guidance | As described in document title | 09/21/2009 | RES | No | N/A* | ***** | ML091320468 | 74 FR 47977 |
| 231 | Regulatory Guide 1.189, "Fire Protection for Nuclear Power Plants" | Guidance | As described in document title | 10/31/2009 | RES | No | N/A* | ***** | ML092580550 | 74 FR 56673 |
| 232 | Regulatory Guide 1.205, "Risk-Informed, Performance-Based Fire Protection for Existing Light-Water Nuclear Power Plants" | Guidance | As described in document title | 12/18/2009 | RES | No | N/A* | ***** | ML092730314 | 74 FR 67253 |
| 233 | Regulatory Guide 1.40, "Qualification of Continuous Duty Safety-Related Motors for Nuclear Power Plants" | Guidance | As described in document title | 02/28/2010 | RES | No | N/A* | ***** | ML093080087 | 75 FR 9627 |
| 234 | Regulatory Guide 1.47, "Bypassed and Inoperable Status Indication for Nuclear Power Plant Safety Systems" | Guidance | As described in document title | 02/28/2010 | RES | No | N/A* | ***** | ML092330064 | 75 FR 9626 |
| 235 | Regulatory Guide 1.11, "Instrument Lines Penetrating the Primary Reactor Containment" | Guidance | As described in document title | 03/31/2010 | RES | No | N/A* | ***** | ML100250396 | 75 FR 16525 |
| 236 | Regulatory Guide 1.126, "An Acceptable Model and Related Statistical Methods for the Analysis of Fuel Densification" | Guidance | As described in document title | 03/31/2010 | RES | No | N/A* | ***** | ML093360318 | 75 FR 16202 |
| 237 | Regulatory Guide 1.68.2, "Initial Startup Test Program to Demonstrate Remote Shutdown Capability for Water-Cooled Nuclear Power Plants" | Guidance | As described in document title | 04/21/2010 | RES | No | N/A* | ***** | ML100610034 | 75 FR 20868 |
| 238 | Regulatory Guide 1.65, "Materials and Inspections for Reactor Vessel Closure Studs" | Guidance | As described in document title | 04/30/2010 | RES | No | N/A* | ***** | ML092050716 | 75 FR 19665 |

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| 239 | Regulatory Guide 6.9, "Establishing Quality Assurance Programs for the Manufacture and Distribution of Sealed Sources and Devices Containing Byproduct Material" | Guidance | As described in document title | 04/30/2010 | RES | No | N/A* | ***** | ML100490731 | 75 FR 20399 |
| 240 | Regulatory Guide 6.7, "Preparation of an Environmental Report To Support a Rulemaking Petition Seeking an Exemption for a Radionuclide-Containing Product" | Guidance | As described in document title | 05/17/2010 | RES | No | N/A* | ***** | ML100331338 | 75 FR 27599 |
| 241 | Regulatory Guide 2.5, "Quality Assurance Program Requirements for Research and Test Reactors" | Guidance | As described in document title | 06/30/2010 | RES | No | N/A* | 07/06/2010 | ML093520099 | 75 FR 37842 |
| 242 | Regulatory Guide 1.28, Rev. 4, "Quality Assurance Program Criteria (Design and Construction)" | Guidance | As described in document title | 06/11/2010 | RES | No | N/A* | ***** | ML100160003 | 75 FR 33361 |
| 243 | Regulatory Guide 1.62, "Manual Initiation of Protective Actions" | Guidance | As described in document title | 06/30/2010 | RES | No | N/A* | ***** | ML092530559 | 75 FR 36715 |
| 244 | Regulatory Guide 1.141, "Containment Isolation Provisions for Fluid Systems" | Guidance | As described in document title | 07/22/2010 | RES | No | N/A* | 07/30/2010 | ML092850042 | 75 FR 43207 |
| 245 | Regulatory Guide 1.151, "Instrument Sensing Lines" | Guidance | As described in document title | 08/02/2010 | RES | No | N/A* | 08/09/2010 | ML092330219 | 75 FR 45173 |
| 246 | Regulatory Guide 3.13, "Design, Construction, and Inspection of Embankment Retention Systems at Fuel Cycle Facilities" | Guidance | As described in document title | 08/02/2010 | RES | No | N/A* | 08/09/2010 | ML101470167 | 75 FR 45171 |
| 247 | Regulatory Guide 8.35, "Planned Special Exposure" | Guidance | As described in document title | 08/31/2010 | RES | No | N/A* | 08/30/2010 | ML101370008 | 75 FR 52999 |
| 248 | Regulatory Guide 1.147, "Inservice Inspection Code Case Acceptability, ASME Section XI, Division 1" | Guidance | As described in document title | 10/05/2010 | RES | No | N/A* | 10/05/2010 | ML101800536 | 75 FR 61321 |
| 249 | Regulatory Guide 1.193, "ASME Code Cases Not Approved for Use" | Guidance | As described in document title | 10/05/2010 | RES | No | N/A* | 10/05/2010 | ML101800540 | 75 FR 61531 |
| 250 | Regulatory Guide 1.84, "Design, Fabrication, and Materials Code Case Acceptability, ASME Section III" | Guidance | As described in document title | 10/05/2010 | RES | No | N/A* | 10/05/2010 | ML101800532 | 75 FR 61321 |
| 251 | Regulatory Guide 1.54, "Service Level I, II, and III Protective Coatings Applied to Nuclear Power Plants" | Guidance | As described in document title | 10/31/2010 | RES | No | N/A* | 10/13/2010 | ML102230344 | 75 FR 62436 |
| 252 | Regulatory Guide 3.12, "General Design Guide for Ventilation Systems of Plutonium Processing and Fuel Fabrication Plants" | Guidance | As described in document title | 12/17/2010 | RES | No | N/A* | 12/20/2010 | ML102730431 | 75 FR 79049 |

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| 253 | Regulatory Guide 4.16, "Monitoring and Reporting Radioactive Materials in Liquid and Gaseous Effluents from Nuclear Fuel Cycle Facilities" | Guidance | As described in document title | 12/28/2010 | RES | No | N/A* | ***** | ML101720291 | 75 FR 81675 |
| 254 | Regulatory Guide 3.71, "Nuclear Criticality Safety Standards for Fuels and Material Facilities" | Guidance | As described in document title | 01/03/2011 | RES | No | N/A* | 01/13/2011 | ML103210345 | 76 FR 189 |
| 255 | Regulatory Guide 1.34, "Control of Electroslag Weld Properties" | Guidance | As described in document title | 03/31/2011 | RES | No | N/A* | ***** | ML101670357 | 76 FR 14108 |
| 256 | Regulatory Guide 1.43, "Control of Stainless Steel Weld Cladding of Low-Alloy Steel Components" | Guidance | As described in document title | 03/31/2011 | RES | No | N/A* | ***** | ML101670458 | 76 FR 14107 |
| 257 | Regulatory Guide 1.44, "Control of the Processing and Use of Stainless Steel" | Guidance | As described in document title | 03/31/2011 | RES | No | N/A* | 04/05/2011 | ML101680225 | 76 FR 18262 |
| 258 | Regulatory Guide 1.50, "Control of Preheat Temperature for Welding of Low-Alloy Steel" | Guidance | As described in document title | 03/31/2011 | RES | No | N/A* | ***** | ML101870612 | 76 FR 14108 |
| 259 | Regulatory Guide 1.149, "Nuclear Power Plant Simulation Facilities for Use in Operator Training, License Examinations, and Applicant Experience Requirements." | Guidance | As described in document title | 04/15/2011 | RES | No | N/A* | 04/18/2011 | ML110420119 | 76 FR 20052 |
| 260 | Regulatory Guide 3.67, "Standard Format and Content for Emergency Plans for Fuel Cycle and Materials Facilities" | Guidance | As described in document title | 05/02/2011 | RES | No | N/A* | 05/02/2011 | ML103360487 | 76 FR 24539 |
| 261 | Regulatory Guide 8.18, "Information Relevant to Ensuring that Radiation Exposures at Medical Institutions Will Be as Low as Is Reasonably Achievable" | Guidance | As described in document title | 05/05/2011 | RES | No | N/A* | 05/02/2011 | ML102350460 | 76 FR 25725 |
| 262 | Regulatory Guide 1.177, "An Approach for Plant-Specific, Risk-Informed Decisionmaking: Technical Specifications" | Guidance | As described in document title | 05/06/2011 | RES | No | N/A* | 05/16/2011 | ML100910008 | 76 FR 28102 |
| 263 | Regulatory Guide 1.174, "An Approach for Using Probabilistic Risk Assessment in Risk-Informed Decisions on Plant-Specific Changes to the Licensing Basis" | Guidance | As described in document title | 05/31/2011 | RES | No | N/A* | 05/16/2011 | ML100910006 | 76 FR 28102 |
| 264 | Regulatory Guide 8.2, "Administrative Practices in Radiation Surveys and Monitoring" | Guidance | As described in document title | 05/31/2011 | RES | No | N/A* | 05/31/2011 | ML110460093 | 76 FR 31382 |

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| 265 | Regulatory Guide 1.107, "Qualifications for Cement Grouting for Prestressing Tendons in Containment Structures" | Guidance | As described in document title | 06/29/2011 | RES | No | N/A* | 07/05/2011 | ML110550732 | 76 FR 38213 |
| 266 | Regulatory Guide 1.179, "Standard Format and Content of License Termination Plans for Nuclear Power Reactors" | Guidance | As described in document title | 06/30/2011 | RES | No | N/A* | 08/01/2011 | ML110490419 | 76 FR 38212 |
| 267 | Regulatory Guide 8.4, "Personnel Monitoring Device - Direct-Reading Pocket Dosimeters" | Guidance | As described in document title | 06/30/2011 | RES | No | N/A* | 07/05/2011 | ML101900087 | 76 FR 35922 |
| 268 | Regulatory Guide 1.152, "Criteria for Use of Computers in Safety Systems of Nuclear Power Plants" | Guidance | As described in document title | 07/12/2011 | RES | No | N/A* | 07/11/2011 | ML102870022 | 76 FR 40943 |
| 269 | Regulatory Guide 1.156, "Qualification of Connection Assemblies for Nuclear Power Plants" | Guidance | As described in document title | 08/03/2011 | RES | No | N/A* | 08/02/2011 | ML111730464 | 76 FR 46856 |
| 270 | Regulatory Guide 3.39, "Standard Format and Content of License Applications for Mixed Oxide Fuel Fabrication Facilities" | Guidance | As described in document title | 10/21/2011 | RES | No | N/A* | 11/01/2011 | ML100280809 | 76 FR 65544 |
| 271 | Regulatory Guide 1.159, "Assuring the Availability of Funds for Decommissioning Nuclear Reactors" | Guidance | As described in document title | 10/31/2011 | RES | No | N/A* | 11/01/2011 | ML112160012 | 76 FR 65541 |
| 272 | Regulatory Guide 5.66, "Access Authorization Program for Nuclear Power Plants" | Guidance | As described in document title | 10/31/2011 | RES | No | N/A* | 11/01/2011 | ML112060028 | 76 FR 66089 |
| 273 | Regulatory Guide 1.115, "Protection Against Turbine Missiles" | Guidance | As described in document title | 01/24/2012 | RES | No | N/A* | 01/24/2012 | ML101650675 | 77 FR 3514 |
| 274 | Regulatory Guide 1.106, "Thermal Overload Protection for Electric Motors on Motor-Operated Valves" | Guidance | As described in document title | 02/28/2012 | RES | No | N/A* | 02/27/2012 | ML112580358 | 77 FR 11598 |
| 275 | Regulatory Guide 7.4, "Leakage Tests on Packages for Shipment of Radioactive Material" | Guidance | As described in document title | 03/09/2012 | RES | No | N/A* | 03/16/2012 | ML112520023 | 77 FR 14445 |
| 276 | Regulatory Guide 4.11, "Terrestrial Environmental Studies for Nuclear Power Stations" | Guidance | As described in document title | 03/27/2012 | RES | No | N/A* | 03/26/2012 | ML113350385 | 77 FR 18271 |
| 277 | Regulatory Guide 1.93, "Availability of Electric Power Sources" | Guidance | As described in document title | 03/28/2012 | RES | No | N/A* | 04/05/2012 | ML090550661 | 77 FR 18872 |
| 278 | Regulatory Guide 7.7, "Administrative Guide for Verifying Compliance with Packaging Requirements for Shipping and Receiving of Radioactive Material" | Guidance | As described in document title | 03/28/2012 | RES | No | N/A* | 04/05/2012 | ML112160407 | 77 FR 18871 |

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| 279 | Regulatory Guide 1.82, "Water Sources for Long-Term Recirculation Cooling Following a Loss-of-Coolant Accident" | Guidance | As described in document title | 04/02/2012 | RES | No | N/A* | 04/05/2012 | ML111330278 | 77 FR 19740 |
| 280 | Regulatory Guide 1.218, "Condition-Monitoring Techniques for Electric Cables Used in Nuclear Power Plants" | Guidance | As described in document title | 04/23/2012 | RES | No | N/A* | 04/23/2012 | ML103510447 | 77 FR 24228 |
| 281 | Regulatory Guide 4.20, "Constraint on Releases of Airborne Radioactive Materials to the Environment for Licensees Other Than Power Reactors" | Guidance | As described in document title | 04/25/2012 | RES | No | N/A* | 04/27/2012 | ML110120299 | 77 FR 24746 |
| 282 | Regulatory Guide 1.160, "Monitoring the Effectiveness of Maintenance at Nuclear Power Plants" | Guidance | As described in document title | 05/22/2012 | RES | No | N/A* | 05/23/2012 | ML113610098 | 77 FR 30030 |
| 283 | Regulatory Guide 1.215, Rev. 1, "Guidance for ITAAC Closure Under 10 CFR Part 52" | Guidance | As described in document title | 05/31/2012 | RES | No | N/A* | 05/29/2012 | ML112580018 | 77 FR 51880 |
| 284 | Regulatory Guide 8.24, "Health Physics Surveys During Enriched Uranium-235 Processing and Fuel Fabrication" | Guidance | As described in document title | 06/05/2012 | RES | No | N/A* | 06/04/2012 | ML110400305 | 77 FR 33253 |
| 285 | Regulatory Guide 1.68.1, "Initial Test Program of Condensate and Feedwater Systems for Light-Water Reactors" | Guidance | As described in document title | 09/11/2012 | RES | No | N/A* | 09/17/2012 | ML12160A169 | 77 FR 55877 |
| 286 | Regulatory Guide, 1.68.3, "Preoperational Testing of Instrument and Control Air Systems" | Guidance | As described in document title | 09/25/2012 | RES | No | N/A* | 09/24/2012 | ML12160A047 | 77 FR 59023 |
| 287 | Regulatory Guide 1.52, "Design, Inspection, and Testing Criteria for Air Filtration and Adsorption Units of Post-Accident Engineered-Safety-Feature Atmosphere Cleanup Systems in Light-Water-Cooled Nuclear Power Plants" | Guidance | As described in document title | 10/03/2012 | RES | No | N/A* | 10/09/2012 | ML12159A013 | 77 FR 60481 |
| 288 | Regulatory Guide 1.92, "Combining Modal Responses and Spatial Components in Seismic Response Analysis" | Guidance | As described in document title | 10/31/2012 | RES | No | N/A* | 10/01/2012 | ML12220A043 | 77 FR 69509 |
| 289 | Regulatory Guide 1.90, "Inservice Inspection of Prestressed Concrete Containment Structures with Grouted Tendons" | Guidance | As described in document title | 11/30/2012 | RES | No | N/A* | 11/16/2012 | ML11249A008 | 77 FR 69508 |

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| 290 | Regulatory Guide 1.91, "Evaluations of Explosions Postulated to Occur at Nearby Facilities and on Transportation Routes Near Nuclear Power Plants" | Guidance | As described in document title | 04/25/2013 | RES | No | N/A* | 04/23/2012 | ML12170A980 | 78 FR 24438 |
| 291 | Regulatory Guide 1.57, "Design Limits and Loading Combinations for Metal Primary Reactor Containment System Components" | Guidance | As described in document title | 05/16/2013 | RES | No | N/A* | 05/20/2013 | ML12325A043 | 78 FR 28896 |
| 292 | Regulatory Guide 2.3, "Quality Verification for Plate-Type Uranium-Aluminum Fuel Elements for Use in Research and Test Reactors" | Guidance | As described in document title | 06/06/2013 | RES | No | N/A* | 06/06/2013 | ML12160A492 | 78 FR 33132 |
| 293 | Regulatory Guide 1.68, "Initial Test Programs for Water-Cooled Nuclear Power Plants" | Guidance | As described in document title | 06/12/2013 | RES | No | N/A* | 06/06/2013 | ML13051A027 | 78 FR 35330 |
| 294 | Regulatory Guide 1.137, "Fuel Oil Systems for Emergency Power Supplies" | Guidance | As described in document title | 06/17/2013 | RES | No | N/A* | 06/18/2013 | ML12300A122 | 78 FR 36278 |
| 295 | Regulatory Guide 4.2S1, Preparation of Environmental Reports for Nuclear Power Plant License Renewal Applications" | Guidance | As described in document title | 06/24/2013 | RES | No | N/A* | ***** | ML13067A354 | 78 FR 37324 |
| 296 | Regulatory Guide 1.185, "Standard Format and Content for Post-Shutdown Decommissioning Activities Report" | Guidance | As described in document title | 06/27/2013 | RES | No | N/A* | 06/26/2013 | ML13140A038 | 78 FR 38739 |
| 297 | Regulatory Guide 5.29, "Special Nuclear Material Control and Accounting Systems for Nuclear Power Plants" | Guidance | As described in document title | 06/27/2013 | RES | No | N/A* | 06/26/2013 | ML13051A421 | 78 FR 38739 |
| 298 | Regulatory Guide 1.33, "Quality Assurance Program Requirements (Operation)" | Guidance | As described in document title | 06/30/2013 | RES | No | N/A* | 06/26/2013 | ML13109A458 | 78 FR 37850 |
| 299 | Regulatory Guide 1.124, "Service Limits and Loading Combinations for Class 1 Linear-Type Supports" | Guidance | As described in document title | 07/08/2013 | RES | No | N/A* | 07/15/2013 | ML13141A666 | 78 FR 40776 |
| 300 | Regulatory Guide 1.130, "Service Limits and Loading Combinations for Class 1 Plate-and-Shell-Type Supports" | Guidance | As described in document title | 07/08/2013 | RES | No | N/A* | 07/15/2013 | ML13141A667 | 78 FR 40776 |
| 301 | Regulatory Guide 1.169, "Configuration Management Plans for Digital Computer Software Used in Safety Systems of Nuclear Power Plants" | Guidance | As described in document title | 08/02/2013 | RES | No | N/A* | 07/31/2013 | ML12355A642 | 78 FR 47014 |

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| 302 | Regulatory Guide 1.171, "Software Unit Testing for Digital Computer Software Used in Safety Systems of Nuclear Power Plants" | Guidance | As described in document title | 08/02/2013 | RES | No | N/A* | 07/31/2013 | ML13004A375 | 78 FR 47011 |
| 303 | Regulatory Guide 1.172, "Software Requirement Specifications for Digital Computer Software and Complex Electronics Used in Safety Systems of Nuclear Power Plants" | Guidance | As described in document title | 08/02/2013 | RES | No | N/A* | 07/31/2013 | ML13007A173 | 78 FR 47015 |
| 304 | Regulatory Guide 1.173, "Developing Software Life-Cycle Processes for Digital Computer Software Used in Safety Systems of Nuclear Power Plants" | Guidance | As described in document title | 08/02/2013 | RES | No | N/A* | 07/31/2013 | ML13009A190 | 78 FR 47012 |
| 305 | Regulatory Guide 1.168, "Verification, Validation, Reviews, and Audits for Digital Computer Software Used in Safety Systems of Nuclear Power Plants" | Guidance | As described in document title | 08/06/2013 | RES | No | N/A* | 07/31/2013 | ML13073A210 | 78 FR 47804 |
| 306 | Regulatory Guide 1.170, "Test Documentation for Digital Computer Software Used in Safety Systems of Nuclear Power Plants" | Guidance | As described in document title | 08/06/2013 | RES | No | N/A* | 07/31/2013 | ML13003A216 | 78 FR 47805 |
| 307 | Regulatory Guide 1.129, "Maintenance, Testing, and Replacement of Vented Lead-Acid Storage Batteries for Nuclear Power Plants" | Guidance | As described in document title | 09/24/2013 | RES | No | N/A* | 09/30/2013 | ML13170A112 | 78 FR 58574 |
| 308 | Regulatory Guide 1.31, "Control of Ferrite Content in Stainless Steel Weld Metal" | Guidance | As described in document title | 10/04/2013 | RES | No | N/A* | 10/17/2013 | ML13211A485 | 78 FR 63517 |
| 309 | Regulatory Guide 1.110, "Cost-Benefit Analysis for Radwaste Systems for Light-Water-Cooled Nuclear Power Reactors" | Guidance | As described in document title | 10/07/2013 | RES | No | N/A* | 10/29/2013 | ML13241A052 | 78 FR 64029 |
| 310 | Regulatory Guide 1.184, "Decommissioning of Nuclear Power Reactors" | Guidance | As described in document title | 10/25/2013 | RES | No | N/A* | 10/17/2013 | ML13144A840 | 78 FR 64028 |
| 311 | Regulatory Guide 1.79, "Preoperational Testing of Emergency Core Cooling Systems for Pressurized-Water Reactors" | Guidance | As described in document title | 10/25/2013 | RES | No | N/A* | 10/17/2013 | ML113540207 | 78 FR 64027 |
| 312 | Regulatory Guide 1.73, "Qualification Tests for Safety-Related Actuators in Nuclear Power Plants" | Guidance | As described in document title | 11/08/2013 | RES | No | N/A* | 11/05/2013 | ML13210A463 | 78 FR 67206 |

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| 313 | Regulatory Guide 1.214, "Response Strategies for Potential Aircraft Threats" (OUO-SRI) | Guidance | As described in document title | 03/26/2014 | RES | No | N/A* | 04/04/2014 | non-public***** | 79 FR 16834 |
| 314 | Regulatory Guide 4.7, Rev 3, "General Site Suitability Criteria for Nuclear Power Stations" | Guidance | As described in document title | 03/28/2014 | RES | No | N/A* | 04/14/2014 | ML12188A053 | 79 FR 17582 |
| 315 | Regulatory Guide 8.22, Rev 2, "Bioassay at Uranium Mills" | Guidance | As described in document title | 05/30/2014 | RES | No | N/A* | 04/13/2016 | ML13350A638 | 79 FR 35387 |
| 316 | Regulatory Guide 1.60, "Design Response Spectra for Seismic Design of Nuclear Power Plants" | Guidance | As described in document title | 07/08/2014 | RES | No | N/A* | 04/26/2016 | ML13210A432 | 79 FR 40795 |
| 317 | Regulatory Guide 1.147, "Inservice Inspection Code Case Acceptability, ASME Section XI, Division 1" | Guidance | As described in document title | 08/31/2014 | RES | No | N/A* | 10/29/2014 | ML13339A689 | 79 FR 65775 |
| 318 | Regulatory Guide 1.192, "Operation and Maintenance Code Case Acceptability, ASME OM Code" | Guidance | As described in document title | 08/31/2014 | RES | No | N/A* | 10/29/2014 | ML13340A034 | 79 FR 65775 |
| 319 | Regulatory Guide 1.193, "ASME Code Cases Not Approved for Use" | Guidance | As described in document title | 08/31/2014 | RES | No | N/A* | 10/29/2014 | ML13350A001 | 79 FR 65775 |
| 320 | Regulatory Guide 1.84, "Design, Fabrication, and Materials Code Case Acceptability, ASME Section III" | Guidance | As described in document title | 08/31/2014 | RES | No | N/A* | 10/29/2014 | ML13339A515 | 79 FR 65775 |
| 321 | Regulatory Guide 8.20, "Applications of Bioassay for Radioiodine" | Guidance | As described in document title | 09/20/2014 | RES | No | N/A* | 03/25/2015 | ML14064A060 | 79 FR 54752 |
| 322 | Regulatory Guide 1.134, "Medical Assessment of Licensed Operators or Applicants for Operator Licenses at Nuclear Power Plants" | Guidance | As described in document title | 09/30/2014 | RES | No | N/A* | 10/14/2014 | ML14189A385 | 79 FR 60197 |
| 323 | Regulatory Guide 3.50, "Standard Format and Content for a Specific License Application for an Independent Spent Fuel Storage Installation or Monitored Retrievable Storage Facility" | Guidance | As described in document title | 09/30/2014 | RES | No | N/A* | 10/14/2014 | ML14043A080 | 79 FR 60191 |
| 324 | Regulatory Guide 1.138, "Laboratory Investigations of Soils and Rocks for Engineering Analysis and Design of Nuclear Power Plants" | Guidance | As described in document title | 12/18/2014 | RES | No | N/A* | 03/25/2015 | ML14289A600 | 80 FR 3264 |
| 325 | Regulatory Guide 5.74, "Managing the Safety/Security Interface" | Guidance | As described in document title | 04/20/2015 | RES | No | N/A* | 04/29/2015 | ML14323A549 | 80 FR 21770 |

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| 326 | Regulatory Guide 1.36, "Nonmetallic Thermal Insulation for Austenitic Stainless Steel" | Guidance | As described in document title | 05/21/2015 | RES | No | N/A* | ***** | ML15026A664 | 80 FR 29350 |
| 327 | Regulatory Guide 7.10, "Establishing Quality Assurance Programs for Packaging Used in Transport of Radioactive Material" | Guidance | As described in document title | 06/12/2015 | RES | No | N/A* | ***** | ML14064A505 | 80 FR 34010 |
| 328 | Regulatory Guide 8.11, Rev 1, "Applications of Bioassay for Uranium" | Guidance | As described in document title | 07/13/2015 | RES | No | N/A* | 04/19/2016 | ML15054A618 | 80 FR 40088 |
| 329 | Regulatory Guide 1.215, Rev. 2, "Guidelines for ITAAC Closure Under 10 CFR Part 52" | Guidance | As described in document title | 07/24/2015 | RES | No | N/A* | ***** | ML15105A447 | 80 FR 44157 |
| 330 | Regulatory Guide 5.27, "Special Nuclear Material Doorway Monitors" | Guidance | As described in document title | 08/30/2015 | RES | No | N/A* | ***** | ML14290A268 | 80 FR 47534 |
| 331 | Regulatory Guide 1.212, "Sizing Of Large Lead-Acid Storage Batteries" | Guidance | As described in document title | 10/26/2015 | RES | No | N/A* | ***** | ML15170A003 | 80 FR 65255 |
| 332 | Regulatory Guide 1.27, Rev 3, "Ultimate Heat Sink for Nuclear Power Plants" | Guidance | As described in document title | 11/23/2015 | RES | No | N/A* | 12/16/2015 | ML14107A411 | 80 FR 72996 |
| 333 | Regulatory Guide 1.127, "Criteria and Design Features for Inspection of Water Control Structures Associated with Nuclear Power Plants" | Guidance | As described in document title | 02/18/2016 | RES | No | N/A* | 03/22/2016 | ML15107A412 | 81 FR 8254 |
| 334 | Regulatory Guide 1.117, "Protection Against Extreme Wind Events and Missiles for Nuclear Power Plants" | Guidance | As described in document title | 07/01/2016 | RES | No | N/A* | ***** | ML15356A213 | 81 FR 45311 |
| 335 | Regulatory Guide 1.29, "Seismic Design Classification for Nuclear Power Plants" | Guidance | As described in document title | 07/15/2016 | RES | No | N/A* | ***** | ML16118A148 | 81 FR 46117 |
| 336 | Regulatory Guide 1.219, "Guidance on Making Changes to Emergency Plans for Nuclear Power Reactors" | Guidance | As described in document title | 07/27/2016 | RES | No | N/A* | 08/10/2016 | ML16061A104 | 81 FR 49279 |
| 337 | Regulatory Guide 8.10, "Operating Philosophy for Maintaining Occupational and Public Radiation Exposures as Low as Is Reasonably Achievable" | Guidance | As described in document title | 08/11/2016 | RES | No | N/A* | ***** | ML16105A136 | 81 FR 52974 |
| 338 | Regulatory Guide 1.140, "Design, Inspection, and Testing Criteria for Air Filtration and Adsorption Units of Normal Atmosphere Cleanup Systems in Light-Water-Cooled Nuclear Power Plants" | Guidance | As described in document title | 08/17/2016 | RES | No | N/A* | ***** | ML16070A277 | 81 FR 54855 |

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| 339 | Regulatory Guide 5.12, "General Use of Locks in the Protection and Control of: Facilities, Radioactive Materials, Classified Information, Classified Matter, and Safeguards Information" | Guidance | As described in document title | 10/05/2016 | RES | No | N/A* | ***** | ML15357A411 | 81 FR 69088 |
| 340 | Regulatory Guide 5.51, "Independent Assessment of Nuclear Material Control and Accounting Systems" | Guidance | As described in document title | 10/26/2016 | RES | No | N/A* | ***** | ML16223A915 | 81 FR 74484 |
| 341 | Regulatory Guide 8.7, "Instructions for Recording and Reporting Occupational Radiation Exposure Data" | Guidance | As described in document title | 12/08/2016 | RES | No | N/A* | ***** | ML16054A170 | 81 FR 88710 |
| 342 | Regulatory Guide 5.70, Rev 1, "Guidance for the Application of the Theft and Diversion Design-Basis Treat in the Design Development, and Implementaiton of a Physical Security Program that Meets CFR 73.45 and 73.46" (CONFIDENTIAL) | Guidance | As described in document title | 02/17/2017 | RES | No | N/A* | 07/21/2017 | non-public***** | 82 FR 11069 |
| 343 | Regulatory Guide 1.20, "Comprehensive Vibration Assessment Program for Reactor Internals During Preoperational and Initial Startup Testing" | Guidance | As described in document title | 02/28/2017 | RES | No | N/A* | 07/21/2017 | ML16056A338 | 82 FR 10505 |
| 344 | Regulatory Guide 1.26, "Quality Group Classifications and Standards for Water-, Steam-, and Radioactive-Waste-Containing Components of Nuclear Power Plants" | Guidance | As described in document title | 02/28/2017 | RES | No | N/A* | 07/21/2017 | ML16082A501 | 82 FR 12129 |
| 345 | Regulatory Guide 1.54, "Service Level I, II, and III, and In-Scope License Renewal Protective Coatings Applied to Nuclear Power Plants" | Guidance | As described in document title | 04/24/2017 | RES | No | N/A* | 07/21/2017 | ML17031A288 | 82 FR 19113 |
| 346 | Regulatory Guide 2.6, "Emergency Planning for Research and Test Reactors and Other Non-Power Production and Utilization Facilities" | Guidance | As described in document title | 09/27/2017 | RES | No | N/A* | ***** | ML17263A472 | 82 FR 45073 |
| 347 | Regulatory Guide 1.28, Rev. 5, "Quality Assurance Program Criteria (Design and Construction)" | Guidance | As described in document title | 10/19/2017 | RES | No | N/A* | ***** | ML17207A293 | 82 FR 48728 |
| 348 | Regulatory Guide 1.12, "Nuclear Power Plant Instrumentation for Earthquakes" | Guidance | As described in document title | 11/01/2017 | RES | No | N/A* | ***** | ML17094A831 | 82 FR 51879 |
| 349 | NUREGs | | | | | | | | | |

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| 350 | NUREG-2117, Revision 1, Practical Implementation Guidelines for SSHAC Level 3 and 4 Hazard Studies | Guidance | Provides guidance for performing probabilistic seismic hazard analysis. | 04/01/2012 | RES | No | **** | ***** | ML12118A445 | N/A |
| 351 | NUREG/CR-7004, Technical Basis for Regulatory Guidance on Design-Basis Hurricane-Borne Missile Speeds for Nuclear Power Plants | Guidance | Provides calculation method for hurricane-borne missile speeds that may be considered in the design of nuclear power plants. | 11/01/2011 | RES | No | **** | ***** | ML11341A102 | N/A |
| 352 | NUREG/CR-7114, A Framework for Low Power/Shutdown Fire PRA | Guidance | Presents a probabilistic risk assessment (PRA) framework for quantitatively analyzing fire risk in commercial nuclear power plants during low power and shutdown (LPSD) conditions, including the determination of core damage frequency (CDF) and large early release frequency (LERF). | 09/01/2013 | RES | No | **** | ***** | ML13260A155 | N/A |
| 353 | NUREG/CR-7005, Technical Basis for Regulatory Guidance on Design-Basis Hurricane Wind Speeds for Nuclear Power Plants | Guidance | Provides calculation method for analysis of peak-gust hurricane wind speeds for the simulation of 10 million years of hurricane wind speeds in the contiguous United States. | 11/01/2011 | RES | No | **** | ***** | ML11335A031 | N/A |
| 354 | NUREG/CR-7006, Review Guidelines for Field-Programmable Gate Arrays in Nuclear Power Plant Safety Systems | Guidance | Provides a compilation of safe field-programmable gate array (FPGA) design practices that can be used by NRC staff as guidance for reviewing FPGA-based safety systems in nuclear power plants. | 02/01/2010 | RES | No | **** | ***** | ML100880142 | N/A |
| 355 | NUREG-1934, EPRI 1023259, Nuclear Power Plant Fire Modeling Analysis Guidelines (NPP FIRE MAG) — Final Report | Guidance | Provides guidance on the validation and verification for fire models and discusses use of fire models in support of various commercial nuclear power plant fire hazard analysis applications. | 11/01/2012 | RES | No | **** | ***** | ML12314A165 | 76 FR 46330*** |
| 356 | NUREG-1921, EPRI/NRC-RES Fire Human Reliability Analysis Guidelines — Final Report | Guidance | Provides a method and associated guidance for conducting a fire human reliability analysis. | 07/01/2012 | RES | No | **** | ***** | ML12216A104 | 74 FR 65810***, 75 FR 5633*** |
| 357 | NUREG-1921 Supplement 1, EPRI/NRC-RES Fire Human Reliability Analysis Guidelines: Qualitative Guidance for Main Control Room Abandonment Scenarios | Guidance | Provides human reliability analysis and probabilistic risk assessment guidance on treatment of scenarios that require main control room abandonment in response to a fire event. | 04/01/2016 | RES | No | **** | ***** | ML16110A413 | N/A |

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| 358 | NUREG-2152, Computational Fluid Dynamics Best Practice Guidelines for Dry Cask Applications | Guidance | Provides guidance on how to verify the input model, validate results, and document the process for computational fluid dynamics. | 03/01/2013 | RES & NMSS | No | **** | ***** | ML13086A202 | 77 FR 64834*** |
| 359 | NUREG-1855, Revision 1, Guidance on the Treatment of Uncertainties Associated with PRAs in Risk-Informed Decisionmaking, Final Report | Guidance | Provides guidance on how to treat uncertainties associated with probabilistic risk assessment in risk-informed decisionmaking. | 03/01/2017 | RES | No | **** | 03/01/2017 | ML17062A466 | 78 FR 22349*** |
| 360 | Management Directive 6.4, "Generic Issues Program" | Management Directive | Provides staff guidance for assessing, evaluating, and resolving potential generic concerns regarding nuclear safety issues. | 01/02/2015 | RES | No | 01/06/2015 | 02/23/2015 | ML14245A048 | N/A |
| 361 | Management Directive 6.5, "NRC Participation in the Development and Use of Consensus Standards" | Management Directive | Provides staff guidance on participation on code committees and development of consensus standards. | 10/28/2016 | RES | No | 09/08/2011 | 01/18/2012 | ML16193A497 | N/A |
| 362 | Management Directive 8.1, "Abnormal Occurrence Reporting Procedure" | Management Directive | Provides guidance to staff supporting the development of an annual congressional report on abnormal occurrences. | 09/09/2011 | RES | No | 07/18/2011 | 09/26/2011 | ML111360014 | N/A |
| 363 | | | | | | | | | | |
| 364 | Office of Nuclear Material Safety and Safeguards | | | | | | | | | |
| 365 | 1. Title | 2. Form of Guidance | 3. Subject Description | 4. Date of Issuance | 5. Issuing Office | 6a. Major rule under CRA? | 6b. Date Requested OMB Major/Non-Major CRA Determination and/or Submitted to OMB under Paperwork Reduction Act (PRA)** | 6c. Date submitted to Congress and GAO Under CRA | 7. If Applicable, Hyperlink or ADAMS Number | 7. If applicable, Federal Register Citation |
| 366 | Generic Letter 2015-01, Treatment of Natural Phenomena Hazards in Fuel Cycle Facilities | Generic Letter | Discusses the treatment of natural phenomena hazards in fuel cycle facilities | 06/22/2015 | NMSS | No | **** | ***** (CRA); 04/01/2015 (PRA) | ML14328A029 | 80 FR 37028 |
| 367 | Consolidated Guidance about Materials Licenses: Program-Specific Guidance About Industrial Radiography Licenses (NUREG-1556, Volume 2, Rev. 1) | NUREG | This report provides guidance to an applicant applying for an industrial radiography license and provides the U.S. Nuclear Regulatory Commission (NRC) with criteria for evaluating such applications. | 02/01/2016 | NMSS | No | 04/01/2015 | ***** https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1556/v2/ | | 81 FR 64207 |

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| 368 | Consolidated Guidance about Materials Licenses: Applications for Sealed Source and Device Evaluation and Registration (NUREG-1556, Volume 3, Rev. 2) | NUREG | This report provides guidance to applicants on submitting requests to the U.S. Nuclear Regulatory Commission (NRC) for radiation safety evaluation and registration of sealed sources and devices (SSDs) containing byproduct material, and provides the NRC with criteria for evaluating such applications. | 09/01/2015 | NMSS | No | 05/06/2015 | 09/25/2015 | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1556/v3/ | 81 FR 64207 |
| 369 | Consolidated Guidance about Materials License Program-Specific Guidance About Fixed Gauge Licenses (NUREG-1556, Volume 4, Rev. 1) | NUREG | This report addresses a variety of radiation safety issues associated with fixed gauges of many designs. Typically, gauges are used for process control (e.g., to measure the thickness of paper, the density of coal, the level of material in vessels and tanks, and volumetric flow rate). | 07/01/2016 | NMSS | No | 05/06/2016 | 07/19/2016 | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1556/v4/ | 81 FR 64207 |
| 370 | Consolidated Guidance about Materials Licenses: Program-Specific Guidance About Medical Use Licenses (NUREG-1556, Volume 9, Rev. 2) | NUREG | This report is intended to provide guidance to individuals who are preparing an application for a license for the medical use of byproduct material as well as to NRC staff who review applications. | 01/01/2008 | NMSS | No | **** | **** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1556/v9/r2/ | 73 FR 8909 |
| 371 | Consolidated Guidance about Materials Licenses: Program-Specific Guidance About Master Materials Licenses (NUREG-1556, Volume 10, Rev. 1) | NUREG | This report provides guidance to Federal organizations preparing a new Master Materials License (MML) application and those Federal organizations with existing MMLs when applying for a license amendment. In addition, it provides the criteria the U.S. Nuclear Regulatory Commission (NRC) license reviewers and other NRC personnel use in reviewing MML applications and current MMLs. | 06/01/2016 | NMSS | No | 10/01/2015 | 07/19/2016 | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1556/v10/ | 81 FR 64207 |
| 372 | Consolidated Guidance about Materials Licenses: Program-Specific Guidance about Licenses of Broad Scope (NUREG-1556, Volume 11, Rev. 1) | NUREG | This NUREG provides guidance to an applicant applying for a broad scope license and also provides the U.S. Nuclear Regulatory Commission (NRC) staff with the criteria for evaluating such applications. | 02/01/2017 | NMSS | No | **** | 03/21/2017 | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1556/v11/ | 82 FR 16635 |

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| 373 | Consolidated Guidance about Materials Licenses: Guidance About Changes of Control and About Bankruptcy Involving Byproduct, Source, or Special Nuclear Materials Licenses (NUREG-1556, Volume 15, Rev. 1) | NUREG | The report contains guidance for licensees and, in some cases, license applicants to use in preparing a notification to NRC of a change of control or bankruptcy. It also contains NRC's criteria for evaluating such a notification and determining whether a new or amended license is needed. | 06/01/2016 | NMSS | No | 10/01/2015 | 07/19/2016 | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1556/v15/ | 81 FR 64207 |
| 374 | Consolidated Guidance about Materials Licenses: Program-Specific Guidance About Service Provider Licenses (NUREG-1556, Volume 18, Rev. 1) | NUREG | This report provides guidance to an applicant in preparing a service provider license application based on the risk significance of the proposed activity, as well as providing the U.S. Nuclear Regulatory Commission (NRC) staff with the appropriate criteria for evaluating such applications. | 08/01/2017 | NMSS | No | 11/30/2016 | **** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1556/v18/ | 82 FR 4612 |
| 375 | Consolidated Guidance about Materials Licenses: Guidance for Agreement State Licensees About NRC Form 241 "Report of Proposed Activities in Non-Agreement States, Areas of Exclusive Federal Jurisdiction, or Offshore Waters" and Guidance for NRC Licensees Proposing to Work in Agreement State Jurisdiction (Reciprocity) (NUREG-1556, Volume 19, Rev. 1) | NUREG | This report provides information important to Agreement State licensees on submitting the U.S. Nuclear Regulatory Commission (NRC) Form 241, "Report of Proposed Activities in Non-Agreement States, Areas of Exclusive Federal Jurisdiction, or Offshore Waters". | 06/01/2016 | NMSS | No | **** | 07/19/2016 | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1556/v19/ | 81 FR 64207 |
| 376 | Products Intended for Use by General Public (Consumer Products) - Policy Statement | Policy Statement | Update to policy statement on consumer products to reflect NRC's current approach to radiation protection, legislation that has been enacted since the policy was published in 1965, and subsequent approaches taken in the NRC's regulatory framework for exemptions | 01/16/2014 | NMSS | No | 07/02/2013 | 02/07/2014 | https://www.gpo.gov/fdsys/pkg/FR-2014-01-16/pdf/2014-00730.pdf | 79 FR 2907 |

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| 377 | Tribal Policy Statement | Policy Statement | Sets forth principles to be followed by the NRC staff to promote effective government-to-government interactions with American Indian and Alaska Native Tribes, and to encourage and facilitate Tribal involvement in the areas over which the Commission has jurisdiction. | 01/09/2017 | NMSS | No | **** | ***** | https://www.gpo.gov/fdsys/pkg/FR-2017-01-09/pdf/2017-00091.pdf | 82 FR 2402 |
| 378 | Implementation Guidance for 10 CFR Part 37, "Physical Protection of Category 1 and Category 2 Quantities of Radioactive Material" rev. 1 (NUREG-2155) | NUREG | The intent of this technical report is to provide guidance on, and to assist applicants and licensees in, the implementation of Title 10 of the Code of Federal Regulations (10 CFR) Part 37, "Physical Protection of Category 1 and Category 2 Quantities of Radioactive Material." This document describes methods that the U.S. Nuclear Regulatory Commission (NRC) finds acceptable for implementing the regulations. | 01/01/2015 | NMSS | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr2155/ | N/A |
| 379 | ViewRay System for Radiation Therapy | Licensing Guidance | The guidance provides applicants with an acceptable means of satisfying the requirements for a license to use the subject material, source, or device. | 07/24/2013 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML1317/ML13179A287/24/20137.pdf | N/A |
| 380 | TheraSphere® and SIR-Spheres® Yttrium-90 Microspheres, Draft Rev 10 | Licensing Guidance | The guidance provides applicants with an acceptable means of satisfying the requirements for a license to use the subject material, source, or device. | 10/30/2017 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML1710/ML17107A375.pdf | N/A |
| 381 | Leksell Gamma Knife® PerfexionTM and Leksell Gamma Knife® IconTM | Licensing Guidance | The guidance provides applicants with an acceptable means of satisfying the requirements for a license to use the subject material, source, or device. | 05/25/2016 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML1610/ML16109A208.pdf | N/A |
| 382 | Low Activity Radioactive Seeds Used for Localization of Non-Palpable Lesions and Lymph Nodes | Licensing Guidance | The guidance provides applicants with an acceptable means of satisfying the requirements for a license to use the subject material, source, or device. | 10/07/2016 | NMSS | No | 08/31/2016 | ***** | https://www.nrc.gov/docs/ML1619/ML16197A568.pdf | N/A |

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| 383 | Eckert and Ziegler GalliaPharm TM Germanium-68/Gallium-68 Pharmacy Grade Generator | Licensing Guidance | The guidance provides applicants with an acceptable means of satisfying the requirements for a license to use the subject material, source, or device. | 07/13/2017 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML1707/ML17075A488.pdf | N/A |
| 384 | Radium-223 Dichloride | Memorandum | The guidance provides applicants with an acceptable means of satisfying the requirements for a license to use the subject material. | 01/10/2013 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML1707/ML17075A488.pdf | N/A |
| 385 | Licensing Guidance for the Intraocular Use of NeoVista, Inc's Epi-Rad ₉₀ TM (Sr-90) Ophthalmic System | Licensing Guidance | The guidance provides applicants with an acceptable means of satisfying the requirements for a license to use the subject material, source, or device. | Apr-09 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML0911/ML091140370.pdf | N/A |
| 386 | Managemnt Directive 5.8: Proposed Section 274B Agreements with States | Management Directive | Establish a system for an orderly transition in the discontinuance of certain regulatory authority by NRC with assumption thereof by the State through a standard agreement or amendment. | 06/25/2013 | NMSS | No | 10/01/2012 | 07/22/2013 | https://www.nrc.gov/docs/ML1306/ML13067A396.pdf | N/A |
| 387 | Management Directive 6.3: The Rulemaking Process | Management Directive | Describes the rulemaking process at the NRC | 07/22/2013 | NMSS | No | 07/03/2012 | 08/16/2013 | ML13205A400 | N/A |
| 388 | Management Directive 8.10: NRC Assessment Program for a Medical Event or an Incident Occurring at a Medical Facility | Management Directive | Specify the scope, objectives, authorities, responsibilities, and basic requirements for the NRC's Assessment Program. | 03/28/2014 | NMSS | No | 07/03/2012 | 04/15/2014 | https://www.nrc.gov/docs/ML1330/ML13301A732.pdf | N/A |
| 389 | Management Directive 8.12: Decommissioning Financial Assurance | Management Directive | Procedures to ensure that financial instruments submitted as financial assurance for decommissioning by materials licensees and fuel cycle licensees provide reasonable assurance that financial resources for decommissioning will be available when needed. | 11/22/2013 | NMSS | No | 09/12/2012 | 01/22/2014 | ML120030527 | N/A |
| 390 | Agreement State Program Policy Statement | Policy Statement | Policy Statement for Implementation of the NRC's Agreement State Program | 10/06/2017 | NMSS | No | 10/04/2017 | ***** | https://www.federalregister.gov/documents/2017/10/06/2017-21542/agreement-state-program-policy-statement | 82 FR 46840 |

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| 391 | Physical Security Best Practices for the Protection of Risk-Significant Radioactive Material (NUREG-2166) | NUREG | This document provides guidance to U.S. Nuclear Regulatory Commission (NRC) licensees or applicants on developing and implementing a physical protection program for the protection of risk-significant radioactive materials (e.g., category 1 and category 2 quantities of radioactive material). The intent of this information is to provide NRC licensees or applicants guidance with specific emphasis on physical security best practices. The approaches and methods in this document are not requirements; however, the NRC considers them to be acceptable for complying with the requirements in Title 10 of the Code of Federal Regulations (10 CFR) Part 37, "Physical Protection of Category 1 and Category 2 Quantities of Radioactive Material." | 05/01/2014 | NMSS | No | 04/24/2014 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr2166/ | N/A |
| 392 | Interim Staff Guidance – 2, Revision 2 Fuel Retrieval in Spent Fuel Storage Applications | Interim Staff Guidance | Provides guidance to the staff for determining whether an application submitted under 10 CFR Part 72 sufficiently demonstrates that the system is designed to allow ready retrieval of spent fuel. | 04/26/2016 | NMSS | No | 04/11/2016 | ***** | https://www.nrc.gov/docs/ML1611/ML16117A080.pdf | N/A |
| 393 | Interim Staff Guidance - 8 Revision 3 Burnup Credit in the Criticality Safety Analyses of PWR Spent Fuel in Transportation and Storage Casks | Interim Staff Guidance | Provides recommendations to the staff for accepting, on a design-specific basis, a burnup credit approach in the criticality safety analysis of pressurized water reactor (PWR) SNF storage and transportation systems. | 09/26/2012 | NMSS | No | 09/12/2012 | ***** | https://www.nrc.gov/reading-rm/doc-collections/isg/isg-8R3.pdf | N/A |
| 394 | Interim Staff Guidance - ISG-18, Rev. 1 The Design and Testing of Lid Welds on Austenitic Stainless Steel Canisters as the Confinement Boundary for Spent Fuel Storage | Interim Staff Guidance | Guidance to address the design and testing of the various closure welds ("lid welds") associated with the redundant closure of all-welded austenitic stainless steel canisters | 10/03/2008 | NMSS | No | 02/22/2008 | ***** | https://www.nrc.gov/reading-rm/doc-collections/isg/isg-18r1.pdf | N/A |

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| 395 | Interim Staff Guidance-24, Revision 0 The Use of a Demonstration Program as a Surveillance Tool for Confirmation of Integrity for Continued Storage of High Burnup Fuel Beyond 20 Years | Interim Staff Guidance | Provides guidance to the staff for reviewing if a demonstration of high burnup fuel (HBF)1 has the necessary properties to qualify as one method that an applicant might use in license and certificate of compliance (CoC) applications to demonstrate the integrity of HBF for continued storage. | 07/11/2014 | NMSS | No | 06/03/2013 | ***** | https://www.nrc.gov/docs/ML1405/ML14058B166.pdf | N/A |
| 396 | Interim Staff Guidance – 25 Pressure and Helium Leakage Testing of the Confinement Boundary of Spent Fuel Dry Storage Systems | Interim Staff Guidance | Additional guidance to supplement standard review plan guidance for evaluating the helium leakage testing and ASME Code1 required pressure (hydrostatic/pneumatic) testing that is specified for the dry storage system (DSS) confinement boundary. | 08/18/2010 | NMSS | No | 02/25/2010 | ***** | https://www.nrc.gov/docs/ML1019/ML101970493.pdf | N/A |
| 397 | NUREG-1536, Rev. 1, Standard Review Plan for Spent Fuel Dry Storage Systems at a General License Facility - Final Report | NUREG | Provides guidance to NRC staff for reviewing applications for a Certificate of Compliance of a dry storage system for use at a general license facility | 07/01/2010 | NMSS | No | 05/26/2010 | ***** | http://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1536/r1/sr1536r1.pdf | 74 FR 17546*** |
| 398 | NUREG-1886, Joint Canada - United States Guide for Approval of Type B(U) and Fissile Material Transportation Packages | NUREG | This guide was developed by the United States Department of Transportation and Nuclear Regulatory Commission, and the Canadian Nuclear Safety Commission to provide direction on the standard format and content for applications for approval of packages used to transport Type B(U) and fissile materials. | 03/01/2009 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML0909/ML090930197.pdf | 73 FR 31946*** |
| 399 | NUREG-1927, Rev. 1, Standard Review Plan for Renewal of Specific Licenses and Certificates of Compliance for Dry Storage of Spent Nuclear Fuel – Final Report | NUREG | Provides guidance for the safety review of renewal applications for specific licenses of independent spent fuel storage installations and certificates of compliance (CoCs) of dry storage systems | 06/01/2016 | NMSS | No | 04/11/2016 | 07/07/2016 | https://www.nrc.gov/docs/ML1617/ML16179A148.pdf | 81 FR 44054 |
| 400 | Interim Staff Guidance-23 Application of ASTM Standard Practice C1671-07 when performing technical reviews of spent fuel storage and transportation packaging licensing actions. | Interim Staff Guidance | Guidance on applying ASTM SP C1671-07 for NRC staff use in technical reviews of spent fuel storage and transportation packaging licensing actions | 01/18/2011 | NMSS | No | **** | 02/28/2011 | https://www.nrc.gov/reading-rm/doc-collections/isg/isg-23.pdf | N/A |

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| 401 | NUREG/BR-0006, Rev. 8, Instructions for Completing Nuclear Material Transaction Reports | NUREG | Provide guidance to licensees reporting nuclear material transactions to the Nuclear Material Management and Safeguards System | 04/01/2017 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML1702/ML17026A069.pdf | N/A |
| 402 | NUREG/BR-0007, Rev. 7, Instructions for the Preparation and Distribution of Material Status Reports | NUREG | Provides instructions and guidance for preparing and distributing material status reports | 04/01/2017 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML1702/ML17026A076.pdf | N/A |
| 403 | NUREG-1520, Rev. 2, Standard Review Plan for Fuel Cycle Facilities License Applications | NUREG | Provides guidance for reviewing and evaluating the health, safety, and environmental aspects of applications to use special nuclear material to produce reactor fuel | 06/01/2015 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML1517/ML15176A258.pdf | 80 FR 38238 |
| 404 | NUREG-1757, Volume 3, Rev. 1, Consolidated Decommissioning Guidance - Financial Assurance, Recordkeeping, and Timeliness | NUREG | Provides guidance on the technical aspects of compliance with requirements for timeliness in decommissioning of materials facilities, the requirements for financial assurance for decommissioning, and the recordkeeping requirements related to eventual decommissioning. | 02/01/2012 | NMSS | No | 03/31/2011 | ***** | https://www.nrc.gov/docs/ML1204/ML12048A683.pdf | N/A |
| 405 | FCSS-ISG-12, rev. 1 Reportable Safety Events per 10 CFR Part 70 Appendix A | Interim Staff Guidance | Clarifies some of the reporting requirements in 10 CFR Part 70, Appendix A, which contains criteria for submitting one hour reports, twenty-four hour reports, and concurrent reports. | 09/18/2014 | NMSS | No | **** | ***** | ML14157A067 | N/A |
| 406 | FCSS-ISG-13, Estimating Decommissioning Costs For Uranium Enrichment Facilities | Interim Staff Guidance | Provides guidance on estimating decommissioning costs for uranium enrichment facilities | 08/13/2010 | NMSS | No | **** | ***** | ML102240529 | N/A |
| 407 | FCSE-ISG-14, Acute Uranium Exposure Standards for Workers | Interim Staff Guidance | Provides acute uranium intake quantities that the staff finds acceptable as proposed quantitative standards used to classify the chemical consequences of worker acute uranium exposure accidents analyzed in licensee's ISA | 06/08/2015 | NMSS | No | 07/31/2015 | 09/25/2015 | ML15147A682 | N/A |

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| 408 | Concentration Averaging and Encapsulation Branch Technical Position, Revision 1; Volume 1 | Branch Technical Position | This document provides guidance for one aspect related to the 10 CFR 61.42 performance objective regarding protection of an inadvertent intruder (i.e., the averaging of radionuclide concentrations for determining the classification of the waste). Specifically, this Concentration Averaging (CA) Branch Technical Position (BTP) provides guidance on complying with 10 CFR 61.55(a)(8), "Determination of concentrations in wastes," as it applies to the classification of waste for disposal under 10 CFR Part 61. | 02/25/2015 | NMSS | No | 11/03/2014 | 04/15/2015 | https://www.nrc.gov/docs/ML1225/ML12254B065.pdf | 80 FR 10165 |
| 409 | Concentration Averaging and Encapsulation Branch Technical Position, Revision 1; Volume 2 | Branch Technical Position | This document provides guidance for one aspect related to the 10 CFR 61.42 performance objective regarding protection of an inadvertent intruder (i.e., the averaging of radionuclide concentrations for determining the classification of the waste). Specifically, this Concentration Averaging (CA) Branch Technical Position (BTP) provides guidance on complying with 10 CFR 61.55(a)(8), "Determination of concentrations in wastes," as it applies to the classification of waste for disposal under 10 CFR Part 61. | 02/25/2015 | NMSS | No | 11/03/2014 | 04/15/2015 | https://www.nrc.gov/docs/ML1232/ML12326A611.pdf | 80 FR 10165 |
| 410 | Low-Level Radioactive Waste Management and Volume Reduction | Policy Statement | Revises the NRC's 1981 Policy Statement on Low-Level Radioactive Waste (LLRW) Volume Reduction. This revised statement is to recognize that progress in reducing waste volume has been achieved since the 1981 Policy Statement was published, and to acknowledge that factors other than volume reduction may be considered by licensees to determine how best to manage their LLRW. | 05/01/2012 | NMSS | No | **** | 05/15/2012 | ML15023A098 | 77 FR 25760 |
| 411 | | | | | | | | | | |

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| 412 | | | | | | | | | | |
| 413 | Miscellaneous | | | | | | | | | |
| 414 | 1. Title | 2. Form of Guidance | 3. Subject Description | 4. Date of Issuance | 5. Issuing Office | 6a. Major rule under CRA? | 6b. Date Requested OMB Major/Non-Major CRA Determination and or Submitted to OMB under Paperwork Reduction Act (PRA)** | 6c. Date submitted to Congress and GAO Under CRA | 7. If Applicable, Hyperlink or ADAMS Number | 7. Federal Register Citation |
| 415 | Management Directive 3.17, "NRC Information Quality Program" | Management Directive | Establishes program to ensure quality of all information the NRC relies on for making decisions or disseminates to the public | 06/28/2016 | Office of the Chief Information Officer | No | 09/30/2014 | **** | https://www.nrc.gov/docs/ML1610/ML16105A321.pdf | N/A |
| 416 | Management Directive 3.5, "Attendance at NRC Staff-Sponsored Meetings" | Management Directive | Establishes policies for participation by the public in certain meetings between the staff of the NRC and external stakeholders | 12/23/2011 | Office of the Executive Director for Operations | No | 05/27/2011 | 01/10/2012 | https://www.nrc.gov/docs/ML1129/ML112971635.pdf | N/A |
| 417 | Management Directive 11.4, "NRC Small Business Program" | Management Directive | Describes the NRC Small Business Program's role in acquisition and explains the acquisition review process | 06/18/2014 | Office of Small Business and Civil Rights | No | 06/03/2013 | **** | https://www.nrc.gov/docs/ML1332/ML13329A758.pdf | N/A |
| 418 | | | | | | | | | | |
| 419 | | | | | | | | | | |
| 420 | NOTES | | | | | | | | | |
| 421 | *OMB Memorandum M-99-13, "Guidance for Implementing the Congressional Review Act," states: "If the rule is not subject to E.O. 12866 review, you should contact your Desk Officer in OMB's Office of Information and Regulatory Affairs (OIRA) in accordance with your established practice." In accordance with this guidance, the established practice is for the NRC to request a major/non-major determination for rules under the CRA, except for a limited set of final NRC actions. | | | | | | | | | |
| 422 | **Unless indicated otherwise, all dates are for submission of a CRA request to OMB | | | | | | | | | |
| 423 | ***Citation for draft document. Final document not announced or not yet announced in the Federal Register | | | | | | | | | |

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| 3 | | | | | | | | | | |
| 424 | ****Document was not considered a rule under the CRA and/or the NRC could not find documentation regarding transmission of a CRA request to OMB | | | | | | | | | |
| 425 | *****Document was not considered a rule under the CRA and/or the NRC could not find documentation regarding transmission of this document to Congress and GAO | | | | | | | | | |
| 426 | *****Sensitive, Safeguards, and Classified documents are not publicly available, but are retrievable upon request | | | | | | | | | |
| 427 | | | | | | | | | | |
| 428 | | | | | | | | | | |

Identical letters sent to:

The Honorable Trey Gowdy
Chairman, Committee on Oversight
and Government Reform
United States House of Representatives
Washington, DC 20515
cc: Representative Elijah E. Cummings

The Honorable Mark Meadows
Chairman, Subcommittee on Government Operations
Committee on Oversight and Government Reform
United States House of Representatives
Washington, DC 20515
cc: Representative Gerald E. Connolly

The Honorable Jim Jordan
Chairman, Subcommittee on Healthcare,
Benefits, and Administrative Rules
Committee on Oversight and Government Reform
United States House of Representatives
Washington, DC 20515
cc: Representative Raja Kirshnamoorthi

The Honorable Gary J. Palmer
Chairman, Subcommittee on Intergovernmental Affairs
Committee on Oversight and Government Reform
United States House of Representatives
Washington, DC 20515
cc: Representative Val Butler Demings

The Honorable Blake Farenthold
Chairman, Subcommittee on the Interior,
Energy, and Environment
Committee on Oversight and Government Reform
United States House of Representatives
Washington, DC 20515
cc: Representative Stacey E. Plaskett



CHAIRMAN

UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

February 12, 2018

The Honorable Gary J. Palmer
Chairman, Subcommittee on
Intergovernmental Affairs
Committee on Oversight and Government Reform
United States House of Representatives
Washington, DC 20515

Dear Mr. Chairman:

On behalf of the U.S. Nuclear Regulatory Commission (NRC), I am responding to your December 8, 2017, letter requesting a list of guidance documents issued by the NRC since January 1, 2008. The NRC has a consolidated website¹ where it posts links to the wide variety of documents issued by the agency, including the majority of its guidance documents. The NRC issues guidance in various forms, including as Regulatory Guides and NUREG Series publications. Please find enclosed the list of guidance documents that you requested.

As an independent regulatory agency, portions of the sixth criterion listed in your letter do not apply to the NRC. However, we have identified whether the listed guidance documents were considered "major rules" under the Congressional Review Act (CRA). We have also indicated when the NRC requested a major/non-major rule determination under the CRA and/or review under the Paperwork Reduction Act from the Office of Information and Regulatory Affairs within the Office of Management and Budget for the listed guidance documents. The NRC has also provided accession (or "ML") numbers for documents that are publicly available in the NRC's Agencywide Documents Access and Management System.

I hope this information meets the Committee's needs. If you need anything additional, please contact me or have your staff contact Eugene Dacus, Director of the Office of Congressional Affairs, at [REDACTED].

Sincerely,

A handwritten signature in blue ink, appearing to read "K. Svinicki".

Kristine L. Svinicki

Enclosure:
As stated

cc: Representative Val Butler Demings

¹ <https://www.nrc.gov/reading-rm/doc-collections/>.

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| 2 | Office of Administration | | | | | | | | | |
| 3 | 1. Title | 2. Form of Guidance | 3. Subject Description | 4. Date of Issuance | 5. Issuing Office | 6a. Major rule under CRA? | 6b. Date Requested OMB Major/Non-Major CRA Determination and/or Submitted to OMB under Paperwork Reduction Act (PRA)** | 6c. Date submitted to Congress and GAO Under CRA | 7. If Applicable, Hyperlink or ADAMS Number | 7. If applicable, Federal Register Citation |
| 4 | Management Directive 12.3, NRC Personnel Security Program | Management Directive | Outlines the Personnel Security Program for the NRC | 08/08/2013 | ADM | No | 09/04/2013 | 11/12/2013 | ML13151A402 | N/A |
| 5 | Management Directive 11.1, NRC Acquisition of Supplies and Services | Management Directive | Outlines the Acquisition Program for the NRC | 05/09/2014 | ADM | No | 05/13/2014 | 06/05/2014 | ML13322B277 | N/A |
| 6 | | | | | | | | | | |
| 7 | | | | | | | | | | |
| 8 | Office of the Chief Financial Officer | | | | | | | | | |
| 9 | 1. Title | 2. Form of Guidance | 3. Subject Description | 4. Date of Issuance | 5. Issuing Office | 6a. Major rule under CRA? | 6b. Date Requested OMB Major/Non-Major CRA Determination and submitted to OMB Under Paperwork Reduction Act | 6c. Date submitted to Congress and GAO Under CRA | 7. If Applicable, Hyperlink or ADAMS Number | 7. If applicable, Federal Register Citation |
| 10 | License Fees FAQ | FAQ | Provides responses to frequently asked questions including general questions, materials license annual fee, annual small entity certification and payment of invoice. | ongoing | OCFO | No | **** | **** | https://www.nrc.gov/about-nrc/regulatory/licensing/fees.htm#faq | N/A |
| 11 | Management Directive 11.6, "Financial Assistance Program" | Management Directive | Outlines procedures for the NRC financial assistance program | 07/28/2017 | OCFO | No | **** | **** | https://www.nrc.gov/docs/ML1625/ML16253A024.pdf | N/A |
| 12 | NUREG/BR-0254, Revision 7, Payment Methods | NUREG | Provides payment methods accepted by the NRC for payment of an annual fee, a proposed or imposed civil penalty, a full cost licensing or inspection invoice, or other fees | 07/29/2015 | OCFO | No | 06/02/2017 (most recent PRA) | **** | ML15209A661 | N/A |
| 13 | | | | | | | | | | |
| 14 | Office of Enforcement | | | | | | | | | |
| 15 | 1. Title | 2. Form of Guidance | 3. Subject Description | 4. Date of Issuance | 5. Issuing Office | 6a. Major rule under CRA? | 6b. Date Requested OMB Major/Non-Major CRA Determination and/or Submitted to OMB under Paperwork Reduction Act (PRA)** | 6c. Date submitted to Congress and GAO Under CRA | 7. If Applicable, Hyperlink or ADAMS Number | 7. If applicable, Federal Register Citation |
| 16 | Nuclear Regulatory Commission Enforcement Manual (Revision 10, Change 1) and all appendices | Guidance | Provides specific guidance to staff on implementation of the NRC enforcement program. | 07/28/2017 | OE | No | N/A* | **** | https://www.nrc.gov/docs/ML1721/ML17212A125.pdf | N/A |
| 17 | Allegation Manual and all appendices | Guidance | This manual describes how allegations are received, evaluated, and closed by the NRC. | 12/22/2016 | OE | No | **** | **** | https://www.nrc.gov/docs/ML1700/ML17003A227.pdf | N/A |

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| 18 | Pre-Investigation Alternative Dispute Resolution (ADR) Brochure | Guidance | The pre-investigation program provides an individual and his/her employer (or former employer) the opportunity to resolve an allegation of discrimination through mediation rather than fully litigate the discrimination allegation or have the NRC initiate an investigation. | 08/01/2010 | OE | No | 02/06/2013 | ***** | https://www.nrc.gov/about-nrc/regulatory/enforcement/adr/pre-invest-brochure.pdf | N/A |
| 19 | Alternative Dispute Resolution (ADR) Brochure-Enforcement ADR | Guidance | Enforcement ADR, formerly known as "post-investigation ADR", refers to the use of mediation either (1) anytime after an investigation by the NRC's Office of Investigations is complete and an enforcement panel concludes that pursuit of an enforcement action appears warranted or (2) for nonwillful, traditional cases with the potential for civil penalties. | 07/01/2011 | OE | No | **** | ***** | https://www.nrc.gov/docs/ML1121/ML11210B507.pdf | N/A |
| 20 | Safety Culture Policy Statement | Policy Statement | Statement of Policy that sets forth expectation that individuals and organizations performing or overseeing regulated activities establish and maintain a positive safety culture. | 06/14/2011 | OE | No | 03/31/2011 | ***** | ML111650336 | 76 FR 34773 |
| 21 | Enforcement Policy | Policy | The NRC Enforcement Policy supports the NRC's mission to ensure adequate protection of public health and safety, promote common defense and security, and protect the environment. | Nov-16 | OE | No | **** | 08/10/2016 | https://www.nrc.gov/docs/ML1627/ML16271A446.pdf | 81 FR 78022 |
| 22 | | | | | | | | | | |
| 23 | | | | | | | | | | |
| 24 | Office of International Programs | | | | | | | | | |
| 25 | 1. Title | 2. Form of Guidance | 3. Subject Description | 4. Date of Issuance | 5. Issuing Office | 6a. Major rule under CRA? | 6b. Date Requested OMB Major/Non-Major CRA Determination and Submitted to OMB under Paperwork Reduction Act | 6c. Date submitted to Congress and GAO Under CRA | 7. If Applicable, Hyperlink or ADAMS Number | 7. If applicable, Federal Register Citation |

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| 26 | International Policy Statement | Commission Policy Statement | The International Policy Statement captures a brief history of almost 40 years of involvement in international activities and how this has impacted the NRC. The International Policy Statement also outlines how international activities directly support the NRC's goals and mission, and enumerates specific elements in which the NRC will proactively engage. | 07/10/2014 | OIP | No | **** | **** | https://www.nrc.gov/docs/ML1413/ML14132A317.pdf | 79 FR 39415 |
| 27 | Import/Export Licensing Frequently Asked Questions | FAQ | Document a series of questions and answers related to import/export licensing | ongoing | OIP | No | **** | ***** | https://www.nrc.gov/about-nrc/ip/faq.html | N/A |
| 28 | | | | | | | | | | |
| 29 | | | | | | | | | | |
| 30 | Office of New Reactors | | | | | | | | | |
| 31 | 1. Title | 2. Form of Guidance | 3. Subject Description | 4. Date of Issuance | 5. Issuing Office | 6a. Major rule under CRA? | 6b. Date Requested OMB Major/Non-Major CRA Determination and/or Submitted to OMB under Paperwork Reduction Act (PRA)** | 6c. Date submitted to Congress and GAO Under CRA | 7. If Applicable, Hyperlink or ADAMS Number | 7. If applicable, Federal Register Citation |
| 32 | NUREG-2194 Standard Technical Specifications, Westinghouse Advanced Passive 1000 (AP 1000) Plants | NUREG | Standard Technical Specifications (STS) for Westinghouse Advanced Passive 1000 (AP1000) plants | Apr-16 | NRO | no | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr2194/ | N/A |
| 33 | DC/COL-ISG-1 Interim Staff Guidance On Seismic Issues of High Frequency Ground Motion | Interim Staff Guidance | Describes how the staff will review seismic issues associated with HF ground motion as addressed in DC applications and COL applications | 05/19/2008 | NRO | no | **** | ***** | ML081400293 | 73 FR 30425 |
| 34 | DC/COL-ISG-2 Interim Staff Guidance on Financial Qualifications of Applicants For Combined License Applications | Interim Staff Guidance | Summarizes the requirements under 10 CFR Section 50.33(f)(1) for COL applicants and corrects the information provided in Section C.IV.5.1 of Regulatory Guide 1.206 | 05/02/2008 | NRO | no | **** | ***** | ML080710301 | N/A |
| 35 | DC/COL-ISG-3 PRA Information to Support Design Certification and Combined License Applications | Interim Staff Guidance | Supplements the guidance provided to the staff in Section 19.0, of the SRP concerning the review of PRA information and severe accident assessment submitted to support DC and COL applications | 06/11/2008 | NRO | no | **** | ***** | ML081430675 | 73 FR 34347 |

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| 36 | COL/ESP-ISG-4 Interim Staff Guidance on the Definition of Construction and on Limited Work Authorizations | Interim Staff Guidance | Provides guidance regarding the definition of construction and the delineation of preconstruction activities and those activities requiring prior approval of the NRC | 03/13/2009 | NRO | no | **** | ***** | ML082970729 | 74 FR 7488 |
| 37 | DC/COL-ISG-5 GALE86 Code for Calculation of Routine Radioactive Releases in Gaseous and Liquid Effluents to Support Design Certification and Combined License Applications | Interim Staff Guidance | Provides a clarification on the use of a newer version of the boiling-water reactor and pressurized-water reactors GALE codes that is not referenced in the current NRC guidance. | 07/10/2008 | NRO | no | **** | ***** | ML081710299 | 73 FR 41129 |
| 38 | DC/COL-ISG-6 Evaluation and Acceptance Criteria for 10 CFR 20.1406 to Support Design Certification and Combined License Applications | Interim Staff Guidance | Clarifies the U.S. Nuclear Regulatory Commission (NRC) position on acceptable levels of detail and content required for an applicant to demonstrate compliance with 10 CFR 20.1406 | 10/09/2009 | NRO | no | **** | ***** | ML092470100 | 74 FR 52267 |
| 39 | DC/COL-ISG-7 Assessment of Normal and Extreme Winter Precipitation Loads on the Roofs of Seismic Category I Structures | Interim Staff Guidance | Clarifies the NRC position on identifying winter precipitation events as site characteristics and site parameters for determining normal and extreme winter precipitation loads on the roofs of Seismic Category I structures | 7/1/2009 | NRO | no | **** | ***** | ML091490556 | 74 FR 31470 |
| 40 | DC/COL-ISG-8 Necessary Content of Plant-Specific Technical Specifications | Interim Staff Guidance | Replaces the related guidance in Chapter 16.0 of the Standard Review Plan (NUREG-0800) regarding the content of plant-specific Technical Specifications to support issuing a COL | 12/09/2008 | NRO | no | **** | ***** | ML083310259 | 73 FR 76685 |
| 41 | DC/COL-ISG-10 Review of Evaluation To Address Adverse Flow Effects in Equipment Other Than Reactor Internals | Interim Staff Guidance | Modifies and provides additional clarity in the guidance for the review of analyses of adverse flow effects in equipment other than reactor internals performed by COL and DC applicants | 11/03/2009 | NRO | no | **** | ***** | ML092890285 | 74 FR 58323 |

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| 42 | DC/COL-ISG-11 Finalizing Licensing-basis Information | Interim Staff Guidance | Clarifies the NRC staff position on finalizing licensing basis information at a point during the licensing review, a so-called freeze point, and the control of licensing basis information during and following the initial review of applications for DCs or COLs | 11/02/2009 | NRO | no | 01/04/2012 | ***** | ML092890623 | 74 FR 57715 |
| 43 | DC/COL-ISG-13 Assessing the Radiological Consequences of Accidental Releases of Radioactive Materials from Liquid Waste Tanks for Combined License Applications | Interim Staff Guidance | Addresses the development of the radiological source term contained in the postulated tank failure and mechanism for the radioactivity to reach groundwater or surface water | 01/22/2013 | NRO | no | 10/27/2011 | 01/24/2013 | ML12191A325 | 78 FR 6149 |
| 44 | DC/COL-ISG-14 Assessing the Radiological Consequences of Accidental Releases of Radioactive Materials from Liquid Waste Tanks in Ground and Surface Waters for Combined License Applications | Interim Staff Guidance | Focuses on characterizing the hydro-geologic conditions of the site where radioactivity is assumed to enter groundwater or surface water and modeling the movement of the radioactivity to points beyond the site boundary | 01/22/2013 | NRO | no | 10/27/2011 | ***** | ML12191A330 | 78 FR 6149 |
| 45 | DC/COL-ISG-15 Post-Combined License Commitments | Interim Staff Guidance | Discusses options regarding completion of COL items that cannot be completed until after issuance of the COL | 02/02/2010 | NRO | no | **** | ***** | ML093561416 | 75 FR 5356 |
| 46 | DC/COL-ISG-17 Ensuring Hazard-Consistent Seismic Input for Site Response and Soil Structure Interaction Analyses | Interim Staff Guidance | Provides the staff position on issues related to Seismic Input for Site Response and Soil Structure Interaction Analyses | 03/24/2010 | NRO | no | **** | ***** | ML100570203 | 75 FR 16202 |
| 47 | DC/COL-ISG-19 Gas Accumulation Issues in Safety Related Systems | Interim Staff Guidance | Clarifies the NRC staff guidance to address issues of gas accumulation in safety related systems | 09/09/2011 | NRO | no | **** | ***** | ML111110572 | 76 FR 58846 |
| 48 | DC/COL-ISG-20 Seismic Margin Analysis for New Reactors Based on Probabilistic Risk Assessment | Interim Staff Guidance | Supplements the guidance provided to the staff in Section 19.0 of the SRP to Support Design Certification and Combined License concerning the review of probabilistic risk assessment (PRA) information and severe accident assessments submitted to support DC and COL applications | 03/15/2010 | NRO | no | 07/03/2012 | ***** | ML100491233 | 75 FR 13610 |

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| 49 | DC/COL-ISG-21 Review of Nuclear Power Plant Designs using a Gas Turbine Driven Standby Emergency Alternating Current Power System | Interim Staff Guidance | Clarifies the NRC staff guidance for review of Nuclear Power Plant designs using a Gas Turbine Driven Standby Emergency Alternating Current (AC) Power system and also revises and updates the guidance previously provided in the SRP related to the Standby Emergency AC Power System | 03/22/2011 | NRO | no | 02/11/2011 | ***** | ML102510119 | 76 FR 17160 |
| 50 | DC/COL-ISG-22 Impact of Construction (Under a Combined License) of New Nuclear Power Plant Units on Operating Units at Multi-Unit Sites | Interim Staff Guidance | Assists the NRC staff in the evaluation of COL applicants' compliance with 10 CFR 52.79(a)(31). 10 CFR 52.79(a)(31) requires COL applicants who intend to construct and operate new units on multi-unit sites to provide an evaluation of the potential hazards to structures, systems, and components important to safety for the operating units resulting from construction activities | 05/11/2012 | NRO | no | 05/02/2011 | ***** | ML112630044 | 77 FR 29701 |
| 51 | DC/COL-ISG-24 Interim Staff Guidance Implementation of Regulatory Guide 1.221 on Design-Basis Hurricane and Hurricane Missiles DC/COL-ISG-024 | Interim Staff Guidance | Provides the staff guidance regarding the application of Regulatory Guide 1.221, "Design-Basis Hurricane and Hurricane Missiles for Nuclear Power Plants," in support of NRC reviews of ESP, DC, and COL applications being performed under the March 2007 version of the SRP | 05/14/2013 | NRO | no | 01/15/2013 | 06/30/2013 | ML13015A693 | 78 FR 31614 |
| 52 | DC/COL-ISG-25 Changes during Construction Under Title 10 of the Code of Federal Regulations Part 52 | Interim Staff Guidance | Provides guidance to the NRC staff on the Preliminary Amendment Request (PAR) review process available to the COL holders | 07/31/2015 | NRO | no | 05/02/2011 | ***** | ML15058A377 | 80 FR 48351 |

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| 53 | COL/ESP-ISG-26 Environmental Issues Associated with New Reactors | Interim Staff Guidance | Clarifies the NRC guidance and application of the Environmental Standard Review Plan, regarding the assessment of construction impacts, greenhouse gas and climate change, socioeconomics, environmental justice, need for power, alternatives, cumulative impacts, and cultural/historical resources as part of the preparation of environmental impact statements for ESP and COL applications | 09/03/2014 | NRO | no | 02/26/2013 | 09/09/2014 | ML14092A402 | 79 FR 52373 |
| 54 | COL/ESP-ISG-27 Specific Environmental Guidance for Light Water Small Modular Reactor | Interim Staff Guidance | Clarifies the NRC guidance and application of NUREG-1555, "Standard Review Plans for Environmental Reviews for Nuclear Power Plants," regarding the assessment of environmental reviews for applications for licenses to construct and operate light water small modular reactors | 8/25/2014 | NRO | no | 02/26/2013 | 09/09/2014 | ML14100A648 | 79 FR 53083 |
| 55 | DC/COL-ISG-28 Assessing the Technical Adequacy of the Advanced Light-Water Reactor Probabilistic Risk Assessment for the Design Certification Application and Combined License Application | Interim Staff Guidance | Addresses how applicants for advanced light water reactors can use ASME /ANS RA-S-2008 Standard for Level 1/Large Early Release Frequency Probabilistic Risk Assessment for Nuclear Power Plant Applications," (the PRA Standard), as endorsed by RG 1.200, Revision 2 | Nov-16 | NRO | no | 08/03/2015 | 12/08/2016 | ML16130A468 | 81 FR 87083 |
| 56 | Commission Policy Statement on the Regulation of Advanced Reactors | Commission Policy Statement | Reinforces the Commission's current policy regarding advanced reactors and includes new items to be considered during the design of these reactors, including security, emergency preparedness, threat of theft, and international safeguards | 10/14/2008 | NRO | no | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/commission/policy/73fr60612.pdf | 73 FR 60612 |
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| 63 | Office of Nuclear Reactor Regulation | | | | | | | | | |
| 64 | NUREG-0654 | NUREG | Criteria for Preparation and Evaluation of Radiological Emergency Response Plans and Preparedness in Support of Nuclear Power Plants (FEMA-REP-1, Revision 1, Supplement 3) | Nov-11 | NRR | No | 07/21/2011 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr0654/r1/s3/ | 76 FR 75771 |
| 65 | NUREG-0711 | NUREG | Human Factors Engineering Program Review Model, Revision 3 | Nov-12 | NRR | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr0711/ | N/A |
| 66 | NUREG-0800 | NUREG | Standard Review Plan for the Review of Safety Analysis Reports for Nuclear Power Plants: LWR Edition | Various Chapters: Dates before and after 2008 | NRR/NRO | No | Various | Various | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr0800/ | Various |
| 67 | NUREG-1021 | NUREG | Operator Licensing Examination Standards for Power Reactors | Feb-17 | NRR | No | 01/04/2017 | 02/28/2017 | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1021/ | 82 FR 10809 |
| 68 | NUREG-1022 | NUREG | Event Reporting Guidelines: 10 CFR 50.72 and 50.73 | Sep-14 | NRR | No | 01/15/2013 | 03/22/2013 | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1022/ | 79 FR 58811 |
| 69 | NUREG-1122 | NUREG | Knowledge and Abilities Catalog for Nuclear Power Plant Operators: Pressurized Water Reactors | Apr-17 | NRR | No | 08/31/2017 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1122/ | 82 FR 18018*** |
| 70 | NUREG-1123 | NUREG | Knowledge and Abilities Catalog for Nuclear Power Plant Operators: Boiling Water Reactors | Apr-17 | NRR | No | 08/31/2017 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1123/ | 82 FR 18018*** |
| 71 | NUREG-1307 | NUREG | Report on Waste Burial Charges: Changes in Decommissioning Waste Disposal Costs at Low-Level Waste Burial Facilities | Mar-17 | NRR | No | 12/18/2012 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1307/ | 82 FR 13677 |
| 72 | NUREG-1430 | NUREG | Standard Technical Specifications — Babcock and Wilcox Plants | Apr-12 | NRR | No | 05/27/2011 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1430/ | 77 FR 27490 |
| 73 | NUREG-1431 | NUREG | Standard Technical Specifications — Westinghouse Plants | Apr-12 | NRR | No | 05/27/2011 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1431/ | 77 FR 27490 |

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| 74 | NUREG-1432 | NUREG | Standard Technical Specifications — Combustion Engineering Plants | Apr-12 | NRR | No | 05/27/2011 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1432/ | 77 FR 27490 |
| 75 | NUREG-1433 | NUREG | Standard Technical Specifications — General Electric Plants (BWR/4) | Apr-12 | NRR | No | 05/27/2011 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1433/ | 77 FR 27490 |
| 76 | NUREG-1434 | NUREG | Standard Technical Specifications — General Electric Plants (BWR/6) | Apr-12 | NRR | No | 05/27/2011 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1434/ | 77 FR 27490 |
| 77 | NUREG-1437 | NUREG | Generic Environmental Impact Statement for License Renewal of Nuclear Plants | Various Supplement s: Dates before and after 2008 | NRR | No | 03/30/2012 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1437/ | 61 FR 28467; 78 FR 37325 |
| 78 | NUREG-1475 | NUREG | Applying Statistics | Mar-11 | NRR | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1475/ | N/A |
| 79 | NUREG-1482 | NUREG | Guidelines for Inservice Testing at Nuclear Power Plants: Inservice Testing of Pumps and Valves and Inservice Examination and Testing of Dynamic Restraints (Snubbers) at Nuclear Power Plants | Oct-13 | NRR | No | 04/04/2013 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1482/ | 78 FR 71676 |
| 80 | NUREG-1530 | NUREG | Reassessment of NRC's Dollar Per Person-Rem Conversion Factor Policy | Aug-15 | NRR | No | 10/13/2017 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1530/ | 80 FR 53585*** |
| 81 | NUREG-1555 | NUREG | Standard Review Plans for Environmental Reviews for Nuclear Power Plants: Environmental Standard Review Plan (with Supplement 1, Revision 1, for Operating Reactor License Renewal) | Jun-13 | NRR | No | 03/30/2012 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1555/ | 78 FR 37325 |
| 82 | NUREG-1800 | NUREG | Standard Review Plan for Review of License Renewal Applications for Nuclear Power Plants, Revision 2 | Dec-10 | NRR | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1800/ | 75 FR 80857 |
| 83 | NUREG-1801 | NUREG | Generic Aging Lessons Learned (GALL) Report | Dec-10 | NRR | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1801/ | 75 FR 80857 |

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| 84 | NUREG-2103 | NUREG | Knowledge and Abilities Catalog for Nuclear Power Plant Operators: Westinghouse AP1000 Pressurized-Water Reactors | Oct-11 | NRR/NRO | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr2103/ | 76 FR 73720*** |
| 85 | NUREG-2104 | NUREG | Knowledge and Abilities Catalog for Nuclear Power Plant Operators: Advanced Boiling-Water Reactors | Dec-11 | NRR/NRO | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr2104/ | 77 FR 3009*** |
| 86 | NUREG-2165 | NUREG | Safety Culture Common Language | Mar-14 | NRR | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr2165/ | N/A |
| 87 | NUREG-2188 | NUREG | U.S. Operating Experience with Thermally Treated Alloy 600 Steam Generator Tubes Through December 2013 | Feb-16 | NRR | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr2188/ | N/A |
| 88 | NUREG-2191 | NUREG | Generic Aging Lessons Learned for Subsequent License Renewal (GALL-SLR) Report | Jul-17 | NRR | No | 12/28/2016 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr2191/ | 82 FR 32588 |
| 89 | NUREG-2192 | NUREG | Standard Review Plan for Review of Subsequent License Renewal Applications for Nuclear Power Plants | Jul-17 | NRR | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr2192/ | 82 FR 32588 |
| 90 | GL-08-01, Managing Gas Accumulation in Emergency Core Cooling, Decay Heat Removal, and Containment Spray Systems | Generic Letter | Addresses the issue of gas accumulation in the emergency core cooling, decay heat removal, and containment spray systems | 01/11/2008 | NRR | No | 02/04/2013 | ***** | ML072910759 | 73 FR 4644 |
| 91 | GL-16-01 Monitoring of Neutron-Absorbing Materials in Spent Fuels Pools | Generic Letter | To address degradation of neutron-absorbing materials in wet storage systems for reactor fuel at power and non-power reactors | 04/07/2016 | NRR | No | 12/31/15 (PRA) | ***** | ML16097A169 | 81 FR 22129 |

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| 92 | BL-11-01 Mitigating Strategies | Bulletin | <p>1. To require that addressees provide a comprehensive verification of their compliance with the regulatory requirements of Title 10 of the Code of Federal Regulations (10 CFR) Section 50.54(hh)(2),</p> <p>2. To notify addressees about the NRC staff's need for information associated with licensee mitigating strategies under 10 CFR 50.54(hh)(2) in light of the recent events at Japan's Fukushima Daiichi facility in order to determine if 1) additional assessment of program implementation is needed, 2) the current inspection program should be enhanced, or 3) further regulatory action is warranted, and</p> <p>3. To require that addressees provide a written response to the NRC in accordance with 10 CFR 50.54(f).</p> | 05/11/2011 | NRR | No | **** | ***** | ML111250360 | N/A |

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| 93 | BL-12-01 Design Vulnerability In Electric Power System | Bulletin | <p>1. To notify the addressees that the NRC staff is requesting information about the facilities' electric power system designs, in light of the recent operating experience that involved the loss of one of the three phases of the offsite power circuit (single-phase open circuit condition) at Byron Station, Unit 2, to determine if further regulatory action is warranted.</p> <p>2. To require that the addressees comprehensively verify their compliance with the regulatory requirements of General Design Criterion (GDC) 17, "Electric Power Systems," in Appendix A, "General Design Criteria for Nuclear Power Plants," to 10 CFR Part 50 or the applicable principal design criteria in the updated final safety analysis report; and the design criteria for protection systems under 10 CFR 50.55a(h)(2) and 10 CFR 50.55a(h)(3).</p> <p>3. To require that addressees respond to the NRC in writing, in accordance with 10 CFR 50.54(f).</p> | 07/27/2012 | NRO, NRR | No | **** | ***** | ML12074A115 | N/A |
| 94 | NRC Inspection Manual | Inspection Manual | NRC Inspection Manual documents issued by the various NRC offices that manage and oversee inspection programs | Various | NRR | No | N/A* | ***** | https://www.nrc.gov/reading-rm/doc-collections/insp-manual/manual-chapter/ | N/A |
| 95 | NRC Inspection Procedure | Inspection Procedure | NRC Inspection Procedure documents issued by the various NRC Offices that perform inspections | Various | NRR | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/insp-manual/inspection-procedure/ | N/A |
| 96 | Risk Assessment of Operational Events Handbook, Volume 1-4 | Guidance Document | Risk Assessment of Operational Events Handbook: Internal Event (Vol. 1), External Events (Vol. 2), SPAR Model Reviews (Vol. 3), Shutdown Events (Vol. 4) | <p>12/01/2017</p> <p>11/01/2017</p> <p>9/01/2011</p> <p>4/1/2011</p> | NRR | No | **** | ***** | <p>ML17348A149</p> <p>ML17349A301 ML102850267</p> <p>ML111370163</p> | N/A |

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| 97 | LR-ISG-2011-1, Revision 1 - "Aging Management of Stainless Steel Structures and Components in Treated Borated Water" | Interim Staff Guidance | Provides guidance as to one acceptable approach for managing the effects of aging at nuclear power plants during the period of extended operation for stainless steel structures and components exposed to treated borated water within the scope of 10 CFR Part 54. This LR-ISG revises the NRC staff's aging management recommendations in NUREG-1800, Revision 2, and NUREG-1801, Revision 2. | 12/18/2012 | NRR | No | **** | ***** | https://www.nrc.gov/docs/ML1228/ML12286A275.pdf | 77 FR 74883 |
| 98 | LR-ISG-2011-02 - "Aging Management Program for Steam Generators" | Interim Staff Guidance | Evaluates the suitability of using Revision 3 of Nuclear Energy Institute (NEI) 97-06, "Steam Generator Program Guidelines," to manage the effects of aging of steam generators and corrects an incorrect revision in NUREG-1801, Revision 2, to the Steam Generator Interity Assessment Guidelines. | 11/21/2011 | NRR | No | **** | ***** | https://www.nrc.gov/docs/ML1129/ML11297A085.pdf | 76 FR 74834 |
| 99 | LR-ISG-2011-03 - "Changes to the Generic Aging Lessons Learned (GALL) Report Revision 2 Aging Management Program XI.M41, "Buried and Underground Piping and Tanks" | Interim Staff Guidance | Changes GALL Report AMP XI.M41 to provide one acceptable approach for managing the effects of aging of buried and underground piping and tanks within the scope of 10 CFR Part 54. This LR-ISG also changes Table 3.0-1, "FSAR Supplement for Aging Management of Applicable Systems," in NUREG-1800, Revision 2. A licensee may reference this ISG in its nuclear power plant license renewal application to demonstrate that its buried and underground piping and tanks program is acceptable to the staff until the guidance in this LR-ISG is implemented into the next update of the license renewal guidance documents. | 07/26/2012 | NRR | No | 03/30/2012 | 08/06/2012 | https://www.nrc.gov/docs/ML1213/ML12138A296.pdf | 77 FR 46127 |

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| 100 | LR-ISG-2011-04 - "Updated Aging Management Criteria for Reactor Vessel Internal Components of Pressurized Water Reactors" | Interim Staff Guidance | Revises the recommendations in NUREG-1801, Revision 2, and the NRC staff's acceptance criteria and review procedures in NUREG-1800, Revision 2 to ensure consistency with Revision 1 to the Final Safety Evaluation of MRP-227-A, "Pressurized Water Reactor (PWR) Internals Inspection and Evaluation Guidelines." This LR-ISG also provides a framework to ensure that PWR license renewal applicants will adequately address age-related degradation and aging management of reactor vessel internal components during the term of the renewed license(s). | 05/28/2013 | NRR | No | 06/01/2012 | 06/03/2013 | https://www.nrc.gov/docs/ML1227/ML12270A436.pdf | 78 FR 33120 |
| 101 | LR-ISG-2011-05 - "Ongoing Review of Operating Experience" | Interim Staff Guidance | Clarifies the NRC guidance in NUREG-1800, Revision 2, that acceptable nuclear power plant license renewal AMPs should be informed, and enhanced when necessary, based on the ongoing review of both plant-specific and industry operating experience. Specifically, this LR-ISG revises the NRC staff's acceptance criteria and review procedures to better address the ongoing review of operating experience within the SRP-LR. This LR-ISG also better aligns NUREG-1801, Revision 2, with the SRP-LR by incorporating the recommendation for ongoing review of operating experience into each of the program descriptions. This LR-ISG also provides a framework to ensure that license renewal applicants' operating experience review activities will adequately address operating experience concerning age-related degradation and aging management during the term of the renewed license(s). | 03/09/2012 | NRR | No | 03/02/2012 | 03/19/2012 | https://www.nrc.gov/docs/ML1204/ML12044A215.pdf | 77 FR 15818 |

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| 102 | LR-ISG-2012-01 - "Wall Thinning Due to Erosion Mechanisms" | Interim Staff Guidance | Provides interim guidance for an approach acceptable to the NRC staff to manage the effects of aging during the period of extended operation for wall thinning due to various erosion mechanisms for piping and components within the scope of 10 CFR Part 54. This LR-ISG revises NUREG-1801, Revision 2 aging management program XI.M17, "Flow-Accelerated Corrosion." It also supplements, or revises, related aging management recommendations in the NUREG-1801, Revision 2 and NUREG-1800, Revision 2. | 04/25/2013 | NRR | No | 10/01/2012 | 04/26/2013 | https://www.nrc.gov/docs/ML1235/ML12352A057.pdf | 78 FR 25487 |
| 103 | LR-ISG-2012-02 - "Aging Management of Internal Surfaces, Fire Water Systems, Atmospheric Storage Tanks, and Corrosion Under Insulation" | Interim Staff Guidance | Changes NUREG-1801, Revision 2 and NUREG-1800, Revision 2, to provide one acceptable approach for managing the associated aging effects for internal surfaces, fire water systems, atmospheric storage tanks, and corrosion under insulation within the scope of 10 CFR Part 54. | 11/14/2013 | NRR | No | 07/02/2013 | ***** | https://www.nrc.gov/docs/ML1322/ML13227A361.pdf | 78 FR 70076 |
| 104 | LR-ISG-2013-01, LR-ISG-2014-004 - "Aging Management of Loss of Coating or Lining Integrity for Internal Coatings/Linings on In-Scope Piping, Piping Components, Heat Exchangers, and Tanks" | Interim Staff Guidance | Changes NUREG-1801, Revision 2 and NUREG-1800, Revision 2, to provide one acceptable approach for managing the associated aging effects of loss of coating or lining integrity for internal components/linings on piping, piping components, heat exchangers, and tanks within the scope of 10 CFR Part 54. | 11/06/2014 | NRR | No | 03/04/2014 | 12/01/2014 | https://www.nrc.gov/docs/ML1422/ML14225A059.pdf | 79 FR 68308 |
| 105 | LR-ISG-2015-01 - "Changes to Buried and Underground Piping and Tank Recommendations" | Interim Staff Guidance | Changes NUREG-1801, Revision 2 and NUREG-1800, Revision 2, to provide one acceptable approach for managing the associated aging effects for buried and underground piping and tanks within the scope of the 10 CFR Part 54. | 01/28/2016 | NRR | No | 11/30/2015 | 02/08/2016 | https://www.nrc.gov/docs/ML1530/ML15308A018.pdf | 81 FR 6055 |

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| 106 | LR-ISG-2016-01 - "Changes to Aging Management Guidance for Various Steam Generator Components" | Interim Staff Guidance | Changes NUREG-1801, Revision 2 and NUREG-1800, Revision 2, to provide one acceptable approach for managing the associated aging effects for steam generator components within the scope of the 10 CFR Part 54. | 11/30/2016 | NRR | No | 08/31/2016 | 12/14/2016 | https://www.nrc.gov/docs/ML1623/ML16237A383.pdf | 81 FR 88276 |
| 107 | Revised Guidance for Review of Final Licensee Responses to Generic Letter 2004-02, "Potential Impact of Debris Blockage on Emergency Recirculation During Design Basis Accidents at Pressurized Water Reactors" | Guidance | Guidance for responding to GL 2004-02 in the areas of head loss, chemical effects, and coatings | 03/28/2008 | NRR | No | **** | ***** | ML080230234 | 69 FR 57101 |
| 108 | Management Directive 8.7, "Reactor Operating Experience Program" | Management Directive | Provides means for assessing the significance of OpE Information, providing timely and effective communication to stakeholders, and applying the lessons learned to regulatory decisions and programs affecting nuclear reactors. | 09/27/2012 | NRR | No | 11/02/2012 | ***** | ML122750292 | N/A |
| 109 | DSS-ISG-2016-01 and Rev. 1 | Interim Staff Guidance | Clarification of Licensee Actions in Receipt of Enforcement Discretion Per Enforcement Guidance Memorandum EGM 15-002, "Enforcement Discretion for Tornado-generated Missile Protection Noncompliance | 11/22/2017 2/25/2016 | NRR | No | 07/28/2017 1/28/2016 | ***** 02/24/2016 | ML17128A344, ML15348A202 | 82 FR 55657, 81 FR 9518 |
| 110 | DSS-ISG-2010-01 | Interim Staff Guidance | Staff Guidance regarding the Nuclear Criticality Safety Analysis for Spent Fuel Pools | 10/13/2011 | NRR | No | **** | 10/03/2011 | ML110620086 | 76 FR 63676 |
| 111 | DI&C-ISG-03 - Review of New Reactor Digital Instrumentation and Control Probabilistic Risk Assessments | Interim Staff Guidance | Provides acceptable methods for evaluating digital instrumentation and control system risk assessments. The primary purpose of this document is to provide clear guidance on how NRC reviewers should evaluate digital instrumentation and control (I&C) system probabilistic risk assessments (PRAs), including common cause failures in PRAs and uncertainty analysis associated with new reactor digital systems. | 08/11/2008 | NRR | No | **** | ***** | ML080570048 | N/A |

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| 112 | DI&C-ISG-04, Rev 1 - Highly-Integrated Control Rooms—Communications Issues (HICRc) | Interim Staff Guidance | Provides acceptable methods for addressing HICRc in digital I&C system designs, and clarifies the criteria the staff will use to evaluate whether an applicant/licensee digital system design is consistent with HICRc guidelines. | 03/06/2009 | NRR | No | **** | ***** | ML083310185 | N/A |
| 113 | DI&C-ISG-05, Rev 1 - Highly Integrated Control Rooms - Human Factors | Interim Staff Guidance | Provides acceptable methods for addressing HICR—HF in digital I&C system designs, and clarifies the criteria the staff would use to evaluate whether an applicant/licensee digital system design is consistent with HICR—HF guidelines. | 11/03/2008 | NRR | No | **** | ***** | ML082740440 | N/A |
| 114 | DI&C-ISG-06 - Licensing Processes | Interim Staff Guidance | Describes the licensing process that may be used in the review (against licensing criteria – the Standard Review Plan, NUREG-0800) of license amendment requests associated with digital I&C system modifications in operating plants originally licensed under Part 50, and covers activities prior to submittal of a license amendment request. | 01/19/2011 | NRR | No | **** | ***** | ML110140103 | N/A |
| 115 | DI&C-ISG-07 - Fuel Cycle Facilities - DI&C Systems in Safety Applications at Fuel Cycle Facilities | Interim Staff Guidance | Provides licensing review criteria that address acceptable means of implementing digital instrumentation and controls (I&C) applications used to accomplish safety functions in fuel cycle facilities. | 12/1/2010 6/1/2009 | NRR | No | **** | ***** | ML101900316 ML091550599 | 74 FR 38673 |
| 116 | RTR-ISG-2009-001 | Interim Staff Guidance | Interim Staff Guidance on Streamlined Review Process for License Renewal for Research Reactors | 10/16/2009 | NRR | No | **** | ***** | ML092240244 | 74 FR 57206 |
| 117 | OL/FR-ISG-2014-01, "Reviewing and Assessing the Financial Condition of Operating Power Reactor Licensees, Including Requests for Additional Information" | Interim Staff Guidance | Clarifies the process by which the NRC staff will review financial conditions of, and financial concerns about, currently operating power reactor licensees. | 03/04/2015 | NRR | No | 02/02/2015 | 03/12/2015 | ML14218A625 | 80 FR 11691 |

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| 118 | Final Interim Staff Guidance Augmenting NUREG-1537, Parts 1 and 2 | Interim Staff Guidance | Provides guidance for preparing and reviewing applications for radioisotope production facilities and aqueous homogeneous reactors | 10/30/2012 | NRR | No | **** | 11/05/2012 | ML12156A069 ML12156A075 | 77 FR 65728 |
| 119 | Draft Interim Staff Guidance Augmenting NUREG-1537, Chapter 7, "Instrumentation and Control Systems," Parts 1 and 2 | Interim Staff Guidance | Provides updated and consolidated guidance for preparing and reviewing applications for initial licensing or to amend a facility operating license for instrumentation and control (I&C) upgrades at non-power production or utilization facilities, as appropriate. | 11/16/2015 | NRR | No | 08/01/2014 | ***** | ML17248A545 ML17248A546 | 80 FR 70850*** |
| 120 | NSIR/DPR-ISG-01, "Interim Staff Guidance - Emergency Planning for Nuclear Power Plants" | Interim Staff Guidance | Provides updated guidance information for addressing emergency planning (EP) requirements for nuclear power plants (NPPs), and provides additional guidance on one topic not specifically addressed in the EP final rule (i.e., integrating offsite response organization (ORO) event response concepts with onsite EP programs). | 12/05/2011 | NRR | No | 07/21/2011 | ***** | ML113010523 | 76 FR 75771 |
| 121 | JLD-ISG-2012-01 - "Interim Staff Guidance for Compliance with Order EA-12-049 Concerning Mitigation Strategies for Beyond-Design-Basis Events" | Interim Staff Guidance | Provides guidance and clarification to assist nuclear power reactor licensees with the identification of measures needed to comply with requirements contained in Order EA-12-049, "Order Modifying Licenses with Regard to Requirements for Mitigation Strategies for Beyond-Design-Basis External Events," to mitigate challenges to key safety functions. | 08/29/12 | NRR | No | 09/10/2012 | 09/10/2012 | ML12229A174 | 77 FR 55230 |

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| 122 | JLD-ISG-2012-01 - "Interim Staff Guidance for Compliance with Order EA-12-049 Concerning Mitigation Strategies for Beyond-Design-Basis Events" (Rev 1) | Interim Staff Guidance | Provides guidance and clarification to assist nuclear power reactor licensees with the identification of measures needed to comply with requirements contained in Order EA-12-049, "Order Modifying Licenses with Regard to Requirements for Mitigation Strategies for Beyond-Design-Basis External Events," to mitigate challenges to key safety functions. | 01/22/16 | NRR | No | | 02/17/2016 | https://www.nrc.gov/docs/ML1535/ML15357A163.pdf | 81 FR 10283 |
| 123 | JLD-ISG-12-02 - "Interim Staff Guidance for Compliance with Order EA-12-050, Reliable Hardened Vents" | Interim Staff Guidance | Provides guidance and clarification to assist nuclear power reactor applicants and licensees with the identification of measures needed to comply with requirements contained in Order EA-12-050, "Order Modifying Licenses with Regard to Reliable Hardened Containment Vents," to mitigate challenges to key safety functions. | 08/09/12 | NRR | No | 06/15/2012 | 09/10/2012 | https://www.nrc.gov/docs/ML1222/ML12229A475.pdf | 77 FR 55231 |
| 124 | JLD-ISG-12-03 - "Interim Staff Guidance for Compliance with Order EA-12-051, Spent Fuel Pool Instrumentation" | Interim Staff Guidance | Provides guidance and clarification to assist nuclear power reactors applicants and licensees with the identification of measures needed to comply with requirements contained in Order EA-12-051, "Order Modifying Licenses with Regard to Reliable Spent Fuel Pool Instrumentation," to install enhanced spent fuel pool monitoring capability. | 08/29/12 | NRR | No | **** | **** | https://www.nrc.gov/docs/ML1222/ML12221A339.pdf | 77 FR 55232 |
| 125 | JLD-ISG-12-04 - "Interim Staff Guidance on Performing a Seismic Margin Assessment in Response to the March 2012 Request for Information Letter" | Interim Staff Guidance | Provides guidance and clarification to assist nuclear power reactor licensees when responding to the NRC staff's request for information dated March 12, 2012, Enclosure 1, "Recommendation 2.1: Seismic." | 11/16/12 | NRR | No | **** | 11/26/2012 | https://www.nrc.gov/docs/ML1228/ML12286A029.pdf | 77 FR 71019 |

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| 126 | JLD-ISG-12-05 - "Interim Staff Guidance for Performing the Integrated Assessment for External Flooding" | Interim Staff Guidance | Provides guidance and clarification to assist nuclear power reactors applicants and licensees with performing an integrated assessment for external flooding in response to Enclosure 2 of a March 12, 2012, information request. | 11/30/12 | NRR | No | 10/01/2012 | 12/10/2012 | https://www.nrc.gov/docs/ML1231/ML12311A214.pdf | 77 FR 73057 |
| 127 | JLD-ISG-12-06 - "Interim Staff Guidance for Performing a Tsunami, Surge, or Seiche Hazard Assessment" | Interim Staff Guidance | Provides guidance and clarification to assist nuclear power reactors applicants and licensees with the flooding hazard reassessment in response to Enclosure 2 of the NRC staff's request for information, "Request for Information Pursuant to section 50.54(f) of Title 10 of the Code of Federal Regulations (10 CFR), Regarding Recommendations 2.1, 2.3, and 9.3 of the Near-Term Task Force Review of Insights from the Fukushima Dai-ichi Accident," dated March 12, 2012. | 01/04/13 | NRR | No | 11/02/2012 | 01/23/2013 | https://www.nrc.gov/docs/ML1231/ML12314A412.pdf | 78 FR 3039 |
| 128 | JLD-ISG-13-01 - "Interim Staff Guidance for Assessment of Flooding Hazards due to Dam Failure" | Interim Staff Guidance | Provides guidance and clarification to assist nuclear power reactors applicants and licensees with the flooding hazard reassessment in response to Enclosure 2 of the NRC staff's request for information, "Request for Information Pursuant to section 50.54(f) of Title 10 of the Code of Federal Regulations (10 CFR), Regarding Recommendations 2.1, 2.3, and 9.3 of the Near-Term Task Force Review of Insights from the Fukushima Dai-ichi Accident," dated March 12, 2012. | 07/29/13 | NRR | No | 04/24/2013 | ***** | https://www.nrc.gov/docs/ML1315/ML13151A153.pdf | 78 FR 48201 |

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| 129 | JLD-ISG-13-02 - "Interim Staff Guidance for Compliance with Order EA-13-109 Regarding Reliable Hardened Containment Vents" | Interim Staff Guidance | Provides guidance and clarifies the requirements in the order to assist the licensees that have Boiling Water Reactors with Mark I and Mark II Containments in the design and implementation of a containment venting system that is capable of a operation under severe accident conditions, and endorses, with clarifications, the industry guidance contained in Nuclear Energy Institute 13-02, "Industry Guidance for Compliance with Order EA-13-109," Revision 0. | 11/25/13 | NRR | No | **** | ***** | ML13304B836 | 78 FR 70356 |
| 130 | JLD-ISG-15-01 - "Interim Staff Guidance for Compliance with Phase 2 of Order EA-13-109, Order Modifying Licenses with Regard to Reliable Hardened Containment Vents Capable of Operation under Severe Accident Conditions" | Interim Staff Guidance | Provides guidance and clarifies the Phase 2 requirements in the order to assist the licensees that have Boiling Water Reactors with Mark I and Mark II Containments in the design and implementation of either a vent path from the containment drywell or a strategy that makes it unlikely that venting would be needed from the drywell before alternate reliable containment heat removal and pressure control is reestablished, and endorses, with clarifications, the industry guidance contained in Nuclear Energy Institute 13-02, "Industry Guidance for Compliance with Order EA-13-109," Revision 1. | 05/07/15 | NRR | No | **** | ***** | https://www.nrc.gov/docs/ML1510/ML15104A118.pdf | 80 FR 26303 |
| 131 | JLD-ISG-16-01 - "Interim Staff Guidance for Activities Related to Near-Term Task Force Recommendation 2.1, Flooding Hazard Reevaluation; Focused Evaluation and Integrated Assessment" | Interim Staff Guidance | Provides guidance and clarification to assist operating power reactor licensees and holders of construction permits under the NRC's regulations with the performance of the focused evaluations and revised integrated assessments for external flooding. | 07/19/16 | NRR | No | 06/28/2016 | 08/17/2016 | https://www.nrc.gov/docs/ML1616/ML16162A301.pdf | 81 FR 46970 |
| 132 | | | | | | | | | | |
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| 136 | Office of Nuclear Security and Incident Response | | | | | | | | | |
| 137 | Letter to Security Managers of nuclear power reactor licensees, "The U.S. Nuclear Regulatory Commission Inspection Approach Related to Industry Implementation of Early Warning systems" | Guidance | Outlines the approach to be used by NRC inspectors when evaluating Early Warning Systems | 05/26/2016 | NSIR | No | **** | **** | Non-public ***** | N/A |
| 138 | NSIR/DSP Interim Staff Guidance (ISG)-03, Review of Security Exemptions / License Amendment Request for Decommissioning Nuclear Power Plants | Interim Staff Guidance | Describes the methods and that the staff of the NRC uses in conducting technical reviews and safety evaluations of a licensee's requests for license amendments, alternative measures, and requests for exemption from security regulations for nuclear power plants that are undergoing the process of decommissioning | 09/28/2015 | NSIR | No | 03/27/2015 | **** | ML15106A737 | 80 FR 62116 |
| 139 | NSIR/DPR Interim Staff Guidance (ISG)-01, Interim Staff Guidance on Emergency Planning for Nuclear Power Plants | Interim Staff Guidance | Describes the methods and procedures that the staff of the NRC uses and considers acceptable for use by licensees and applicants as guidance for implementing changes to their onsite emergency preparedness programs based on the revised requirements (i.e, the 2011 Emergency Preparedness Final Rule). | 11/20/2011 | NSIR | No | 07/21/2011 | 12/05/2011 | ML113010523 | 76 FR 75771 |
| 140 | NSIR/DPR Interim Staff Guidance (ISG)-02, Emergency Planning Exemption Requests for Decommissioning Nuclear Power Plants | Interim Staff Guidance | Describes the methods and procedures that the staff of the NRC uses for conducting the technical review of requests for exemptions from the emergency preparedness requirements for nuclear power reactor licensees that have been permanently shutdown and defueled or are planning to transition to a decommissioning state. | 05/11/2015 | NSIR | No | 11/03/2014 | 05/20/2015 | ML14106A057 | 80 FR 29348 |

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| 141 | NSIR/DPR Interim Staff Guidance (ISG)-03, Review of Security Exemptions/License Amendment Requests for Decommissioning Nuclear Power Plants | Interim Staff Guidance | Describes the methods and procedures that the staff of the NRC uses for conducting the technical review of requests for exemptions from the emergency preparedness requirements for nuclear power reactor licensees that have been permanently shutdown and defueled or are planning to transition to a decommissioning state. | 09/28/2015 | NSIR | No | 03/27/2015 | ***** | ML15106A737 | 80 FR 62116 |
| 142 | Policy Statement of the U.S. Nuclear Regulatory Commission on the Protection of Cesium-137 Chloride Sources | Policy Statement | Set forth Commission policy on the secure uses of sealed sources containing CsCl and to describe potential Commission actions if changes in the security threat environment necessitate regulatory action. The Policy Statement also delineates the Commission's expectations for the secure and safe use of CsCl sources with activity levels of Category 1 and 2 as characterized by the IAEA Code of Conduct. | 07/19/2011 | NSIR/NMS S | No | 03/21/2011 | 08/01/2011 | ML111870035 | 76 FR 44378 |
| 143 | Management Directive 5.12, International Nuclear and Radiological Event Scale (INES) Participation" | Management Directive | Ensure that the rating of events involving reactors, fuel cycle facilities, transportation, and licensed materials is performed in accordance with the guidance provided in "The International Nuclear and Radiological Event Scale (INES) User's Manual, 2008 Edition" (the INES User's Manual) ADAMS Accession No. ML092230008. | 07/17/2017 | NSIR | No | 01/11/2012 | ***** | ML17157B346 | N/A |

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| 144 | NUREG-0654/FEMA-REP-1, Rev. 1, Supplement 3, Criteria for Preparation and Evaluation of Radiological Emergency Response Plans and Preparedness in Support of Nuclear Power Plants: Guidance for Protective Action Strategies | NUREG | Provides an acceptable method to comply with 10 CFR Appendix E, Section IV, paragraph 3 in the use of evacuation time estimates in the formulation of protective action recommendations (PAR) for the plume exposure emergency planning zone, and provides guidance for the provisions of 10 CFR 50.47(b)(10) in the development of a range of PARs. Provides guidance to support the information in NUREG-0654/FEMA-REP-1 that the NRC finds to be an acceptable method of meeting the requirements in 10 CFR 50.47(b)(7) for the development of a public information program. | 12/05/2011 | NSIR | No | 07/21/2011 | 12/05/2011 | ML113010596 | 76 FR 75771 |
| 145 | NUREG-1940, RASCAL 4: Description of Models and Methods | NUREG | Describes the models and calculational methods used in the Radiological Assessment System for Consequence Analysis (RASCAL) 4 computer code and the consequence assessment implications of changes in models and methods from RASCAL 3.0. RASCAL 4 is used by NRC staff to conduct an independent assessment of potential radiological releases from NRC-regulated nuclear power reactors, spent fuel storage pools and casks, fuel cycle facilities, and radioactive material handling facilities. RASCAL 4 includes improvements in the models and methods related to source term calculations, atmospheric dispersion and deposition, and dose calculations. | 12/01/2012 | NSIR | No | **** | **** | ML13031A448 | N/A |

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| 146 | NUREG-1940, Supplement 1, RASCAL 4.3: Description of Models and Methods | NUREG | RASCAL 4.3 computer code contains a number of new features and revision of several old features in response to the lessons learned by the staff of the NRC during its response to the events at the 2011 Fukushima Daiichi nuclear power plants. This guidance document is a supplement to NUREG-1940, "RASCAL 4: Description of Models and Methods," which is the technical basis for the models and methods used in the RASCAL computer code versions 4.0, 4.1 and 4.2. | 05/31/2015 | NSIR | No | **** | ***** | ML15132A119 | N/A |
| 147 | NUREG-1959 Rev. 1, Intrusion Detection Systems and Subsystems | NUREG | Provides information about the design, installation, testing, maintenance, and monitoring of intrusion detection systems and subsystems used for the protection of facilities licensed by the NRC | 09/30/2017 | NSIR | No | **** | ***** | ML17250A867 | N/A |
| 148 | NUREG-2203, Glossary of Security Terms for Nuclear Power Reactors | NUREG | Consists of glossary of security terms specifically for nuclear power reactors that are commonly used in the nuclear industry and regulatory community | 02/28/2017 | NSIR | No | **** | ***** | ML17047A669 | N/A |
| 149 | NUREG/CR-6953, Volume 2, Review of NUREG-0654, Supplement 3, "Criteria for Protective Action Recommendations for Severe Accidents" - Focus Groups and Telephone Survey | NUREG/ Contractor Report | Provides conclusions from focus group research on the views of the public and emergency response personnel, and a telephone survey of residents living within NPP Emergency Planning Zones to understand public tendencies towards emergency preparedness. Understanding the public's knowledge and confidence in protective actions informs the decision process on development of protective actions. | 10/01/2008 | NSIR | No | **** | ***** | ML083110406 | N/A |

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| 150 | NUREG/CR-6953, Volume 3, Review of NUREG-0654, Supplement 3, "Criteria for Protective Action Recommendations for Severe Accidents" - Technical Basis for Protective Action Strategies | NUREG/ Contractor Report | As the final volume of the Protective Action Recommendation Study, this analysis provides a technical basis for decision criteria that can be used by nuclear power plant (NPP) licensees and offsite response organizations to tailor protective action strategies using site specific evacuation time information for NPP accidents that progress rapidly. | 08/31/2010 | NSIR | No | **** | **** | ML102380087 | N/A |
| 151 | NUREG/CR-7002, Criteria for Development of Evacuation Time Estimate Studies | NUREG/ Contractor Report | Provides guidance to NRC licensees, under 10 CFR Part 50 and 10 CFR Part 52, for the development of evacuation time estimates, including those associated with staged evacuation protective actions. The document also identifies the importance of using approved emergency response plans and existing traffic control information to reflect the expected response actions during an emergency. | 12/05/2011 | NSIR | No | 07/21/2011 | 12/05/2011 | ML113010515 | 76 FR 75771 |
| 152 | NUREG/CR-7032, Developing an Emergency Risk Communication (ERC)/Joint Information Center (JIC) Plan for a Radiological Emergency | NUREG/ Contractor Report | Provides best practices for nuclear power reactor licensees and emergency response organizations on developing an Emergency Risk Communication and Joint Information Center plan for a radiological emergency. | 02/28/2011 | NSIR | No | **** | **** | ML110490119 | N/A |
| 153 | NUREG/CR-7033, Guidance on Developing Effective Radiological Risk Communication Messages: Effective Message Mapping and Risk Communication with the Public in Nuclear Plant Emergency Planning Zones | NUREG/ Contractor Report | Provides guidance for nuclear power reactor licensees and local response organizations on message development for radiological emergencies. | 02/28/2011 | NSIR | No | **** | **** | ML110490120 | N/A |
| 154 | NUREG/CR-7154, Volume 1, Risk Informing Emergency Preparedness Oversight: Evaluation of Emergency Action Levels — A Pilot Study of Peach Bottom, Surry and Sequoyah | NUREG/ Contractor Report | Provides generic and plant specific insights to be considered when developing future risk informed emergency planning regulatory activities. | 01/01/2013 | NSIR | No | **** | **** | ML13031A500 | N/A |

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| 3 | | | | | | | | | | |
| 155 | NUREG/CR-7154, Volume 2, Risk Informing Emergency Preparedness Oversight: Evaluation of Emergency Action Levels — A Pilot Study of Peach Bottom, Surry and Sequoyah | NUREG/ Contractor Report | Explores the feasibility of using probabilistic risk assessment to provide risk insights about Emergency Action Level schemes. | 01/01/2013 | NSIR | No | **** | **** | ML13031A501 | N/A |
| 156 | NUREG/CR-7160, Emergency Preparedness Significance Quantification Process: Proof of Concept | NUREG/ Contractor Report | To improve prioritization of regulatory activities, a decision process has been developed to aid in the determination of risk significance of Emergency Preparedness (EP) program elements. The DedUctive Quantification Index method was developed and used in a proof of concept application for two representative nuclear power plant sites, and applied to determine risk significance of specific EP elements. | 06/01/2013 | NSIR | No | **** | **** | ML13164A285 | N/A |
| 157 | NUREG/CR-7195, Risk-Informed and Performance-Based Oversight of Radiological Emergency Response Programs | NUREG/ Contractor Report | Provides a concept for performance-based oversight of offsite radiological emergency response preparedness in jurisdictions surrounding commercial nuclear power plants. The report proposes an initial set of objective performance indicators for demonstration in drills and exercises. | 05/01/2015 | NSIR | No | **** | **** | ML15134A035 | N/A |
| 158 | NUREG/CR 7201, Characterizing Explosive Effects on Underground Structures | NUREG | Reviews the effects explosions close to the ground surface and in contact with the ground surface have on underground structures, with emphasis on the Vehicle Borne Improvised Explosive Devices | 09/01/2015 | NSIR | No | **** | **** | ML15245A640 | N/A |
| 159 | NUREG/CR-7183, Best Practices for Behavioral Observation Programs at Operating Power Reactors and Power Reactor Construction Sites | NUREG | Identifies best practices associated with behavioral observation programs (BOP) used by a cross-section of Federal agencies and private entities, discusses the need for effective BOPs at power reactors, and power reactor construction sites, and presents insights and recommendations to improve BOP performance | 06/30/2014 | NSIR | No | **** | **** | ML14189A355 | N/A |

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| 160 | NUREG/CR-7145, Nuclear Power Plant Security Assessment Guide | NUREG | Provides detailed guidance for the format and content of a security assessment of a commercial nuclear power plant | 04/01/2013 | NSIR | No | **** | ***** | ML13122A181 | N/A |
| 161 | NUREG-0561 Rev. 2, Physical Protection of Shipments of Irradiated Reactor Fuel | NUREG | Sets forth means, methods and procedures that the NRC considers acceptable for satisfying the requirements for the physical protection of spent nuclear fuel (SNF) during transportation by road, rail and water, and for satisfying the requirements for background investigations of individuals granted unescorted access to SNF during transportation | 04/01/2013 | NSIR | No | 06/01/2012 | ***** | ML13120A230 | 78 FR 31821 |
| 162 | NUREG-1964, Access Control Systems: Technical Information for NRC Licensees | NUREG | Provides technical details applicable to access control methods and technologies commonly used to protect facilities licensed by the NRC | 04/01/2011 | NSIR | No | **** | ***** | ML11115A078 | N/A |
| 163 | NUREG-0725 Rev. 15, Public Information Circular For Shipments of Irradiated Reactor Fuel | NUREG | Provides information on the shipment of irradiated reactor fuel subject to regulation by the NRC | 05/01/2010 | NSIR | No | **** | ***** | ML101390089 | N/A |
| 164 | | | | | | | | | | |
| 165 | | | | | | | | | | |
| 166 | Office of Nuclear Regulatory Research | | | | | | | | | |
| 167 | 1. Title | 2. Form of Guidance | 3. Subject Description | 4. Date of Issuance | 5. Issuing Office | 6a. Major rule under CRA? | 6b. Date Requested OMB Major/Non-Major CRA Determination and/or Submitted to OMB under Paperwork Reduction Act (PRA)** | 6c. Date submitted to Congress and GAO Under CRA | 7. If Applicable, Hyperlink or ADAMS Number | 7. If applicable, Federal Register Citation |
| 168 | New RGs | | | | | | | | | |
| 169 | Regulatory Guide 1.210, "Qualification of Safety-Related Battery Chargers and Inverters" | Guidance | As described in document title | 06/06/2008 | RES | No | N/A* | ***** | ML080640184 | 73 FR 32362 |
| 170 | Regulatory Guide 4.21, "Minimization of Contamination and Radioactive Waste Generation: Life-Cycle Planning" | Guidance | As described in document title | 06/19/2008 | RES | No | N/A* | ***** | ML080500187 | 73 FR 33465 |
| 171 | Regulatory Guide 1.212, "Sizing Of Large Lead-Acid Storage Batteries" | Guidance | As described in document title | 11/25/2008 | RES | No | N/A* | ***** | ML082740047 | 73 FR 71705 |
| 172 | Regulatory Guide 5.77, "Insider Mitigation Program" | Guidance | As described in document title | 03/01/2009 | RES | No | N/A* | ***** | non-public***** | N/A |
| 173 | Regulatory Guide 5.73, "Fatigue Management for Nuclear Power Plant Personnel" | Guidance | As described in document title | 03/31/2009 | RES | No | N/A* | ***** | ML083450028 | 74 FR 11979 |

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| 174 | Regulatory Guide 1.211, "Qualification of Safety-Related Cables and Field Splices for Nuclear Power Plants" | Guidance | As described in document title | 04/01/2009 | RES | No | N/A* | ***** | ML082530205 | 74 FR 18000 |
| 175 | Regulatory Guide 1.214, "Response Procedures for Potential or Actual Aircraft Attacks" | Guidance | As described in document title | 04/21/2009 | RES | No | N/A* | ***** | non-public***** | 74 FR 13926 |
| 176 | Regulatory Guide 1.213, "Qualification of Safety-Related Motor Control Centers for Nuclear Power Plants" | Guidance | As described in document title | 05/31/2009 | RES | No | N/A* | ***** | ML083010447 | 74 FR 22985 |
| 177 | Regulatory Guide 5.74, "Managing the Safety / Security Interface" | Guidance | As described in document title | 06/01/2009 | RES | No | N/A* | ***** | ML091690036 | 74 FR 32197 |
| 178 | Regulatory Guide 5.76, "Nuclear Power Reactor Physical Security Programs" | Guidance | As described in document title | 07/01/2009 | RES | No | N/A* | ***** | non-public***** | N/A |
| 179 | Regulatory Guide 5.75, "Training and Qualification of Security Personnel at Nuclear Power Reactor Facilities" | Guidance | As described in document title | 07/09/2009 | RES | No | N/A* | ***** | ML091690037 | 74 FR 34052 |
| 180 | Regulatory Guide 1.215, "Guidance for ITAAC Closure Under 10 CFR Part 52" | Guidance | As described in document title | 10/20/2009 | RES | No | N/A* | ***** | ML091480076 | 74 FR 53785 |
| 181 | Regulatory Guide 5.71, "Cyber Security Programs for Nuclear Facilities" | Guidance | As described in document title | 01/07/2010 | RES | No | N/A* | ***** | ML090340159 | 75 FR 1830 |
| 182 | Regulatory Guide 5.78, "Physical Protection of Mixed-Oxide Fuels in Nuclear Power Plants" (SGI) | Guidance | As described in document title | 07/08/2010 | RES | No | N/A* | ***** | non-public***** | N/A |
| 183 | Regulatory Guide 8.40, "Methods for Measuring Effective Dose Equivalent from External Exposure" | Guidance | As described in document title | 07/20/2010 | RES | No | N/A* | 07/30/2010 | ML100610534 | 75 FR 42170 |
| 184 | Regulatory Guide 1.216, "Containment Structural Integrity Evaluation for Internal Pressure Loadings Above Design-Basis Pressure" | Guidance | As described in document title | 08/01/2010 | RES | No | N/A* | 08/10/2010 | ML093200703 | 75 FR 48381 |
| 185 | Regulatory Guide 5.81, "Target Set Identification and Development for Nuclear Power Reactors" (OUO-SRI) | Guidance | As described in document title | 11/30/2010 | RES | No | N/A* | ***** | non-public***** | N/A |
| 186 | Regulatory Guide 5.80, "Pressure-Sensitive and Tamper-Indicating Device Seals for Material Control and Accounting of Special Nuclear Material" | Guidance | As described in document title | 12/17/2010 | RES | No | N/A* | 12/20/2010 | ML101800504 | 75 FR 79049 |
| 187 | Regulatory Guide 5.79, "Protection of Safeguards Information" | Guidance | As described in document title | 04/08/2011 | RES | No | N/A* | 04/18/2011 | ML103270219 | 76 FR 19817 |

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| 188 | Regulatory Guide 1.217, "Guidance for the Assessment of Beyond-Design-Basis Aircraft Impacts" | Guidance | As described in document title | 08/12/2011 | RES | No | N/A* | 08/12/2011 | ML092900004 | 76 FR 50275 |
| 189 | Regulatory Guide 1.221, "Design-Basis Hurricane and Hurricane Missiles for Nuclear Power Plants" | Guidance | As described in document title | 10/14/2011 | RES | No | N/A* | 10/12/2011 | ML110940300 | 76 FR 63541 |
| 190 | Regulatory Guide 1.219, "Guidance on Making Changes to Emergency Plans for Nuclear Power Reactors" | Guidance | As described in document title | 11/30/2011 | RES | No | N/A* | 01/09/2012 | ML102510626 | 76 FR 74630 |
| 191 | Regulatory Guide 3.74, "Guidance for Fuel Cycle Facility Change Processes" | Guidance | As described in document title | 01/06/2012 | RES | No | N/A* | 01/09/2012 | ML100890016 | 77 FR 823 |
| 192 | Regulatory Guide 4.22, "Decommissioning Planning During Operations" | Guidance | As described in document title | 12/31/2012 | RES | No | N/A* | ***** | ML12158A361 | 78 FR 663 |
| 193 | Regulatory Guide 1.79.1, "Initial Test Program of Emergency Core Cooling Systems for New Boiling-Water Reactors" | Guidance | As described in document title | 10/24/2013 | RES | No | N/A* | 10/17/2013 | ML12300A329 | 78 FR 63516 |
| 194 | Regulatory Guide 3.75, "Corrective Action Programs for Fuel Cycle Facilities" | Guidance | As described in document title | 07/09/2014 | RES | No | N/A* | ***** | ML14139A321 | 79 FR 41315 |
| 195 | Regulatory Guide 7.13, "Transportation Security Plans for Classified Matter Shipments" (OUO-SRI) | Guidance | As described in document title | 06/09/2015 | RES | No | N/A* | ***** | non-public***** | 80 FR 32622 |
| 196 | Regulatory Guide 5.84, "Fitness-for-Duty Programs at New Reactor Construction Sites" | Guidance | As described in document title | 08/11/2015 | RES | No | N/A* | ***** | ML15083A412 | 80 FR 48348 |
| 197 | Regulatory Guide 5.83, "Cyber Security Event Notifocations" | Guidance | As described in document title | 11/02/2015 | RES | No | N/A* | 12/02/2015 | ML14269A388 | 80 FR 67275 |
| 198 | Regulatory Guide 4.24, "Aquatic Environmental Studies for Nuclear Power Stations" | Guidance | As described in document title | 12/28/2016 | RES | No | N/A* | ***** | ML15309A219 | 81 FR 95655 |
| 199 | Regulatory Guide 4.25, "Assessment of Abnormal Radionuclide Discharges in Ground Water to the Unrestricted Area at Nuclear Power Plant Sites" | Guidance | As described in document title | 03/10/2017 | RES | No | N/A* | ***** | ML16253A333 | 82 FR 13875 |
| 200 | Regulatory Guide 5.41, "Shipping, Receiving, and Internal Transfer of Special Nuclear Material At Fuel Cycle Facilities" | Guidance | As described in document title | 05/31/2017 | RES | No | N/A* | ***** | ML16348A213 | 82 FR 24997 |
| 201 | Regulatory Guide 1.164, "Dedication of Commercial-Grade Items for Use in Nuclear Power Plants" | Guidance | As described in document title | 06/27/2017 | RES | No | N/A* | ***** | ML17041A206 | 82 FR 29120 |

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| 3 | Regulatory Guide 5.88, "Physical Inventories and Material Balances at Fuel Cycle Facilities" | Guidance | As described in document title | 09/27/2017 | RES | No | N/A* | ***** | ML17167A292 | 82 FR 45074 |
| 202 | RG Revised | | | | | | | | | |
| 203 | Regulatory Guide 1.45, "Guidance on Monitoring and Responding to Reactor Coolant System Leakage" | Guidance | As described in document title | 05/28/2008 | RES | No | N/A* | ***** | ML073200271 | 73 FR 30648 |
| 204 | Regulatory Guide 3.65, "Standard Format and Content of Decommissioning Plans for Materials Licensees" | Guidance | As described in document title | 05/30/2008 | RES | No | N/A* | ***** | ML081130703 | 73 FR 31154 |
| 205 | Regulatory Guide 3.66, "Standard Format and Content of Financial Assurance Mechanisms" | Guidance | As described in document title | 05/30/2008 | RES | No | N/A* | ***** | ML081130718 | 73 FR 31155 |
| 206 | Regulatory Guide 6.4, "Verification of Containment Properties of Sealed Radioactive Sources" | Guidance | As described in document title | 06/30/2008 | RES | No | N/A* | ***** | ML081140487 | 73 FR 36135 |
| 207 | Regulatory Guide 10.2, "Guidance to Academic Institutions Applying for Specific Byproduct Material Licenses of Limited Scope" | Guidance | As described in document title | 07/31/2008 | RES | No | N/A* | ***** | ML081140578 | 73 FR 39994 |
| 208 | Regulatory Guide 10.3, "Guide for the Preparation of Applications for Special Nuclear Material Licenses for Less than Critical Mass Quantities" | Guidance | As described in document title | 07/31/2008 | RES | No | N/A* | ***** | ML081140586 | 73 FR 39340 |
| 209 | Regulatory Guide 10.5, "Applications for a Type A License of Broad Scope" | Guidance | As described in document title | 07/31/2008 | RES | No | N/A* | ***** | ML081140591 | 73 FR 39993 |
| 210 | Regulatory Guide 10.6, "Guide for the Preparation of Applications for an Industrial Radiography License" | Guidance | As described in document title | 07/31/2008 | RES | No | N/A* | ***** | ML081140599 | 73 FR 39735 |
| 211 | Regulatory Guide 6.1, "Leak Testing Radioactive Brachytherapy Sources" | Guidance | As described in document title | 07/31/2008 | RES | No | N/A* | ***** | ML081140467 | 73 FR 39994 |
| 212 | Regulatory Guide 6.2, "Integrity and Test Specifications for Selected Brachytherapy Sources" | Guidance | As described in document title | 07/31/2008 | RES | No | N/A* | ***** | ML081140471 | 73 FR 42377 |
| 213 | Regulatory Guide 6.5, "General Safety Standards for Installations Using Nonmedical Sealed Gamma-Ray Sources" | Guidance | As described in document title | 07/31/2008 | RES | No | N/A* | ***** | ML081140498 | 73 FR 39993 |
| 214 | Regulatory Guide 10.7, "Guide for the Preparation of Applications for Licenses for Laboratory and Industrial Use of Small Quantities of Byproduct Material" | Guidance | As described in document title | 09/30/2008 | RES | No | N/A* | ***** | ML081960533 | 73 FR 55544 |
| 215 | | | | | | | | | | |

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| 216 | Regulatory Guide 10.8, "Guide for the Preparation of Applications for Medical Use Programs" | Guidance | As described in document title | 09/30/2008 | RES | No | N/A* | ***** | ML081960579 | 73 FR 53451 |
| 217 | Regulatory Guide 10.9, "Guide for the Preparation of Applications for the Use of Self-Contained Dry Source-Storage Gamma Irradiators" | Guidance | As described in document title | 09/30/2008 | RES | No | N/A* | ***** | ML081960560 | 73 FR 55544 |
| 218 | Regulatory Guide 1.114, "Guidance to Operators at the Controls and to Senior Operators in the Control Room of a Nuclear Power Unit" | Guidance | As described in document title | 10/31/2008 | RES | No | N/A* | ***** | ML082380236 | 73 FR 64000 |
| 219 | Regulatory Guide 3.11, "Design, Construction, and Inspection of Embankment Retention Systems at Uranium Recovery Facilities" | Guidance | As described in document title | 11/30/2008 | RES | No | N/A* | ***** | ML082380144 | 73 FR 66686 |
| 220 | Regulatory Guide 3.25, "Standard Format and Content of Safety Analysis Reports for Uranium Enrichment Facilities" | Guidance | As described in document title | 01/07/2009 | RES | No | N/A* | ***** | ML082690559 | 74 FR 728 |
| 221 | Regulatory Guide 1.125, "Physical Models for Design and Operation of Hydraulic Structures and Systems for Nuclear Power Plants" | Guidance | As described in document title | 03/24/2009 | RES | No | N/A* | ***** | ML082810208 | 74 FR 12401 |
| 222 | Regulatory Guide 1.200, "An Approach for Determining the Technical Adequacy of Probabilistic Risk Assessment Results for Risk-Informed Activities" | Guidance | As described in document title | 03/31/2009 | RES | No | N/A* | ***** | ML090410014 | 74 FR 11381 |
| 223 | Regulatory Guide 10.4, "Guide for the Preparation of Applications for Licenses to Process Source Material" | Guidance | As described in document title | 03/31/2009 | RES | No | N/A* | ***** | ML083650402 | 74 FR 11382 |
| 224 | Regulatory Guide 3.16, "General Fire Protection Guide for Plutonium Processing and Fuel Fabrication Plants" | Guidance | As described in document title | 04/17/2009 | RES | No | N/A* | ***** | ML083650087 | 74 FR 17885 |
| 225 | Regulatory Guide 1.69, "Concrete Radiation Shields and Generic Shield Testing for Nuclear Power Plants" | Guidance | As described in document title | 05/31/2009 | RES | No | N/A* | ***** | ML090820425 | 74 FR 26893 |
| 226 | Regulatory Guide 3.52, "Standard Format and Content for the Health and Safety Sections of License Renewal Applications for Uranium Processing and Fuel Fabrication" | Guidance | As described in document title | 06/03/2009 | RES | No | N/A* | ***** | ML091050098 | 74 FR 26737 |

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| 227 | Regulatory Guide 1.21, "Measuring, Evaluating, and Reporting Radioactive Material in Liquid and Gaseous Effluents and Solid Waste" | Guidance | As described in document title | 06/15/2009 | RES | No | N/A* | ***** | ML091170109 | 74 FR 29243 |
| 228 | Regulatory Guide 4.1, "Radiological Environmental Monitoring for Nuclear Power Plants" | Guidance | As described in document title | 06/30/2009 | RES | No | N/A* | ***** | ML091310141 | 74 FR 29244 |
| 229 | Regulatory Guide 5.54, "Standard Format and Content of Safeguards Contingency Plans for Nuclear Power Plants" (SGI) | Guidance | As described in document title | 06/30/2009 | RES | No | N/A* | ***** | non-public***** | N/A |
| 230 | Regulatory Guide 1.100, "Seismic Qualification of Electric and Mechanical Equipment for Nuclear Power Plants" | Guidance | As described in document title | 09/21/2009 | RES | No | N/A* | ***** | ML091320468 | 74 FR 47977 |
| 231 | Regulatory Guide 1.189, "Fire Protection for Nuclear Power Plants" | Guidance | As described in document title | 10/31/2009 | RES | No | N/A* | ***** | ML092580550 | 74 FR 56673 |
| 232 | Regulatory Guide 1.205, "Risk-Informed, Performance-Based Fire Protection for Existing Light-Water Nuclear Power Plants" | Guidance | As described in document title | 12/18/2009 | RES | No | N/A* | ***** | ML092730314 | 74 FR 67253 |
| 233 | Regulatory Guide 1.40, "Qualification of Continuous Duty Safety-Related Motors for Nuclear Power Plants" | Guidance | As described in document title | 02/28/2010 | RES | No | N/A* | ***** | ML093080087 | 75 FR 9627 |
| 234 | Regulatory Guide 1.47, "Bypassed and Inoperable Status Indication for Nuclear Power Plant Safety Systems" | Guidance | As described in document title | 02/28/2010 | RES | No | N/A* | ***** | ML092330064 | 75 FR 9626 |
| 235 | Regulatory Guide 1.11, "Instrument Lines Penetrating the Primary Reactor Containment" | Guidance | As described in document title | 03/31/2010 | RES | No | N/A* | ***** | ML100250396 | 75 FR 16525 |
| 236 | Regulatory Guide 1.126, "An Acceptable Model and Related Statistical Methods for the Analysis of Fuel Densification" | Guidance | As described in document title | 03/31/2010 | RES | No | N/A* | ***** | ML093360318 | 75 FR 16202 |
| 237 | Regulatory Guide 1.68.2, "Initial Startup Test Program to Demonstrate Remote Shutdown Capability for Water-Cooled Nuclear Power Plants" | Guidance | As described in document title | 04/21/2010 | RES | No | N/A* | ***** | ML100610034 | 75 FR 20868 |
| 238 | Regulatory Guide 1.65, "Materials and Inspections for Reactor Vessel Closure Studs" | Guidance | As described in document title | 04/30/2010 | RES | No | N/A* | ***** | ML092050716 | 75 FR 19665 |

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| 239 | Regulatory Guide 6.9, "Establishing Quality Assurance Programs for the Manufacture and Distribution of Sealed Sources and Devices Containing Byproduct Material" | Guidance | As described in document title | 04/30/2010 | RES | No | N/A* | ***** | ML100490731 | 75 FR 20399 |
| 240 | Regulatory Guide 6.7, "Preparation of an Environmental Report To Support a Rulemaking Petition Seeking an Exemption for a Radionuclide-Containing Product" | Guidance | As described in document title | 05/17/2010 | RES | No | N/A* | ***** | ML100331338 | 75 FR 27599 |
| 241 | Regulatory Guide 2.5, "Quality Assurance Program Requirements for Research and Test Reactors" | Guidance | As described in document title | 06/30/2010 | RES | No | N/A* | 07/06/2010 | ML093520099 | 75 FR 37842 |
| 242 | Regulatory Guide 1.28, Rev. 4, "Quality Assurance Program Criteria (Design and Construction)" | Guidance | As described in document title | 06/11/2010 | RES | No | N/A* | ***** | ML100160003 | 75 FR 33361 |
| 243 | Regulatory Guide 1.62, "Manual Initiation of Protective Actions" | Guidance | As described in document title | 06/30/2010 | RES | No | N/A* | ***** | ML092530559 | 75 FR 36715 |
| 244 | Regulatory Guide 1.141, "Containment Isolation Provisions for Fluid Systems" | Guidance | As described in document title | 07/22/2010 | RES | No | N/A* | 07/30/2010 | ML092850042 | 75 FR 43207 |
| 245 | Regulatory Guide 1.151, "Instrument Sensing Lines" | Guidance | As described in document title | 08/02/2010 | RES | No | N/A* | 08/09/2010 | ML092330219 | 75 FR 45173 |
| 246 | Regulatory Guide 3.13, "Design, Construction, and Inspection of Embankment Retention Systems at Fuel Cycle Facilities" | Guidance | As described in document title | 08/02/2010 | RES | No | N/A* | 08/09/2010 | ML101470167 | 75 FR 45171 |
| 247 | Regulatory Guide 8.35, "Planned Special Exposure" | Guidance | As described in document title | 08/31/2010 | RES | No | N/A* | 08/30/2010 | ML101370008 | 75 FR 52999 |
| 248 | Regulatory Guide 1.147, "Inservice Inspection Code Case Acceptability, ASME Section XI, Division 1" | Guidance | As described in document title | 10/05/2010 | RES | No | N/A* | 10/05/2010 | ML101800536 | 75 FR 61321 |
| 249 | Regulatory Guide 1.193, "ASME Code Cases Not Approved for Use" | Guidance | As described in document title | 10/05/2010 | RES | No | N/A* | 10/05/2010 | ML101800540 | 75 FR 61531 |
| 250 | Regulatory Guide 1.84, "Design, Fabrication, and Materials Code Case Acceptability, ASME Section III" | Guidance | As described in document title | 10/05/2010 | RES | No | N/A* | 10/05/2010 | ML101800532 | 75 FR 61321 |
| 251 | Regulatory Guide 1.54, "Service Level I, II, and III Protective Coatings Applied to Nuclear Power Plants" | Guidance | As described in document title | 10/31/2010 | RES | No | N/A* | 10/13/2010 | ML102230344 | 75 FR 62436 |
| 252 | Regulatory Guide 3.12, "General Design Guide for Ventilation Systems of Plutonium Processing and Fuel Fabrication Plants" | Guidance | As described in document title | 12/17/2010 | RES | No | N/A* | 12/20/2010 | ML102730431 | 75 FR 79049 |

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| 253 | Regulatory Guide 4.16, "Monitoring and Reporting Radioactive Materials in Liquid and Gaseous Effluents from Nuclear Fuel Cycle Facilities" | Guidance | As described in document title | 12/28/2010 | RES | No | N/A* | ***** | ML101720291 | 75 FR 81675 |
| 254 | Regulatory Guide 3.71, "Nuclear Criticality Safety Standards for Fuels and Material Facilities" | Guidance | As described in document title | 01/03/2011 | RES | No | N/A* | 01/13/2011 | ML103210345 | 76 FR 189 |
| 255 | Regulatory Guide 1.34, "Control of Electroslag Weld Properties" | Guidance | As described in document title | 03/31/2011 | RES | No | N/A* | ***** | ML101670357 | 76 FR 14108 |
| 256 | Regulatory Guide 1.43, "Control of Stainless Steel Weld Cladding of Low-Alloy Steel Components" | Guidance | As described in document title | 03/31/2011 | RES | No | N/A* | ***** | ML101670458 | 76 FR 14107 |
| 257 | Regulatory Guide 1.44, "Control of the Processing and Use of Stainless Steel" | Guidance | As described in document title | 03/31/2011 | RES | No | N/A* | 04/05/2011 | ML101680225 | 76 FR 18262 |
| 258 | Regulatory Guide 1.50, "Control of Preheat Temperature for Welding of Low-Alloy Steel" | Guidance | As described in document title | 03/31/2011 | RES | No | N/A* | ***** | ML101870612 | 76 FR 14108 |
| 259 | Regulatory Guide 1.149, "Nuclear Power Plant Simulation Facilities for Use in Operator Training, License Examinations, and Applicant Experience Requirements." | Guidance | As described in document title | 04/15/2011 | RES | No | N/A* | 04/18/2011 | ML110420119 | 76 FR 20052 |
| 260 | Regulatory Guide 3.67, "Standard Format and Content for Emergency Plans for Fuel Cycle and Materials Facilities" | Guidance | As described in document title | 05/02/2011 | RES | No | N/A* | 05/02/2011 | ML103360487 | 76 FR 24539 |
| 261 | Regulatory Guide 8.18, "Information Relevant to Ensuring that Radiation Exposures at Medical Institutions Will Be as Low as Is Reasonably Achievable" | Guidance | As described in document title | 05/05/2011 | RES | No | N/A* | 05/02/2011 | ML102350460 | 76 FR 25725 |
| 262 | Regulatory Guide 1.177, "An Approach for Plant-Specific, Risk-Informed Decisionmaking: Technical Specifications" | Guidance | As described in document title | 05/06/2011 | RES | No | N/A* | 05/16/2011 | ML100910008 | 76 FR 28102 |
| 263 | Regulatory Guide 1.174, "An Approach for Using Probabilistic Risk Assessment in Risk-Informed Decisions on Plant-Specific Changes to the Licensing Basis" | Guidance | As described in document title | 05/31/2011 | RES | No | N/A* | 05/16/2011 | ML100910006 | 76 FR 28102 |
| 264 | Regulatory Guide 8.2, "Administrative Practices in Radiation Surveys and Monitoring" | Guidance | As described in document title | 05/31/2011 | RES | No | N/A* | 05/31/2011 | ML110460093 | 76 FR 31382 |

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| 265 | Regulatory Guide 1.107, "Qualifications for Cement Grouting for Prestressing Tendons in Containment Structures" | Guidance | As described in document title | 06/29/2011 | RES | No | N/A* | 07/05/2011 | ML110550732 | 76 FR 38213 |
| 266 | Regulatory Guide 1.179, "Standard Format and Content of License Termination Plans for Nuclear Power Reactors" | Guidance | As described in document title | 06/30/2011 | RES | No | N/A* | 08/01/2011 | ML110490419 | 76 FR 38212 |
| 267 | Regulatory Guide 8.4, "Personnel Monitoring Device - Direct-Reading Pocket Dosimeters" | Guidance | As described in document title | 06/30/2011 | RES | No | N/A* | 07/05/2011 | ML101900087 | 76 FR 35922 |
| 268 | Regulatory Guide 1.152, "Criteria for Use of Computers in Safety Systems of Nuclear Power Plants" | Guidance | As described in document title | 07/12/2011 | RES | No | N/A* | 07/11/2011 | ML102870022 | 76 FR 40943 |
| 269 | Regulatory Guide 1.156, "Qualification of Connection Assemblies for Nuclear Power Plants" | Guidance | As described in document title | 08/03/2011 | RES | No | N/A* | 08/02/2011 | ML111730464 | 76 FR 46856 |
| 270 | Regulatory Guide 3.39, "Standard Format and Content of License Applications for Mixed Oxide Fuel Fabrication Facilities" | Guidance | As described in document title | 10/21/2011 | RES | No | N/A* | 11/01/2011 | ML100280809 | 76 FR 65544 |
| 271 | Regulatory Guide 1.159, "Assuring the Availability of Funds for Decommissioning Nuclear Reactors" | Guidance | As described in document title | 10/31/2011 | RES | No | N/A* | 11/01/2011 | ML112160012 | 76 FR 65541 |
| 272 | Regulatory Guide 5.66, "Access Authorization Program for Nuclear Power Plants" | Guidance | As described in document title | 10/31/2011 | RES | No | N/A* | 11/01/2011 | ML112060028 | 76 FR 66089 |
| 273 | Regulatory Guide 1.115, "Protection Against Turbine Missiles" | Guidance | As described in document title | 01/24/2012 | RES | No | N/A* | 01/24/2012 | ML101650675 | 77 FR 3514 |
| 274 | Regulatory Guide 1.106, "Thermal Overload Protection for Electric Motors on Motor-Operated Valves" | Guidance | As described in document title | 02/28/2012 | RES | No | N/A* | 02/27/2012 | ML112580358 | 77 FR 11598 |
| 275 | Regulatory Guide 7.4, "Leakage Tests on Packages for Shipment of Radioactive Material" | Guidance | As described in document title | 03/09/2012 | RES | No | N/A* | 03/16/2012 | ML112520023 | 77 FR 14445 |
| 276 | Regulatory Guide 4.11, "Terrestrial Environmental Studies for Nuclear Power Stations" | Guidance | As described in document title | 03/27/2012 | RES | No | N/A* | 03/26/2012 | ML113350385 | 77 FR 18271 |
| 277 | Regulatory Guide 1.93, "Availability of Electric Power Sources" | Guidance | As described in document title | 03/28/2012 | RES | No | N/A* | 04/05/2012 | ML090550661 | 77 FR 18872 |
| 278 | Regulatory Guide 7.7, "Administrative Guide for Verifying Compliance with Packaging Requirements for Shipping and Receiving of Radioactive Material" | Guidance | As described in document title | 03/28/2012 | RES | No | N/A* | 04/05/2012 | ML112160407 | 77 FR 18871 |

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| 279 | Regulatory Guide 1.82, "Water Sources for Long-Term Recirculation Cooling Following a Loss-of-Coolant Accident" | Guidance | As described in document title | 04/02/2012 | RES | No | N/A* | 04/05/2012 | ML111330278 | 77 FR 19740 |
| 280 | Regulatory Guide 1.218, "Condition-Monitoring Techniques for Electric Cables Used in Nuclear Power Plants" | Guidance | As described in document title | 04/23/2012 | RES | No | N/A* | 04/23/2012 | ML103510447 | 77 FR 24228 |
| 281 | Regulatory Guide 4.20, "Constraint on Releases of Airborne Radioactive Materials to the Environment for Licensees Other Than Power Reactors" | Guidance | As described in document title | 04/25/2012 | RES | No | N/A* | 04/27/2012 | ML110120299 | 77 FR 24746 |
| 282 | Regulatory Guide 1.160, "Monitoring the Effectiveness of Maintenance at Nuclear Power Plants" | Guidance | As described in document title | 05/22/2012 | RES | No | N/A* | 05/23/2012 | ML113610098 | 77 FR 30030 |
| 283 | Regulatory Guide 1.215, Rev. 1, "Guidance for ITAAC Closure Under 10 CFR Part 52" | Guidance | As described in document title | 05/31/2012 | RES | No | N/A* | 05/29/2012 | ML112580018 | 77 FR 51880 |
| 284 | Regulatory Guide 8.24, "Health Physics Surveys During Enriched Uranium-235 Processing and Fuel Fabrication" | Guidance | As described in document title | 06/05/2012 | RES | No | N/A* | 06/04/2012 | ML110400305 | 77 FR 33253 |
| 285 | Regulatory Guide 1.68.1, "Initial Test Program of Condensate and Feedwater Systems for Light-Water Reactors" | Guidance | As described in document title | 09/11/2012 | RES | No | N/A* | 09/17/2012 | ML12160A169 | 77 FR 55877 |
| 286 | Regulatory Guide, 1.68.3, "Preoperational Testing of Instrument and Control Air Systems" | Guidance | As described in document title | 09/25/2012 | RES | No | N/A* | 09/24/2012 | ML12160A047 | 77 FR 59023 |
| 287 | Regulatory Guide 1.52, "Design, Inspection, and Testing Criteria for Air Filtration and Adsorption Units of Post-Accident Engineered-Safety-Feature Atmosphere Cleanup Systems in Light-Water-Cooled Nuclear Power Plants" | Guidance | As described in document title | 10/03/2012 | RES | No | N/A* | 10/09/2012 | ML12159A013 | 77 FR 60481 |
| 288 | Regulatory Guide 1.92, "Combining Modal Responses and Spatial Components in Seismic Response Analysis" | Guidance | As described in document title | 10/31/2012 | RES | No | N/A* | 10/01/2012 | ML12220A043 | 77 FR 69509 |
| 289 | Regulatory Guide 1.90, "Inservice Inspection of Prestressed Concrete Containment Structures with Grouted Tendons" | Guidance | As described in document title | 11/30/2012 | RES | No | N/A* | 11/16/2012 | ML11249A008 | 77 FR 69508 |

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| 290 | Regulatory Guide 1.91, "Evaluations of Explosions Postulated to Occur at Nearby Facilities and on Transportation Routes Near Nuclear Power Plants" | Guidance | As described in document title | 04/25/2013 | RES | No | N/A* | 04/23/2012 | ML12170A980 | 78 FR 24438 |
| 291 | Regulatory Guide 1.57, "Design Limits and Loading Combinations for Metal Primary Reactor Containment System Components" | Guidance | As described in document title | 05/16/2013 | RES | No | N/A* | 05/20/2013 | ML12325A043 | 78 FR 28896 |
| 292 | Regulatory Guide 2.3, "Quality Verification for Plate-Type Uranium-Aluminum Fuel Elements for Use in Research and Test Reactors" | Guidance | As described in document title | 06/06/2013 | RES | No | N/A* | 06/06/2013 | ML12160A492 | 78 FR 33132 |
| 293 | Regulatory Guide 1.68, "Initial Test Programs for Water-Cooled Nuclear Power Plants" | Guidance | As described in document title | 06/12/2013 | RES | No | N/A* | 06/06/2013 | ML13051A027 | 78 FR 35330 |
| 294 | Regulatory Guide 1.137, "Fuel Oil Systems for Emergency Power Supplies" | Guidance | As described in document title | 06/17/2013 | RES | No | N/A* | 06/18/2013 | ML12300A122 | 78 FR 36278 |
| 295 | Regulatory Guide 4.2S1, Preparation of Environmental Reports for Nuclear Power Plant License Renewal Applications" | Guidance | As described in document title | 06/24/2013 | RES | No | N/A* | ***** | ML13067A354 | 78 FR 37324 |
| 296 | Regulatory Guide 1.185, "Standard Format and Content for Post-Shutdown Decommissioning Activities Report" | Guidance | As described in document title | 06/27/2013 | RES | No | N/A* | 06/26/2013 | ML13140A038 | 78 FR 38739 |
| 297 | Regulatory Guide 5.29, "Special Nuclear Material Control and Accounting Systems for Nuclear Power Plants" | Guidance | As described in document title | 06/27/2013 | RES | No | N/A* | 06/26/2013 | ML13051A421 | 78 FR 38739 |
| 298 | Regulatory Guide 1.33, "Quality Assurance Program Requirements (Operation)" | Guidance | As described in document title | 06/30/2013 | RES | No | N/A* | 06/26/2013 | ML13109A458 | 78 FR 37850 |
| 299 | Regulatory Guide 1.124, "Service Limits and Loading Combinations for Class 1 Linear-Type Supports" | Guidance | As described in document title | 07/08/2013 | RES | No | N/A* | 07/15/2013 | ML13141A666 | 78 FR 40776 |
| 300 | Regulatory Guide 1.130, "Service Limits and Loading Combinations for Class 1 Plate-and-Shell-Type Supports" | Guidance | As described in document title | 07/08/2013 | RES | No | N/A* | 07/15/2013 | ML13141A667 | 78 FR 40776 |
| 301 | Regulatory Guide 1.169, "Configuration Management Plans for Digital Computer Software Used in Safety Systems of Nuclear Power Plants" | Guidance | As described in document title | 08/02/2013 | RES | No | N/A* | 07/31/2013 | ML12355A642 | 78 FR 47014 |

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| 302 | Regulatory Guide 1.171, "Software Unit Testing for Digital Computer Software Used in Safety Systems of Nuclear Power Plants" | Guidance | As described in document title | 08/02/2013 | RES | No | N/A* | 07/31/2013 | ML13004A375 | 78 FR 47011 |
| 303 | Regulatory Guide 1.172, "Software Requirement Specifications for Digital Computer Software and Complex Electronics Used in Safety Systems of Nuclear Power Plants" | Guidance | As described in document title | 08/02/2013 | RES | No | N/A* | 07/31/2013 | ML13007A173 | 78 FR 47015 |
| 304 | Regulatory Guide 1.173, "Developing Software Life-Cycle Processes for Digital Computer Software Used in Safety Systems of Nuclear Power Plants" | Guidance | As described in document title | 08/02/2013 | RES | No | N/A* | 07/31/2013 | ML13009A190 | 78 FR 47012 |
| 305 | Regulatory Guide 1.168, "Verification, Validation, Reviews, and Audits for Digital Computer Software Used in Safety Systems of Nuclear Power Plants" | Guidance | As described in document title | 08/06/2013 | RES | No | N/A* | 07/31/2013 | ML13073A210 | 78 FR 47804 |
| 306 | Regulatory Guide 1.170, "Test Documentation for Digital Computer Software Used in Safety Systems of Nuclear Power Plants" | Guidance | As described in document title | 08/06/2013 | RES | No | N/A* | 07/31/2013 | ML13003A216 | 78 FR 47805 |
| 307 | Regulatory Guide 1.129, "Maintenance, Testing, and Replacement of Vented Lead-Acid Storage Batteries for Nuclear Power Plants" | Guidance | As described in document title | 09/24/2013 | RES | No | N/A* | 09/30/2013 | ML13170A112 | 78 FR 58574 |
| 308 | Regulatory Guide 1.31, "Control of Ferrite Content in Stainless Steel Weld Metal" | Guidance | As described in document title | 10/04/2013 | RES | No | N/A* | 10/17/2013 | ML13211A485 | 78 FR 63517 |
| 309 | Regulatory Guide 1.110, "Cost-Benefit Analysis for Radwaste Systems for Light-Water-Cooled Nuclear Power Reactors" | Guidance | As described in document title | 10/07/2013 | RES | No | N/A* | 10/29/2013 | ML13241A052 | 78 FR 64029 |
| 310 | Regulatory Guide 1.184, "Decommissioning of Nuclear Power Reactors" | Guidance | As described in document title | 10/25/2013 | RES | No | N/A* | 10/17/2013 | ML13144A840 | 78 FR 64028 |
| 311 | Regulatory Guide 1.79, "Preoperational Testing of Emergency Core Cooling Systems for Pressurized-Water Reactors" | Guidance | As described in document title | 10/25/2013 | RES | No | N/A* | 10/17/2013 | ML113540207 | 78 FR 64027 |
| 312 | Regulatory Guide 1.73, "Qualification Tests for Safety-Related Actuators in Nuclear Power Plants" | Guidance | As described in document title | 11/08/2013 | RES | No | N/A* | 11/05/2013 | ML13210A463 | 78 FR 67206 |

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| 313 | Regulatory Guide 1.214, "Response Strategies for Potential Aircraft Threats" (OUO-SRI) | Guidance | As described in document title | 03/26/2014 | RES | No | N/A* | 04/04/2014 | non-public***** | 79 FR 16834 |
| 314 | Regulatory Guide 4.7, Rev 3, "General Site Suitability Criteria for Nuclear Power Stations" | Guidance | As described in document title | 03/28/2014 | RES | No | N/A* | 04/14/2014 | ML12188A053 | 79 FR 17582 |
| 315 | Regulatory Guide 8.22, Rev 2, "Bioassay at Uranium Mills" | Guidance | As described in document title | 05/30/2014 | RES | No | N/A* | 04/13/2016 | ML13350A638 | 79 FR 35387 |
| 316 | Regulatory Guide 1.60, "Design Response Spectra for Seismic Design of Nuclear Power Plants" | Guidance | As described in document title | 07/08/2014 | RES | No | N/A* | 04/26/2016 | ML13210A432 | 79 FR 40795 |
| 317 | Regulatory Guide 1.147, "Inservice Inspection Code Case Acceptability, ASME Section XI, Division 1" | Guidance | As described in document title | 08/31/2014 | RES | No | N/A* | 10/29/2014 | ML13339A689 | 79 FR 65775 |
| 318 | Regulatory Guide 1.192, "Operation and Maintenance Code Case Acceptability, ASME OM Code" | Guidance | As described in document title | 08/31/2014 | RES | No | N/A* | 10/29/2014 | ML13340A034 | 79 FR 65775 |
| 319 | Regulatory Guide 1.193, "ASME Code Cases Not Approved for Use" | Guidance | As described in document title | 08/31/2014 | RES | No | N/A* | 10/29/2014 | ML13350A001 | 79 FR 65775 |
| 320 | Regulatory Guide 1.84, "Design, Fabrication, and Materials Code Case Acceptability, ASME Section III" | Guidance | As described in document title | 08/31/2014 | RES | No | N/A* | 10/29/2014 | ML13339A515 | 79 FR 65775 |
| 321 | Regulatory Guide 8.20, "Applications of Bioassay for Radioiodine" | Guidance | As described in document title | 09/20/2014 | RES | No | N/A* | 03/25/2015 | ML14064A060 | 79 FR 54752 |
| 322 | Regulatory Guide 1.134, "Medical Assessment of Licensed Operators or Applicants for Operator Licenses at Nuclear Power Plants" | Guidance | As described in document title | 09/30/2014 | RES | No | N/A* | 10/14/2014 | ML14189A385 | 79 FR 60197 |
| 323 | Regulatory Guide 3.50, "Standard Format and Content for a Specific License Application for an Independent Spent Fuel Storage Installation or Monitored Retrievable Storage Facility" | Guidance | As described in document title | 09/30/2014 | RES | No | N/A* | 10/14/2014 | ML14043A080 | 79 FR 60191 |
| 324 | Regulatory Guide 1.138, "Laboratory Investigations of Soils and Rocks for Engineering Analysis and Design of Nuclear Power Plants" | Guidance | As described in document title | 12/18/2014 | RES | No | N/A* | 03/25/2015 | ML14289A600 | 80 FR 3264 |
| 325 | Regulatory Guide 5.74, "Managing the Safety/Security Interface" | Guidance | As described in document title | 04/20/2015 | RES | No | N/A* | 04/29/2015 | ML14323A549 | 80 FR 21770 |

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| 326 | Regulatory Guide 1.36, "Nonmetallic Thermal Insulation for Austenitic Stainless Steel" | Guidance | As described in document title | 05/21/2015 | RES | No | N/A* | ***** | ML15026A664 | 80 FR 29350 |
| 327 | Regulatory Guide 7.10, "Establishing Quality Assurance Programs for Packaging Used in Transport of Radioactive Material" | Guidance | As described in document title | 06/12/2015 | RES | No | N/A* | ***** | ML14064A505 | 80 FR 34010 |
| 328 | Regulatory Guide 8.11, Rev 1, "Applications of Bioassay for Uranium" | Guidance | As described in document title | 07/13/2015 | RES | No | N/A* | 04/19/2016 | ML15054A618 | 80 FR 40088 |
| 329 | Regulatory Guide 1.215, Rev. 2, "Guidelines for ITAAC Closure Under 10 CFR Part 52" | Guidance | As described in document title | 07/24/2015 | RES | No | N/A* | ***** | ML15105A447 | 80 FR 44157 |
| 330 | Regulatory Guide 5.27, "Special Nuclear Material Doorway Monitors" | Guidance | As described in document title | 08/30/2015 | RES | No | N/A* | ***** | ML14290A268 | 80 FR 47534 |
| 331 | Regulatory Guide 1.212, "Sizing Of Large Lead-Acid Storage Batteries" | Guidance | As described in document title | 10/26/2015 | RES | No | N/A* | ***** | ML15170A003 | 80 FR 65255 |
| 332 | Regulatory Guide 1.27, Rev 3, "Ultimate Heat Sink for Nuclear Power Plants" | Guidance | As described in document title | 11/23/2015 | RES | No | N/A* | 12/16/2015 | ML14107A411 | 80 FR 72996 |
| 333 | Regulatory Guide 1.127, "Criteria and Design Features for Inspection of Water Control Structures Associated with Nuclear Power Plants" | Guidance | As described in document title | 02/18/2016 | RES | No | N/A* | 03/22/2016 | ML15107A412 | 81 FR 8254 |
| 334 | Regulatory Guide 1.117, "Protection Against Extreme Wind Events and Missiles for Nuclear Power Plants" | Guidance | As described in document title | 07/01/2016 | RES | No | N/A* | ***** | ML15356A213 | 81 FR 45311 |
| 335 | Regulatory Guide 1.29, "Seismic Design Classification for Nuclear Power Plants" | Guidance | As described in document title | 07/15/2016 | RES | No | N/A* | ***** | ML16118A148 | 81 FR 46117 |
| 336 | Regulatory Guide 1.219, "Guidance on Making Changes to Emergency Plans for Nuclear Power Reactors" | Guidance | As described in document title | 07/27/2016 | RES | No | N/A* | 08/10/2016 | ML16061A104 | 81 FR 49279 |
| 337 | Regulatory Guide 8.10, "Operating Philosophy for Maintaining Occupational and Public Radiation Exposures as Low as Is Reasonably Achievable" | Guidance | As described in document title | 08/11/2016 | RES | No | N/A* | ***** | ML16105A136 | 81 FR 52974 |
| 338 | Regulatory Guide 1.140, "Design, Inspection, and Testing Criteria for Air Filtration and Adsorption Units of Normal Atmosphere Cleanup Systems in Light-Water-Cooled Nuclear Power Plants" | Guidance | As described in document title | 08/17/2016 | RES | No | N/A* | ***** | ML16070A277 | 81 FR 54855 |

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| 339 | Regulatory Guide 5.12, "General Use of Locks in the Protection and Control of: Facilities, Radioactive Materials, Classified Information, Classified Matter, and Safeguards Information" | Guidance | As described in document title | 10/05/2016 | RES | No | N/A* | ***** | ML15357A411 | 81 FR 69088 |
| 340 | Regulatory Guide 5.51, "Independent Assessment of Nuclear Material Control and Accounting Systems" | Guidance | As described in document title | 10/26/2016 | RES | No | N/A* | ***** | ML16223A915 | 81 FR 74484 |
| 341 | Regulatory Guide 8.7, "Instructions for Recording and Reporting Occupational Radiation Exposure Data" | Guidance | As described in document title | 12/08/2016 | RES | No | N/A* | ***** | ML16054A170 | 81 FR 88710 |
| 342 | Regulatory Guide 5.70, Rev 1, "Guidance for the Application of the Theft and Diversion Design-Basis Treat in the Design Development, and Implementaiton of a Physical Security Program that Meets CFR 73.45 and 73.46" (CONFIDENTIAL) | Guidance | As described in document title | 02/17/2017 | RES | No | N/A* | 07/21/2017 | non-public***** | 82 FR 11069 |
| 343 | Regulatory Guide 1.20, "Comprehensive Vibration Assessment Program for Reactor Internals During Preoperational and Initial Startup Testing" | Guidance | As described in document title | 02/28/2017 | RES | No | N/A* | 07/21/2017 | ML16056A338 | 82 FR 10505 |
| 344 | Regulatory Guide 1.26, "Quality Group Classifications and Standards for Water-, Steam-, and Radioactive-Waste-Containing Components of Nuclear Power Plants" | Guidance | As described in document title | 02/28/2017 | RES | No | N/A* | 07/21/2017 | ML16082A501 | 82 FR 12129 |
| 345 | Regulatory Guide 1.54, "Service Level I, II, and III, and In-Scope License Renewal Protective Coatings Applied to Nuclear Power Plants" | Guidance | As described in document title | 04/24/2017 | RES | No | N/A* | 07/21/2017 | ML17031A288 | 82 FR 19113 |
| 346 | Regulatory Guide 2.6, "Emergency Planning for Research and Test Reactors and Other Non-Power Production and Utilization Facilities" | Guidance | As described in document title | 09/27/2017 | RES | No | N/A* | ***** | ML17263A472 | 82 FR 45073 |
| 347 | Regulatory Guide 1.28, Rev. 5, "Quality Assurance Program Criteria (Design and Construction)" | Guidance | As described in document title | 10/19/2017 | RES | No | N/A* | ***** | ML17207A293 | 82 FR 48728 |
| 348 | Regulatory Guide 1.12, "Nuclear Power Plant Instrumentation for Earthquakes" | Guidance | As described in document title | 11/01/2017 | RES | No | N/A* | ***** | ML17094A831 | 82 FR 51879 |
| 349 | NUREGs | | | | | | | | | |

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| 350 | NUREG-2117, Revision 1, Practical Implementation Guidelines for SSHAC Level 3 and 4 Hazard Studies | Guidance | Provides guidance for performing probabilistic seismic hazard analysis. | 04/01/2012 | RES | No | **** | ***** | ML12118A445 | N/A |
| 351 | NUREG/CR-7004, Technical Basis for Regulatory Guidance on Design-Basis Hurricane-Borne Missile Speeds for Nuclear Power Plants | Guidance | Provides calculation method for hurricane-borne missile speeds that may be considered in the design of nuclear power plants. | 11/01/2011 | RES | No | **** | ***** | ML11341A102 | N/A |
| 352 | NUREG/CR-7114, A Framework for Low Power/Shutdown Fire PRA | Guidance | Presents a probabilistic risk assessment (PRA) framework for quantitatively analyzing fire risk in commercial nuclear power plants during low power and shutdown (LPSD) conditions, including the determination of core damage frequency (CDF) and large early release frequency (LERF). | 09/01/2013 | RES | No | **** | ***** | ML13260A155 | N/A |
| 353 | NUREG/CR-7005, Technical Basis for Regulatory Guidance on Design-Basis Hurricane Wind Speeds for Nuclear Power Plants | Guidance | Provides calculation method for analysis of peak-gust hurricane wind speeds for the simulation of 10 million years of hurricane wind speeds in the contiguous United States. | 11/01/2011 | RES | No | **** | ***** | ML11335A031 | N/A |
| 354 | NUREG/CR-7006, Review Guidelines for Field-Programmable Gate Arrays in Nuclear Power Plant Safety Systems | Guidance | Provides a compilation of safe field-programmable gate array (FPGA) design practices that can be used by NRC staff as guidance for reviewing FPGA-based safety systems in nuclear power plants. | 02/01/2010 | RES | No | **** | ***** | ML100880142 | N/A |
| 355 | NUREG-1934, EPRI 1023259, Nuclear Power Plant Fire Modeling Analysis Guidelines (NPP FIRE MAG) — Final Report | Guidance | Provides guidance on the validation and verification for fire models and discusses use of fire models in support of various commercial nuclear power plant fire hazard analysis applications. | 11/01/2012 | RES | No | **** | ***** | ML12314A165 | 76 FR 46330*** |
| 356 | NUREG-1921, EPRI/NRC-RES Fire Human Reliability Analysis Guidelines — Final Report | Guidance | Provides a method and associated guidance for conducting a fire human reliability analysis. | 07/01/2012 | RES | No | **** | ***** | ML12216A104 | 74 FR 65810***, 75 FR 5633*** |
| 357 | NUREG-1921 Supplement 1, EPRI/NRC-RES Fire Human Reliability Analysis Guidelines: Qualitative Guidance for Main Control Room Abandonment Scenarios | Guidance | Provides human reliability analysis and probabilistic risk assessment guidance on treatment of scenarios that require main control room abandonment in response to a fire event. | 04/01/2016 | RES | No | **** | ***** | ML16110A413 | N/A |

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| 358 | NUREG-2152, Computational Fluid Dynamics Best Practice Guidelines for Dry Cask Applications | Guidance | Provides guidance on how to verify the input model, validate results, and document the process for computational fluid dynamics. | 03/01/2013 | RES & NMSS | No | **** | ***** | ML13086A202 | 77 FR 64834*** |
| 359 | NUREG-1855, Revision 1, Guidance on the Treatment of Uncertainties Associated with PRAs in Risk-Informed Decisionmaking, Final Report | Guidance | Provides guidance on how to treat uncertainties associated with probabilistic risk assessment in risk-informed decisionmaking. | 03/01/2017 | RES | No | **** | 03/01/2017 | ML17062A466 | 78 FR 22349*** |
| 360 | Management Directive 6.4, "Generic Issues Program" | Management Directive | Provides staff guidance for assessing, evaluating, and resolving potential generic concerns regarding nuclear safety issues. | 01/02/2015 | RES | No | 01/06/2015 | 02/23/2015 | ML14245A048 | N/A |
| 361 | Management Directive 6.5, "NRC Participation in the Development and Use of Consensus Standards" | Management Directive | Provides staff guidance on participation on code committees and development of consensus standards. | 10/28/2016 | RES | No | 09/08/2011 | 01/18/2012 | ML16193A497 | N/A |
| 362 | Management Directive 8.1, "Abnormal Occurrence Reporting Procedure" | Management Directive | Provides guidance to staff supporting the development of an annual congressional report on abnormal occurrences. | 09/09/2011 | RES | No | 07/18/2011 | 09/26/2011 | ML111360014 | N/A |
| 363 | | | | | | | | | | |
| 364 | Office of Nuclear Material Safety and Safeguards | | | | | | | | | |
| 365 | 1. Title | 2. Form of Guidance | 3. Subject Description | 4. Date of Issuance | 5. Issuing Office | 6a. Major rule under CRA? | 6b. Date Requested OMB Major/Non-Major CRA Determination and/or Submitted to OMB under Paperwork Reduction Act (PRA)** | 6c. Date submitted to Congress and GAO Under CRA | 7. If Applicable, Hyperlink or ADAMS Number | 7. If applicable, Federal Register Citation |
| 366 | Generic Letter 2015-01, Treatment of Natural Phenomena Hazards in Fuel Cycle Facilities | Generic Letter | Discusses the treatment of natural phenomena hazards in fuel cycle facilities | 06/22/2015 | NMSS | No | **** | ***** (CRA); 04/01/2015 (PRA) | ML14328A029 | 80 FR 37028 |
| 367 | Consolidated Guidance about Materials Licenses: Program-Specific Guidance About Industrial Radiography Licenses (NUREG-1556, Volume 2, Rev. 1) | NUREG | This report provides guidance to an applicant applying for an industrial radiography license and provides the U.S. Nuclear Regulatory Commission (NRC) with criteria for evaluating such applications. | 02/01/2016 | NMSS | No | 04/01/2015 | ***** https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1556/v2/ | | 81 FR 64207 |

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| 368 | Consolidated Guidance about Materials Licenses: Applications for Sealed Source and Device Evaluation and Registration (NUREG-1556, Volume 3, Rev. 2) | NUREG | This report provides guidance to applicants on submitting requests to the U.S. Nuclear Regulatory Commission (NRC) for radiation safety evaluation and registration of sealed sources and devices (SSDs) containing byproduct material, and provides the NRC with criteria for evaluating such applications. | 09/01/2015 | NMSS | No | 05/06/2015 | 09/25/2015 | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1556/v3/ | 81 FR 64207 |
| 369 | Consolidated Guidance about Materials License Program-Specific Guidance About Fixed Gauge Licenses (NUREG-1556, Volume 4, Rev. 1) | NUREG | This report addresses a variety of radiation safety issues associated with fixed gauges of many designs. Typically, gauges are used for process control (e.g., to measure the thickness of paper, the density of coal, the level of material in vessels and tanks, and volumetric flow rate). | 07/01/2016 | NMSS | No | 05/06/2016 | 07/19/2016 | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1556/v4/ | 81 FR 64207 |
| 370 | Consolidated Guidance about Materials Licenses: Program-Specific Guidance About Medical Use Licenses (NUREG-1556, Volume 9, Rev. 2) | NUREG | This report is intended to provide guidance to individuals who are preparing an application for a license for the medical use of byproduct material as well as to NRC staff who review applications. | 01/01/2008 | NMSS | No | **** | **** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1556/v9/r2/ | 73 FR 8909 |
| 371 | Consolidated Guidance about Materials Licenses: Program-Specific Guidance About Master Materials Licenses (NUREG-1556, Volume 10, Rev. 1) | NUREG | This report provides guidance to Federal organizations preparing a new Master Materials License (MML) application and those Federal organizations with existing MMLs when applying for a license amendment. In addition, it provides the criteria the U.S. Nuclear Regulatory Commission (NRC) license reviewers and other NRC personnel use in reviewing MML applications and current MMLs. | 06/01/2016 | NMSS | No | 10/01/2015 | 07/19/2016 | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1556/v10/ | 81 FR 64207 |
| 372 | Consolidated Guidance about Materials Licenses: Program-Specific Guidance about Licenses of Broad Scope (NUREG-1556, Volume 11, Rev. 1) | NUREG | This NUREG provides guidance to an applicant applying for a broad scope license and also provides the U.S. Nuclear Regulatory Commission (NRC) staff with the criteria for evaluating such applications. | 02/01/2017 | NMSS | No | **** | 03/21/2017 | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1556/v11/ | 82 FR 16635 |

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| 373 | Consolidated Guidance about Materials Licenses: Guidance About Changes of Control and About Bankruptcy Involving Byproduct, Source, or Special Nuclear Materials Licenses (NUREG-1556, Volume 15, Rev. 1) | NUREG | The report contains guidance for licensees and, in some cases, license applicants to use in preparing a notification to NRC of a change of control or bankruptcy. It also contains NRC's criteria for evaluating such a notification and determining whether a new or amended license is needed. | 06/01/2016 | NMSS | No | 10/01/2015 | 07/19/2016 | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1556/v15/ | 81 FR 64207 |
| 374 | Consolidated Guidance about Materials Licenses: Program-Specific Guidance About Service Provider Licenses (NUREG-1556, Volume 18, Rev. 1) | NUREG | This report provides guidance to an applicant in preparing a service provider license application based on the risk significance of the proposed activity, as well as providing the U.S. Nuclear Regulatory Commission (NRC) staff with the appropriate criteria for evaluating such applications. | 08/01/2017 | NMSS | No | 11/30/2016 | **** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1556/v18/ | 82 FR 4612 |
| 375 | Consolidated Guidance about Materials Licenses: Guidance for Agreement State Licensees About NRC Form 241 "Report of Proposed Activities in Non-Agreement States, Areas of Exclusive Federal Jurisdiction, or Offshore Waters" and Guidance for NRC Licensees Proposing to Work in Agreement State Jurisdiction (Reciprocity) (NUREG-1556, Volume 19, Rev. 1) | NUREG | This report provides information important to Agreement State licensees on submitting the U.S. Nuclear Regulatory Commission (NRC) Form 241, "Report of Proposed Activities in Non-Agreement States, Areas of Exclusive Federal Jurisdiction, or Offshore Waters". | 06/01/2016 | NMSS | No | **** | 07/19/2016 | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1556/v19/ | 81 FR 64207 |
| 376 | Products Intended for Use by General Public (Consumer Products) - Policy Statement | Policy Statement | Update to policy statement on consumer products to reflect NRC's current approach to radiation protection, legislation that has been enacted since the policy was published in 1965, and subsequent approaches taken in the NRC's regulatory framework for exemptions | 01/16/2014 | NMSS | No | 07/02/2013 | 02/07/2014 | https://www.gpo.gov/fdsys/pkg/FR-2014-01-16/pdf/2014-00730.pdf | 79 FR 2907 |

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| 377 | Tribal Policy Statement | Policy Statement | Sets forth principles to be followed by the NRC staff to promote effective government-to-government interactions with American Indian and Alaska Native Tribes, and to encourage and facilitate Tribal involvement in the areas over which the Commission has jurisdiction. | 01/09/2017 | NMSS | No | **** | ***** | https://www.gpo.gov/fdsys/pkg/FR-2017-01-09/pdf/2017-00091.pdf | 82 FR 2402 |
| 378 | Implementation Guidance for 10 CFR Part 37, "Physical Protection of Category 1 and Category 2 Quantities of Radioactive Material" rev. 1 (NUREG-2155) | NUREG | The intent of this technical report is to provide guidance on, and to assist applicants and licensees in, the implementation of Title 10 of the Code of Federal Regulations (10 CFR) Part 37, "Physical Protection of Category 1 and Category 2 Quantities of Radioactive Material." This document describes methods that the U.S. Nuclear Regulatory Commission (NRC) finds acceptable for implementing the regulations. | 01/01/2015 | NMSS | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr2155/ | N/A |
| 379 | ViewRay System for Radiation Therapy | Licensing Guidance | The guidance provides applicants with an acceptable means of satisfying the requirements for a license to use the subject material, source, or device. | 07/24/2013 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML1317/ML13179A287/24/20137.pdf | N/A |
| 380 | TheraSphere® and SIR-Spheres® Yttrium-90 Microspheres, Draft Rev 10 | Licensing Guidance | The guidance provides applicants with an acceptable means of satisfying the requirements for a license to use the subject material, source, or device. | 10/30/2017 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML1710/ML17107A375.pdf | N/A |
| 381 | Leksell Gamma Knife® PerfexionTM and Leksell Gamma Knife® IconTM | Licensing Guidance | The guidance provides applicants with an acceptable means of satisfying the requirements for a license to use the subject material, source, or device. | 05/25/2016 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML1610/ML16109A208.pdf | N/A |
| 382 | Low Activity Radioactive Seeds Used for Localization of Non-Palpable Lesions and Lymph Nodes | Licensing Guidance | The guidance provides applicants with an acceptable means of satisfying the requirements for a license to use the subject material, source, or device. | 10/07/2016 | NMSS | No | 08/31/2016 | ***** | https://www.nrc.gov/docs/ML1619/ML16197A568.pdf | N/A |

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| 383 | Eckert and Ziegler GalliaPharm TM Germanium-68/Gallium-68 Pharmacy Grade Generator | Licensing Guidance | The guidance provides applicants with an acceptable means of satisfying the requirements for a license to use the subject material, source, or device. | 07/13/2017 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML1707/ML17075A488.pdf | N/A |
| 384 | Radium-223 Dichloride | Memorandum | The guidance provides applicants with an acceptable means of satisfying the requirements for a license to use the subject material. | 01/10/2013 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML1707/ML17075A488.pdf | N/A |
| 385 | Licensing Guidance for the Intraocular Use of NeoVista, Inc's Epi-Rad ₉₀ TM (Sr-90) Ophthalmic System | Licensing Guidance | The guidance provides applicants with an acceptable means of satisfying the requirements for a license to use the subject material, source, or device. | Apr-09 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML0911/ML091140370.pdf | N/A |
| 386 | Managemnt Directive 5.8: Proposed Section 274B Agreements with States | Management Directive | Establish a system for an orderly transition in the discontinuance of certain regulatory authority by NRC with assumption thereof by the State through a standard agreement or amendment. | 06/25/2013 | NMSS | No | 10/01/2012 | 07/22/2013 | https://www.nrc.gov/docs/ML1306/ML13067A396.pdf | N/A |
| 387 | Management Directive 6.3: The Rulemaking Process | Management Directive | Describes the rulemaking process at the NRC | 07/22/2013 | NMSS | No | 07/03/2012 | 08/16/2013 | ML13205A400 | N/A |
| 388 | Management Directive 8.10: NRC Assessment Program for a Medical Event or an Incident Occurring at a Medical Facility | Management Directive | Specify the scope, objectives, authorities, responsibilities, and basic requirements for the NRC's Assessment Program. | 03/28/2014 | NMSS | No | 07/03/2012 | 04/15/2014 | https://www.nrc.gov/docs/ML1330/ML13301A732.pdf | N/A |
| 389 | Management Directive 8.12: Decommissioning Financial Assurance | Management Directive | Procedures to ensure that financial instruments submitted as financial assurance for decommissioning by materials licensees and fuel cycle licensees provide reasonable assurance that financial resources for decommissioning will be available when needed. | 11/22/2013 | NMSS | No | 09/12/2012 | 01/22/2014 | ML120030527 | N/A |
| 390 | Agreement State Program Policy Statement | Policy Statement | Policy Statement for Implementation of the NRC's Agreement State Program | 10/06/2017 | NMSS | No | 10/04/2017 | ***** | https://www.federalregister.gov/documents/2017/10/06/2017-21542/agreement-state-program-policy-statement | 82 FR 46840 |

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| 391 | Physical Security Best Practices for the Protection of Risk-Significant Radioactive Material (NUREG-2166) | NUREG | This document provides guidance to U.S. Nuclear Regulatory Commission (NRC) licensees or applicants on developing and implementing a physical protection program for the protection of risk-significant radioactive materials (e.g., category 1 and category 2 quantities of radioactive material). The intent of this information is to provide NRC licensees or applicants guidance with specific emphasis on physical security best practices. The approaches and methods in this document are not requirements; however, the NRC considers them to be acceptable for complying with the requirements in Title 10 of the Code of Federal Regulations (10 CFR) Part 37, "Physical Protection of Category 1 and Category 2 Quantities of Radioactive Material." | 05/01/2014 | NMSS | No | 04/24/2014 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr2166/ | N/A |
| 392 | Interim Staff Guidance – 2, Revision 2 Fuel Retrieval in Spent Fuel Storage Applications | Interim Staff Guidance | Provides guidance to the staff for determining whether an application submitted under 10 CFR Part 72 sufficiently demonstrates that the system is designed to allow ready retrieval of spent fuel. | 04/26/2016 | NMSS | No | 04/11/2016 | ***** | https://www.nrc.gov/docs/ML1611/ML16117A080.pdf | N/A |
| 393 | Interim Staff Guidance - 8 Revision 3 Burnup Credit in the Criticality Safety Analyses of PWR Spent Fuel in Transportation and Storage Casks | Interim Staff Guidance | Provides recommendations to the staff for accepting, on a design-specific basis, a burnup credit approach in the criticality safety analysis of pressurized water reactor (PWR) SNF storage and transportation systems. | 09/26/2012 | NMSS | No | 09/12/2012 | ***** | https://www.nrc.gov/reading-rm/doc-collections/isg/isg-8R3.pdf | N/A |
| 394 | Interim Staff Guidance - ISG-18, Rev. 1 The Design and Testing of Lid Welds on Austenitic Stainless Steel Canisters as the Confinement Boundary for Spent Fuel Storage | Interim Staff Guidance | Guidance to address the design and testing of the various closure welds ("lid welds") associated with the redundant closure of all-welded austenitic stainless steel canisters | 10/03/2008 | NMSS | No | 02/22/2008 | ***** | https://www.nrc.gov/reading-rm/doc-collections/isg/isg-18r1.pdf | N/A |

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| 395 | Interim Staff Guidance-24, Revision 0 The Use of a Demonstration Program as a Surveillance Tool for Confirmation of Integrity for Continued Storage of High Burnup Fuel Beyond 20 Years | Interim Staff Guidance | Provides guidance to the staff for reviewing if a demonstration of high burnup fuel (HBF)1 has the necessary properties to qualify as one method that an applicant might use in license and certificate of compliance (CoC) applications to demonstrate the integrity of HBF for continued storage. | 07/11/2014 | NMSS | No | 06/03/2013 | ***** | https://www.nrc.gov/docs/ML1405/ML14058B166.pdf | N/A |
| 396 | Interim Staff Guidance – 25 Pressure and Helium Leakage Testing of the Confinement Boundary of Spent Fuel Dry Storage Systems | Interim Staff Guidance | Additional guidance to supplement standard review plan guidance for evaluating the helium leakage testing and ASME Code1 required pressure (hydrostatic/pneumatic) testing that is specified for the dry storage system (DSS) confinement boundary. | 08/18/2010 | NMSS | No | 02/25/2010 | ***** | https://www.nrc.gov/docs/ML1019/ML101970493.pdf | N/A |
| 397 | NUREG-1536, Rev. 1, Standard Review Plan for Spent Fuel Dry Storage Systems at a General License Facility - Final Report | NUREG | Provides guidance to NRC staff for reviewing applications for a Certificate of Compliance of a dry storage system for use at a general license facility | 07/01/2010 | NMSS | No | 05/26/2010 | ***** | http://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1536/r1/sr1536r1.pdf | 74 FR 17546*** |
| 398 | NUREG-1886, Joint Canada - United States Guide for Approval of Type B(U) and Fissile Material Transportation Packages | NUREG | This guide was developed by the United States Department of Transportation and Nuclear Regulatory Commission, and the Canadian Nuclear Safety Commission to provide direction on the standard format and content for applications for approval of packages used to transport Type B(U) and fissile materials. | 03/01/2009 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML0909/ML090930197.pdf | 73 FR 31946*** |
| 399 | NUREG-1927, Rev. 1, Standard Review Plan for Renewal of Specific Licenses and Certificates of Compliance for Dry Storage of Spent Nuclear Fuel – Final Report | NUREG | Provides guidance for the safety review of renewal applications for specific licenses of independent spent fuel storage installations and certificates of compliance (CoCs) of dry storage systems | 06/01/2016 | NMSS | No | 04/11/2016 | 07/07/2016 | https://www.nrc.gov/docs/ML1617/ML16179A148.pdf | 81 FR 44054 |
| 400 | Interim Staff Guidance-23 Application of ASTM Standard Practice C1671-07 when performing technical reviews of spent fuel storage and transportation packaging licensing actions. | Interim Staff Guidance | Guidance on applying ASTM SP C1671-07 for NRC staff use in technical reviews of spent fuel storage and transportation packaging licensing actions | 01/18/2011 | NMSS | No | **** | 02/28/2011 | https://www.nrc.gov/reading-rm/doc-collections/isg/isg-23.pdf | N/A |

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| 401 | NUREG/BR-0006, Rev. 8, Instructions for Completing Nuclear Material Transaction Reports | NUREG | Provide guidance to licensees reporting nuclear material transactions to the Nuclear Material Management and Safeguards System | 04/01/2017 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML1702/ML17026A069.pdf | N/A |
| 402 | NUREG/BR-0007, Rev. 7, Instructions for the Preparation and Distribution of Material Status Reports | NUREG | Provides instructions and guidance for preparing and distributing material status reports | 04/01/2017 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML1702/ML17026A076.pdf | N/A |
| 403 | NUREG-1520, Rev. 2, Standard Review Plan for Fuel Cycle Facilities License Applications | NUREG | Provides guidance for reviewing and evaluating the health, safety, and environmental aspects of applications to use special nuclear material to produce reactor fuel | 06/01/2015 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML1517/ML15176A258.pdf | 80 FR 38238 |
| 404 | NUREG-1757, Volume 3, Rev. 1, Consolidated Decommissioning Guidance - Financial Assurance, Recordkeeping, and Timeliness | NUREG | Provides guidance on the technical aspects of compliance with requirements for timeliness in decommissioning of materials facilities, the requirements for financial assurance for decommissioning, and the recordkeeping requirements related to eventual decommissioning. | 02/01/2012 | NMSS | No | 03/31/2011 | ***** | https://www.nrc.gov/docs/ML1204/ML12048A683.pdf | N/A |
| 405 | FCSS-ISG-12, rev. 1 Reportable Safety Events per 10 CFR Part 70 Appendix A | Interim Staff Guidance | Clarifies some of the reporting requirements in 10 CFR Part 70, Appendix A, which contains criteria for submitting one hour reports, twenty-four hour reports, and concurrent reports. | 09/18/2014 | NMSS | No | **** | ***** | ML14157A067 | N/A |
| 406 | FCSS-ISG-13, Estimating Decommissioning Costs For Uranium Enrichment Facilities | Interim Staff Guidance | Provides guidance on estimating decommissioning costs for uranium enrichment facilities | 08/13/2010 | NMSS | No | **** | ***** | ML102240529 | N/A |
| 407 | FCSE-ISG-14, Acute Uranium Exposure Standards for Workers | Interim Staff Guidance | Provides acute uranium intake quantities that the staff finds acceptable as proposed quantitative standards used to classify the chemical consequences of worker acute uranium exposure accidents analyzed in licensee's ISA | 06/08/2015 | NMSS | No | 07/31/2015 | 09/25/2015 | ML15147A682 | N/A |

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| 408 | Concentration Averaging and Encapsulation Branch Technical Position, Revision 1; Volume 1 | Branch Technical Position | This document provides guidance for one aspect related to the 10 CFR 61.42 performance objective regarding protection of an inadvertent intruder (i.e., the averaging of radionuclide concentrations for determining the classification of the waste). Specifically, this Concentration Averaging (CA) Branch Technical Position (BTP) provides guidance on complying with 10 CFR 61.55(a)(8), "Determination of concentrations in wastes," as it applies to the classification of waste for disposal under 10 CFR Part 61. | 02/25/2015 | NMSS | No | 11/03/2014 | 04/15/2015 | https://www.nrc.gov/docs/ML1225/ML12254B065.pdf | 80 FR 10165 |
| 409 | Concentration Averaging and Encapsulation Branch Technical Position, Revision 1; Volume 2 | Branch Technical Position | This document provides guidance for one aspect related to the 10 CFR 61.42 performance objective regarding protection of an inadvertent intruder (i.e., the averaging of radionuclide concentrations for determining the classification of the waste). Specifically, this Concentration Averaging (CA) Branch Technical Position (BTP) provides guidance on complying with 10 CFR 61.55(a)(8), "Determination of concentrations in wastes," as it applies to the classification of waste for disposal under 10 CFR Part 61. | 02/25/2015 | NMSS | No | 11/03/2014 | 04/15/2015 | https://www.nrc.gov/docs/ML1232/ML12326A611.pdf | 80 FR 10165 |
| 410 | Low-Level Radioactive Waste Management and Volume Reduction | Policy Statement | Revises the NRC's 1981 Policy Statement on Low-Level Radioactive Waste (LLRW) Volume Reduction. This revised statement is to recognize that progress in reducing waste volume has been achieved since the 1981 Policy Statement was published, and to acknowledge that factors other than volume reduction may be considered by licensees to determine how best to manage their LLRW. | 05/01/2012 | NMSS | No | **** | 05/15/2012 | ML15023A098 | 77 FR 25760 |
| 411 | | | | | | | | | | |

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| 412 | | | | | | | | | | |
| 413 | Miscellaneous | | | | | | | | | |
| 414 | 1. Title | 2. Form of Guidance | 3. Subject Description | 4. Date of Issuance | 5. Issuing Office | 6a. Major rule under CRA? | 6b. Date Requested OMB Major/Non-Major CRA Determination and or Submitted to OMB under Paperwork Reduction Act (PRA)** | 6c. Date submitted to Congress and GAO Under CRA | 7. If Applicable, Hyperlink or ADAMS Number | 7. Federal Register Citation |
| 415 | Management Directive 3.17, "NRC Information Quality Program" | Management Directive | Establishes program to ensure quality of all information the NRC relies on for making decisions or disseminates to the public | 06/28/2016 | Office of the Chief Information Officer | No | 09/30/2014 | **** | https://www.nrc.gov/docs/ML1610/ML16105A321.pdf | N/A |
| 416 | Management Directive 3.5, "Attendance at NRC Staff-Sponsored Meetings" | Management Directive | Establishes policies for participation by the public in certain meetings between the staff of the NRC and external stakeholders | 12/23/2011 | Office of the Executive Director for Operations | No | 05/27/2011 | 01/10/2012 | https://www.nrc.gov/docs/ML1129/ML112971635.pdf | N/A |
| 417 | Management Directive 11.4, "NRC Small Business Program" | Management Directive | Describes the NRC Small Business Program's role in acquisition and explains the acquisition review process | 06/18/2014 | Office of Small Business and Civil Rights | No | 06/03/2013 | **** | https://www.nrc.gov/docs/ML1332/ML13329A758.pdf | N/A |
| 418 | | | | | | | | | | |
| 419 | | | | | | | | | | |
| 420 | NOTES | | | | | | | | | |
| 421 | *OMB Memorandum M-99-13, "Guidance for Implementing the Congressional Review Act," states: "If the rule is not subject to E.O. 12866 review, you should contact your Desk Officer in OMB's Office of Information and Regulatory Affairs (OIRA) in accordance with your established practice." In accordance with this guidance, the established practice is for the NRC to request a major/non-major determination for rules under the CRA, except for a limited set of final NRC actions. | | | | | | | | | |
| 422 | **Unless indicated otherwise, all dates are for submission of a CRA request to OMB | | | | | | | | | |
| 423 | ***Citation for draft document. Final document not announced or not yet announced in the Federal Register | | | | | | | | | |

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| 3 | | | | | | | | | | |
| 424 | ****Document was not considered a rule under the CRA and/or the NRC could not find documentation regarding transmission of a CRA request to OMB | | | | | | | | | |
| 425 | *****Document was not considered a rule under the CRA and/or the NRC could not find documentation regarding transmission of this document to Congress and GAO | | | | | | | | | |
| 426 | *****Sensitive, Safeguards, and Classified documents are not publicly available, but are retrievable upon request | | | | | | | | | |
| 427 | | | | | | | | | | |
| 428 | | | | | | | | | | |

Identical letters sent to:

The Honorable Trey Gowdy
Chairman, Committee on Oversight
and Government Reform
United States House of Representatives
Washington, DC 20515
cc: Representative Elijah E. Cummings

The Honorable Mark Meadows
Chairman, Subcommittee on Government Operations
Committee on Oversight and Government Reform
United States House of Representatives
Washington, DC 20515
cc: Representative Gerald E. Connolly

The Honorable Jim Jordan
Chairman, Subcommittee on Healthcare,
Benefits, and Administrative Rules
Committee on Oversight and Government Reform
United States House of Representatives
Washington, DC 20515
cc: Representative Raja Kirshnamoorthi

The Honorable Gary J. Palmer
Chairman, Subcommittee on Intergovernmental Affairs
Committee on Oversight and Government Reform
United States House of Representatives
Washington, DC 20515
cc: Representative Val Butler Demings

The Honorable Blake Farenthold
Chairman, Subcommittee on the Interior,
Energy, and Environment
Committee on Oversight and Government Reform
United States House of Representatives
Washington, DC 20515
cc: Representative Stacey E. Plaskett



CHAIRMAN

UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

February 12, 2018

The Honorable Mark Meadows
Chairman, Subcommittee on Government Operations
Committee on Oversight and Government Reform
United States House of Representatives
Washington, DC 20515

Dear Mr. Chairman:

On behalf of the U.S. Nuclear Regulatory Commission (NRC), I am responding to your December 8, 2017, letter requesting a list of guidance documents issued by the NRC since January 1, 2008. The NRC has a consolidated website¹ where it posts links to the wide variety of documents issued by the agency, including the majority of its guidance documents. The NRC issues guidance in various forms, including as Regulatory Guides and NUREG Series publications. Please find enclosed the list of guidance documents that you requested.

As an independent regulatory agency, portions of the sixth criterion listed in your letter do not apply to the NRC. However, we have identified whether the listed guidance documents were considered "major rules" under the Congressional Review Act (CRA). We have also indicated when the NRC requested a major/non-major rule determination under the CRA and/or review under the Paperwork Reduction Act from the Office of Information and Regulatory Affairs within the Office of Management and Budget for the listed guidance documents. The NRC has also provided accession (or "ML") numbers for documents that are publicly available in the NRC's Agencywide Documents Access and Management System.

I hope this information meets the Committee's needs. If you need anything additional, please contact me or have your staff contact Eugene Dacus, Director of the Office of Congressional Affairs, at [REDACTED].

Sincerely,

A handwritten signature in blue ink, appearing to read "K. Svinicki", is written over a blue ink stamp.

Kristine L. Svinicki

Enclosure:
As stated

cc: Representative Gerald E. Connolly

¹ <https://www.nrc.gov/reading-rm/doc-collections/>.

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| 2 | Office of Administration | | | | | | | | | |
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| 4 | Management Directive 12.3, NRC Personnel Security Program | Management Directive | Outlines the Personnel Security Program for the NRC | 08/08/2013 | ADM | No | 09/04/2013 | 11/12/2013 | ML13151A402 | N/A |
| 5 | Management Directive 11.1, NRC Acquisition of Supplies and Services | Management Directive | Outlines the Acquisition Program for the NRC | 05/09/2014 | ADM | No | 05/13/2014 | 06/05/2014 | ML13322B277 | N/A |
| 6 | | | | | | | | | | |
| 7 | | | | | | | | | | |
| 8 | Office of the Chief Financial Officer | | | | | | | | | |
| 9 | 1. Title | 2. Form of Guidance | 3. Subject Description | 4. Date of Issuance | 5. Issuing Office | 6a. Major rule under CRA? | 6b. Date Requested OMB Major/Non-Major CRA Determination and submitted to OMB Under Paperwork Reduction Act | 6c. Date submitted to Congress and GAO Under CRA | 7. If Applicable, Hyperlink or ADAMS Number | 7. If applicable, Federal Register Citation |
| 10 | License Fees FAQ | FAQ | Provides responses to frequently asked questions including general questions, materials license annual fee, annual small entity certification and payment of invoice. | ongoing | OCFO | No | **** | **** | https://www.nrc.gov/about-nrc/regulatory/licensing/fees.htm#faq | N/A |
| 11 | Management Directive 11.6, "Financial Assistance Program" | Management Directive | Outlines procedures for the NRC financial assistance program | 07/28/2017 | OCFO | No | **** | **** | https://www.nrc.gov/docs/ML1625/ML16253A024.pdf | N/A |
| 12 | NUREG/BR-0254, Revision 7, Payment Methods | NUREG | Provides payment methods accepted by the NRC for payment of an annual fee, a proposed or imposed civil penalty, a full cost licensing or inspection invoice, or other fees | 07/29/2015 | OCFO | No | 06/02/2017 (most recent PRA) | **** | ML15209A661 | N/A |
| 13 | | | | | | | | | | |
| 14 | Office of Enforcement | | | | | | | | | |
| 15 | 1. Title | 2. Form of Guidance | 3. Subject Description | 4. Date of Issuance | 5. Issuing Office | 6a. Major rule under CRA? | 6b. Date Requested OMB Major/Non-Major CRA Determination and/or Submitted to OMB under Paperwork Reduction Act (PRA)** | 6c. Date submitted to Congress and GAO Under CRA | 7. If Applicable, Hyperlink or ADAMS Number | 7. If applicable, Federal Register Citation |
| 16 | Nuclear Regulatory Commission Enforcement Manual (Revision 10, Change 1) and all appendices | Guidance | Provides specific guidance to staff on implementation of the NRC enforcement program. | 07/28/2017 | OE | No | N/A* | **** | https://www.nrc.gov/docs/ML1721/ML17212A125.pdf | N/A |
| 17 | Allegation Manual and all appendices | Guidance | This manual describes how allegations are received, evaluated, and closed by the NRC. | 12/22/2016 | OE | No | **** | **** | https://www.nrc.gov/docs/ML1700/ML17003A227.pdf | N/A |

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| 18 | Pre-Investigation Alternative Dispute Resolution (ADR) Brochure | Guidance | The pre-investigation program provides an individual and his/her employer (or former employer) the opportunity to resolve an allegation of discrimination through mediation rather than fully litigate the discrimination allegation or have the NRC initiate an investigation. | 08/01/2010 | OE | No | 02/06/2013 | ***** | https://www.nrc.gov/about-nrc/regulatory/enforcement/adr/pre-invest-brochure.pdf | N/A |
| 19 | Alternative Dispute Resolution (ADR) Brochure-Enforcement ADR | Guidance | Enforcement ADR, formerly known as "post-investigation ADR", refers to the use of mediation either (1) anytime after an investigation by the NRC's Office of Investigations is complete and an enforcement panel concludes that pursuit of an enforcement action appears warranted or (2) for nonwillful, traditional cases with the potential for civil penalties. | 07/01/2011 | OE | No | **** | ***** | https://www.nrc.gov/docs/ML1121/ML11210B507.pdf | N/A |
| 20 | Safety Culture Policy Statement | Policy Statement | Statement of Policy that sets forth expectation that individuals and organizations performing or overseeing regulated activities establish and maintain a positive safety culture. | 06/14/2011 | OE | No | 03/31/2011 | ***** | ML111650336 | 76 FR 34773 |
| 21 | Enforcement Policy | Policy | The NRC Enforcement Policy supports the NRC's mission to ensure adequate protection of public health and safety, promote common defense and security, and protect the environment. | Nov-16 | OE | No | **** | 08/10/2016 | https://www.nrc.gov/docs/ML1627/ML16271A446.pdf | 81 FR 78022 |
| 22 | | | | | | | | | | |
| 23 | | | | | | | | | | |
| 24 | Office of International Programs | | | | | | | | | |
| 25 | 1. Title | 2. Form of Guidance | 3. Subject Description | 4. Date of Issuance | 5. Issuing Office | 6a. Major rule under CRA? | 6b. Date Requested OMB Major/Non-Major CRA Determination and Submitted to OMB under Paperwork Reduction Act | 6c. Date submitted to Congress and GAO Under CRA | 7. If Applicable, Hyperlink or ADAMS Number | 7. If applicable, Federal Register Citation |

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| 26 | International Policy Statement | Commission Policy Statement | The International Policy Statement captures a brief history of almost 40 years of involvement in international activities and how this has impacted the NRC. The International Policy Statement also outlines how international activities directly support the NRC's goals and mission, and enumerates specific elements in which the NRC will proactively engage. | 07/10/2014 | OIP | No | **** | **** | https://www.nrc.gov/docs/ML1413/ML14132A317.pdf | 79 FR 39415 |
| 27 | Import/Export Licensing Frequently Asked Questions | FAQ | Document a series of questions and answers related to import/export licensing | ongoing | OIP | No | **** | ***** | https://www.nrc.gov/about-nrc/ip/faq.html | N/A |
| 28 | | | | | | | | | | |
| 29 | | | | | | | | | | |
| 30 | Office of New Reactors | | | | | | | | | |
| 31 | 1. Title | 2. Form of Guidance | 3. Subject Description | 4. Date of Issuance | 5. Issuing Office | 6a. Major rule under CRA? | 6b. Date Requested OMB Major/Non-Major CRA Determination and/or Submitted to OMB under Paperwork Reduction Act (PRA)** | 6c. Date submitted to Congress and GAO Under CRA | 7. If Applicable, Hyperlink or ADAMS Number | 7. If applicable, Federal Register Citation |
| 32 | NUREG-2194 Standard Technical Specifications, Westinghouse Advanced Passive 1000 (AP 1000) Plants | NUREG | Standard Technical Specifications (STS) for Westinghouse Advanced Passive 1000 (AP1000) plants | Apr-16 | NRO | no | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr2194/ | N/A |
| 33 | DC/COL-ISG-1 Interim Staff Guidance On Seismic Issues of High Frequency Ground Motion | Interim Staff Guidance | Describes how the staff will review seismic issues associated with HF ground motion as addressed in DC applications and COL applications | 05/19/2008 | NRO | no | **** | ***** | ML081400293 | 73 FR 30425 |
| 34 | DC/COL-ISG-2 Interim Staff Guidance on Financial Qualifications of Applicants For Combined License Applications | Interim Staff Guidance | Summarizes the requirements under 10 CFR Section 50.33(f)(1) for COL applicants and corrects the information provided in Section C.IV.5.1 of Regulatory Guide 1.206 | 05/02/2008 | NRO | no | **** | ***** | ML080710301 | N/A |
| 35 | DC/COL-ISG-3 PRA Information to Support Design Certification and Combined License Applications | Interim Staff Guidance | Supplements the guidance provided to the staff in Section 19.0, of the SRP concerning the review of PRA information and severe accident assessment submitted to support DC and COL applications | 06/11/2008 | NRO | no | **** | ***** | ML081430675 | 73 FR 34347 |

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| 36 | COL/ESP-ISG-4 Interim Staff Guidance on the Definition of Construction and on Limited Work Authorizations | Interim Staff Guidance | Provides guidance regarding the definition of construction and the delineation of preconstruction activities and those activities requiring prior approval of the NRC | 03/13/2009 | NRO | no | **** | ***** | ML082970729 | 74 FR 7488 |
| 37 | DC/COL-ISG-5 GALE86 Code for Calculation of Routine Radioactive Releases in Gaseous and Liquid Effluents to Support Design Certification and Combined License Applications | Interim Staff Guidance | Provides a clarification on the use of a newer version of the boiling-water reactor and pressurized-water reactors GALE codes that is not referenced in the current NRC guidance. | 07/10/2008 | NRO | no | **** | ***** | ML081710299 | 73 FR 41129 |
| 38 | DC/COL-ISG-6 Evaluation and Acceptance Criteria for 10 CFR 20.1406 to Support Design Certification and Combined License Applications | Interim Staff Guidance | Clarifies the U.S. Nuclear Regulatory Commission (NRC) position on acceptable levels of detail and content required for an applicant to demonstrate compliance with 10 CFR 20.1406 | 10/09/2009 | NRO | no | **** | ***** | ML092470100 | 74 FR 52267 |
| 39 | DC/COL-ISG-7 Assessment of Normal and Extreme Winter Precipitation Loads on the Roofs of Seismic Category I Structures | Interim Staff Guidance | Clarifies the NRC position on identifying winter precipitation events as site characteristics and site parameters for determining normal and extreme winter precipitation loads on the roofs of Seismic Category I structures | 7/1/2009 | NRO | no | **** | ***** | ML091490556 | 74 FR 31470 |
| 40 | DC/COL-ISG-8 Necessary Content of Plant-Specific Technical Specifications | Interim Staff Guidance | Replaces the related guidance in Chapter 16.0 of the Standard Review Plan (NUREG-0800) regarding the content of plant-specific Technical Specifications to support issuing a COL | 12/09/2008 | NRO | no | **** | ***** | ML083310259 | 73 FR 76685 |
| 41 | DC/COL-ISG-10 Review of Evaluation To Address Adverse Flow Effects in Equipment Other Than Reactor Internals | Interim Staff Guidance | Modifies and provides additional clarity in the guidance for the review of analyses of adverse flow effects in equipment other than reactor internals performed by COL and DC applicants | 11/03/2009 | NRO | no | **** | ***** | ML092890285 | 74 FR 58323 |

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| 42 | DC/COL-ISG-11 Finalizing Licensing-basis Information | Interim Staff Guidance | Clarifies the NRC staff position on finalizing licensing basis information at a point during the licensing review, a so-called freeze point, and the control of licensing basis information during and following the initial review of applications for DCs or COLs | 11/02/2009 | NRO | no | 01/04/2012 | ***** | ML092890623 | 74 FR 57715 |
| 43 | DC/COL-ISG-13 Assessing the Radiological Consequences of Accidental Releases of Radioactive Materials from Liquid Waste Tanks for Combined License Applications | Interim Staff Guidance | Addresses the development of the radiological source term contained in the postulated tank failure and mechanism for the radioactivity to reach groundwater or surface water | 01/22/2013 | NRO | no | 10/27/2011 | 01/24/2013 | ML12191A325 | 78 FR 6149 |
| 44 | DC/COL-ISG-14 Assessing the Radiological Consequences of Accidental Releases of Radioactive Materials from Liquid Waste Tanks in Ground and Surface Waters for Combined License Applications | Interim Staff Guidance | Focuses on characterizing the hydro-geologic conditions of the site where radioactivity is assumed to enter groundwater or surface water and modeling the movement of the radioactivity to points beyond the site boundary | 01/22/2013 | NRO | no | 10/27/2011 | ***** | ML12191A330 | 78 FR 6149 |
| 45 | DC/COL-ISG-15 Post-Combined License Commitments | Interim Staff Guidance | Discusses options regarding completion of COL items that cannot be completed until after issuance of the COL | 02/02/2010 | NRO | no | **** | ***** | ML093561416 | 75 FR 5356 |
| 46 | DC/COL-ISG-17 Ensuring Hazard-Consistent Seismic Input for Site Response and Soil Structure Interaction Analyses | Interim Staff Guidance | Provides the staff position on issues related to Seismic Input for Site Response and Soil Structure Interaction Analyses | 03/24/2010 | NRO | no | **** | ***** | ML100570203 | 75 FR 16202 |
| 47 | DC/COL-ISG-19 Gas Accumulation Issues in Safety Related Systems | Interim Staff Guidance | Clarifies the NRC staff guidance to address issues of gas accumulation in safety related systems | 09/09/2011 | NRO | no | **** | ***** | ML111110572 | 76 FR 58846 |
| 48 | DC/COL-ISG-20 Seismic Margin Analysis for New Reactors Based on Probabilistic Risk Assessment | Interim Staff Guidance | Supplements the guidance provided to the staff in Section 19.0 of the SRP to Support Design Certification and Combined License concerning the review of probabilistic risk assessment (PRA) information and severe accident assessments submitted to support DC and COL applications | 03/15/2010 | NRO | no | 07/03/2012 | ***** | ML100491233 | 75 FR 13610 |

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| 49 | DC/COL-ISG-21 Review of Nuclear Power Plant Designs using a Gas Turbine Driven Standby Emergency Alternating Current Power System | Interim Staff Guidance | Clarifies the NRC staff guidance for review of Nuclear Power Plant designs using a Gas Turbine Driven Standby Emergency Alternating Current (AC) Power system and also revises and updates the guidance previously provided in the SRP related to the Standby Emergency AC Power System | 03/22/2011 | NRO | no | 02/11/2011 | ***** | ML102510119 | 76 FR 17160 |
| 50 | DC/COL-ISG-22 Impact of Construction (Under a Combined License) of New Nuclear Power Plant Units on Operating Units at Multi-Unit Sites | Interim Staff Guidance | Assists the NRC staff in the evaluation of COL applicants' compliance with 10 CFR 52.79(a)(31). 10 CFR 52.79(a)(31) requires COL applicants who intend to construct and operate new units on multi-unit sites to provide an evaluation of the potential hazards to structures, systems, and components important to safety for the operating units resulting from construction activities | 05/11/2012 | NRO | no | 05/02/2011 | ***** | ML112630044 | 77 FR 29701 |
| 51 | DC/COL-ISG-24 Interim Staff Guidance Implementation of Regulatory Guide 1.221 on Design-Basis Hurricane and Hurricane Missiles DC/COL-ISG-024 | Interim Staff Guidance | Provides the staff guidance regarding the application of Regulatory Guide 1.221, "Design-Basis Hurricane and Hurricane Missiles for Nuclear Power Plants," in support of NRC reviews of ESP, DC, and COL applications being performed under the March 2007 version of the SRP | 05/14/2013 | NRO | no | 01/15/2013 | 06/30/2013 | ML13015A693 | 78 FR 31614 |
| 52 | DC/COL-ISG-25 Changes during Construction Under Title 10 of the Code of Federal Regulations Part 52 | Interim Staff Guidance | Provides guidance to the NRC staff on the Preliminary Amendment Request (PAR) review process available to the COL holders | 07/31/2015 | NRO | no | 05/02/2011 | ***** | ML15058A377 | 80 FR 48351 |

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| 53 | COL/ESP-ISG-26 Environmental Issues Associated with New Reactors | Interim Staff Guidance | Clarifies the NRC guidance and application of the Environmental Standard Review Plan, regarding the assessment of construction impacts, greenhouse gas and climate change, socioeconomics, environmental justice, need for power, alternatives, cumulative impacts, and cultural/historical resources as part of the preparation of environmental impact statements for ESP and COL applications | 09/03/2014 | NRO | no | 02/26/2013 | 09/09/2014 | ML14092A402 | 79 FR 52373 |
| 54 | COL/ESP-ISG-27 Specific Environmental Guidance for Light Water Small Modular Reactor | Interim Staff Guidance | Clarifies the NRC guidance and application of NUREG-1555, "Standard Review Plans for Environmental Reviews for Nuclear Power Plants," regarding the assessment of environmental reviews for applications for licenses to construct and operate light water small modular reactors | 8/25/2014 | NRO | no | 02/26/2013 | 09/09/2014 | ML14100A648 | 79 FR 53083 |
| 55 | DC/COL-ISG-28 Assessing the Technical Adequacy of the Advanced Light-Water Reactor Probabilistic Risk Assessment for the Design Certification Application and Combined License Application | Interim Staff Guidance | Addresses how applicants for advanced light water reactors can use ASME /ANS RA-S-2008 Standard for Level 1/Large Early Release Frequency Probabilistic Risk Assessment for Nuclear Power Plant Applications," (the PRA Standard), as endorsed by RG 1.200, Revision 2 | Nov-16 | NRO | no | 08/03/2015 | 12/08/2016 | ML16130A468 | 81 FR 87083 |
| 56 | Commission Policy Statement on the Regulation of Advanced Reactors | Commission Policy Statement | Reinforces the Commission's current policy regarding advanced reactors and includes new items to be considered during the design of these reactors, including security, emergency preparedness, threat of theft, and international safeguards | 10/14/2008 | NRO | no | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/commission/policy/73fr60612.pdf | 73 FR 60612 |
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| 63 | Office of Nuclear Reactor Regulation | | | | | | | | | |
| 64 | NUREG-0654 | NUREG | Criteria for Preparation and Evaluation of Radiological Emergency Response Plans and Preparedness in Support of Nuclear Power Plants (FEMA-REP-1, Revision 1, Supplement 3) | Nov-11 | NRR | No | 07/21/2011 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr0654/r1/s3/ | 76 FR 75771 |
| 65 | NUREG-0711 | NUREG | Human Factors Engineering Program Review Model, Revision 3 | Nov-12 | NRR | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr0711/ | N/A |
| 66 | NUREG-0800 | NUREG | Standard Review Plan for the Review of Safety Analysis Reports for Nuclear Power Plants: LWR Edition | Various Chapters: Dates before and after 2008 | NRR/NRO | No | Various | Various | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr0800/ | Various |
| 67 | NUREG-1021 | NUREG | Operator Licensing Examination Standards for Power Reactors | Feb-17 | NRR | No | 01/04/2017 | 02/28/2017 | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1021/ | 82 FR 10809 |
| 68 | NUREG-1022 | NUREG | Event Reporting Guidelines: 10 CFR 50.72 and 50.73 | Sep-14 | NRR | No | 01/15/2013 | 03/22/2013 | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1022/ | 79 FR 58811 |
| 69 | NUREG-1122 | NUREG | Knowledge and Abilities Catalog for Nuclear Power Plant Operators: Pressurized Water Reactors | Apr-17 | NRR | No | 08/31/2017 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1122/ | 82 FR 18018*** |
| 70 | NUREG-1123 | NUREG | Knowledge and Abilities Catalog for Nuclear Power Plant Operators: Boiling Water Reactors | Apr-17 | NRR | No | 08/31/2017 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1123/ | 82 FR 18018*** |
| 71 | NUREG-1307 | NUREG | Report on Waste Burial Charges: Changes in Decommissioning Waste Disposal Costs at Low-Level Waste Burial Facilities | Mar-17 | NRR | No | 12/18/2012 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1307/ | 82 FR 13677 |
| 72 | NUREG-1430 | NUREG | Standard Technical Specifications — Babcock and Wilcox Plants | Apr-12 | NRR | No | 05/27/2011 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1430/ | 77 FR 27490 |
| 73 | NUREG-1431 | NUREG | Standard Technical Specifications — Westinghouse Plants | Apr-12 | NRR | No | 05/27/2011 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1431/ | 77 FR 27490 |

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| 74 | NUREG-1432 | NUREG | Standard Technical Specifications — Combustion Engineering Plants | Apr-12 | NRR | No | 05/27/2011 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1432/ | 77 FR 27490 |
| 75 | NUREG-1433 | NUREG | Standard Technical Specifications — General Electric Plants (BWR/4) | Apr-12 | NRR | No | 05/27/2011 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1433/ | 77 FR 27490 |
| 76 | NUREG-1434 | NUREG | Standard Technical Specifications — General Electric Plants (BWR/6) | Apr-12 | NRR | No | 05/27/2011 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1434/ | 77 FR 27490 |
| 77 | NUREG-1437 | NUREG | Generic Environmental Impact Statement for License Renewal of Nuclear Plants | Various Supplement s: Dates before and after 2008 | NRR | No | 03/30/2012 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1437/ | 61 FR 28467; 78 FR 37325 |
| 78 | NUREG-1475 | NUREG | Applying Statistics | Mar-11 | NRR | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1475/ | N/A |
| 79 | NUREG-1482 | NUREG | Guidelines for Inservice Testing at Nuclear Power Plants: Inservice Testing of Pumps and Valves and Inservice Examination and Testing of Dynamic Restraints (Snubbers) at Nuclear Power Plants | Oct-13 | NRR | No | 04/04/2013 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1482/ | 78 FR 71676 |
| 80 | NUREG-1530 | NUREG | Reassessment of NRC's Dollar Per Person-Rem Conversion Factor Policy | Aug-15 | NRR | No | 10/13/2017 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1530/ | 80 FR 53585*** |
| 81 | NUREG-1555 | NUREG | Standard Review Plans for Environmental Reviews for Nuclear Power Plants: Environmental Standard Review Plan (with Supplement 1, Revision 1, for Operating Reactor License Renewal) | Jun-13 | NRR | No | 03/30/2012 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1555/ | 78 FR 37325 |
| 82 | NUREG-1800 | NUREG | Standard Review Plan for Review of License Renewal Applications for Nuclear Power Plants, Revision 2 | Dec-10 | NRR | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1800/ | 75 FR 80857 |
| 83 | NUREG-1801 | NUREG | Generic Aging Lessons Learned (GALL) Report | Dec-10 | NRR | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1801/ | 75 FR 80857 |

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| 84 | NUREG-2103 | NUREG | Knowledge and Abilities Catalog for Nuclear Power Plant Operators: Westinghouse AP1000 Pressurized-Water Reactors | Oct-11 | NRR/NRO | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr2103/ | 76 FR 73720*** |
| 85 | NUREG-2104 | NUREG | Knowledge and Abilities Catalog for Nuclear Power Plant Operators: Advanced Boiling-Water Reactors | Dec-11 | NRR/NRO | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr2104/ | 77 FR 3009*** |
| 86 | NUREG-2165 | NUREG | Safety Culture Common Language | Mar-14 | NRR | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr2165/ | N/A |
| 87 | NUREG-2188 | NUREG | U.S. Operating Experience with Thermally Treated Alloy 600 Steam Generator Tubes Through December 2013 | Feb-16 | NRR | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr2188/ | N/A |
| 88 | NUREG-2191 | NUREG | Generic Aging Lessons Learned for Subsequent License Renewal (GALL-SLR) Report | Jul-17 | NRR | No | 12/28/2016 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr2191/ | 82 FR 32588 |
| 89 | NUREG-2192 | NUREG | Standard Review Plan for Review of Subsequent License Renewal Applications for Nuclear Power Plants | Jul-17 | NRR | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr2192/ | 82 FR 32588 |
| 90 | GL-08-01, Managing Gas Accumulation in Emergency Core Cooling, Decay Heat Removal, and Containment Spray Systems | Generic Letter | Addresses the issue of gas accumulation in the emergency core cooling, decay heat removal, and containment spray systems | 01/11/2008 | NRR | No | 02/04/2013 | ***** | ML072910759 | 73 FR 4644 |
| 91 | GL-16-01 Monitoring of Neutron-Absorbing Materials in Spent Fuels Pools | Generic Letter | To address degradation of neutron-absorbing materials in wet storage systems for reactor fuel at power and non-power reactors | 04/07/2016 | NRR | No | 12/31/15 (PRA) | ***** | ML16097A169 | 81 FR 22129 |

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| 92 | BL-11-01 Mitigating Strategies | Bulletin | <p>1. To require that addressees provide a comprehensive verification of their compliance with the regulatory requirements of Title 10 of the Code of Federal Regulations (10 CFR) Section 50.54(hh)(2),</p> <p>2. To notify addressees about the NRC staff's need for information associated with licensee mitigating strategies under 10 CFR 50.54(hh)(2) in light of the recent events at Japan's Fukushima Daiichi facility in order to determine if 1) additional assessment of program implementation is needed, 2) the current inspection program should be enhanced, or 3) further regulatory action is warranted, and</p> <p>3. To require that addressees provide a written response to the NRC in accordance with 10 CFR 50.54(f).</p> | 05/11/2011 | NRR | No | **** | ***** | ML111250360 | N/A |

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| 93 | BL-12-01 Design Vulnerability In Electric Power System | Bulletin | <p>1. To notify the addressees that the NRC staff is requesting information about the facilities' electric power system designs, in light of the recent operating experience that involved the loss of one of the three phases of the offsite power circuit (single-phase open circuit condition) at Byron Station, Unit 2, to determine if further regulatory action is warranted.</p> <p>2. To require that the addressees comprehensively verify their compliance with the regulatory requirements of General Design Criterion (GDC) 17, "Electric Power Systems," in Appendix A, "General Design Criteria for Nuclear Power Plants," to 10 CFR Part 50 or the applicable principal design criteria in the updated final safety analysis report; and the design criteria for protection systems under 10 CFR 50.55a(h)(2) and 10 CFR 50.55a(h)(3).</p> <p>3. To require that addressees respond to the NRC in writing, in accordance with 10 CFR 50.54(f).</p> | 07/27/2012 | NRO, NRR | No | **** | ***** | ML12074A115 | N/A |
| 94 | NRC Inspection Manual | Inspection Manual | NRC Inspection Manual documents issued by the various NRC offices that manage and oversee inspection programs | Various | NRR | No | N/A* | ***** | https://www.nrc.gov/reading-rm/doc-collections/insp-manual/manual-chapter/ | N/A |
| 95 | NRC Inspection Procedure | Inspection Procedure | NRC Inspection Procedure documents issued by the various NRC Offices that perform inspections | Various | NRR | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/insp-manual/inspection-procedure/ | N/A |
| 96 | Risk Assessment of Operational Events Handbook, Volume 1-4 | Guidance Document | Risk Assessment of Operational Events Handbook: Internal Event (Vol. 1), External Events (Vol. 2), SPAR Model Reviews (Vol. 3), Shutdown Events (Vol. 4) | <p>12/01/2017</p> <p>11/01/2017</p> <p>9/01/2011</p> <p>4/1/2011</p> | NRR | No | **** | ***** | <p>ML17348A149</p> <p>ML17349A301 ML102850267</p> <p>ML111370163</p> | N/A |

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| 97 | LR-ISG-2011-1, Revision 1 - "Aging Management of Stainless Steel Structures and Components in Treated Borated Water" | Interim Staff Guidance | Provides guidance as to one acceptable approach for managing the effects of aging at nuclear power plants during the period of extended operation for stainless steel structures and components exposed to treated borated water within the scope of 10 CFR Part 54. This LR-ISG revises the NRC staff's aging management recommendations in NUREG-1800, Revision 2, and NUREG-1801, Revision 2. | 12/18/2012 | NRR | No | **** | ***** | https://www.nrc.gov/docs/ML1228/ML12286A275.pdf | 77 FR 74883 |
| 98 | LR-ISG-2011-02 - "Aging Management Program for Steam Generators" | Interim Staff Guidance | Evaluates the suitability of using Revision 3 of Nuclear Energy Institute (NEI) 97-06, "Steam Generator Program Guidelines," to manage the effects of aging of steam generators and corrects an incorrect revision in NUREG-1801, Revision 2, to the Steam Generator Integrity Assessment Guidelines. | 11/21/2011 | NRR | No | **** | ***** | https://www.nrc.gov/docs/ML1129/ML11297A085.pdf | 76 FR 74834 |
| 99 | LR-ISG-2011-03 - "Changes to the Generic Aging Lessons Learned (GALL) Report Revision 2 Aging Management Program XI.M41, "Buried and Underground Piping and Tanks" | Interim Staff Guidance | Changes GALL Report AMP XI.M41 to provide one acceptable approach for managing the effects of aging of buried and underground piping and tanks within the scope of 10 CFR Part 54. This LR-ISG also changes Table 3.0-1, "FSAR Supplement for Aging Management of Applicable Systems," in NUREG-1800, Revision 2. A licensee may reference this ISG in its nuclear power plant license renewal application to demonstrate that its buried and underground piping and tanks program is acceptable to the staff until the guidance in this LR-ISG is implemented into the next update of the license renewal guidance documents. | 07/26/2012 | NRR | No | 03/30/2012 | 08/06/2012 | https://www.nrc.gov/docs/ML1213/ML12138A296.pdf | 77 FR 46127 |

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| 100 | LR-ISG-2011-04 - "Updated Aging Management Criteria for Reactor Vessel Internal Components of Pressurized Water Reactors" | Interim Staff Guidance | Revises the recommendations in NUREG-1801, Revision 2, and the NRC staff's acceptance criteria and review procedures in NUREG-1800, Revision 2 to ensure consistency with Revision 1 to the Final Safety Evaluation of MRP-227-A, "Pressurized Water Reactor (PWR) Internals Inspection and Evaluation Guidelines." This LR-ISG also provides a framework to ensure that PWR license renewal applicants will adequately address age-related degradation and aging management of reactor vessel internal components during the term of the renewed license(s). | 05/28/2013 | NRR | No | 06/01/2012 | 06/03/2013 | https://www.nrc.gov/docs/ML1227/ML12270A436.pdf | 78 FR 33120 |
| 101 | LR-ISG-2011-05 - "Ongoing Review of Operating Experience" | Interim Staff Guidance | Clarifies the NRC guidance in NUREG-1800, Revision 2, that acceptable nuclear power plant license renewal AMPs should be informed, and enhanced when necessary, based on the ongoing review of both plant-specific and industry operating experience. Specifically, this LR-ISG revises the NRC staff's acceptance criteria and review procedures to better address the ongoing review of operating experience within the SRP-LR. This LR-ISG also better aligns NUREG-1801, Revision 2, with the SRP-LR by incorporating the recommendation for ongoing review of operating experience into each of the program descriptions. This LR-ISG also provides a framework to ensure that license renewal applicants' operating experience review activities will adequately address operating experience concerning age-related degradation and aging management during the term of the renewed license(s). | 03/09/2012 | NRR | No | 03/02/2012 | 03/19/2012 | https://www.nrc.gov/docs/ML1204/ML12044A215.pdf | 77 FR 15818 |

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| 102 | LR-ISG-2012-01 - "Wall Thinning Due to Erosion Mechanisms" | Interim Staff Guidance | Provides interim guidance for an approach acceptable to the NRC staff to manage the effects of aging during the period of extended operation for wall thinning due to various erosion mechanisms for piping and components within the scope of 10 CFR Part 54. This LR-ISG revises NUREG-1801, Revision 2 aging management program XI.M17, "Flow-Accelerated Corrosion." It also supplements, or revises, related aging management recommendations in the NUREG-1801, Revision 2 and NUREG-1800, Revision 2. | 04/25/2013 | NRR | No | 10/01/2012 | 04/26/2013 | https://www.nrc.gov/docs/ML1235/ML12352A057.pdf | 78 FR 25487 |
| 103 | LR-ISG-2012-02 - "Aging Management of Internal Surfaces, Fire Water Systems, Atmospheric Storage Tanks, and Corrosion Under Insulation" | Interim Staff Guidance | Changes NUREG-1801, Revision 2 and NUREG-1800, Revision 2, to provide one acceptable approach for managing the associated aging effects for internal surfaces, fire water systems, atmospheric storage tanks, and corrosion under insulation within the scope of 10 CFR Part 54. | 11/14/2013 | NRR | No | 07/02/2013 | ***** | https://www.nrc.gov/docs/ML1322/ML13227A361.pdf | 78 FR 70076 |
| 104 | LR-ISG-2013-01, LR-ISG-2014-004 - "Aging Management of Loss of Coating or Lining Integrity for Internal Coatings/Linings on In-Scope Piping, Piping Components, Heat Exchangers, and Tanks" | Interim Staff Guidance | Changes NUREG-1801, Revision 2 and NUREG-1800, Revision 2, to provide one acceptable approach for managing the associated aging effects of loss of coating or lining integrity for internal components/linings on piping, piping components, heat exchangers, and tanks within the scope of 10 CFR Part 54. | 11/06/2014 | NRR | No | 03/04/2014 | 12/01/2014 | https://www.nrc.gov/docs/ML1422/ML14225A059.pdf | 79 FR 68308 |
| 105 | LR-ISG-2015-01 - "Changes to Buried and Underground Piping and Tank Recommendations" | Interim Staff Guidance | Changes NUREG-1801, Revision 2 and NUREG-1800, Revision 2, to provide one acceptable approach for managing the associated aging effects for buried and underground piping and tanks within the scope of the 10 CFR Part 54. | 01/28/2016 | NRR | No | 11/30/2015 | 02/08/2016 | https://www.nrc.gov/docs/ML1530/ML15308A018.pdf | 81 FR 6055 |

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| 106 | LR-ISG-2016-01 - "Changes to Aging Management Guidance for Various Steam Generator Components" | Interim Staff Guidance | Changes NUREG-1801, Revision 2 and NUREG-1800, Revision 2, to provide one acceptable approach for managing the associated aging effects for steam generator components within the scope of the 10 CFR Part 54. | 11/30/2016 | NRR | No | 08/31/2016 | 12/14/2016 | https://www.nrc.gov/docs/ML1623/ML16237A383.pdf | 81 FR 88276 |
| 107 | Revised Guidance for Review of Final Licensee Responses to Generic Letter 2004-02, "Potential Impact of Debris Blockage on Emergency Recirculation During Design Basis Accidents at Pressurized Water Reactors" | Guidance | Guidance for responding to GL 2004-02 in the areas of head loss, chemical effects, and coatings | 03/28/2008 | NRR | No | **** | ***** | ML080230234 | 69 FR 57101 |
| 108 | Management Directive 8.7, "Reactor Operating Experience Program" | Management Directive | Provides means for assessing the significance of OpE Information, providing timely and effective communication to stakeholders, and applying the lessons learned to regulatory decisions and programs affecting nuclear reactors. | 09/27/2012 | NRR | No | 11/02/2012 | ***** | ML122750292 | N/A |
| 109 | DSS-ISG-2016-01 and Rev. 1 | Interim Staff Guidance | Clarification of Licensee Actions in Receipt of Enforcement Discretion Per Enforcement Guidance Memorandum EGM 15-002, "Enforcement Discretion for Tornado-generated Missile Protection Noncompliance | 11/22/2017 2/25/2016 | NRR | No | 07/28/2017 1/28/2016 | ***** 02/24/2016 | ML17128A344, ML15348A202 | 82 FR 55657, 81 FR 9518 |
| 110 | DSS-ISG-2010-01 | Interim Staff Guidance | Staff Guidance regarding the Nuclear Criticality Safety Analysis for Spent Fuel Pools | 10/13/2011 | NRR | No | **** | 10/03/2011 | ML110620086 | 76 FR 63676 |
| 111 | DI&C-ISG-03 - Review of New Reactor Digital Instrumentation and Control Probabilistic Risk Assessments | Interim Staff Guidance | Provides acceptable methods for evaluating digital instrumentation and control system risk assessments. The primary purpose of this document is to provide clear guidance on how NRC reviewers should evaluate digital instrumentation and control (I&C) system probabilistic risk assessments (PRAs), including common cause failures in PRAs and uncertainty analysis associated with new reactor digital systems. | 08/11/2008 | NRR | No | **** | ***** | ML080570048 | N/A |

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| 112 | DI&C-ISG-04, Rev 1 - Highly-Integrated Control Rooms—Communications Issues (HICRc) | Interim Staff Guidance | Provides acceptable methods for addressing HICRc in digital I&C system designs, and clarifies the criteria the staff will use to evaluate whether an applicant/licensee digital system design is consistent with HICRc guidelines. | 03/06/2009 | NRR | No | **** | ***** | ML083310185 | N/A |
| 113 | DI&C-ISG-05, Rev 1 - Highly Integrated Control Rooms - Human Factors | Interim Staff Guidance | Provides acceptable methods for addressing HICR—HF in digital I&C system designs, and clarifies the criteria the staff would use to evaluate whether an applicant/licensee digital system design is consistent with HICR—HF guidelines. | 11/03/2008 | NRR | No | **** | ***** | ML082740440 | N/A |
| 114 | DI&C-ISG-06 - Licensing Processes | Interim Staff Guidance | Describes the licensing process that may be used in the review (against licensing criteria – the Standard Review Plan, NUREG-0800) of license amendment requests associated with digital I&C system modifications in operating plants originally licensed under Part 50, and covers activities prior to submittal of a license amendment request. | 01/19/2011 | NRR | No | **** | ***** | ML110140103 | N/A |
| 115 | DI&C-ISG-07 - Fuel Cycle Facilities - DI&C Systems in Safety Applications at Fuel Cycle Facilities | Interim Staff Guidance | Provides licensing review criteria that address acceptable means of implementing digital instrumentation and controls (I&C) applications used to accomplish safety functions in fuel cycle facilities. | 12/1/2010 6/1/2009 | NRR | No | **** | ***** | ML101900316 ML091550599 | 74 FR 38673 |
| 116 | RTR-ISG-2009-001 | Interim Staff Guidance | Interim Staff Guidance on Streamlined Review Process for License Renewal for Research Reactors | 10/16/2009 | NRR | No | **** | ***** | ML092240244 | 74 FR 57206 |
| 117 | OL/FR-ISG-2014-01, "Reviewing and Assessing the Financial Condition of Operating Power Reactor Licensees, Including Requests for Additional Information" | Interim Staff Guidance | Clarifies the process by which the NRC staff will review financial conditions of, and financial concerns about, currently operating power reactor licensees. | 03/04/2015 | NRR | No | 02/02/2015 | 03/12/2015 | ML14218A625 | 80 FR 11691 |

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| 118 | Final Interim Staff Guidance Augmenting NUREG-1537, Parts 1 and 2 | Interim Staff Guidance | Provides guidance for preparing and reviewing applications for radioisotope production facilities and aqueous homogeneous reactors | 10/30/2012 | NRR | No | **** | 11/05/2012 | ML12156A069 ML12156A075 | 77 FR 65728 |
| 119 | Draft Interim Staff Guidance Augmenting NUREG-1537, Chapter 7, "Instrumentation and Control Systems," Parts 1 and 2 | Interim Staff Guidance | Provides updated and consolidated guidance for preparing and reviewing applications for initial licensing or to amend a facility operating license for instrumentation and control (I&C) upgrades at non-power production or utilization facilities, as appropriate. | 11/16/2015 | NRR | No | 08/01/2014 | ***** | ML17248A545 ML17248A546 | 80 FR 70850*** |
| 120 | NSIR/DPR-ISG-01, "Interim Staff Guidance - Emergency Planning for Nuclear Power Plants" | Interim Staff Guidance | Provides updated guidance information for addressing emergency planning (EP) requirements for nuclear power plants (NPPs), and provides additional guidance on one topic not specifically addressed in the EP final rule (i.e., integrating offsite response organization (ORO) event response concepts with onsite EP programs). | 12/05/2011 | NRR | No | 07/21/2011 | ***** | ML113010523 | 76 FR 75771 |
| 121 | JLD-ISG-2012-01 - "Interim Staff Guidance for Compliance with Order EA-12-049 Concerning Mitigation Strategies for Beyond-Design-Basis Events" | Interim Staff Guidance | Provides guidance and clarification to assist nuclear power reactor licensees with the identification of measures needed to comply with requirements contained in Order EA-12-049, "Order Modifying Licenses with Regard to Requirements for Mitigation Strategies for Beyond-Design-Basis External Events," to mitigate challenges to key safety functions. | 08/29/12 | NRR | No | 09/10/2012 | 09/10/2012 | ML12229A174 | 77 FR 55230 |

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| 122 | JLD-ISG-2012-01 - "Interim Staff Guidance for Compliance with Order EA-12-049 Concerning Mitigation Strategies for Beyond-Design-Basis Events" (Rev 1) | Interim Staff Guidance | Provides guidance and clarification to assist nuclear power reactor licensees with the identification of measures needed to comply with requirements contained in Order EA-12-049, "Order Modifying Licenses with Regard to Requirements for Mitigation Strategies for Beyond-Design-Basis External Events," to mitigate challenges to key safety functions. | 01/22/16 | NRR | No | | 02/17/2016 | https://www.nrc.gov/docs/ML1535/ML15357A163.pdf | 81 FR 10283 |
| 123 | JLD-ISG-12-02 - "Interim Staff Guidance for Compliance with Order EA-12-050, Reliable Hardened Vents" | Interim Staff Guidance | Provides guidance and clarification to assist nuclear power reactor applicants and licensees with the identification of measures needed to comply with requirements contained in Order EA-12-050, "Order Modifying Licenses with Regard to Reliable Hardened Containment Vents," to mitigate challenges to key safety functions. | 08/09/12 | NRR | No | 06/15/2012 | 09/10/2012 | https://www.nrc.gov/docs/ML1222/ML12229A475.pdf | 77 FR 55231 |
| 124 | JLD-ISG-12-03 - "Interim Staff Guidance for Compliance with Order EA-12-051, Spent Fuel Pool Instrumentation" | Interim Staff Guidance | Provides guidance and clarification to assist nuclear power reactors applicants and licensees with the identification of measures needed to comply with requirements contained in Order EA-12-051, "Order Modifying Licenses with Regard to Reliable Spent Fuel Pool Instrumentation," to install enhanced spent fuel pool monitoring capability. | 08/29/12 | NRR | No | **** | **** | https://www.nrc.gov/docs/ML1222/ML12221A339.pdf | 77 FR 55232 |
| 125 | JLD-ISG-12-04 - "Interim Staff Guidance on Performing a Seismic Margin Assessment in Response to the March 2012 Request for Information Letter" | Interim Staff Guidance | Provides guidance and clarification to assist nuclear power reactor licensees when responding to the NRC staff's request for information dated March 12, 2012, Enclosure 1, "Recommendation 2.1: Seismic." | 11/16/12 | NRR | No | **** | 11/26/2012 | https://www.nrc.gov/docs/ML1228/ML12286A029.pdf | 77 FR 71019 |

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| 126 | JLD-ISG-12-05 - "Interim Staff Guidance for Performing the Integrated Assessment for External Flooding" | Interim Staff Guidance | Provides guidance and clarification to assist nuclear power reactors applicants and licensees with performing an integrated assessment for external flooding in response to Enclosure 2 of a March 12, 2012, information request. | 11/30/12 | NRR | No | 10/01/2012 | 12/10/2012 | https://www.nrc.gov/docs/ML1231/ML12311A214.pdf | 77 FR 73057 |
| 127 | JLD-ISG-12-06 - "Interim Staff Guidance for Performing a Tsunami, Surge, or Seiche Hazard Assessment" | Interim Staff Guidance | Provides guidance and clarification to assist nuclear power reactors applicants and licensees with the flooding hazard reassessment in response to Enclosure 2 of the NRC staff's request for information, "Request for Information Pursuant to section 50.54(f) of Title 10 of the Code of Federal Regulations (10 CFR), Regarding Recommendations 2.1, 2.3, and 9.3 of the Near-Term Task Force Review of Insights from the Fukushima Dai-ichi Accident," dated March 12, 2012. | 01/04/13 | NRR | No | 11/02/2012 | 01/23/2013 | https://www.nrc.gov/docs/ML1231/ML12314A412.pdf | 78 FR 3039 |
| 128 | JLD-ISG-13-01 - "Interim Staff Guidance for Assessment of Flooding Hazards due to Dam Failure" | Interim Staff Guidance | Provides guidance and clarification to assist nuclear power reactors applicants and licensees with the flooding hazard reassessment in response to Enclosure 2 of the NRC staff's request for information, "Request for Information Pursuant to section 50.54(f) of Title 10 of the Code of Federal Regulations (10 CFR), Regarding Recommendations 2.1, 2.3, and 9.3 of the Near-Term Task Force Review of Insights from the Fukushima Dai-ichi Accident," dated March 12, 2012. | 07/29/13 | NRR | No | 04/24/2013 | ***** | https://www.nrc.gov/docs/ML1315/ML13151A153.pdf | 78 FR 48201 |

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| 129 | JLD-ISG-13-02 - "Interim Staff Guidance for Compliance with Order EA-13-109 Regarding Reliable Hardened Containment Vents" | Interim Staff Guidance | Provides guidance and clarifies the requirements in the order to assist the licensees that have Boiling Water Reactors with Mark I and Mark II Containments in the design and implementation of a containment venting system that is capable of a operation under severe accident conditions, and endorses, with clarifications, the industry guidance contained in Nuclear Energy Institute 13-02, "Industry Guidance for Compliance with Order EA-13-109," Revision 0. | 11/25/13 | NRR | No | **** | ***** | ML13304B836 | 78 FR 70356 |
| 130 | JLD-ISG-15-01 - "Interim Staff Guidance for Compliance with Phase 2 of Order EA-13-109, Order Modifying Licenses with Regard to Reliable Hardened Containment Vents Capable of Operation under Severe Accident Conditions" | Interim Staff Guidance | Provides guidance and clarifies the Phase 2 requirements in the order to assist the licensees that have Boiling Water Reactors with Mark I and Mark II Containments in the design and implementation of either a vent path from the containment drywell or a strategy that makes it unlikely that venting would be needed from the drywell before alternate reliable containment heat removal and pressure control is reestablished, and endorses, with clarifications, the industry guidance contained in Nuclear Energy Institute 13-02, "Industry Guidance for Compliance with Order EA-13-109," Revision 1. | 05/07/15 | NRR | No | **** | ***** | https://www.nrc.gov/docs/ML1510/ML15104A118.pdf | 80 FR 26303 |
| 131 | JLD-ISG-16-01 - "Interim Staff Guidance for Activities Related to Near-Term Task Force Recommendation 2.1, Flooding Hazard Reevaluation; Focused Evaluation and Integrated Assessment" | Interim Staff Guidance | Provides guidance and clarification to assist operating power reactor licensees and holders of construction permits under the NRC's regulations with the performance of the focused evaluations and revised integrated assessments for external flooding. | 07/19/16 | NRR | No | 06/28/2016 | 08/17/2016 | https://www.nrc.gov/docs/ML1616/ML16162A301.pdf | 81 FR 46970 |
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| 136 | Office of Nuclear Security and Incident Response | | | | | | | | | |
| 137 | Letter to Security Managers of nuclear power reactor licensees, "The U.S. Nuclear Regulatory Commission Inspection Approach Related to Industry Implementation of Early Warning systems" | Guidance | Outlines the approach to be used by NRC inspectors when evaluating Early Warning Systems | 05/26/2016 | NSIR | No | **** | **** | Non-public ***** | N/A |
| 138 | NSIR/DSP Interim Staff Guidance (ISG)-03, Review of Security Exemptions / License Amendment Request for Decommissioning Nuclear Power Plants | Interim Staff Guidance | Describes the methods and that the staff of the NRC uses in conducting technical reviews and safety evaluations of a licensee's requests for license amendments, alternative measures, and requests for exemption from security regulations for nuclear power plants that are undergoing the process of decommissioning | 09/28/2015 | NSIR | No | 03/27/2015 | **** | ML15106A737 | 80 FR 62116 |
| 139 | NSIR/DPR Interim Staff Guidance (ISG)-01, Interim Staff Guidance on Emergency Planning for Nuclear Power Plants | Interim Staff Guidance | Describes the methods and procedures that the staff of the NRC uses and considers acceptable for use by licensees and applicants as guidance for implementing changes to their onsite emergency preparedness programs based on the revised requirements (i.e, the 2011 Emergency Preparedness Final Rule). | 11/20/2011 | NSIR | No | 07/21/2011 | 12/05/2011 | ML113010523 | 76 FR 75771 |
| 140 | NSIR/DPR Interim Staff Guidance (ISG)-02, Emergency Planning Exemption Requests for Decommissioning Nuclear Power Plants | Interim Staff Guidance | Describes the methods and procedures that the staff of the NRC uses for conducting the technical review of requests for exemptions from the emergency preparedness requirements for nuclear power reactor licensees that have been permanently shutdown and defueled or are planning to transition to a decommissioning state. | 05/11/2015 | NSIR | No | 11/03/2014 | 05/20/2015 | ML14106A057 | 80 FR 29348 |

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| 141 | NSIR/DPR Interim Staff Guidance (ISG)-03, Review of Security Exemptions/License Amendment Requests for Decommissioning Nuclear Power Plants | Interim Staff Guidance | Describes the methods and procedures that the staff of the NRC uses for conducting the technical review of requests for exemptions from the emergency preparedness requirements for nuclear power reactor licensees that have been permanently shutdown and defueled or are planning to transition to a decommissioning state. | 09/28/2015 | NSIR | No | 03/27/2015 | ***** | ML15106A737 | 80 FR 62116 |
| 142 | Policy Statement of the U.S. Nuclear Regulatory Commission on the Protection of Cesium-137 Chloride Sources | Policy Statement | Set forth Commission policy on the secure uses of sealed sources containing CsCl and to describe potential Commission actions if changes in the security threat environment necessitate regulatory action. The Policy Statement also delineates the Commission's expectations for the secure and safe use of CsCl sources with activity levels of Category 1 and 2 as characterized by the IAEA Code of Conduct. | 07/19/2011 | NSIR/NMS S | No | 03/21/2011 | 08/01/2011 | ML111870035 | 76 FR 44378 |
| 143 | Management Directive 5.12, International Nuclear and Radiological Event Scale (INES) Participation" | Management Directive | Ensure that the rating of events involving reactors, fuel cycle facilities, transportation, and licensed materials is performed in accordance with the guidance provided in "The International Nuclear and Radiological Event Scale (INES) User's Manual, 2008 Edition" (the INES User's Manual) ADAMS Accession No. ML092230008. | 07/17/2017 | NSIR | No | 01/11/2012 | ***** | ML17157B346 | N/A |

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| 144 | NUREG-0654/FEMA-REP-1, Rev. 1, Supplement 3, Criteria for Preparation and Evaluation of Radiological Emergency Response Plans and Preparedness in Support of Nuclear Power Plants: Guidance for Protective Action Strategies | NUREG | Provides an acceptable method to comply with 10 CFR Appendix E, Section IV, paragraph 3 in the use of evacuation time estimates in the formulation of protective action recommendations (PAR) for the plume exposure emergency planning zone, and provides guidance for the provisions of 10 CFR 50.47(b)(10) in the development of a range of PARs. Provides guidance to support the information in NUREG-0654/FEMA-REP-1 that the NRC finds to be an acceptable method of meeting the requirements in 10 CFR 50.47(b)(7) for the development of a public information program. | 12/05/2011 | NSIR | No | 07/21/2011 | 12/05/2011 | ML113010596 | 76 FR 75771 |
| 145 | NUREG-1940, RASCAL 4: Description of Models and Methods | NUREG | Describes the models and calculational methods used in the Radiological Assessment System for Consequence Analysis (RASCAL) 4 computer code and the consequence assessment implications of changes in models and methods from RASCAL 3.0. RASCAL 4 is used by NRC staff to conduct an independent assessment of potential radiological releases from NRC-regulated nuclear power reactors, spent fuel storage pools and casks, fuel cycle facilities, and radioactive material handling facilities. RASCAL 4 includes improvements in the models and methods related to source term calculations, atmospheric dispersion and deposition, and dose calculations. | 12/01/2012 | NSIR | No | **** | **** | ML13031A448 | N/A |

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| 146 | NUREG-1940, Supplement 1, RASCAL 4.3: Description of Models and Methods | NUREG | RASCAL 4.3 computer code contains a number of new features and revision of several old features in response to the lessons learned by the staff of the NRC during its response to the events at the 2011 Fukushima Daiichi nuclear power plants. This guidance document is a supplement to NUREG-1940, "RASCAL 4: Description of Models and Methods," which is the technical basis for the models and methods used in the RASCAL computer code versions 4.0, 4.1 and 4.2. | 05/31/2015 | NSIR | No | **** | ***** | ML15132A119 | N/A |
| 147 | NUREG-1959 Rev. 1, Intrusion Detection Systems and Subsystems | NUREG | Provides information about the design, installation, testing, maintenance, and monitoring of intrusion detection systems and subsystems used for the protection of facilities licensed by the NRC | 09/30/2017 | NSIR | No | **** | ***** | ML17250A867 | N/A |
| 148 | NUREG-2203, Glossary of Security Terms for Nuclear Power Reactors | NUREG | Consists of glossary of security terms specifically for nuclear power reactors that are commonly used in the nuclear industry and regulatory community | 02/28/2017 | NSIR | No | **** | ***** | ML17047A669 | N/A |
| 149 | NUREG/CR-6953, Volume 2, Review of NUREG-0654, Supplement 3, "Criteria for Protective Action Recommendations for Severe Accidents" - Focus Groups and Telephone Survey | NUREG/ Contractor Report | Provides conclusions from focus group research on the views of the public and emergency response personnel, and a telephone survey of residents living within NPP Emergency Planning Zones to understand public tendencies towards emergency preparedness. Understanding the public's knowledge and confidence in protective actions informs the decision process on development of protective actions. | 10/01/2008 | NSIR | No | **** | ***** | ML083110406 | N/A |

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| 150 | NUREG/CR-6953, Volume 3, Review of NUREG-0654, Supplement 3, "Criteria for Protective Action Recommendations for Severe Accidents" - Technical Basis for Protective Action Strategies | NUREG/ Contractor Report | As the final volume of the Protective Action Recommendation Study, this analysis provides a technical basis for decision criteria that can be used by nuclear power plant (NPP) licensees and offsite response organizations to tailor protective action strategies using site specific evacuation time information for NPP accidents that progress rapidly. | 08/31/2010 | NSIR | No | **** | **** | ML102380087 | N/A |
| 151 | NUREG/CR-7002, Criteria for Development of Evacuation Time Estimate Studies | NUREG/ Contractor Report | Provides guidance to NRC licensees, under 10 CFR Part 50 and 10 CFR Part 52, for the development of evacuation time estimates, including those associated with staged evacuation protective actions. The document also identifies the importance of using approved emergency response plans and existing traffic control information to reflect the expected response actions during an emergency. | 12/05/2011 | NSIR | No | 07/21/2011 | 12/05/2011 | ML113010515 | 76 FR 75771 |
| 152 | NUREG/CR-7032, Developing an Emergency Risk Communication (ERC)/Joint Information Center (JIC) Plan for a Radiological Emergency | NUREG/ Contractor Report | Provides best practices for nuclear power reactor licensees and emergency response organizations on developing an Emergency Risk Communication and Joint Information Center plan for a radiological emergency. | 02/28/2011 | NSIR | No | **** | **** | ML110490119 | N/A |
| 153 | NUREG/CR-7033, Guidance on Developing Effective Radiological Risk Communication Messages: Effective Message Mapping and Risk Communication with the Public in Nuclear Plant Emergency Planning Zones | NUREG/ Contractor Report | Provides guidance for nuclear power reactor licensees and local response organizations on message development for radiological emergencies. | 02/28/2011 | NSIR | No | **** | **** | ML110490120 | N/A |
| 154 | NUREG/CR-7154, Volume 1, Risk Informing Emergency Preparedness Oversight: Evaluation of Emergency Action Levels — A Pilot Study of Peach Bottom, Surry and Sequoyah | NUREG/ Contractor Report | Provides generic and plant specific insights to be considered when developing future risk informed emergency planning regulatory activities. | 01/01/2013 | NSIR | No | **** | **** | ML13031A500 | N/A |

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| 155 | NUREG/CR-7154, Volume 2, Risk Informing Emergency Preparedness Oversight: Evaluation of Emergency Action Levels — A Pilot Study of Peach Bottom, Surry and Sequoyah | NUREG/ Contractor Report | Explores the feasibility of using probabilistic risk assessment to provide risk insights about Emergency Action Level schemes. | 01/01/2013 | NSIR | No | **** | ***** | ML13031A501 | N/A |
| 156 | NUREG/CR-7160, Emergency Preparedness Significance Quantification Process: Proof of Concept | NUREG/ Contractor Report | To improve prioritization of regulatory activities, a decision process has been developed to aid in the determination of risk significance of Emergency Preparedness (EP) program elements. The DedUctive Quantification Index method was developed and used in a proof of concept application for two representative nuclear power plant sites, and applied to determine risk significance of specific EP elements. | 06/01/2013 | NSIR | No | **** | ***** | ML13164A285 | N/A |
| 157 | NUREG/CR-7195, Risk-Informed and Performance-Based Oversight of Radiological Emergency Response Programs | NUREG/ Contractor Report | Provides a concept for performance-based oversight of offsite radiological emergency response preparedness in jurisdictions surrounding commercial nuclear power plants. The report proposes an initial set of objective performance indicators for demonstration in drills and exercises. | 05/01/2015 | NSIR | No | **** | ***** | ML15134A035 | N/A |
| 158 | NUREG/CR 7201, Characterizing Explosive Effects on Underground Structures | NUREG | Reviews the effects explosions close to the ground surface and in contact with the ground surface have on underground structures, with emphasis on the Vehicle Borne Improvised Explosive Devices | 09/01/2015 | NSIR | No | **** | ***** | ML15245A640 | N/A |
| 159 | NUREG/CR-7183, Best Practices for Behavioral Observation Programs at Operating Power Reactors and Power Reactor Construction Sites | NUREG | Identifies best practices associated with behavioral observation programs (BOP) used by a cross-section of Federal agencies and private entities, discusses the need for effective BOPs at power reactors, and power reactor construction sites, and presents insights and recommendations to improve BOP performance | 06/30/2014 | NSIR | No | **** | ***** | ML14189A355 | N/A |

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| 160 | NUREG/CR-7145, Nuclear Power Plant Security Assessment Guide | NUREG | Provides detailed guidance for the format and content of a security assessment of a commercial nuclear power plant | 04/01/2013 | NSIR | No | **** | ***** | ML13122A181 | N/A |
| 161 | NUREG-0561 Rev. 2, Physical Protection of Shipments of Irradiated Reactor Fuel | NUREG | Sets forth means, methods and procedures that the NRC considers acceptable for satisfying the requirements for the physical protection of spent nuclear fuel (SNF) during transportation by road, rail and water, and for satisfying the requirements for background investigations of individuals granted unescorted access to SNF during transportation | 04/01/2013 | NSIR | No | 06/01/2012 | ***** | ML13120A230 | 78 FR 31821 |
| 162 | NUREG-1964, Access Control Systems: Technical Information for NRC Licensees | NUREG | Provides technical details applicable to access control methods and technologies commonly used to protect facilities licensed by the NRC | 04/01/2011 | NSIR | No | **** | ***** | ML11115A078 | N/A |
| 163 | NUREG-0725 Rev. 15, Public Information Circular For Shipments of Irradiated Reactor Fuel | NUREG | Provides information on the shipment of irradiated reactor fuel subject to regulation by the NRC | 05/01/2010 | NSIR | No | **** | ***** | ML101390089 | N/A |
| 164 | | | | | | | | | | |
| 165 | | | | | | | | | | |
| 166 | Office of Nuclear Regulatory Research | | | | | | | | | |
| 167 | 1. Title | 2. Form of Guidance | 3. Subject Description | 4. Date of Issuance | 5. Issuing Office | 6a. Major rule under CRA? | 6b. Date Requested OMB Major/Non-Major CRA Determination and/or Submitted to OMB under Paperwork Reduction Act (PRA)** | 6c. Date submitted to Congress and GAO Under CRA | 7. If Applicable, Hyperlink or ADAMS Number | 7. If applicable, Federal Register Citation |
| 168 | New RGs | | | | | | | | | |
| 169 | Regulatory Guide 1.210, "Qualification of Safety-Related Battery Chargers and Inverters" | Guidance | As described in document title | 06/06/2008 | RES | No | N/A* | ***** | ML080640184 | 73 FR 32362 |
| 170 | Regulatory Guide 4.21, "Minimization of Contamination and Radioactive Waste Generation: Life-Cycle Planning" | Guidance | As described in document title | 06/19/2008 | RES | No | N/A* | ***** | ML080500187 | 73 FR 33465 |
| 171 | Regulatory Guide 1.212, "Sizing Of Large Lead-Acid Storage Batteries" | Guidance | As described in document title | 11/25/2008 | RES | No | N/A* | ***** | ML082740047 | 73 FR 71705 |
| 172 | Regulatory Guide 5.77, "Insider Mitigation Program" | Guidance | As described in document title | 03/01/2009 | RES | No | N/A* | ***** | non-public***** | N/A |
| 173 | Regulatory Guide 5.73, "Fatigue Management for Nuclear Power Plant Personnel" | Guidance | As described in document title | 03/31/2009 | RES | No | N/A* | ***** | ML083450028 | 74 FR 11979 |

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| 174 | Regulatory Guide 1.211, "Qualification of Safety-Related Cables and Field Splices for Nuclear Power Plants" | Guidance | As described in document title | 04/01/2009 | RES | No | N/A* | ***** | ML082530205 | 74 FR 18000 |
| 175 | Regulatory Guide 1.214, "Response Procedures for Potential or Actual Aircraft Attacks" | Guidance | As described in document title | 04/21/2009 | RES | No | N/A* | ***** | non-public***** | 74 FR 13926 |
| 176 | Regulatory Guide 1.213, "Qualification of Safety-Related Motor Control Centers for Nuclear Power Plants" | Guidance | As described in document title | 05/31/2009 | RES | No | N/A* | ***** | ML083010447 | 74 FR 22985 |
| 177 | Regulatory Guide 5.74, "Managing the Safety / Security Interface" | Guidance | As described in document title | 06/01/2009 | RES | No | N/A* | ***** | ML091690036 | 74 FR 32197 |
| 178 | Regulatory Guide 5.76, "Nuclear Power Reactor Physical Security Programs" | Guidance | As described in document title | 07/01/2009 | RES | No | N/A* | ***** | non-public***** | N/A |
| 179 | Regulatory Guide 5.75, "Training and Qualification of Security Personnel at Nuclear Power Reactor Facilities" | Guidance | As described in document title | 07/09/2009 | RES | No | N/A* | ***** | ML091690037 | 74 FR 34052 |
| 180 | Regulatory Guide 1.215, "Guidance for ITAAC Closure Under 10 CFR Part 52" | Guidance | As described in document title | 10/20/2009 | RES | No | N/A* | ***** | ML091480076 | 74 FR 53785 |
| 181 | Regulatory Guide 5.71, "Cyber Security Programs for Nuclear Facilities" | Guidance | As described in document title | 01/07/2010 | RES | No | N/A* | ***** | ML090340159 | 75 FR 1830 |
| 182 | Regulatory Guide 5.78, "Physical Protection of Mixed-Oxide Fuels in Nuclear Power Plants" (SGI) | Guidance | As described in document title | 07/08/2010 | RES | No | N/A* | ***** | non-public***** | N/A |
| 183 | Regulatory Guide 8.40, "Methods for Measuring Effective Dose Equivalent from External Exposure" | Guidance | As described in document title | 07/20/2010 | RES | No | N/A* | 07/30/2010 | ML100610534 | 75 FR 42170 |
| 184 | Regulatory Guide 1.216, "Containment Structural Integrity Evaluation for Internal Pressure Loadings Above Design-Basis Pressure" | Guidance | As described in document title | 08/01/2010 | RES | No | N/A* | 08/10/2010 | ML093200703 | 75 FR 48381 |
| 185 | Regulatory Guide 5.81, "Target Set Identification and Development for Nuclear Power Reactors" (OUO-SRI) | Guidance | As described in document title | 11/30/2010 | RES | No | N/A* | ***** | non-public***** | N/A |
| 186 | Regulatory Guide 5.80, "Pressure-Sensitive and Tamper-Indicating Device Seals for Material Control and Accounting of Special Nuclear Material" | Guidance | As described in document title | 12/17/2010 | RES | No | N/A* | 12/20/2010 | ML101800504 | 75 FR 79049 |
| 187 | Regulatory Guide 5.79, "Protection of Safeguards Information" | Guidance | As described in document title | 04/08/2011 | RES | No | N/A* | 04/18/2011 | ML103270219 | 76 FR 19817 |

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| 188 | Regulatory Guide 1.217, "Guidance for the Assessment of Beyond-Design-Basis Aircraft Impacts" | Guidance | As described in document title | 08/12/2011 | RES | No | N/A* | 08/12/2011 | ML092900004 | 76 FR 50275 |
| 189 | Regulatory Guide 1.221, "Design-Basis Hurricane and Hurricane Missiles for Nuclear Power Plants" | Guidance | As described in document title | 10/14/2011 | RES | No | N/A* | 10/12/2011 | ML110940300 | 76 FR 63541 |
| 190 | Regulatory Guide 1.219, "Guidance on Making Changes to Emergency Plans for Nuclear Power Reactors" | Guidance | As described in document title | 11/30/2011 | RES | No | N/A* | 01/09/2012 | ML102510626 | 76 FR 74630 |
| 191 | Regulatory Guide 3.74, "Guidance for Fuel Cycle Facility Change Processes" | Guidance | As described in document title | 01/06/2012 | RES | No | N/A* | 01/09/2012 | ML100890016 | 77 FR 823 |
| 192 | Regulatory Guide 4.22, "Decommissioning Planning During Operations" | Guidance | As described in document title | 12/31/2012 | RES | No | N/A* | ***** | ML12158A361 | 78 FR 663 |
| 193 | Regulatory Guide 1.79.1, "Initial Test Program of Emergency Core Cooling Systems for New Boiling-Water Reactors" | Guidance | As described in document title | 10/24/2013 | RES | No | N/A* | 10/17/2013 | ML12300A329 | 78 FR 63516 |
| 194 | Regulatory Guide 3.75, "Corrective Action Programs for Fuel Cycle Facilities" | Guidance | As described in document title | 07/09/2014 | RES | No | N/A* | ***** | ML14139A321 | 79 FR 41315 |
| 195 | Regulatory Guide 7.13, "Transportation Security Plans for Classified Matter Shipments" (OUO-SRI) | Guidance | As described in document title | 06/09/2015 | RES | No | N/A* | ***** | non-public***** | 80 FR 32622 |
| 196 | Regulatory Guide 5.84, "Fitness-for-Duty Programs at New Reactor Construction Sites" | Guidance | As described in document title | 08/11/2015 | RES | No | N/A* | ***** | ML15083A412 | 80 FR 48348 |
| 197 | Regulatory Guide 5.83, "Cyber Security Event Notifocations" | Guidance | As described in document title | 11/02/2015 | RES | No | N/A* | 12/02/2015 | ML14269A388 | 80 FR 67275 |
| 198 | Regulatory Guide 4.24, "Aquatic Environmental Studies for Nuclear Power Stations" | Guidance | As described in document title | 12/28/2016 | RES | No | N/A* | ***** | ML15309A219 | 81 FR 95655 |
| 199 | Regulatory Guide 4.25, "Assessment of Abnormal Radionuclide Discharges in Ground Water to the Unrestricted Area at Nuclear Power Plant Sites" | Guidance | As described in document title | 03/10/2017 | RES | No | N/A* | ***** | ML16253A333 | 82 FR 13875 |
| 200 | Regulatory Guide 5.41, "Shipping, Receiving, and Internal Transfer of Special Nuclear Material At Fuel Cycle Facilities" | Guidance | As described in document title | 05/31/2017 | RES | No | N/A* | ***** | ML16348A213 | 82 FR 24997 |
| 201 | Regulatory Guide 1.164, "Dedication of Commercial-Grade Items for Use in Nuclear Power Plants" | Guidance | As described in document title | 06/27/2017 | RES | No | N/A* | ***** | ML17041A206 | 82 FR 29120 |

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| 202 | Regulatory Guide 5.88, "Physical Inventories and Material Balances at Fuel Cycle Facilities" | Guidance | As described in document title | 09/27/2017 | RES | No | N/A* | ***** | ML17167A292 | 82 FR 45074 |
| 203 | RG Revised | | | | | | | | | |
| 204 | Regulatory Guide 1.45, "Guidance on Monitoring and Responding to Reactor Coolant System Leakage" | Guidance | As described in document title | 05/28/2008 | RES | No | N/A* | ***** | ML073200271 | 73 FR 30648 |
| 205 | Regulatory Guide 3.65, "Standard Format and Content of Decommissioning Plans for Materials Licensees" | Guidance | As described in document title | 05/30/2008 | RES | No | N/A* | ***** | ML081130703 | 73 FR 31154 |
| 206 | Regulatory Guide 3.66, "Standard Format and Content of Financial Assurance Mechanisms" | Guidance | As described in document title | 05/30/2008 | RES | No | N/A* | ***** | ML081130718 | 73 FR 31155 |
| 207 | Regulatory Guide 6.4, "Verification of Containment Properties of Sealed Radioactive Sources" | Guidance | As described in document title | 06/30/2008 | RES | No | N/A* | ***** | ML081140487 | 73 FR 36135 |
| 208 | Regulatory Guide 10.2, "Guidance to Academic Institutions Applying for Specific Byproduct Material Licenses of Limited Scope" | Guidance | As described in document title | 07/31/2008 | RES | No | N/A* | ***** | ML081140578 | 73 FR 39994 |
| 209 | Regulatory Guide 10.3, "Guide for the Preparation of Applications for Special Nuclear Material Licenses for Less than Critical Mass Quantities" | Guidance | As described in document title | 07/31/2008 | RES | No | N/A* | ***** | ML081140586 | 73 FR 39340 |
| 210 | Regulatory Guide 10.5, "Applications for a Type A License of Broad Scope" | Guidance | As described in document title | 07/31/2008 | RES | No | N/A* | ***** | ML081140591 | 73 FR 39993 |
| 211 | Regulatory Guide 10.6, "Guide for the Preparation of Applications for an Industrial Radiography License" | Guidance | As described in document title | 07/31/2008 | RES | No | N/A* | ***** | ML081140599 | 73 FR 39735 |
| 212 | Regulatory Guide 6.1, "Leak Testing Radioactive Brachytherapy Sources" | Guidance | As described in document title | 07/31/2008 | RES | No | N/A* | ***** | ML081140467 | 73 FR 39994 |
| 213 | Regulatory Guide 6.2, "Integrity and Test Specifications for Selected Brachytherapy Sources" | Guidance | As described in document title | 07/31/2008 | RES | No | N/A* | ***** | ML081140471 | 73 FR 42377 |
| 214 | Regulatory Guide 6.5, "General Safety Standards for Installations Using Nonmedical Sealed Gamma-Ray Sources" | Guidance | As described in document title | 07/31/2008 | RES | No | N/A* | ***** | ML081140498 | 73 FR 39993 |
| 215 | Regulatory Guide 10.7, "Guide for the Preparation of Applications for Licenses for Laboratory and Industrial Use of Small Quantities of Byproduct Material" | Guidance | As described in document title | 09/30/2008 | RES | No | N/A* | ***** | ML081960533 | 73 FR 55544 |

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| 3 | 1. Title | 2. Form of Guidance | 3. Subject Description | 4. Date of Issuance | 5. Issuing Office | 6a. Major rule under CRA? | 6b. Date Requested OMB Major/Non-Major CRA Determination and/or Submitted to OMB under Paperwork Reduction Act (PRA)** | 6c. Date submitted to Congress and GAO Under CRA | 7. If Applicable, Hyperlink or ADAMS Number | 7. If applicable, Federal Register Citation |
| 216 | Regulatory Guide 10.8, "Guide for the Preparation of Applications for Medical Use Programs" | Guidance | As described in document title | 09/30/2008 | RES | No | N/A* | ***** | ML081960579 | 73 FR 53451 |
| 217 | Regulatory Guide 10.9, "Guide for the Preparation of Applications for the Use of Self-Contained Dry Source-Storage Gamma Irradiators" | Guidance | As described in document title | 09/30/2008 | RES | No | N/A* | ***** | ML081960560 | 73 FR 55544 |
| 218 | Regulatory Guide 1.114, "Guidance to Operators at the Controls and to Senior Operators in the Control Room of a Nuclear Power Unit" | Guidance | As described in document title | 10/31/2008 | RES | No | N/A* | ***** | ML082380236 | 73 FR 64000 |
| 219 | Regulatory Guide 3.11, "Design, Construction, and Inspection of Embankment Retention Systems at Uranium Recovery Facilities" | Guidance | As described in document title | 11/30/2008 | RES | No | N/A* | ***** | ML082380144 | 73 FR 66686 |
| 220 | Regulatory Guide 3.25, "Standard Format and Content of Safety Analysis Reports for Uranium Enrichment Facilities" | Guidance | As described in document title | 01/07/2009 | RES | No | N/A* | ***** | ML082690559 | 74 FR 728 |
| 221 | Regulatory Guide 1.125, "Physical Models for Design and Operation of Hydraulic Structures and Systems for Nuclear Power Plants" | Guidance | As described in document title | 03/24/2009 | RES | No | N/A* | ***** | ML082810208 | 74 FR 12401 |
| 222 | Regulatory Guide 1.200, "An Approach for Determining the Technical Adequacy of Probabilistic Risk Assessment Results for Risk-Informed Activities" | Guidance | As described in document title | 03/31/2009 | RES | No | N/A* | ***** | ML090410014 | 74 FR 11381 |
| 223 | Regulatory Guide 10.4, "Guide for the Preparation of Applications for Licenses to Process Source Material" | Guidance | As described in document title | 03/31/2009 | RES | No | N/A* | ***** | ML083650402 | 74 FR 11382 |
| 224 | Regulatory Guide 3.16, "General Fire Protection Guide for Plutonium Processing and Fuel Fabrication Plants" | Guidance | As described in document title | 04/17/2009 | RES | No | N/A* | ***** | ML083650087 | 74 FR 17885 |
| 225 | Regulatory Guide 1.69, "Concrete Radiation Shields and Generic Shield Testing for Nuclear Power Plants" | Guidance | As described in document title | 05/31/2009 | RES | No | N/A* | ***** | ML090820425 | 74 FR 26893 |
| 226 | Regulatory Guide 3.52, "Standard Format and Content for the Health and Safety Sections of License Renewal Applications for Uranium Processing and Fuel Fabrication" | Guidance | As described in document title | 06/03/2009 | RES | No | N/A* | ***** | ML091050098 | 74 FR 26737 |

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| 227 | Regulatory Guide 1.21, "Measuring, Evaluating, and Reporting Radioactive Material in Liquid and Gaseous Effluents and Solid Waste" | Guidance | As described in document title | 06/15/2009 | RES | No | N/A* | ***** | ML091170109 | 74 FR 29243 |
| 228 | Regulatory Guide 4.1, "Radiological Environmental Monitoring for Nuclear Power Plants" | Guidance | As described in document title | 06/30/2009 | RES | No | N/A* | ***** | ML091310141 | 74 FR 29244 |
| 229 | Regulatory Guide 5.54, "Standard Format and Content of Safeguards Contingency Plans for Nuclear Power Plants" (SGI) | Guidance | As described in document title | 06/30/2009 | RES | No | N/A* | ***** | non-public***** | N/A |
| 230 | Regulatory Guide 1.100, "Seismic Qualification of Electric and Mechanical Equipment for Nuclear Power Plants" | Guidance | As described in document title | 09/21/2009 | RES | No | N/A* | ***** | ML091320468 | 74 FR 47977 |
| 231 | Regulatory Guide 1.189, "Fire Protection for Nuclear Power Plants" | Guidance | As described in document title | 10/31/2009 | RES | No | N/A* | ***** | ML092580550 | 74 FR 56673 |
| 232 | Regulatory Guide 1.205, "Risk-Informed, Performance-Based Fire Protection for Existing Light-Water Nuclear Power Plants" | Guidance | As described in document title | 12/18/2009 | RES | No | N/A* | ***** | ML092730314 | 74 FR 67253 |
| 233 | Regulatory Guide 1.40, "Qualification of Continuous Duty Safety-Related Motors for Nuclear Power Plants" | Guidance | As described in document title | 02/28/2010 | RES | No | N/A* | ***** | ML093080087 | 75 FR 9627 |
| 234 | Regulatory Guide 1.47, "Bypassed and Inoperable Status Indication for Nuclear Power Plant Safety Systems" | Guidance | As described in document title | 02/28/2010 | RES | No | N/A* | ***** | ML092330064 | 75 FR 9626 |
| 235 | Regulatory Guide 1.11, "Instrument Lines Penetrating the Primary Reactor Containment" | Guidance | As described in document title | 03/31/2010 | RES | No | N/A* | ***** | ML100250396 | 75 FR 16525 |
| 236 | Regulatory Guide 1.126, "An Acceptable Model and Related Statistical Methods for the Analysis of Fuel Densification" | Guidance | As described in document title | 03/31/2010 | RES | No | N/A* | ***** | ML093360318 | 75 FR 16202 |
| 237 | Regulatory Guide 1.68.2, "Initial Startup Test Program to Demonstrate Remote Shutdown Capability for Water-Cooled Nuclear Power Plants" | Guidance | As described in document title | 04/21/2010 | RES | No | N/A* | ***** | ML100610034 | 75 FR 20868 |
| 238 | Regulatory Guide 1.65, "Materials and Inspections for Reactor Vessel Closure Studs" | Guidance | As described in document title | 04/30/2010 | RES | No | N/A* | ***** | ML092050716 | 75 FR 19665 |

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| 239 | Regulatory Guide 6.9, "Establishing Quality Assurance Programs for the Manufacture and Distribution of Sealed Sources and Devices Containing Byproduct Material" | Guidance | As described in document title | 04/30/2010 | RES | No | N/A* | ***** | ML100490731 | 75 FR 20399 |
| 240 | Regulatory Guide 6.7, "Preparation of an Environmental Report To Support a Rulemaking Petition Seeking an Exemption for a Radionuclide-Containing Product" | Guidance | As described in document title | 05/17/2010 | RES | No | N/A* | ***** | ML100331338 | 75 FR 27599 |
| 241 | Regulatory Guide 2.5, "Quality Assurance Program Requirements for Research and Test Reactors" | Guidance | As described in document title | 06/30/2010 | RES | No | N/A* | 07/06/2010 | ML093520099 | 75 FR 37842 |
| 242 | Regulatory Guide 1.28, Rev. 4, "Quality Assurance Program Criteria (Design and Construction)" | Guidance | As described in document title | 06/11/2010 | RES | No | N/A* | ***** | ML100160003 | 75 FR 33361 |
| 243 | Regulatory Guide 1.62, "Manual Initiation of Protective Actions" | Guidance | As described in document title | 06/30/2010 | RES | No | N/A* | ***** | ML092530559 | 75 FR 36715 |
| 244 | Regulatory Guide 1.141, "Containment Isolation Provisions for Fluid Systems" | Guidance | As described in document title | 07/22/2010 | RES | No | N/A* | 07/30/2010 | ML092850042 | 75 FR 43207 |
| 245 | Regulatory Guide 1.151, "Instrument Sensing Lines" | Guidance | As described in document title | 08/02/2010 | RES | No | N/A* | 08/09/2010 | ML092330219 | 75 FR 45173 |
| 246 | Regulatory Guide 3.13, "Design, Construction, and Inspection of Embankment Retention Systems at Fuel Cycle Facilities" | Guidance | As described in document title | 08/02/2010 | RES | No | N/A* | 08/09/2010 | ML101470167 | 75 FR 45171 |
| 247 | Regulatory Guide 8.35, "Planned Special Exposure" | Guidance | As described in document title | 08/31/2010 | RES | No | N/A* | 08/30/2010 | ML101370008 | 75 FR 52999 |
| 248 | Regulatory Guide 1.147, "Inservice Inspection Code Case Acceptability, ASME Section XI, Division 1" | Guidance | As described in document title | 10/05/2010 | RES | No | N/A* | 10/05/2010 | ML101800536 | 75 FR 61321 |
| 249 | Regulatory Guide 1.193, "ASME Code Cases Not Approved for Use" | Guidance | As described in document title | 10/05/2010 | RES | No | N/A* | 10/05/2010 | ML101800540 | 75 FR 61531 |
| 250 | Regulatory Guide 1.84, "Design, Fabrication, and Materials Code Case Acceptability, ASME Section III" | Guidance | As described in document title | 10/05/2010 | RES | No | N/A* | 10/05/2010 | ML101800532 | 75 FR 61321 |
| 251 | Regulatory Guide 1.54, "Service Level I, II, and III Protective Coatings Applied to Nuclear Power Plants" | Guidance | As described in document title | 10/31/2010 | RES | No | N/A* | 10/13/2010 | ML102230344 | 75 FR 62436 |
| 252 | Regulatory Guide 3.12, "General Design Guide for Ventilation Systems of Plutonium Processing and Fuel Fabrication Plants" | Guidance | As described in document title | 12/17/2010 | RES | No | N/A* | 12/20/2010 | ML102730431 | 75 FR 79049 |

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| 253 | Regulatory Guide 4.16, "Monitoring and Reporting Radioactive Materials in Liquid and Gaseous Effluents from Nuclear Fuel Cycle Facilities" | Guidance | As described in document title | 12/28/2010 | RES | No | N/A* | ***** | ML101720291 | 75 FR 81675 |
| 254 | Regulatory Guide 3.71, "Nuclear Criticality Safety Standards for Fuels and Material Facilities" | Guidance | As described in document title | 01/03/2011 | RES | No | N/A* | 01/13/2011 | ML103210345 | 76 FR 189 |
| 255 | Regulatory Guide 1.34, "Control of Electroslag Weld Properties" | Guidance | As described in document title | 03/31/2011 | RES | No | N/A* | ***** | ML101670357 | 76 FR 14108 |
| 256 | Regulatory Guide 1.43, "Control of Stainless Steel Weld Cladding of Low-Alloy Steel Components" | Guidance | As described in document title | 03/31/2011 | RES | No | N/A* | ***** | ML101670458 | 76 FR 14107 |
| 257 | Regulatory Guide 1.44, "Control of the Processing and Use of Stainless Steel" | Guidance | As described in document title | 03/31/2011 | RES | No | N/A* | 04/05/2011 | ML101680225 | 76 FR 18262 |
| 258 | Regulatory Guide 1.50, "Control of Preheat Temperature for Welding of Low-Alloy Steel" | Guidance | As described in document title | 03/31/2011 | RES | No | N/A* | ***** | ML101870612 | 76 FR 14108 |
| 259 | Regulatory Guide 1.149, "Nuclear Power Plant Simulation Facilities for Use in Operator Training, License Examinations, and Applicant Experience Requirements." | Guidance | As described in document title | 04/15/2011 | RES | No | N/A* | 04/18/2011 | ML110420119 | 76 FR 20052 |
| 260 | Regulatory Guide 3.67, "Standard Format and Content for Emergency Plans for Fuel Cycle and Materials Facilities" | Guidance | As described in document title | 05/02/2011 | RES | No | N/A* | 05/02/2011 | ML103360487 | 76 FR 24539 |
| 261 | Regulatory Guide 8.18, "Information Relevant to Ensuring that Radiation Exposures at Medical Institutions Will Be as Low as Is Reasonably Achievable" | Guidance | As described in document title | 05/05/2011 | RES | No | N/A* | 05/02/2011 | ML102350460 | 76 FR 25725 |
| 262 | Regulatory Guide 1.177, "An Approach for Plant-Specific, Risk-Informed Decisionmaking: Technical Specifications" | Guidance | As described in document title | 05/06/2011 | RES | No | N/A* | 05/16/2011 | ML100910008 | 76 FR 28102 |
| 263 | Regulatory Guide 1.174, "An Approach for Using Probabilistic Risk Assessment in Risk-Informed Decisions on Plant-Specific Changes to the Licensing Basis" | Guidance | As described in document title | 05/31/2011 | RES | No | N/A* | 05/16/2011 | ML100910006 | 76 FR 28102 |
| 264 | Regulatory Guide 8.2, "Administrative Practices in Radiation Surveys and Monitoring" | Guidance | As described in document title | 05/31/2011 | RES | No | N/A* | 05/31/2011 | ML110460093 | 76 FR 31382 |

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| 265 | Regulatory Guide 1.107, "Qualifications for Cement Grouting for Prestressing Tendons in Containment Structures" | Guidance | As described in document title | 06/29/2011 | RES | No | N/A* | 07/05/2011 | ML110550732 | 76 FR 38213 |
| 266 | Regulatory Guide 1.179, "Standard Format and Content of License Termination Plans for Nuclear Power Reactors" | Guidance | As described in document title | 06/30/2011 | RES | No | N/A* | 08/01/2011 | ML110490419 | 76 FR 38212 |
| 267 | Regulatory Guide 8.4, "Personnel Monitoring Device - Direct-Reading Pocket Dosimeters" | Guidance | As described in document title | 06/30/2011 | RES | No | N/A* | 07/05/2011 | ML101900087 | 76 FR 35922 |
| 268 | Regulatory Guide 1.152, "Criteria for Use of Computers in Safety Systems of Nuclear Power Plants" | Guidance | As described in document title | 07/12/2011 | RES | No | N/A* | 07/11/2011 | ML102870022 | 76 FR 40943 |
| 269 | Regulatory Guide 1.156, "Qualification of Connection Assemblies for Nuclear Power Plants" | Guidance | As described in document title | 08/03/2011 | RES | No | N/A* | 08/02/2011 | ML111730464 | 76 FR 46856 |
| 270 | Regulatory Guide 3.39, "Standard Format and Content of License Applications for Mixed Oxide Fuel Fabrication Facilities" | Guidance | As described in document title | 10/21/2011 | RES | No | N/A* | 11/01/2011 | ML100280809 | 76 FR 65544 |
| 271 | Regulatory Guide 1.159, "Assuring the Availability of Funds for Decommissioning Nuclear Reactors" | Guidance | As described in document title | 10/31/2011 | RES | No | N/A* | 11/01/2011 | ML112160012 | 76 FR 65541 |
| 272 | Regulatory Guide 5.66, "Access Authorization Program for Nuclear Power Plants" | Guidance | As described in document title | 10/31/2011 | RES | No | N/A* | 11/01/2011 | ML112060028 | 76 FR 66089 |
| 273 | Regulatory Guide 1.115, "Protection Against Turbine Missiles" | Guidance | As described in document title | 01/24/2012 | RES | No | N/A* | 01/24/2012 | ML101650675 | 77 FR 3514 |
| 274 | Regulatory Guide 1.106, "Thermal Overload Protection for Electric Motors on Motor-Operated Valves" | Guidance | As described in document title | 02/28/2012 | RES | No | N/A* | 02/27/2012 | ML112580358 | 77 FR 11598 |
| 275 | Regulatory Guide 7.4, "Leakage Tests on Packages for Shipment of Radioactive Material" | Guidance | As described in document title | 03/09/2012 | RES | No | N/A* | 03/16/2012 | ML112520023 | 77 FR 14445 |
| 276 | Regulatory Guide 4.11, "Terrestrial Environmental Studies for Nuclear Power Stations" | Guidance | As described in document title | 03/27/2012 | RES | No | N/A* | 03/26/2012 | ML113350385 | 77 FR 18271 |
| 277 | Regulatory Guide 1.93, "Availability of Electric Power Sources" | Guidance | As described in document title | 03/28/2012 | RES | No | N/A* | 04/05/2012 | ML090550661 | 77 FR 18872 |
| 278 | Regulatory Guide 7.7, "Administrative Guide for Verifying Compliance with Packaging Requirements for Shipping and Receiving of Radioactive Material" | Guidance | As described in document title | 03/28/2012 | RES | No | N/A* | 04/05/2012 | ML112160407 | 77 FR 18871 |

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| 279 | Regulatory Guide 1.82, "Water Sources for Long-Term Recirculation Cooling Following a Loss-of-Coolant Accident" | Guidance | As described in document title | 04/02/2012 | RES | No | N/A* | 04/05/2012 | ML111330278 | 77 FR 19740 |
| 280 | Regulatory Guide 1.218, "Condition-Monitoring Techniques for Electric Cables Used in Nuclear Power Plants" | Guidance | As described in document title | 04/23/2012 | RES | No | N/A* | 04/23/2012 | ML103510447 | 77 FR 24228 |
| 281 | Regulatory Guide 4.20, "Constraint on Releases of Airborne Radioactive Materials to the Environment for Licensees Other Than Power Reactors" | Guidance | As described in document title | 04/25/2012 | RES | No | N/A* | 04/27/2012 | ML110120299 | 77 FR 24746 |
| 282 | Regulatory Guide 1.160, "Monitoring the Effectiveness of Maintenance at Nuclear Power Plants" | Guidance | As described in document title | 05/22/2012 | RES | No | N/A* | 05/23/2012 | ML113610098 | 77 FR 30030 |
| 283 | Regulatory Guide 1.215, Rev. 1, "Guidance for ITAAC Closure Under 10 CFR Part 52" | Guidance | As described in document title | 05/31/2012 | RES | No | N/A* | 05/29/2012 | ML112580018 | 77 FR 51880 |
| 284 | Regulatory Guide 8.24, "Health Physics Surveys During Enriched Uranium-235 Processing and Fuel Fabrication" | Guidance | As described in document title | 06/05/2012 | RES | No | N/A* | 06/04/2012 | ML110400305 | 77 FR 33253 |
| 285 | Regulatory Guide 1.68.1, "Initial Test Program of Condensate and Feedwater Systems for Light-Water Reactors" | Guidance | As described in document title | 09/11/2012 | RES | No | N/A* | 09/17/2012 | ML12160A169 | 77 FR 55877 |
| 286 | Regulatory Guide, 1.68.3, "Preoperational Testing of Instrument and Control Air Systems" | Guidance | As described in document title | 09/25/2012 | RES | No | N/A* | 09/24/2012 | ML12160A047 | 77 FR 59023 |
| 287 | Regulatory Guide 1.52, "Design, Inspection, and Testing Criteria for Air Filtration and Adsorption Units of Post-Accident Engineered-Safety-Feature Atmosphere Cleanup Systems in Light-Water-Cooled Nuclear Power Plants" | Guidance | As described in document title | 10/03/2012 | RES | No | N/A* | 10/09/2012 | ML12159A013 | 77 FR 60481 |
| 288 | Regulatory Guide 1.92, "Combining Modal Responses and Spatial Components in Seismic Response Analysis" | Guidance | As described in document title | 10/31/2012 | RES | No | N/A* | 10/01/2012 | ML12220A043 | 77 FR 69509 |
| 289 | Regulatory Guide 1.90, "Inservice Inspection of Prestressed Concrete Containment Structures with Grouted Tendons" | Guidance | As described in document title | 11/30/2012 | RES | No | N/A* | 11/16/2012 | ML11249A008 | 77 FR 69508 |

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| 290 | Regulatory Guide 1.91, "Evaluations of Explosions Postulated to Occur at Nearby Facilities and on Transportation Routes Near Nuclear Power Plants" | Guidance | As described in document title | 04/25/2013 | RES | No | N/A* | 04/23/2012 | ML12170A980 | 78 FR 24438 |
| 291 | Regulatory Guide 1.57, "Design Limits and Loading Combinations for Metal Primary Reactor Containment System Components" | Guidance | As described in document title | 05/16/2013 | RES | No | N/A* | 05/20/2013 | ML12325A043 | 78 FR 28896 |
| 292 | Regulatory Guide 2.3, "Quality Verification for Plate-Type Uranium-Aluminum Fuel Elements for Use in Research and Test Reactors" | Guidance | As described in document title | 06/06/2013 | RES | No | N/A* | 06/06/2013 | ML12160A492 | 78 FR 33132 |
| 293 | Regulatory Guide 1.68, "Initial Test Programs for Water-Cooled Nuclear Power Plants" | Guidance | As described in document title | 06/12/2013 | RES | No | N/A* | 06/06/2013 | ML13051A027 | 78 FR 35330 |
| 294 | Regulatory Guide 1.137, "Fuel Oil Systems for Emergency Power Supplies" | Guidance | As described in document title | 06/17/2013 | RES | No | N/A* | 06/18/2013 | ML12300A122 | 78 FR 36278 |
| 295 | Regulatory Guide 4.2S1, Preparation of Environmental Reports for Nuclear Power Plant License Renewal Applications" | Guidance | As described in document title | 06/24/2013 | RES | No | N/A* | ***** | ML13067A354 | 78 FR 37324 |
| 296 | Regulatory Guide 1.185, "Standard Format and Content for Post-Shutdown Decommissioning Activities Report" | Guidance | As described in document title | 06/27/2013 | RES | No | N/A* | 06/26/2013 | ML13140A038 | 78 FR 38739 |
| 297 | Regulatory Guide 5.29, "Special Nuclear Material Control and Accounting Systems for Nuclear Power Plants" | Guidance | As described in document title | 06/27/2013 | RES | No | N/A* | 06/26/2013 | ML13051A421 | 78 FR 38739 |
| 298 | Regulatory Guide 1.33, "Quality Assurance Program Requirements (Operation)" | Guidance | As described in document title | 06/30/2013 | RES | No | N/A* | 06/26/2013 | ML13109A458 | 78 FR 37850 |
| 299 | Regulatory Guide 1.124, "Service Limits and Loading Combinations for Class 1 Linear-Type Supports" | Guidance | As described in document title | 07/08/2013 | RES | No | N/A* | 07/15/2013 | ML13141A666 | 78 FR 40776 |
| 300 | Regulatory Guide 1.130, "Service Limits and Loading Combinations for Class 1 Plate-and-Shell-Type Supports" | Guidance | As described in document title | 07/08/2013 | RES | No | N/A* | 07/15/2013 | ML13141A667 | 78 FR 40776 |
| 301 | Regulatory Guide 1.169, "Configuration Management Plans for Digital Computer Software Used in Safety Systems of Nuclear Power Plants" | Guidance | As described in document title | 08/02/2013 | RES | No | N/A* | 07/31/2013 | ML12355A642 | 78 FR 47014 |

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| 302 | Regulatory Guide 1.171, "Software Unit Testing for Digital Computer Software Used in Safety Systems of Nuclear Power Plants" | Guidance | As described in document title | 08/02/2013 | RES | No | N/A* | 07/31/2013 | ML13004A375 | 78 FR 47011 |
| 303 | Regulatory Guide 1.172, "Software Requirement Specifications for Digital Computer Software and Complex Electronics Used in Safety Systems of Nuclear Power Plants" | Guidance | As described in document title | 08/02/2013 | RES | No | N/A* | 07/31/2013 | ML13007A173 | 78 FR 47015 |
| 304 | Regulatory Guide 1.173, "Developing Software Life-Cycle Processes for Digital Computer Software Used in Safety Systems of Nuclear Power Plants" | Guidance | As described in document title | 08/02/2013 | RES | No | N/A* | 07/31/2013 | ML13009A190 | 78 FR 47012 |
| 305 | Regulatory Guide 1.168, "Verification, Validation, Reviews, and Audits for Digital Computer Software Used in Safety Systems of Nuclear Power Plants" | Guidance | As described in document title | 08/06/2013 | RES | No | N/A* | 07/31/2013 | ML13073A210 | 78 FR 47804 |
| 306 | Regulatory Guide 1.170, "Test Documentation for Digital Computer Software Used in Safety Systems of Nuclear Power Plants" | Guidance | As described in document title | 08/06/2013 | RES | No | N/A* | 07/31/2013 | ML13003A216 | 78 FR 47805 |
| 307 | Regulatory Guide 1.129, "Maintenance, Testing, and Replacement of Vented Lead-Acid Storage Batteries for Nuclear Power Plants" | Guidance | As described in document title | 09/24/2013 | RES | No | N/A* | 09/30/2013 | ML13170A112 | 78 FR 58574 |
| 308 | Regulatory Guide 1.31, "Control of Ferrite Content in Stainless Steel Weld Metal" | Guidance | As described in document title | 10/04/2013 | RES | No | N/A* | 10/17/2013 | ML13211A485 | 78 FR 63517 |
| 309 | Regulatory Guide 1.110, "Cost-Benefit Analysis for Radwaste Systems for Light-Water-Cooled Nuclear Power Reactors" | Guidance | As described in document title | 10/07/2013 | RES | No | N/A* | 10/29/2013 | ML13241A052 | 78 FR 64029 |
| 310 | Regulatory Guide 1.184, "Decommissioning of Nuclear Power Reactors" | Guidance | As described in document title | 10/25/2013 | RES | No | N/A* | 10/17/2013 | ML13144A840 | 78 FR 64028 |
| 311 | Regulatory Guide 1.79, "Preoperational Testing of Emergency Core Cooling Systems for Pressurized-Water Reactors" | Guidance | As described in document title | 10/25/2013 | RES | No | N/A* | 10/17/2013 | ML113540207 | 78 FR 64027 |
| 312 | Regulatory Guide 1.73, "Qualification Tests for Safety-Related Actuators in Nuclear Power Plants" | Guidance | As described in document title | 11/08/2013 | RES | No | N/A* | 11/05/2013 | ML13210A463 | 78 FR 67206 |

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| 313 | Regulatory Guide 1.214, "Response Strategies for Potential Aircraft Threats" (OUO-SRI) | Guidance | As described in document title | 03/26/2014 | RES | No | N/A* | 04/04/2014 | non-public***** | 79 FR 16834 |
| 314 | Regulatory Guide 4.7, Rev 3, "General Site Suitability Criteria for Nuclear Power Stations" | Guidance | As described in document title | 03/28/2014 | RES | No | N/A* | 04/14/2014 | ML12188A053 | 79 FR 17582 |
| 315 | Regulatory Guide 8.22, Rev 2, "Bioassay at Uranium Mills" | Guidance | As described in document title | 05/30/2014 | RES | No | N/A* | 04/13/2016 | ML13350A638 | 79 FR 35387 |
| 316 | Regulatory Guide 1.60, "Design Response Spectra for Seismic Design of Nuclear Power Plants" | Guidance | As described in document title | 07/08/2014 | RES | No | N/A* | 04/26/2016 | ML13210A432 | 79 FR 40795 |
| 317 | Regulatory Guide 1.147, "Inservice Inspection Code Case Acceptability, ASME Section XI, Division 1" | Guidance | As described in document title | 08/31/2014 | RES | No | N/A* | 10/29/2014 | ML13339A689 | 79 FR 65775 |
| 318 | Regulatory Guide 1.192, "Operation and Maintenance Code Case Acceptability, ASME OM Code" | Guidance | As described in document title | 08/31/2014 | RES | No | N/A* | 10/29/2014 | ML13340A034 | 79 FR 65775 |
| 319 | Regulatory Guide 1.193, "ASME Code Cases Not Approved for Use" | Guidance | As described in document title | 08/31/2014 | RES | No | N/A* | 10/29/2014 | ML13350A001 | 79 FR 65775 |
| 320 | Regulatory Guide 1.84, "Design, Fabrication, and Materials Code Case Acceptability, ASME Section III" | Guidance | As described in document title | 08/31/2014 | RES | No | N/A* | 10/29/2014 | ML13339A515 | 79 FR 65775 |
| 321 | Regulatory Guide 8.20, "Applications of Bioassay for Radioiodine" | Guidance | As described in document title | 09/20/2014 | RES | No | N/A* | 03/25/2015 | ML14064A060 | 79 FR 54752 |
| 322 | Regulatory Guide 1.134, "Medical Assessment of Licensed Operators or Applicants for Operator Licenses at Nuclear Power Plants" | Guidance | As described in document title | 09/30/2014 | RES | No | N/A* | 10/14/2014 | ML14189A385 | 79 FR 60197 |
| 323 | Regulatory Guide 3.50, "Standard Format and Content for a Specific License Application for an Independent Spent Fuel Storage Installation or Monitored Retrievable Storage Facility" | Guidance | As described in document title | 09/30/2014 | RES | No | N/A* | 10/14/2014 | ML14043A080 | 79 FR 60191 |
| 324 | Regulatory Guide 1.138, "Laboratory Investigations of Soils and Rocks for Engineering Analysis and Design of Nuclear Power Plants" | Guidance | As described in document title | 12/18/2014 | RES | No | N/A* | 03/25/2015 | ML14289A600 | 80 FR 3264 |
| 325 | Regulatory Guide 5.74, "Managing the Safety/Security Interface" | Guidance | As described in document title | 04/20/2015 | RES | No | N/A* | 04/29/2015 | ML14323A549 | 80 FR 21770 |

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| 326 | Regulatory Guide 1.36, "Nonmetallic Thermal Insulation for Austenitic Stainless Steel" | Guidance | As described in document title | 05/21/2015 | RES | No | N/A* | ***** | ML15026A664 | 80 FR 29350 |
| 327 | Regulatory Guide 7.10, "Establishing Quality Assurance Programs for Packaging Used in Transport of Radioactive Material" | Guidance | As described in document title | 06/12/2015 | RES | No | N/A* | ***** | ML14064A505 | 80 FR 34010 |
| 328 | Regulatory Guide 8.11, Rev 1, "Applications of Bioassay for Uranium" | Guidance | As described in document title | 07/13/2015 | RES | No | N/A* | 04/19/2016 | ML15054A618 | 80 FR 40088 |
| 329 | Regulatory Guide 1.215, Rev. 2, "Guidelines for ITAAC Closure Under 10 CFR Part 52" | Guidance | As described in document title | 07/24/2015 | RES | No | N/A* | ***** | ML15105A447 | 80 FR 44157 |
| 330 | Regulatory Guide 5.27, "Special Nuclear Material Doorway Monitors" | Guidance | As described in document title | 08/30/2015 | RES | No | N/A* | ***** | ML14290A268 | 80 FR 47534 |
| 331 | Regulatory Guide 1.212, "Sizing Of Large Lead-Acid Storage Batteries" | Guidance | As described in document title | 10/26/2015 | RES | No | N/A* | ***** | ML15170A003 | 80 FR 65255 |
| 332 | Regulatory Guide 1.27, Rev 3, "Ultimate Heat Sink for Nuclear Power Plants" | Guidance | As described in document title | 11/23/2015 | RES | No | N/A* | 12/16/2015 | ML14107A411 | 80 FR 72996 |
| 333 | Regulatory Guide 1.127, "Criteria and Design Features for Inspection of Water Control Structures Associated with Nuclear Power Plants" | Guidance | As described in document title | 02/18/2016 | RES | No | N/A* | 03/22/2016 | ML15107A412 | 81 FR 8254 |
| 334 | Regulatory Guide 1.117, "Protection Against Extreme Wind Events and Missiles for Nuclear Power Plants" | Guidance | As described in document title | 07/01/2016 | RES | No | N/A* | ***** | ML15356A213 | 81 FR 45311 |
| 335 | Regulatory Guide 1.29, "Seismic Design Classification for Nuclear Power Plants" | Guidance | As described in document title | 07/15/2016 | RES | No | N/A* | ***** | ML16118A148 | 81 FR 46117 |
| 336 | Regulatory Guide 1.219, "Guidance on Making Changes to Emergency Plans for Nuclear Power Reactors" | Guidance | As described in document title | 07/27/2016 | RES | No | N/A* | 08/10/2016 | ML16061A104 | 81 FR 49279 |
| 337 | Regulatory Guide 8.10, "Operating Philosophy for Maintaining Occupational and Public Radiation Exposures as Low as Is Reasonably Achievable" | Guidance | As described in document title | 08/11/2016 | RES | No | N/A* | ***** | ML16105A136 | 81 FR 52974 |
| 338 | Regulatory Guide 1.140, "Design, Inspection, and Testing Criteria for Air Filtration and Adsorption Units of Normal Atmosphere Cleanup Systems in Light-Water-Cooled Nuclear Power Plants" | Guidance | As described in document title | 08/17/2016 | RES | No | N/A* | ***** | ML16070A277 | 81 FR 54855 |

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| 339 | Regulatory Guide 5.12, "General Use of Locks in the Protection and Control of: Facilities, Radioactive Materials, Classified Information, Classified Matter, and Safeguards Information" | Guidance | As described in document title | 10/05/2016 | RES | No | N/A* | ***** | ML15357A411 | 81 FR 69088 |
| 340 | Regulatory Guide 5.51, "Independent Assessment of Nuclear Material Control and Accounting Systems" | Guidance | As described in document title | 10/26/2016 | RES | No | N/A* | ***** | ML16223A915 | 81 FR 74484 |
| 341 | Regulatory Guide 8.7, "Instructions for Recording and Reporting Occupational Radiation Exposure Data" | Guidance | As described in document title | 12/08/2016 | RES | No | N/A* | ***** | ML16054A170 | 81 FR 88710 |
| 342 | Regulatory Guide 5.70, Rev 1, "Guidance for the Application of the Theft and Diversion Design-Basis Treat in the Design Development, and Implementaiton of a Physical Security Program that Meets CFR 73.45 and 73.46" (CONFIDENTIAL) | Guidance | As described in document title | 02/17/2017 | RES | No | N/A* | 07/21/2017 | non-public***** | 82 FR 11069 |
| 343 | Regulatory Guide 1.20, "Comprehensive Vibration Assessment Program for Reactor Internals During Preoperational and Initial Startup Testing" | Guidance | As described in document title | 02/28/2017 | RES | No | N/A* | 07/21/2017 | ML16056A338 | 82 FR 10505 |
| 344 | Regulatory Guide 1.26, "Quality Group Classifications and Standards for Water-, Steam-, and Radioactive-Waste-Containing Components of Nuclear Power Plants" | Guidance | As described in document title | 02/28/2017 | RES | No | N/A* | 07/21/2017 | ML16082A501 | 82 FR 12129 |
| 345 | Regulatory Guide 1.54, "Service Level I, II, and III, and In-Scope License Renewal Protective Coatings Applied to Nuclear Power Plants" | Guidance | As described in document title | 04/24/2017 | RES | No | N/A* | 07/21/2017 | ML17031A288 | 82 FR 19113 |
| 346 | Regulatory Guide 2.6, "Emergency Planning for Research and Test Reactors and Other Non-Power Production and Utilization Facilities" | Guidance | As described in document title | 09/27/2017 | RES | No | N/A* | ***** | ML17263A472 | 82 FR 45073 |
| 347 | Regulatory Guide 1.28, Rev. 5, "Quality Assurance Program Criteria (Design and Construction)" | Guidance | As described in document title | 10/19/2017 | RES | No | N/A* | ***** | ML17207A293 | 82 FR 48728 |
| 348 | Regulatory Guide 1.12, "Nuclear Power Plant Instrumentation for Earthquakes" | Guidance | As described in document title | 11/01/2017 | RES | No | N/A* | ***** | ML17094A831 | 82 FR 51879 |
| 349 | NUREGs | | | | | | | | | |

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| 350 | NUREG-2117, Revision 1, Practical Implementation Guidelines for SSHAC Level 3 and 4 Hazard Studies | Guidance | Provides guidance for performing probabilistic seismic hazard analysis. | 04/01/2012 | RES | No | **** | ***** | ML12118A445 | N/A |
| 351 | NUREG/CR-7004, Technical Basis for Regulatory Guidance on Design-Basis Hurricane-Borne Missile Speeds for Nuclear Power Plants | Guidance | Provides calculation method for hurricane-borne missile speeds that may be considered in the design of nuclear power plants. | 11/01/2011 | RES | No | **** | ***** | ML11341A102 | N/A |
| 352 | NUREG/CR-7114, A Framework for Low Power/Shutdown Fire PRA | Guidance | Presents a probabilistic risk assessment (PRA) framework for quantitatively analyzing fire risk in commercial nuclear power plants during low power and shutdown (LPSD) conditions, including the determination of core damage frequency (CDF) and large early release frequency (LERF). | 09/01/2013 | RES | No | **** | ***** | ML13260A155 | N/A |
| 353 | NUREG/CR-7005, Technical Basis for Regulatory Guidance on Design-Basis Hurricane Wind Speeds for Nuclear Power Plants | Guidance | Provides calculation method for analysis of peak-gust hurricane wind speeds for the simulation of 10 million years of hurricane wind speeds in the contiguous United States. | 11/01/2011 | RES | No | **** | ***** | ML11335A031 | N/A |
| 354 | NUREG/CR-7006, Review Guidelines for Field-Programmable Gate Arrays in Nuclear Power Plant Safety Systems | Guidance | Provides a compilation of safe field-programmable gate array (FPGA) design practices that can be used by NRC staff as guidance for reviewing FPGA-based safety systems in nuclear power plants. | 02/01/2010 | RES | No | **** | ***** | ML100880142 | N/A |
| 355 | NUREG-1934, EPRI 1023259, Nuclear Power Plant Fire Modeling Analysis Guidelines (NPP FIRE MAG) — Final Report | Guidance | Provides guidance on the validation and verification for fire models and discusses use of fire models in support of various commercial nuclear power plant fire hazard analysis applications. | 11/01/2012 | RES | No | **** | ***** | ML12314A165 | 76 FR 46330*** |
| 356 | NUREG-1921, EPRI/NRC-RES Fire Human Reliability Analysis Guidelines — Final Report | Guidance | Provides a method and associated guidance for conducting a fire human reliability analysis. | 07/01/2012 | RES | No | **** | ***** | ML12216A104 | 74 FR 65810***, 75 FR 5633*** |
| 357 | NUREG-1921 Supplement 1, EPRI/NRC-RES Fire Human Reliability Analysis Guidelines: Qualitative Guidance for Main Control Room Abandonment Scenarios | Guidance | Provides human reliability analysis and probabilistic risk assessment guidance on treatment of scenarios that require main control room abandonment in response to a fire event. | 04/01/2016 | RES | No | **** | ***** | ML16110A413 | N/A |

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| 358 | NUREG-2152, Computational Fluid Dynamics Best Practice Guidelines for Dry Cask Applications | Guidance | Provides guidance on how to verify the input model, validate results, and document the process for computational fluid dynamics. | 03/01/2013 | RES & NMSS | No | **** | ***** | ML13086A202 | 77 FR 64834*** |
| 359 | NUREG-1855, Revision 1, Guidance on the Treatment of Uncertainties Associated with PRAs in Risk-Informed Decisionmaking, Final Report | Guidance | Provides guidance on how to treat uncertainties associated with probabilistic risk assessment in risk-informed decisionmaking. | 03/01/2017 | RES | No | **** | 03/01/2017 | ML17062A466 | 78 FR 22349*** |
| 360 | Management Directive 6.4, "Generic Issues Program" | Management Directive | Provides staff guidance for assessing, evaluating, and resolving potential generic concerns regarding nuclear safety issues. | 01/02/2015 | RES | No | 01/06/2015 | 02/23/2015 | ML14245A048 | N/A |
| 361 | Management Directive 6.5, "NRC Participation in the Development and Use of Consensus Standards" | Management Directive | Provides staff guidance on participation on code committees and development of consensus standards. | 10/28/2016 | RES | No | 09/08/2011 | 01/18/2012 | ML16193A497 | N/A |
| 362 | Management Directive 8.1, "Abnormal Occurrence Reporting Procedure" | Management Directive | Provides guidance to staff supporting the development of an annual congressional report on abnormal occurrences. | 09/09/2011 | RES | No | 07/18/2011 | 09/26/2011 | ML111360014 | N/A |
| 363 | | | | | | | | | | |
| 364 | Office of Nuclear Material Safety and Safeguards | | | | | | | | | |
| 365 | 1. Title | 2. Form of Guidance | 3. Subject Description | 4. Date of Issuance | 5. Issuing Office | 6a. Major rule under CRA? | 6b. Date Requested OMB Major/Non-Major CRA Determination and/or Submitted to OMB under Paperwork Reduction Act (PRA)** | 6c. Date submitted to Congress and GAO Under CRA | 7. If Applicable, Hyperlink or ADAMS Number | 7. If applicable, Federal Register Citation |
| 366 | Generic Letter 2015-01, Treatment of Natural Phenomena Hazards in Fuel Cycle Facilities | Generic Letter | Discusses the treatment of natural phenomena hazards in fuel cycle facilities | 06/22/2015 | NMSS | No | **** | ***** (CRA); 04/01/2015 (PRA) | ML14328A029 | 80 FR 37028 |
| 367 | Consolidated Guidance about Materials Licenses: Program-Specific Guidance About Industrial Radiography Licenses (NUREG-1556, Volume 2, Rev. 1) | NUREG | This report provides guidance to an applicant applying for an industrial radiography license and provides the U.S. Nuclear Regulatory Commission (NRC) with criteria for evaluating such applications. | 02/01/2016 | NMSS | No | 04/01/2015 | ***** https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1556/v2/ | | 81 FR 64207 |

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| 368 | Consolidated Guidance about Materials Licenses: Applications for Sealed Source and Device Evaluation and Registration (NUREG-1556, Volume 3, Rev. 2) | NUREG | This report provides guidance to applicants on submitting requests to the U.S. Nuclear Regulatory Commission (NRC) for radiation safety evaluation and registration of sealed sources and devices (SSDs) containing byproduct material, and provides the NRC with criteria for evaluating such applications. | 09/01/2015 | NMSS | No | 05/06/2015 | 09/25/2015 | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1556/v3/ | 81 FR 64207 |
| 369 | Consolidated Guidance about Materials License Program-Specific Guidance About Fixed Gauge Licenses (NUREG-1556, Volume 4, Rev. 1) | NUREG | This report addresses a variety of radiation safety issues associated with fixed gauges of many designs. Typically, gauges are used for process control (e.g., to measure the thickness of paper, the density of coal, the level of material in vessels and tanks, and volumetric flow rate). | 07/01/2016 | NMSS | No | 05/06/2016 | 07/19/2016 | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1556/v4/ | 81 FR 64207 |
| 370 | Consolidated Guidance about Materials Licenses: Program-Specific Guidance About Medical Use Licenses (NUREG-1556, Volume 9, Rev. 2) | NUREG | This report is intended to provide guidance to individuals who are preparing an application for a license for the medical use of byproduct material as well as to NRC staff who review applications. | 01/01/2008 | NMSS | No | **** | **** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1556/v9/r2/ | 73 FR 8909 |
| 371 | Consolidated Guidance about Materials Licenses: Program-Specific Guidance About Master Materials Licenses (NUREG-1556, Volume 10, Rev. 1) | NUREG | This report provides guidance to Federal organizations preparing a new Master Materials License (MML) application and those Federal organizations with existing MMLs when applying for a license amendment. In addition, it provides the criteria the U.S. Nuclear Regulatory Commission (NRC) license reviewers and other NRC personnel use in reviewing MML applications and current MMLs. | 06/01/2016 | NMSS | No | 10/01/2015 | 07/19/2016 | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1556/v10/ | 81 FR 64207 |
| 372 | Consolidated Guidance about Materials Licenses: Program-Specific Guidance about Licenses of Broad Scope (NUREG-1556, Volume 11, Rev. 1) | NUREG | This NUREG provides guidance to an applicant applying for a broad scope license and also provides the U.S. Nuclear Regulatory Commission (NRC) staff with the criteria for evaluating such applications. | 02/01/2017 | NMSS | No | **** | 03/21/2017 | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1556/v11/ | 82 FR 16635 |

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| 373 | Consolidated Guidance about Materials Licenses: Guidance About Changes of Control and About Bankruptcy Involving Byproduct, Source, or Special Nuclear Materials Licenses (NUREG-1556, Volume 15, Rev. 1) | NUREG | The report contains guidance for licensees and, in some cases, license applicants to use in preparing a notification to NRC of a change of control or bankruptcy. It also contains NRC's criteria for evaluating such a notification and determining whether a new or amended license is needed. | 06/01/2016 | NMSS | No | 10/01/2015 | 07/19/2016 | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1556/v15/ | 81 FR 64207 |
| 374 | Consolidated Guidance about Materials Licenses: Program-Specific Guidance About Service Provider Licenses (NUREG-1556, Volume 18, Rev. 1) | NUREG | This report provides guidance to an applicant in preparing a service provider license application based on the risk significance of the proposed activity, as well as providing the U.S. Nuclear Regulatory Commission (NRC) staff with the appropriate criteria for evaluating such applications. | 08/01/2017 | NMSS | No | 11/30/2016 | **** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1556/v18/ | 82 FR 4612 |
| 375 | Consolidated Guidance about Materials Licenses: Guidance for Agreement State Licensees About NRC Form 241 "Report of Proposed Activities in Non-Agreement States, Areas of Exclusive Federal Jurisdiction, or Offshore Waters" and Guidance for NRC Licensees Proposing to Work in Agreement State Jurisdiction (Reciprocity) (NUREG-1556, Volume 19, Rev. 1) | NUREG | This report provides information important to Agreement State licensees on submitting the U.S. Nuclear Regulatory Commission (NRC) Form 241, "Report of Proposed Activities in Non-Agreement States, Areas of Exclusive Federal Jurisdiction, or Offshore Waters". | 06/01/2016 | NMSS | No | **** | 07/19/2016 | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1556/v19/ | 81 FR 64207 |
| 376 | Products Intended for Use by General Public (Consumer Products) - Policy Statement | Policy Statement | Update to policy statement on consumer products to reflect NRC's current approach to radiation protection, legislation that has been enacted since the policy was published in 1965, and subsequent approaches taken in the NRC's regulatory framework for exemptions | 01/16/2014 | NMSS | No | 07/02/2013 | 02/07/2014 | https://www.gpo.gov/fdsys/pkg/FR-2014-01-16/pdf/2014-00730.pdf | 79 FR 2907 |

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| 377 | Tribal Policy Statement | Policy Statement | Sets forth principles to be followed by the NRC staff to promote effective government-to-government interactions with American Indian and Alaska Native Tribes, and to encourage and facilitate Tribal involvement in the areas over which the Commission has jurisdiction. | 01/09/2017 | NMSS | No | **** | ***** | https://www.gpo.gov/fdsys/pkg/FR-2017-01-09/pdf/2017-00091.pdf | 82 FR 2402 |
| 378 | Implementation Guidance for 10 CFR Part 37, "Physical Protection of Category 1 and Category 2 Quantities of Radioactive Material" rev. 1 (NUREG-2155) | NUREG | The intent of this technical report is to provide guidance on, and to assist applicants and licensees in, the implementation of Title 10 of the Code of Federal Regulations (10 CFR) Part 37, "Physical Protection of Category 1 and Category 2 Quantities of Radioactive Material." This document describes methods that the U.S. Nuclear Regulatory Commission (NRC) finds acceptable for implementing the regulations. | 01/01/2015 | NMSS | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr2155/ | N/A |
| 379 | ViewRay System for Radiation Therapy | Licensing Guidance | The guidance provides applicants with an acceptable means of satisfying the requirements for a license to use the subject material, source, or device. | 07/24/2013 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML1317/ML13179A287/24/20137.pdf | N/A |
| 380 | TheraSphere® and SIR-Spheres® Yttrium-90 Microspheres, Draft Rev 10 | Licensing Guidance | The guidance provides applicants with an acceptable means of satisfying the requirements for a license to use the subject material, source, or device. | 10/30/2017 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML1710/ML17107A375.pdf | N/A |
| 381 | Leksell Gamma Knife® PerfexionTM and Leksell Gamma Knife® IconTM | Licensing Guidance | The guidance provides applicants with an acceptable means of satisfying the requirements for a license to use the subject material, source, or device. | 05/25/2016 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML1610/ML16109A208.pdf | N/A |
| 382 | Low Activity Radioactive Seeds Used for Localization of Non-Palpable Lesions and Lymph Nodes | Licensing Guidance | The guidance provides applicants with an acceptable means of satisfying the requirements for a license to use the subject material, source, or device. | 10/07/2016 | NMSS | No | 08/31/2016 | ***** | https://www.nrc.gov/docs/ML1619/ML16197A568.pdf | N/A |

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| 3 | 1. Title | 2. Form of Guidance | 3. Subject Description | 4. Date of Issuance | 5. Issuing Office | 6a. Major rule under CRA? | 6b. Date Requested OMB Major/Non-Major CRA Determination and/or Submitted to OMB under Paperwork Reduction Act (PRA)** | 6c. Date submitted to Congress and GAO Under CRA | 7. If Applicable, Hyperlink or ADAMS Number | 7. If applicable, Federal Register Citation |
| 383 | Eckert and Ziegler GalliaPharm TM Germanium-68/Gallium-68 Pharmacy Grade Generator | Licensing Guidance | The guidance provides applicants with an acceptable means of satisfying the requirements for a license to use the subject material, source, or device. | 07/13/2017 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML1707/ML17075A488.pdf | N/A |
| 384 | Radium-223 Dichloride | Memorandum | The guidance provides applicants with an acceptable means of satisfying the requirements for a license to use the subject material. | 01/10/2013 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML1707/ML17075A488.pdf | N/A |
| 385 | Licensing Guidance for the Intraocular Use of NeoVista, Inc's Epi-Rad ₉₀ TM (Sr-90) Ophthalmic System | Licensing Guidance | The guidance provides applicants with an acceptable means of satisfying the requirements for a license to use the subject material, source, or device. | Apr-09 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML0911/ML091140370.pdf | N/A |
| 386 | Managemnt Directive 5.8: Proposed Section 274B Agreements with States | Management Directive | Establish a system for an orderly transition in the discontinuance of certain regulatory authority by NRC with assumption thereof by the State through a standard agreement or amendment. | 06/25/2013 | NMSS | No | 10/01/2012 | 07/22/2013 | https://www.nrc.gov/docs/ML1306/ML13067A396.pdf | N/A |
| 387 | Management Directive 6.3: The Rulemaking Process | Management Directive | Describes the rulemaking process at the NRC | 07/22/2013 | NMSS | No | 07/03/2012 | 08/16/2013 | ML13205A400 | N/A |
| 388 | Management Directive 8.10: NRC Assessment Program for a Medical Event or an Incident Occurring at a Medical Facility | Management Directive | Specify the scope, objectives, authorities, responsibilities, and basic requirements for the NRC's Assessment Program. | 03/28/2014 | NMSS | No | 07/03/2012 | 04/15/2014 | https://www.nrc.gov/docs/ML1330/ML13301A732.pdf | N/A |
| 389 | Management Directive 8.12: Decommissioning Financial Assurance | Management Directive | Procedures to ensure that financial instruments submitted as financial assurance for decommissioning by materials licensees and fuel cycle licensees provide reasonable assurance that financial resources for decommissioning will be available when needed. | 11/22/2013 | NMSS | No | 09/12/2012 | 01/22/2014 | ML120030527 | N/A |
| 390 | Agreement State Program Policy Statement | Policy Statement | Policy Statement for Implementation of the NRC's Agreement State Program | 10/06/2017 | NMSS | No | 10/04/2017 | ***** | https://www.federalregister.gov/documents/2017/10/06/2017-21542/agreement-state-program-policy-statement | 82 FR 46840 |

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| 391 | Physical Security Best Practices for the Protection of Risk-Significant Radioactive Material (NUREG-2166) | NUREG | This document provides guidance to U.S. Nuclear Regulatory Commission (NRC) licensees or applicants on developing and implementing a physical protection program for the protection of risk-significant radioactive materials (e.g., category 1 and category 2 quantities of radioactive material). The intent of this information is to provide NRC licensees or applicants guidance with specific emphasis on physical security best practices. The approaches and methods in this document are not requirements; however, the NRC considers them to be acceptable for complying with the requirements in Title 10 of the Code of Federal Regulations (10 CFR) Part 37, "Physical Protection of Category 1 and Category 2 Quantities of Radioactive Material." | 05/01/2014 | NMSS | No | 04/24/2014 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr2166/ | N/A |
| 392 | Interim Staff Guidance – 2, Revision 2 Fuel Retrieval in Spent Fuel Storage Applications | Interim Staff Guidance | Provides guidance to the staff for determining whether an application submitted under 10 CFR Part 72 sufficiently demonstrates that the system is designed to allow ready retrieval of spent fuel. | 04/26/2016 | NMSS | No | 04/11/2016 | ***** | https://www.nrc.gov/docs/ML1611/ML16117A080.pdf | N/A |
| 393 | Interim Staff Guidance - 8 Revision 3 Burnup Credit in the Criticality Safety Analyses of PWR Spent Fuel in Transportation and Storage Casks | Interim Staff Guidance | Provides recommendations to the staff for accepting, on a design-specific basis, a burnup credit approach in the criticality safety analysis of pressurized water reactor (PWR) SNF storage and transportation systems. | 09/26/2012 | NMSS | No | 09/12/2012 | ***** | https://www.nrc.gov/reading-rm/doc-collections/isg/isg-8R3.pdf | N/A |
| 394 | Interim Staff Guidance - ISG-18, Rev. 1 The Design and Testing of Lid Welds on Austenitic Stainless Steel Canisters as the Confinement Boundary for Spent Fuel Storage | Interim Staff Guidance | Guidance to address the design and testing of the various closure welds ("lid welds") associated with the redundant closure of all-welded austenitic stainless steel canisters | 10/03/2008 | NMSS | No | 02/22/2008 | ***** | https://www.nrc.gov/reading-rm/doc-collections/isg/isg-18r1.pdf | N/A |

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| 395 | Interim Staff Guidance-24, Revision 0 The Use of a Demonstration Program as a Surveillance Tool for Confirmation of Integrity for Continued Storage of High Burnup Fuel Beyond 20 Years | Interim Staff Guidance | Provides guidance to the staff for reviewing if a demonstration of high burnup fuel (HBF)1 has the necessary properties to qualify as one method that an applicant might use in license and certificate of compliance (CoC) applications to demonstrate the integrity of HBF for continued storage. | 07/11/2014 | NMSS | No | 06/03/2013 | ***** | https://www.nrc.gov/docs/ML1405/ML14058B166.pdf | N/A |
| 396 | Interim Staff Guidance – 25 Pressure and Helium Leakage Testing of the Confinement Boundary of Spent Fuel Dry Storage Systems | Interim Staff Guidance | Additional guidance to supplement standard review plan guidance for evaluating the helium leakage testing and ASME Code1 required pressure (hydrostatic/pneumatic) testing that is specified for the dry storage system (DSS) confinement boundary. | 08/18/2010 | NMSS | No | 02/25/2010 | ***** | https://www.nrc.gov/docs/ML1019/ML101970493.pdf | N/A |
| 397 | NUREG-1536, Rev. 1, Standard Review Plan for Spent Fuel Dry Storage Systems at a General License Facility - Final Report | NUREG | Provides guidance to NRC staff for reviewing applications for a Certificate of Compliance of a dry storage system for use at a general license facility | 07/01/2010 | NMSS | No | 05/26/2010 | ***** | http://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1536/r1/sr1536r1.pdf | 74 FR 17546*** |
| 398 | NUREG-1886, Joint Canada - United States Guide for Approval of Type B(U) and Fissile Material Transportation Packages | NUREG | This guide was developed by the United States Department of Transportation and Nuclear Regulatory Commission, and the Canadian Nuclear Safety Commission to provide direction on the standard format and content for applications for approval of packages used to transport Type B(U) and fissile materials. | 03/01/2009 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML0909/ML090930197.pdf | 73 FR 31946*** |
| 399 | NUREG-1927, Rev. 1, Standard Review Plan for Renewal of Specific Licenses and Certificates of Compliance for Dry Storage of Spent Nuclear Fuel – Final Report | NUREG | Provides guidance for the safety review of renewal applications for specific licenses of independent spent fuel storage installations and certificates of compliance (CoCs) of dry storage systems | 06/01/2016 | NMSS | No | 04/11/2016 | 07/07/2016 | https://www.nrc.gov/docs/ML1617/ML16179A148.pdf | 81 FR 44054 |
| 400 | Interim Staff Guidance-23 Application of ASTM Standard Practice C1671-07 when performing technical reviews of spent fuel storage and transportation packaging licensing actions. | Interim Staff Guidance | Guidance on applying ASTM SP C1671-07 for NRC staff use in technical reviews of spent fuel storage and transportation packaging licensing actions | 01/18/2011 | NMSS | No | **** | 02/28/2011 | https://www.nrc.gov/reading-rm/doc-collections/isg/isg-23.pdf | N/A |

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| 401 | NUREG/BR-0006, Rev. 8, Instructions for Completing Nuclear Material Transaction Reports | NUREG | Provide guidance to licensees reporting nuclear material transactions to the Nuclear Material Management and Safeguards System | 04/01/2017 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML1702/ML17026A069.pdf | N/A |
| 402 | NUREG/BR-0007, Rev. 7, Instructions for the Preparation and Distribution of Material Status Reports | NUREG | Provides instructions and guidance for preparing and distributing material status reports | 04/01/2017 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML1702/ML17026A076.pdf | N/A |
| 403 | NUREG-1520, Rev. 2, Standard Review Plan for Fuel Cycle Facilities License Applications | NUREG | Provides guidance for reviewing and evaluating the health, safety, and environmental aspects of applications to use special nuclear material to produce reactor fuel | 06/01/2015 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML1517/ML15176A258.pdf | 80 FR 38238 |
| 404 | NUREG-1757, Volume 3, Rev. 1, Consolidated Decommissioning Guidance - Financial Assurance, Recordkeeping, and Timeliness | NUREG | Provides guidance on the technical aspects of compliance with requirements for timeliness in decommissioning of materials facilities, the requirements for financial assurance for decommissioning, and the recordkeeping requirements related to eventual decommissioning. | 02/01/2012 | NMSS | No | 03/31/2011 | ***** | https://www.nrc.gov/docs/ML1204/ML12048A683.pdf | N/A |
| 405 | FCSS-ISG-12, rev. 1 Reportable Safety Events per 10 CFR Part 70 Appendix A | Interim Staff Guidance | Clarifies some of the reporting requirements in 10 CFR Part 70, Appendix A, which contains criteria for submitting one hour reports, twenty-four hour reports, and concurrent reports. | 09/18/2014 | NMSS | No | **** | ***** | ML14157A067 | N/A |
| 406 | FCSS-ISG-13, Estimating Decommissioning Costs For Uranium Enrichment Facilities | Interim Staff Guidance | Provides guidance on estimating decommissioning costs for uranium enrichment facilities | 08/13/2010 | NMSS | No | **** | ***** | ML102240529 | N/A |
| 407 | FCSE-ISG-14, Acute Uranium Exposure Standards for Workers | Interim Staff Guidance | Provides acute uranium intake quantities that the staff finds acceptable as proposed quantitative standards used to classify the chemical consequences of worker acute uranium exposure accidents analyzed in licensee's ISA | 06/08/2015 | NMSS | No | 07/31/2015 | 09/25/2015 | ML15147A682 | N/A |

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| 408 | Concentration Averaging and Encapsulation Branch Technical Position, Revision 1; Volume 1 | Branch Technical Position | This document provides guidance for one aspect related to the 10 CFR 61.42 performance objective regarding protection of an inadvertent intruder (i.e., the averaging of radionuclide concentrations for determining the classification of the waste). Specifically, this Concentration Averaging (CA) Branch Technical Position (BTP) provides guidance on complying with 10 CFR 61.55(a)(8), "Determination of concentrations in wastes," as it applies to the classification of waste for disposal under 10 CFR Part 61. | 02/25/2015 | NMSS | No | 11/03/2014 | 04/15/2015 | https://www.nrc.gov/docs/ML1225/ML12254B065.pdf | 80 FR 10165 |
| 409 | Concentration Averaging and Encapsulation Branch Technical Position, Revision 1; Volume 2 | Branch Technical Position | This document provides guidance for one aspect related to the 10 CFR 61.42 performance objective regarding protection of an inadvertent intruder (i.e., the averaging of radionuclide concentrations for determining the classification of the waste). Specifically, this Concentration Averaging (CA) Branch Technical Position (BTP) provides guidance on complying with 10 CFR 61.55(a)(8), "Determination of concentrations in wastes," as it applies to the classification of waste for disposal under 10 CFR Part 61. | 02/25/2015 | NMSS | No | 11/03/2014 | 04/15/2015 | https://www.nrc.gov/docs/ML1232/ML12326A611.pdf | 80 FR 10165 |
| 410 | Low-Level Radioactive Waste Management and Volume Reduction | Policy Statement | Revises the NRC's 1981 Policy Statement on Low-Level Radioactive Waste (LLRW) Volume Reduction. This revised statement is to recognize that progress in reducing waste volume has been achieved since the 1981 Policy Statement was published, and to acknowledge that factors other than volume reduction may be considered by licensees to determine how best to manage their LLRW. | 05/01/2012 | NMSS | No | **** | 05/15/2012 | ML15023A098 | 77 FR 25760 |
| 411 | | | | | | | | | | |

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| 412 | | | | | | | | | | |
| 413 | Miscellaneous | | | | | | | | | |
| 414 | 1. Title | 2. Form of Guidance | 3. Subject Description | 4. Date of Issuance | 5. Issuing Office | 6a. Major rule under CRA? | 6b. Date Requested OMB Major/Non-Major CRA Determination and or Submitted to OMB under Paperwork Reduction Act (PRA)** | 6c. Date submitted to Congress and GAO Under CRA | 7. If Applicable, Hyperlink or ADAMS Number | 7. Federal Register Citation |
| 415 | Management Directive 3.17, "NRC Information Quality Program" | Management Directive | Establishes program to ensure quality of all information the NRC relies on for making decisions or disseminates to the public | 06/28/2016 | Office of the Chief Information Officer | No | 09/30/2014 | **** | https://www.nrc.gov/docs/ML1610/ML16105A321.pdf | N/A |
| 416 | Management Directive 3.5, "Attendance at NRC Staff-Sponsored Meetings" | Management Directive | Establishes policies for participation by the public in certain meetings between the staff of the NRC and external stakeholders | 12/23/2011 | Office of the Executive Director for Operations | No | 05/27/2011 | 01/10/2012 | https://www.nrc.gov/docs/ML1129/ML112971635.pdf | N/A |
| 417 | Management Directive 11.4, "NRC Small Business Program" | Management Directive | Describes the NRC Small Business Program's role in acquisition and explains the acquisition review process | 06/18/2014 | Office of Small Business and Civil Rights | No | 06/03/2013 | **** | https://www.nrc.gov/docs/ML1332/ML13329A758.pdf | N/A |
| 418 | | | | | | | | | | |
| 419 | | | | | | | | | | |
| 420 | NOTES | | | | | | | | | |
| 421 | *OMB Memorandum M-99-13, "Guidance for Implementing the Congressional Review Act," states: "If the rule is not subject to E.O. 12866 review, you should contact your Desk Officer in OMB's Office of Information and Regulatory Affairs (OIRA) in accordance with your established practice." In accordance with this guidance, the established practice is for the NRC to request a major/non-major determination for rules under the CRA, except for a limited set of final NRC actions. | | | | | | | | | |
| 422 | **Unless indicated otherwise, all dates are for submission of a CRA request to OMB | | | | | | | | | |
| 423 | ***Citation for draft document. Final document not announced or not yet announced in the Federal Register | | | | | | | | | |

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| 3 | | | | | | | | | | |
| 424 | ****Document was not considered a rule under the CRA and/or the NRC could not find documentation regarding transmission of a CRA request to OMB | | | | | | | | | |
| 425 | *****Document was not considered a rule under the CRA and/or the NRC could not find documentation regarding transmission of this document to Congress and GAO | | | | | | | | | |
| 426 | *****Sensitive, Safeguards, and Classified documents are not publicly available, but are retrievable upon request | | | | | | | | | |
| 427 | | | | | | | | | | |
| 428 | | | | | | | | | | |

Identical letters sent to:

The Honorable Trey Gowdy
Chairman, Committee on Oversight
and Government Reform
United States House of Representatives
Washington, DC 20515
cc: Representative Elijah E. Cummings

The Honorable Mark Meadows
Chairman, Subcommittee on Government Operations
Committee on Oversight and Government Reform
United States House of Representatives
Washington, DC 20515
cc: Representative Gerald E. Connolly

The Honorable Jim Jordan
Chairman, Subcommittee on Healthcare,
Benefits, and Administrative Rules
Committee on Oversight and Government Reform
United States House of Representatives
Washington, DC 20515
cc: Representative Raja Kirshnamoorthi

The Honorable Gary J. Palmer
Chairman, Subcommittee on Intergovernmental Affairs
Committee on Oversight and Government Reform
United States House of Representatives
Washington, DC 20515
cc: Representative Val Butler Demings

The Honorable Blake Farenthold
Chairman, Subcommittee on the Interior,
Energy, and Environment
Committee on Oversight and Government Reform
United States House of Representatives
Washington, DC 20515
cc: Representative Stacey E. Plaskett



CHAIRMAN

UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

February 12, 2018

The Honorable Jim Jordan
Chairman, Subcommittee on Healthcare,
Benefits, and Administrative Rules
Committee on Oversight and Government Reform
United States House of Representatives
Washington, DC 20515

Dear Mr. Chairman:

On behalf of the U.S. Nuclear Regulatory Commission (NRC), I am responding to your December 8, 2017, letter requesting a list of guidance documents issued by the NRC since January 1, 2008. The NRC has a consolidated website¹ where it posts links to the wide variety of documents issued by the agency, including the majority of its guidance documents. The NRC issues guidance in various forms, including as Regulatory Guides and NUREG Series publications. Please find enclosed the list of guidance documents that you requested.

As an independent regulatory agency, portions of the sixth criterion listed in your letter do not apply to the NRC. However, we have identified whether the listed guidance documents were considered "major rules" under the Congressional Review Act (CRA). We have also indicated when the NRC requested a major/non-major rule determination under the CRA and/or review under the Paperwork Reduction Act from the Office of Information and Regulatory Affairs within the Office of Management and Budget for the listed guidance documents. The NRC has also provided accession (or "ML") numbers for documents that are publicly available in the NRC's Agencywide Documents Access and Management System.

I hope this information meets the Committee's needs. If you need anything additional, please contact me or have your staff contact Eugene Dacus, Director of the Office of Congressional Affairs, at [REDACTED].

Sincerely,

A handwritten signature in blue ink, appearing to read "KS", is written over the typed name.

Kristine L. Svinicki

Enclosure:
As stated

cc: Representative Raja Kirshnamoorthi

¹ <https://www.nrc.gov/reading-rm/doc-collections/>.

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| 2 | Office of Administration | | | | | | | | | |
| 3 | 1. Title | 2. Form of Guidance | 3. Subject Description | 4. Date of Issuance | 5. Issuing Office | 6a. Major rule under CRA? | 6b. Date Requested OMB Major/Non-Major CRA Determination and/or Submitted to OMB under Paperwork Reduction Act (PRA)** | 6c. Date submitted to Congress and GAO Under CRA | 7. If Applicable, Hyperlink or ADAMS Number | 7. If applicable, Federal Register Citation |
| 4 | Management Directive 12.3, NRC Personnel Security Program | Management Directive | Outlines the Personnel Security Program for the NRC | 08/08/2013 | ADM | No | 09/04/2013 | 11/12/2013 | ML13151A402 | N/A |
| 5 | Management Directive 11.1, NRC Acquisition of Supplies and Services | Management Directive | Outlines the Acquisition Program for the NRC | 05/09/2014 | ADM | No | 05/13/2014 | 06/05/2014 | ML13322B277 | N/A |
| 6 | | | | | | | | | | |
| 7 | | | | | | | | | | |
| 8 | Office of the Chief Financial Officer | | | | | | | | | |
| 9 | 1. Title | 2. Form of Guidance | 3. Subject Description | 4. Date of Issuance | 5. Issuing Office | 6a. Major rule under CRA? | 6b. Date Requested OMB Major/Non-Major CRA Determination and submitted to OMB Under Paperwork Reduction Act | 6c. Date submitted to Congress and GAO Under CRA | 7. If Applicable, Hyperlink or ADAMS Number | 7. If applicable, Federal Register Citation |
| 10 | License Fees FAQ | FAQ | Provides responses to frequently asked questions including general questions, materials license annual fee, annual small entity certification and payment of invoice. | ongoing | OCFO | No | **** | **** | https://www.nrc.gov/about-nrc/regulatory/licensing/fees.htm#faq | N/A |
| 11 | Management Directive 11.6, "Financial Assistance Program" | Management Directive | Outlines procedures for the NRC financial assistance program | 07/28/2017 | OCFO | No | **** | **** | https://www.nrc.gov/docs/ML1625/ML16253A024.pdf | N/A |
| 12 | NUREG/BR-0254, Revision 7, Payment Methods | NUREG | Provides payment methods accepted by the NRC for payment of an annual fee, a proposed or imposed civil penalty, a full cost licensing or inspection invoice, or other fees | 07/29/2015 | OCFO | No | 06/02/2017 (most recent PRA) | **** | ML15209A661 | N/A |
| 13 | | | | | | | | | | |
| 14 | Office of Enforcement | | | | | | | | | |
| 15 | 1. Title | 2. Form of Guidance | 3. Subject Description | 4. Date of Issuance | 5. Issuing Office | 6a. Major rule under CRA? | 6b. Date Requested OMB Major/Non-Major CRA Determination and/or Submitted to OMB under Paperwork Reduction Act (PRA)** | 6c. Date submitted to Congress and GAO Under CRA | 7. If Applicable, Hyperlink or ADAMS Number | 7. If applicable, Federal Register Citation |
| 16 | Nuclear Regulatory Commission Enforcement Manual (Revision 10, Change 1) and all appendices | Guidance | Provides specific guidance to staff on implementation of the NRC enforcement program. | 07/28/2017 | OE | No | N/A* | **** | https://www.nrc.gov/docs/ML1721/ML17212A125.pdf | N/A |
| 17 | Allegation Manual and all appendices | Guidance | This manual describes how allegations are received, evaluated, and closed by the NRC. | 12/22/2016 | OE | No | **** | **** | https://www.nrc.gov/docs/ML1700/ML17003A227.pdf | N/A |

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| 18 | Pre-Investigation Alternative Dispute Resolution (ADR) Brochure | Guidance | The pre-investigation program provides an individual and his/her employer (or former employer) the opportunity to resolve an allegation of discrimination through mediation rather than fully litigate the discrimination allegation or have the NRC initiate an investigation. | 08/01/2010 | OE | No | 02/06/2013 | ***** | https://www.nrc.gov/about-nrc/regulatory/enforcement/adr/pre-invest-brochure.pdf | N/A |
| 19 | Alternative Dispute Resolution (ADR) Brochure-Enforcement ADR | Guidance | Enforcement ADR, formerly known as "post-investigation ADR", refers to the use of mediation either (1) anytime after an investigation by the NRC's Office of Investigations is complete and an enforcement panel concludes that pursuit of an enforcement action appears warranted or (2) for nonwillful, traditional cases with the potential for civil penalties. | 07/01/2011 | OE | No | **** | ***** | https://www.nrc.gov/docs/ML1121/ML11210B507.pdf | N/A |
| 20 | Safety Culture Policy Statement | Policy Statement | Statement of Policy that sets forth expectation that individuals and organizations performing or overseeing regulated activities establish and maintain a positive safety culture. | 06/14/2011 | OE | No | 03/31/2011 | ***** | ML111650336 | 76 FR 34773 |
| 21 | Enforcement Policy | Policy | The NRC Enforcement Policy supports the NRC's mission to ensure adequate protection of public health and safety, promote common defense and security, and protect the environment. | Nov-16 | OE | No | **** | 08/10/2016 | https://www.nrc.gov/docs/ML1627/ML16271A446.pdf | 81 FR 78022 |
| 22 | | | | | | | | | | |
| 23 | | | | | | | | | | |
| 24 | Office of International Programs | | | | | | | | | |
| 25 | 1. Title | 2. Form of Guidance | 3. Subject Description | 4. Date of Issuance | 5. Issuing Office | 6a. Major rule under CRA? | 6b. Date Requested OMB Major/Non-Major CRA Determination and Submitted to OMB under Paperwork Reduction Act | 6c. Date submitted to Congress and GAO Under CRA | 7. If Applicable, Hyperlink or ADAMS Number | 7. If applicable, Federal Register Citation |

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| 26 | International Policy Statement | Commission Policy Statement | The International Policy Statement captures a brief history of almost 40 years of involvement in international activities and how this has impacted the NRC. The International Policy Statement also outlines how international activities directly support the NRC's goals and mission, and enumerates specific elements in which the NRC will proactively engage. | 07/10/2014 | OIP | No | **** | **** | https://www.nrc.gov/docs/ML1413/ML14132A317.pdf | 79 FR 39415 |
| 27 | Import/Export Licensing Frequently Asked Questions | FAQ | Document a series of questions and answers related to import/export licensing | ongoing | OIP | No | **** | ***** | https://www.nrc.gov/about-nrc/ip/faq.html | N/A |
| 28 | | | | | | | | | | |
| 29 | | | | | | | | | | |
| 30 | Office of New Reactors | | | | | | | | | |
| 31 | 1. Title | 2. Form of Guidance | 3. Subject Description | 4. Date of Issuance | 5. Issuing Office | 6a. Major rule under CRA? | 6b. Date Requested OMB Major/Non-Major CRA Determination and/or Submitted to OMB under Paperwork Reduction Act (PRA)** | 6c. Date submitted to Congress and GAO Under CRA | 7. If Applicable, Hyperlink or ADAMS Number | 7. If applicable, Federal Register Citation |
| 32 | NUREG-2194 Standard Technical Specifications, Westinghouse Advanced Passive 1000 (AP 1000) Plants | NUREG | Standard Technical Specifications (STS) for Westinghouse Advanced Passive 1000 (AP1000) plants | Apr-16 | NRO | no | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr2194/ | N/A |
| 33 | DC/COL-ISG-1 Interim Staff Guidance On Seismic Issues of High Frequency Ground Motion | Interim Staff Guidance | Describes how the staff will review seismic issues associated with HF ground motion as addressed in DC applications and COL applications | 05/19/2008 | NRO | no | **** | ***** | ML081400293 | 73 FR 30425 |
| 34 | DC/COL-ISG-2 Interim Staff Guidance on Financial Qualifications of Applicants For Combined License Applications | Interim Staff Guidance | Summarizes the requirements under 10 CFR Section 50.33(f)(1) for COL applicants and corrects the information provided in Section C.IV.5.1 of Regulatory Guide 1.206 | 05/02/2008 | NRO | no | **** | ***** | ML080710301 | N/A |
| 35 | DC/COL-ISG-3 PRA Information to Support Design Certification and Combined License Applications | Interim Staff Guidance | Supplements the guidance provided to the staff in Section 19.0, of the SRP concerning the review of PRA information and severe accident assessment submitted to support DC and COL applications | 06/11/2008 | NRO | no | **** | ***** | ML081430675 | 73 FR 34347 |

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| 36 | COL/ESP-ISG-4 Interim Staff Guidance on the Definition of Construction and on Limited Work Authorizations | Interim Staff Guidance | Provides guidance regarding the definition of construction and the delineation of preconstruction activities and those activities requiring prior approval of the NRC | 03/13/2009 | NRO | no | **** | ***** | ML082970729 | 74 FR 7488 |
| 37 | DC/COL-ISG-5 GALE86 Code for Calculation of Routine Radioactive Releases in Gaseous and Liquid Effluents to Support Design Certification and Combined License Applications | Interim Staff Guidance | Provides a clarification on the use of a newer version of the boiling-water reactor and pressurized-water reactors GALE codes that is not referenced in the current NRC guidance. | 07/10/2008 | NRO | no | **** | ***** | ML081710299 | 73 FR 41129 |
| 38 | DC/COL-ISG-6 Evaluation and Acceptance Criteria for 10 CFR 20.1406 to Support Design Certification and Combined License Applications | Interim Staff Guidance | Clarifies the U.S. Nuclear Regulatory Commission (NRC) position on acceptable levels of detail and content required for an applicant to demonstrate compliance with 10 CFR 20.1406 | 10/09/2009 | NRO | no | **** | ***** | ML092470100 | 74 FR 52267 |
| 39 | DC/COL-ISG-7 Assessment of Normal and Extreme Winter Precipitation Loads on the Roofs of Seismic Category I Structures | Interim Staff Guidance | Clarifies the NRC position on identifying winter precipitation events as site characteristics and site parameters for determining normal and extreme winter precipitation loads on the roofs of Seismic Category I structures | 7/1/2009 | NRO | no | **** | ***** | ML091490556 | 74 FR 31470 |
| 40 | DC/COL-ISG-8 Necessary Content of Plant-Specific Technical Specifications | Interim Staff Guidance | Replaces the related guidance in Chapter 16.0 of the Standard Review Plan (NUREG-0800) regarding the content of plant-specific Technical Specifications to support issuing a COL | 12/09/2008 | NRO | no | **** | ***** | ML083310259 | 73 FR 76685 |
| 41 | DC/COL-ISG-10 Review of Evaluation To Address Adverse Flow Effects in Equipment Other Than Reactor Internals | Interim Staff Guidance | Modifies and provides additional clarity in the guidance for the review of analyses of adverse flow effects in equipment other than reactor internals performed by COL and DC applicants | 11/03/2009 | NRO | no | **** | ***** | ML092890285 | 74 FR 58323 |

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| 42 | DC/COL-ISG-11 Finalizing Licensing-basis Information | Interim Staff Guidance | Clarifies the NRC staff position on finalizing licensing basis information at a point during the licensing review, a so-called freeze point, and the control of licensing basis information during and following the initial review of applications for DCs or COLs | 11/02/2009 | NRO | no | 01/04/2012 | ***** | ML092890623 | 74 FR 57715 |
| 43 | DC/COL-ISG-13 Assessing the Radiological Consequences of Accidental Releases of Radioactive Materials from Liquid Waste Tanks for Combined License Applications | Interim Staff Guidance | Addresses the development of the radiological source term contained in the postulated tank failure and mechanism for the radioactivity to reach groundwater or surface water | 01/22/2013 | NRO | no | 10/27/2011 | 01/24/2013 | ML12191A325 | 78 FR 6149 |
| 44 | DC/COL-ISG-14 Assessing the Radiological Consequences of Accidental Releases of Radioactive Materials from Liquid Waste Tanks in Ground and Surface Waters for Combined License Applications | Interim Staff Guidance | Focuses on characterizing the hydro-geologic conditions of the site where radioactivity is assumed to enter groundwater or surface water and modeling the movement of the radioactivity to points beyond the site boundary | 01/22/2013 | NRO | no | 10/27/2011 | ***** | ML12191A330 | 78 FR 6149 |
| 45 | DC/COL-ISG-15 Post-Combined License Commitments | Interim Staff Guidance | Discusses options regarding completion of COL items that cannot be completed until after issuance of the COL | 02/02/2010 | NRO | no | **** | ***** | ML093561416 | 75 FR 5356 |
| 46 | DC/COL-ISG-17 Ensuring Hazard-Consistent Seismic Input for Site Response and Soil Structure Interaction Analyses | Interim Staff Guidance | Provides the staff position on issues related to Seismic Input for Site Response and Soil Structure Interaction Analyses | 03/24/2010 | NRO | no | **** | ***** | ML100570203 | 75 FR 16202 |
| 47 | DC/COL-ISG-19 Gas Accumulation Issues in Safety Related Systems | Interim Staff Guidance | Clarifies the NRC staff guidance to address issues of gas accumulation in safety related systems | 09/09/2011 | NRO | no | **** | ***** | ML111110572 | 76 FR 58846 |
| 48 | DC/COL-ISG-20 Seismic Margin Analysis for New Reactors Based on Probabilistic Risk Assessment | Interim Staff Guidance | Supplements the guidance provided to the staff in Section 19.0 of the SRP to Support Design Certification and Combined License concerning the review of probabilistic risk assessment (PRA) information and severe accident assessments submitted to support DC and COL applications | 03/15/2010 | NRO | no | 07/03/2012 | ***** | ML100491233 | 75 FR 13610 |

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| 49 | DC/COL-ISG-21 Review of Nuclear Power Plant Designs using a Gas Turbine Driven Standby Emergency Alternating Current Power System | Interim Staff Guidance | Clarifies the NRC staff guidance for review of Nuclear Power Plant designs using a Gas Turbine Driven Standby Emergency Alternating Current (AC) Power system and also revises and updates the guidance previously provided in the SRP related to the Standby Emergency AC Power System | 03/22/2011 | NRO | no | 02/11/2011 | ***** | ML102510119 | 76 FR 17160 |
| 50 | DC/COL-ISG-22 Impact of Construction (Under a Combined License) of New Nuclear Power Plant Units on Operating Units at Multi-Unit Sites | Interim Staff Guidance | Assists the NRC staff in the evaluation of COL applicants' compliance with 10 CFR 52.79(a)(31). 10 CFR 52.79(a)(31) requires COL applicants who intend to construct and operate new units on multi-unit sites to provide an evaluation of the potential hazards to structures, systems, and components important to safety for the operating units resulting from construction activities | 05/11/2012 | NRO | no | 05/02/2011 | ***** | ML112630044 | 77 FR 29701 |
| 51 | DC/COL-ISG-24 Interim Staff Guidance Implementation of Regulatory Guide 1.221 on Design-Basis Hurricane and Hurricane Missiles DC/COL-ISG-024 | Interim Staff Guidance | Provides the staff guidance regarding the application of Regulatory Guide 1.221, "Design-Basis Hurricane and Hurricane Missiles for Nuclear Power Plants," in support of NRC reviews of ESP, DC, and COL applications being performed under the March 2007 version of the SRP | 05/14/2013 | NRO | no | 01/15/2013 | 06/30/2013 | ML13015A693 | 78 FR 31614 |
| 52 | DC/COL-ISG-25 Changes during Construction Under Title 10 of the Code of Federal Regulations Part 52 | Interim Staff Guidance | Provides guidance to the NRC staff on the Preliminary Amendment Request (PAR) review process available to the COL holders | 07/31/2015 | NRO | no | 05/02/2011 | ***** | ML15058A377 | 80 FR 48351 |

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| 53 | COL/ESP-ISG-26 Environmental Issues Associated with New Reactors | Interim Staff Guidance | Clarifies the NRC guidance and application of the Environmental Standard Review Plan, regarding the assessment of construction impacts, greenhouse gas and climate change, socioeconomics, environmental justice, need for power, alternatives, cumulative impacts, and cultural/historical resources as part of the preparation of environmental impact statements for ESP and COL applications | 09/03/2014 | NRO | no | 02/26/2013 | 09/09/2014 | ML14092A402 | 79 FR 52373 |
| 54 | COL/ESP-ISG-27 Specific Environmental Guidance for Light Water Small Modular Reactor | Interim Staff Guidance | Clarifies the NRC guidance and application of NUREG-1555, "Standard Review Plans for Environmental Reviews for Nuclear Power Plants," regarding the assessment of environmental reviews for applications for licenses to construct and operate light water small modular reactors | 8/25/2014 | NRO | no | 02/26/2013 | 09/09/2014 | ML14100A648 | 79 FR 53083 |
| 55 | DC/COL-ISG-28 Assessing the Technical Adequacy of the Advanced Light-Water Reactor Probabilistic Risk Assessment for the Design Certification Application and Combined License Application | Interim Staff Guidance | Addresses how applicants for advanced light water reactors can use ASME /ANS RA-S-2008 Standard for Level 1/Large Early Release Frequency Probabilistic Risk Assessment for Nuclear Power Plant Applications," (the PRA Standard), as endorsed by RG 1.200, Revision 2 | Nov-16 | NRO | no | 08/03/2015 | 12/08/2016 | ML16130A468 | 81 FR 87083 |
| 56 | Commission Policy Statement on the Regulation of Advanced Reactors | Commission Policy Statement | Reinforces the Commission's current policy regarding advanced reactors and includes new items to be considered during the design of these reactors, including security, emergency preparedness, threat of theft, and international safeguards | 10/14/2008 | NRO | no | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/commission/policy/73fr60612.pdf | 73 FR 60612 |
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| 63 | Office of Nuclear Reactor Regulation | | | | | | | | | |
| 64 | NUREG-0654 | NUREG | Criteria for Preparation and Evaluation of Radiological Emergency Response Plans and Preparedness in Support of Nuclear Power Plants (FEMA-REP-1, Revision 1, Supplement 3) | Nov-11 | NRR | No | 07/21/2011 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr0654/r1/s3/ | 76 FR 75771 |
| 65 | NUREG-0711 | NUREG | Human Factors Engineering Program Review Model, Revision 3 | Nov-12 | NRR | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr0711/ | N/A |
| 66 | NUREG-0800 | NUREG | Standard Review Plan for the Review of Safety Analysis Reports for Nuclear Power Plants: LWR Edition | Various Chapters: Dates before and after 2008 | NRR/NRO | No | Various | Various | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr0800/ | Various |
| 67 | NUREG-1021 | NUREG | Operator Licensing Examination Standards for Power Reactors | Feb-17 | NRR | No | 01/04/2017 | 02/28/2017 | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1021/ | 82 FR 10809 |
| 68 | NUREG-1022 | NUREG | Event Reporting Guidelines: 10 CFR 50.72 and 50.73 | Sep-14 | NRR | No | 01/15/2013 | 03/22/2013 | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1022/ | 79 FR 58811 |
| 69 | NUREG-1122 | NUREG | Knowledge and Abilities Catalog for Nuclear Power Plant Operators: Pressurized Water Reactors | Apr-17 | NRR | No | 08/31/2017 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1122/ | 82 FR 18018*** |
| 70 | NUREG-1123 | NUREG | Knowledge and Abilities Catalog for Nuclear Power Plant Operators: Boiling Water Reactors | Apr-17 | NRR | No | 08/31/2017 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1123/ | 82 FR 18018*** |
| 71 | NUREG-1307 | NUREG | Report on Waste Burial Charges: Changes in Decommissioning Waste Disposal Costs at Low-Level Waste Burial Facilities | Mar-17 | NRR | No | 12/18/2012 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1307/ | 82 FR 13677 |
| 72 | NUREG-1430 | NUREG | Standard Technical Specifications — Babcock and Wilcox Plants | Apr-12 | NRR | No | 05/27/2011 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1430/ | 77 FR 27490 |
| 73 | NUREG-1431 | NUREG | Standard Technical Specifications — Westinghouse Plants | Apr-12 | NRR | No | 05/27/2011 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1431/ | 77 FR 27490 |

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| 74 | NUREG-1432 | NUREG | Standard Technical Specifications — Combustion Engineering Plants | Apr-12 | NRR | No | 05/27/2011 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1432/ | 77 FR 27490 |
| 75 | NUREG-1433 | NUREG | Standard Technical Specifications — General Electric Plants (BWR/4) | Apr-12 | NRR | No | 05/27/2011 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1433/ | 77 FR 27490 |
| 76 | NUREG-1434 | NUREG | Standard Technical Specifications — General Electric Plants (BWR/6) | Apr-12 | NRR | No | 05/27/2011 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1434/ | 77 FR 27490 |
| 77 | NUREG-1437 | NUREG | Generic Environmental Impact Statement for License Renewal of Nuclear Plants | Various Supplement s: Dates before and after 2008 | NRR | No | 03/30/2012 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1437/ | 61 FR 28467; 78 FR 37325 |
| 78 | NUREG-1475 | NUREG | Applying Statistics | Mar-11 | NRR | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1475/ | N/A |
| 79 | NUREG-1482 | NUREG | Guidelines for Inservice Testing at Nuclear Power Plants: Inservice Testing of Pumps and Valves and Inservice Examination and Testing of Dynamic Restraints (Snubbers) at Nuclear Power Plants | Oct-13 | NRR | No | 04/04/2013 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1482/ | 78 FR 71676 |
| 80 | NUREG-1530 | NUREG | Reassessment of NRC's Dollar Per Person-Rem Conversion Factor Policy | Aug-15 | NRR | No | 10/13/2017 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1530/ | 80 FR 53585*** |
| 81 | NUREG-1555 | NUREG | Standard Review Plans for Environmental Reviews for Nuclear Power Plants: Environmental Standard Review Plan (with Supplement 1, Revision 1, for Operating Reactor License Renewal) | Jun-13 | NRR | No | 03/30/2012 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1555/ | 78 FR 37325 |
| 82 | NUREG-1800 | NUREG | Standard Review Plan for Review of License Renewal Applications for Nuclear Power Plants, Revision 2 | Dec-10 | NRR | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1800/ | 75 FR 80857 |
| 83 | NUREG-1801 | NUREG | Generic Aging Lessons Learned (GALL) Report | Dec-10 | NRR | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1801/ | 75 FR 80857 |

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| 84 | NUREG-2103 | NUREG | Knowledge and Abilities Catalog for Nuclear Power Plant Operators: Westinghouse AP1000 Pressurized-Water Reactors | Oct-11 | NRR/NRO | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr2103/ | 76 FR 73720*** |
| 85 | NUREG-2104 | NUREG | Knowledge and Abilities Catalog for Nuclear Power Plant Operators: Advanced Boiling-Water Reactors | Dec-11 | NRR/NRO | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr2104/ | 77 FR 3009*** |
| 86 | NUREG-2165 | NUREG | Safety Culture Common Language | Mar-14 | NRR | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr2165/ | N/A |
| 87 | NUREG-2188 | NUREG | U.S. Operating Experience with Thermally Treated Alloy 600 Steam Generator Tubes Through December 2013 | Feb-16 | NRR | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr2188/ | N/A |
| 88 | NUREG-2191 | NUREG | Generic Aging Lessons Learned for Subsequent License Renewal (GALL-SLR) Report | Jul-17 | NRR | No | 12/28/2016 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr2191/ | 82 FR 32588 |
| 89 | NUREG-2192 | NUREG | Standard Review Plan for Review of Subsequent License Renewal Applications for Nuclear Power Plants | Jul-17 | NRR | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr2192/ | 82 FR 32588 |
| 90 | GL-08-01, Managing Gas Accumulation in Emergency Core Cooling, Decay Heat Removal, and Containment Spray Systems | Generic Letter | Addresses the issue of gas accumulation in the emergency core cooling, decay heat removal, and containment spray systems | 01/11/2008 | NRR | No | 02/04/2013 | ***** | ML072910759 | 73 FR 4644 |
| 91 | GL-16-01 Monitoring of Neutron-Absorbing Materials in Spent Fuels Pools | Generic Letter | To address degradation of neutron-absorbing materials in wet storage systems for reactor fuel at power and non-power reactors | 04/07/2016 | NRR | No | 12/31/15 (PRA) | ***** | ML16097A169 | 81 FR 22129 |

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| 92 | BL-11-01 Mitigating Strategies | Bulletin | <p>1. To require that addressees provide a comprehensive verification of their compliance with the regulatory requirements of Title 10 of the Code of Federal Regulations (10 CFR) Section 50.54(hh)(2),</p> <p>2. To notify addressees about the NRC staff's need for information associated with licensee mitigating strategies under 10 CFR 50.54(hh)(2) in light of the recent events at Japan's Fukushima Daiichi facility in order to determine if 1) additional assessment of program implementation is needed, 2) the current inspection program should be enhanced, or 3) further regulatory action is warranted, and</p> <p>3. To require that addressees provide a written response to the NRC in accordance with 10 CFR 50.54(f).</p> | 05/11/2011 | NRR | No | **** | ***** | ML111250360 | N/A |

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| 93 | BL-12-01 Design Vulnerability In Electric Power System | Bulletin | <p>1. To notify the addressees that the NRC staff is requesting information about the facilities' electric power system designs, in light of the recent operating experience that involved the loss of one of the three phases of the offsite power circuit (single-phase open circuit condition) at Byron Station, Unit 2, to determine if further regulatory action is warranted.</p> <p>2. To require that the addressees comprehensively verify their compliance with the regulatory requirements of General Design Criterion (GDC) 17, "Electric Power Systems," in Appendix A, "General Design Criteria for Nuclear Power Plants," to 10 CFR Part 50 or the applicable principal design criteria in the updated final safety analysis report; and the design criteria for protection systems under 10 CFR 50.55a(h)(2) and 10 CFR 50.55a(h)(3).</p> <p>3. To require that addressees respond to the NRC in writing, in accordance with 10 CFR 50.54(f).</p> | 07/27/2012 | NRO, NRR | No | **** | ***** | ML12074A115 | N/A |
| 94 | NRC Inspection Manual | Inspection Manual | NRC Inspection Manual documents issued by the various NRC offices that manage and oversee inspection programs | Various | NRR | No | N/A* | ***** | https://www.nrc.gov/reading-rm/doc-collections/insp-manual/manual-chapter/ | N/A |
| 95 | NRC Inspection Procedure | Inspection Procedure | NRC Inspection Procedure documents issued by the various NRC Offices that perform inspections | Various | NRR | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/insp-manual/inspection-procedure/ | N/A |
| 96 | Risk Assessment of Operational Events Handbook, Volume 1-4 | Guidance Document | Risk Assessment of Operational Events Handbook: Internal Event (Vol. 1), External Events (Vol. 2), SPAR Model Reviews (Vol. 3), Shutdown Events (Vol. 4) | <p>12/01/2017</p> <p>11/01/2017</p> <p>9/01/2011</p> <p>4/1/2011</p> | NRR | No | **** | ***** | <p>ML17348A149</p> <p>ML17349A301 ML102850267</p> <p>ML111370163</p> | N/A |

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| 97 | LR-ISG-2011-1, Revision 1 - "Aging Management of Stainless Steel Structures and Components in Treated Borated Water" | Interim Staff Guidance | Provides guidance as to one acceptable approach for managing the effects of aging at nuclear power plants during the period of extended operation for stainless steel structures and components exposed to treated borated water within the scope of 10 CFR Part 54. This LR-ISG revises the NRC staff's aging management recommendations in NUREG-1800, Revision 2, and NUREG-1801, Revision 2. | 12/18/2012 | NRR | No | **** | ***** | https://www.nrc.gov/docs/ML1228/ML12286A275.pdf | 77 FR 74883 |
| 98 | LR-ISG-2011-02 - "Aging Management Program for Steam Generators" | Interim Staff Guidance | Evaluates the suitability of using Revision 3 of Nuclear Energy Institute (NEI) 97-06, "Steam Generator Program Guidelines," to manage the effects of aging of steam generators and corrects an incorrect revision in NUREG-1801, Revision 2, to the Steam Generator Interity Assessment Guidelines. | 11/21/2011 | NRR | No | **** | ***** | https://www.nrc.gov/docs/ML1129/ML11297A085.pdf | 76 FR 74834 |
| 99 | LR-ISG-2011-03 - "Changes to the Generic Aging Lessons Learned (GALL) Report Revision 2 Aging Management Program XI.M41, "Buried and Underground Piping and Tanks" | Interim Staff Guidance | Changes GALL Report AMP XI.M41 to provide one acceptable approach for managing the effects of aging of buried and underground piping and tanks within the scope of 10 CFR Part 54. This LR-ISG also changes Table 3.0-1, "FSAR Supplement for Aging Management of Applicable Systems," in NUREG-1800, Revision 2. A licensee may reference this ISG in its nuclear power plant license renewal application to demonstrate that its buried and underground piping and tanks program is acceptable to the staff until the guidance in this LR-ISG is implemented into the next update of the license renewal guidance documents. | 07/26/2012 | NRR | No | 03/30/2012 | 08/06/2012 | https://www.nrc.gov/docs/ML1213/ML12138A296.pdf | 77 FR 46127 |

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| 100 | LR-ISG-2011-04 - "Updated Aging Management Criteria for Reactor Vessel Internal Components of Pressurized Water Reactors" | Interim Staff Guidance | Revises the recommendations in NUREG-1801, Revision 2, and the NRC staff's acceptance criteria and review procedures in NUREG-1800, Revision 2 to ensure consistency with Revision 1 to the Final Safety Evaluation of MRP-227-A, "Pressurized Water Reactor (PWR) Internals Inspection and Evaluation Guidelines." This LR-ISG also provides a framework to ensure that PWR license renewal applicants will adequately address age-related degradation and aging management of reactor vessel internal components during the term of the renewed license(s). | 05/28/2013 | NRR | No | 06/01/2012 | 06/03/2013 | https://www.nrc.gov/docs/ML1227/ML12270A436.pdf | 78 FR 33120 |
| 101 | LR-ISG-2011-05 - "Ongoing Review of Operating Experience" | Interim Staff Guidance | Clarifies the NRC guidance in NUREG-1800, Revision 2, that acceptable nuclear power plant license renewal AMPs should be informed, and enhanced when necessary, based on the ongoing review of both plant-specific and industry operating experience. Specifically, this LR-ISG revises the NRC staff's acceptance criteria and review procedures to better address the ongoing review of operating experience within the SRP-LR. This LR-ISG also better aligns NUREG-1801, Revision 2, with the SRP-LR by incorporating the recommendation for ongoing review of operating experience into each of the program descriptions. This LR-ISG also provides a framework to ensure that license renewal applicants' operating experience review activities will adequately address operating experience concerning age-related degradation and aging management during the term of the renewed license(s). | 03/09/2012 | NRR | No | 03/02/2012 | 03/19/2012 | https://www.nrc.gov/docs/ML1204/ML12044A215.pdf | 77 FR 15818 |

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| 102 | LR-ISG-2012-01 - "Wall Thinning Due to Erosion Mechanisms" | Interim Staff Guidance | Provides interim guidance for an approach acceptable to the NRC staff to manage the effects of aging during the period of extended operation for wall thinning due to various erosion mechanisms for piping and components within the scope of 10 CFR Part 54. This LR-ISG revises NUREG-1801, Revision 2 aging management program XI.M17, "Flow-Accelerated Corrosion." It also supplements, or revises, related aging management recommendations in the NUREG-1801, Revision 2 and NUREG-1800, Revision 2. | 04/25/2013 | NRR | No | 10/01/2012 | 04/26/2013 | https://www.nrc.gov/docs/ML1235/ML12352A057.pdf | 78 FR 25487 |
| 103 | LR-ISG-2012-02 - "Aging Management of Internal Surfaces, Fire Water Systems, Atmospheric Storage Tanks, and Corrosion Under Insulation" | Interim Staff Guidance | Changes NUREG-1801, Revision 2 and NUREG-1800, Revision 2, to provide one acceptable approach for managing the associated aging effects for internal surfaces, fire water systems, atmospheric storage tanks, and corrosion under insulation within the scope of 10 CFR Part 54. | 11/14/2013 | NRR | No | 07/02/2013 | ***** | https://www.nrc.gov/docs/ML1322/ML13227A361.pdf | 78 FR 70076 |
| 104 | LR-ISG-2013-01, LR-ISG-2014-004 - "Aging Management of Loss of Coating or Lining Integrity for Internal Coatings/Linings on In-Scope Piping, Piping Components, Heat Exchangers, and Tanks" | Interim Staff Guidance | Changes NUREG-1801, Revision 2 and NUREG-1800, Revision 2, to provide one acceptable approach for managing the associated aging effects of loss of coating or lining integrity for internal components/linings on piping, piping components, heat exchangers, and tanks within the scope of 10 CFR Part 54. | 11/06/2014 | NRR | No | 03/04/2014 | 12/01/2014 | https://www.nrc.gov/docs/ML1422/ML14225A059.pdf | 79 FR 68308 |
| 105 | LR-ISG-2015-01 - "Changes to Buried and Underground Piping and Tank Recommendations" | Interim Staff Guidance | Changes NUREG-1801, Revision 2 and NUREG-1800, Revision 2, to provide one acceptable approach for managing the associated aging effects for buried and underground piping and tanks within the scope of the 10 CFR Part 54. | 01/28/2016 | NRR | No | 11/30/2015 | 02/08/2016 | https://www.nrc.gov/docs/ML1530/ML15308A018.pdf | 81 FR 6055 |

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| 106 | LR-ISG-2016-01 - "Changes to Aging Management Guidance for Various Steam Generator Components" | Interim Staff Guidance | Changes NUREG-1801, Revision 2 and NUREG-1800, Revision 2, to provide one acceptable approach for managing the associated aging effects for steam generator components within the scope of the 10 CFR Part 54. | 11/30/2016 | NRR | No | 08/31/2016 | 12/14/2016 | https://www.nrc.gov/docs/ML1623/ML16237A383.pdf | 81 FR 88276 |
| 107 | Revised Guidance for Review of Final Licensee Responses to Generic Letter 2004-02, "Potential Impact of Debris Blockage on Emergency Recirculation During Design Basis Accidents at Pressurized Water Reactors" | Guidance | Guidance for responding to GL 2004-02 in the areas of head loss, chemical effects, and coatings | 03/28/2008 | NRR | No | **** | ***** | ML080230234 | 69 FR 57101 |
| 108 | Management Directive 8.7, "Reactor Operating Experience Program" | Management Directive | Provides means for assessing the significance of OpE Information, providing timely and effective communication to stakeholders, and applying the lessons learned to regulatory decisions and programs affecting nuclear reactors. | 09/27/2012 | NRR | No | 11/02/2012 | ***** | ML122750292 | N/A |
| 109 | DSS-ISG-2016-01 and Rev. 1 | Interim Staff Guidance | Clarification of Licensee Actions in Receipt of Enforcement Discretion Per Enforcement Guidance Memorandum EGM 15-002, "Enforcement Discretion for Tornado-generated Missile Protection Noncompliance | 11/22/2017 2/25/2016 | NRR | No | 07/28/2017 1/28/2016 | ***** 02/24/2016 | ML17128A344, ML15348A202 | 82 FR 55657, 81 FR 9518 |
| 110 | DSS-ISG-2010-01 | Interim Staff Guidance | Staff Guidance regarding the Nuclear Criticality Safety Analysis for Spent Fuel Pools | 10/13/2011 | NRR | No | **** | 10/03/2011 | ML110620086 | 76 FR 63676 |
| 111 | DI&C-ISG-03 - Review of New Reactor Digital Instrumentation and Control Probabilistic Risk Assessments | Interim Staff Guidance | Provides acceptable methods for evaluating digital instrumentation and control system risk assessments. The primary purpose of this document is to provide clear guidance on how NRC reviewers should evaluate digital instrumentation and control (I&C) system probabilistic risk assessments (PRAs), including common cause failures in PRAs and uncertainty analysis associated with new reactor digital systems. | 08/11/2008 | NRR | No | **** | ***** | ML080570048 | N/A |

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| 112 | DI&C-ISG-04, Rev 1 - Highly-Integrated Control Rooms—Communications Issues (HICRc) | Interim Staff Guidance | Provides acceptable methods for addressing HICRc in digital I&C system designs, and clarifies the criteria the staff will use to evaluate whether an applicant/licensee digital system design is consistent with HICRc guidelines. | 03/06/2009 | NRR | No | **** | ***** | ML083310185 | N/A |
| 113 | DI&C-ISG-05, Rev 1 - Highly Integrated Control Rooms - Human Factors | Interim Staff Guidance | Provides acceptable methods for addressing HICR—HF in digital I&C system designs, and clarifies the criteria the staff would use to evaluate whether an applicant/licensee digital system design is consistent with HICR—HF guidelines. | 11/03/2008 | NRR | No | **** | ***** | ML082740440 | N/A |
| 114 | DI&C-ISG-06 - Licensing Processes | Interim Staff Guidance | Describes the licensing process that may be used in the review (against licensing criteria – the Standard Review Plan, NUREG-0800) of license amendment requests associated with digital I&C system modifications in operating plants originally licensed under Part 50, and covers activities prior to submittal of a license amendment request. | 01/19/2011 | NRR | No | **** | ***** | ML110140103 | N/A |
| 115 | DI&C-ISG-07 - Fuel Cycle Facilities - DI&C Systems in Safety Applications at Fuel Cycle Facilities | Interim Staff Guidance | Provides licensing review criteria that address acceptable means of implementing digital instrumentation and controls (I&C) applications used to accomplish safety functions in fuel cycle facilities. | 12/1/2010 6/1/2009 | NRR | No | **** | ***** | ML101900316 ML091550599 | 74 FR 38673 |
| 116 | RTR-ISG-2009-001 | Interim Staff Guidance | Interim Staff Guidance on Streamlined Review Process for License Renewal for Research Reactors | 10/16/2009 | NRR | No | **** | ***** | ML092240244 | 74 FR 57206 |
| 117 | OL/FR-ISG-2014-01, "Reviewing and Assessing the Financial Condition of Operating Power Reactor Licensees, Including Requests for Additional Information" | Interim Staff Guidance | Clarifies the process by which the NRC staff will review financial conditions of, and financial concerns about, currently operating power reactor licensees. | 03/04/2015 | NRR | No | 02/02/2015 | 03/12/2015 | ML14218A625 | 80 FR 11691 |

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| 118 | Final Interim Staff Guidance Augmenting NUREG-1537, Parts 1 and 2 | Interim Staff Guidance | Provides guidance for preparing and reviewing applications for radioisotope production facilities and aqueous homogeneous reactors | 10/30/2012 | NRR | No | **** | 11/05/2012 | ML12156A069 ML12156A075 | 77 FR 65728 |
| 119 | Draft Interim Staff Guidance Augmenting NUREG-1537, Chapter 7, "Instrumentation and Control Systems," Parts 1 and 2 | Interim Staff Guidance | Provides updated and consolidated guidance for preparing and reviewing applications for initial licensing or to amend a facility operating license for instrumentation and control (I&C) upgrades at non-power production or utilization facilities, as appropriate. | 11/16/2015 | NRR | No | 08/01/2014 | ***** | ML17248A545 ML17248A546 | 80 FR 70850*** |
| 120 | NSIR/DPR-ISG-01, "Interim Staff Guidance - Emergency Planning for Nuclear Power Plants" | Interim Staff Guidance | Provides updated guidance information for addressing emergency planning (EP) requirements for nuclear power plants (NPPs), and provides additional guidance on one topic not specifically addressed in the EP final rule (i.e., integrating offsite response organization (ORO) event response concepts with onsite EP programs). | 12/05/2011 | NRR | No | 07/21/2011 | ***** | ML113010523 | 76 FR 75771 |
| 121 | JLD-ISG-2012-01 - "Interim Staff Guidance for Compliance with Order EA-12-049 Concerning Mitigation Strategies for Beyond-Design-Basis Events" | Interim Staff Guidance | Provides guidance and clarification to assist nuclear power reactor licensees with the identification of measures needed to comply with requirements contained in Order EA-12-049, "Order Modifying Licenses with Regard to Requirements for Mitigation Strategies for Beyond-Design-Basis External Events," to mitigate challenges to key safety functions. | 08/29/12 | NRR | No | 09/10/2012 | 09/10/2012 | ML12229A174 | 77 FR 55230 |

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| 122 | JLD-ISG-2012-01 - "Interim Staff Guidance for Compliance with Order EA-12-049 Concerning Mitigation Strategies for Beyond-Design-Basis Events" (Rev 1) | Interim Staff Guidance | Provides guidance and clarification to assist nuclear power reactor licensees with the identification of measures needed to comply with requirements contained in Order EA-12-049, "Order Modifying Licenses with Regard to Requirements for Mitigation Strategies for Beyond-Design-Basis External Events," to mitigate challenges to key safety functions. | 01/22/16 | NRR | No | | 02/17/2016 | https://www.nrc.gov/docs/ML1535/ML15357A163.pdf | 81 FR 10283 |
| 123 | JLD-ISG-12-02 - "Interim Staff Guidance for Compliance with Order EA-12-050, Reliable Hardened Vents" | Interim Staff Guidance | Provides guidance and clarification to assist nuclear power reactor applicants and licensees with the identification of measures needed to comply with requirements contained in Order EA-12-050, "Order Modifying Licenses with Regard to Reliable Hardened Containment Vents," to mitigate challenges to key safety functions. | 08/09/12 | NRR | No | 06/15/2012 | 09/10/2012 | https://www.nrc.gov/docs/ML1222/ML12229A475.pdf | 77 FR 55231 |
| 124 | JLD-ISG-12-03 - "Interim Staff Guidance for Compliance with Order EA-12-051, Spent Fuel Pool Instrumentation" | Interim Staff Guidance | Provides guidance and clarification to assist nuclear power reactors applicants and licensees with the identification of measures needed to comply with requirements contained in Order EA-12-051, "Order Modifying Licenses with Regard to Reliable Spent Fuel Pool Instrumentation," to install enhanced spent fuel pool monitoring capability. | 08/29/12 | NRR | No | **** | **** | https://www.nrc.gov/docs/ML1222/ML12221A339.pdf | 77 FR 55232 |
| 125 | JLD-ISG-12-04 - "Interim Staff Guidance on Performing a Seismic Margin Assessment in Response to the March 2012 Request for Information Letter" | Interim Staff Guidance | Provides guidance and clarification to assist nuclear power reactor licensees when responding to the NRC staff's request for information dated March 12, 2012, Enclosure 1, "Recommendation 2.1: Seismic." | 11/16/12 | NRR | No | **** | 11/26/2012 | https://www.nrc.gov/docs/ML1228/ML12286A029.pdf | 77 FR 71019 |

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| 126 | JLD-ISG-12-05 - "Interim Staff Guidance for Performing the Integrated Assessment for External Flooding" | Interim Staff Guidance | Provides guidance and clarification to assist nuclear power reactors applicants and licensees with performing an integrated assessment for external flooding in response to Enclosure 2 of a March 12, 2012, information request. | 11/30/12 | NRR | No | 10/01/2012 | 12/10/2012 | https://www.nrc.gov/docs/ML1231/ML12311A214.pdf | 77 FR 73057 |
| 127 | JLD-ISG-12-06 - "Interim Staff Guidance for Performing a Tsunami, Surge, or Seiche Hazard Assessment" | Interim Staff Guidance | Provides guidance and clarification to assist nuclear power reactors applicants and licensees with the flooding hazard reassessment in response to Enclosure 2 of the NRC staff's request for information, "Request for Information Pursuant to section 50.54(f) of Title 10 of the Code of Federal Regulations (10 CFR), Regarding Recommendations 2.1, 2.3, and 9.3 of the Near-Term Task Force Review of Insights from the Fukushima Dai-ichi Accident," dated March 12, 2012. | 01/04/13 | NRR | No | 11/02/2012 | 01/23/2013 | https://www.nrc.gov/docs/ML1231/ML12314A412.pdf | 78 FR 3039 |
| 128 | JLD-ISG-13-01 - "Interim Staff Guidance for Assessment of Flooding Hazards due to Dam Failure" | Interim Staff Guidance | Provides guidance and clarification to assist nuclear power reactors applicants and licensees with the flooding hazard reassessment in response to Enclosure 2 of the NRC staff's request for information, "Request for Information Pursuant to section 50.54(f) of Title 10 of the Code of Federal Regulations (10 CFR), Regarding Recommendations 2.1, 2.3, and 9.3 of the Near-Term Task Force Review of Insights from the Fukushima Dai-ichi Accident," dated March 12, 2012. | 07/29/13 | NRR | No | 04/24/2013 | ***** | https://www.nrc.gov/docs/ML1315/ML13151A153.pdf | 78 FR 48201 |

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| 129 | JLD-ISG-13-02 - "Interim Staff Guidance for Compliance with Order EA-13-109 Regarding Reliable Hardened Containment Vents" | Interim Staff Guidance | Provides guidance and clarifies the requirements in the order to assist the licensees that have Boiling Water Reactors with Mark I and Mark II Containments in the design and implementation of a containment venting system that is capable of a operation under severe accident conditions, and endorses, with clarifications, the industry guidance contained in Nuclear Energy Institute 13-02, "Industry Guidance for Compliance with Order EA-13-109," Revision 0. | 11/25/13 | NRR | No | **** | ***** | ML13304B836 | 78 FR 70356 |
| 130 | JLD-ISG-15-01 - "Interim Staff Guidance for Compliance with Phase 2 of Order EA-13-109, Order Modifying Licenses with Regard to Reliable Hardened Containment Vents Capable of Operation under Severe Accident Conditions" | Interim Staff Guidance | Provides guidance and clarifies the Phase 2 requirements in the order to assist the licensees that have Boiling Water Reactors with Mark I and Mark II Containments in the design and implementation of either a vent path from the containment drywell or a strategy that makes it unlikely that venting would be needed from the drywell before alternate reliable containment heat removal and pressure control is reestablished, and endorses, with clarifications, the industry guidance contained in Nuclear Energy Institute 13-02, "Industry Guidance for Compliance with Order EA-13-109," Revision 1. | 05/07/15 | NRR | No | **** | ***** | https://www.nrc.gov/docs/ML1510/ML15104A118.pdf | 80 FR 26303 |
| 131 | JLD-ISG-16-01 - "Interim Staff Guidance for Activities Related to Near-Term Task Force Recommendation 2.1, Flooding Hazard Reevaluation; Focused Evaluation and Integrated Assessment" | Interim Staff Guidance | Provides guidance and clarification to assist operating power reactor licensees and holders of construction permits under the NRC's regulations with the performance of the focused evaluations and revised integrated assessments for external flooding. | 07/19/16 | NRR | No | 06/28/2016 | 08/17/2016 | https://www.nrc.gov/docs/ML1616/ML16162A301.pdf | 81 FR 46970 |
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| 136 | Office of Nuclear Security and Incident Response | | | | | | | | | |
| 137 | Letter to Security Managers of nuclear power reactor licensees, "The U.S. Nuclear Regulatory Commission Inspection Approach Related to Industry Implementation of Early Warning systems" | Guidance | Outlines the approach to be used by NRC inspectors when evaluating Early Warning Systems | 05/26/2016 | NSIR | No | **** | **** | Non-public ***** | N/A |
| 138 | NSIR/DSP Interim Staff Guidance (ISG)-03, Review of Security Exemptions / License Amendment Request for Decommissioning Nuclear Power Plants | Interim Staff Guidance | Describes the methods and that the staff of the NRC uses in conducting technical reviews and safety evaluations of a licensee's requests for license amendments, alternative measures, and requests for exemption from security regulations for nuclear power plants that are undergoing the process of decommissioning | 09/28/2015 | NSIR | No | 03/27/2015 | **** | ML15106A737 | 80 FR 62116 |
| 139 | NSIR/DPR Interim Staff Guidance (ISG)-01, Interim Staff Guidance on Emergency Planning for Nuclear Power Plants | Interim Staff Guidance | Describes the methods and procedures that the staff of the NRC uses and considers acceptable for use by licensees and applicants as guidance for implementing changes to their onsite emergency preparedness programs based on the revised requirements (i.e, the 2011 Emergency Preparedness Final Rule). | 11/20/2011 | NSIR | No | 07/21/2011 | 12/05/2011 | ML113010523 | 76 FR 75771 |
| 140 | NSIR/DPR Interim Staff Guidance (ISG)-02, Emergency Planning Exemption Requests for Decommissioning Nuclear Power Plants | Interim Staff Guidance | Describes the methods and procedures that the staff of the NRC uses for conducting the technical review of requests for exemptions from the emergency preparedness requirements for nuclear power reactor licensees that have been permanently shutdown and defueled or are planning to transition to a decommissioning state. | 05/11/2015 | NSIR | No | 11/03/2014 | 05/20/2015 | ML14106A057 | 80 FR 29348 |

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| 141 | NSIR/DPR Interim Staff Guidance (ISG)-03, Review of Security Exemptions/License Amendment Requests for Decommissioning Nuclear Power Plants | Interim Staff Guidance | Describes the methods and procedures that the staff of the NRC uses for conducting the technical review of requests for exemptions from the emergency preparedness requirements for nuclear power reactor licensees that have been permanently shutdown and defueled or are planning to transition to a decommissioning state. | 09/28/2015 | NSIR | No | 03/27/2015 | ***** | ML15106A737 | 80 FR 62116 |
| 142 | Policy Statement of the U.S. Nuclear Regulatory Commission on the Protection of Cesium-137 Chloride Sources | Policy Statement | Set forth Commission policy on the secure uses of sealed sources containing CsCl and to describe potential Commission actions if changes in the security threat environment necessitate regulatory action. The Policy Statement also delineates the Commission's expectations for the secure and safe use of CsCl sources with activity levels of Category 1 and 2 as characterized by the IAEA Code of Conduct. | 07/19/2011 | NSIR/NMS S | No | 03/21/2011 | 08/01/2011 | ML111870035 | 76 FR 44378 |
| 143 | Management Directive 5.12, International Nuclear and Radiological Event Scale (INES) Participation" | Management Directive | Ensure that the rating of events involving reactors, fuel cycle facilities, transportation, and licensed materials is performed in accordance with the guidance provided in "The International Nuclear and Radiological Event Scale (INES) User's Manual, 2008 Edition" (the INES User's Manual) ADAMS Accession No. ML092230008. | 07/17/2017 | NSIR | No | 01/11/2012 | ***** | ML17157B346 | N/A |

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| 144 | NUREG-0654/FEMA-REP-1, Rev. 1, Supplement 3, Criteria for Preparation and Evaluation of Radiological Emergency Response Plans and Preparedness in Support of Nuclear Power Plants: Guidance for Protective Action Strategies | NUREG | Provides an acceptable method to comply with 10 CFR Appendix E, Section IV, paragraph 3 in the use of evacuation time estimates in the formulation of protective action recommendations (PAR) for the plume exposure emergency planning zone, and provides guidance for the provisions of 10 CFR 50.47(b)(10) in the development of a range of PARs. Provides guidance to support the information in NUREG-0654/FEMA-REP-1 that the NRC finds to be an acceptable method of meeting the requirements in 10 CFR 50.47(b)(7) for the development of a public information program. | 12/05/2011 | NSIR | No | 07/21/2011 | 12/05/2011 | ML113010596 | 76 FR 75771 |
| 145 | NUREG-1940, RASCAL 4: Description of Models and Methods | NUREG | Describes the models and calculational methods used in the Radiological Assessment System for Consequence Analysis (RASCAL) 4 computer code and the consequence assessment implications of changes in models and methods from RASCAL 3.0. RASCAL 4 is used by NRC staff to conduct an independent assessment of potential radiological releases from NRC-regulated nuclear power reactors, spent fuel storage pools and casks, fuel cycle facilities, and radioactive material handling facilities. RASCAL 4 includes improvements in the models and methods related to source term calculations, atmospheric dispersion and deposition, and dose calculations. | 12/01/2012 | NSIR | No | **** | **** | ML13031A448 | N/A |

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| 146 | NUREG-1940, Supplement 1, RASCAL 4.3: Description of Models and Methods | NUREG | RASCAL 4.3 computer code contains a number of new features and revision of several old features in response to the lessons learned by the staff of the NRC during its response to the events at the 2011 Fukushima Daiichi nuclear power plants. This guidance document is a supplement to NUREG-1940, "RASCAL 4: Description of Models and Methods," which is the technical basis for the models and methods used in the RASCAL computer code versions 4.0, 4.1 and 4.2. | 05/31/2015 | NSIR | No | **** | ***** | ML15132A119 | N/A |
| 147 | NUREG-1959 Rev. 1, Intrusion Detection Systems and Subsystems | NUREG | Provides information about the design, installation, testing, maintenance, and monitoring of intrusion detection systems and subsystems used for the protection of facilities licensed by the NRC | 09/30/2017 | NSIR | No | **** | ***** | ML17250A867 | N/A |
| 148 | NUREG-2203, Glossary of Security Terms for Nuclear Power Reactors | NUREG | Consists of glossary of security terms specifically for nuclear power reactors that are commonly used in the nuclear industry and regulatory community | 02/28/2017 | NSIR | No | **** | ***** | ML17047A669 | N/A |
| 149 | NUREG/CR-6953, Volume 2, Review of NUREG-0654, Supplement 3, "Criteria for Protective Action Recommendations for Severe Accidents" - Focus Groups and Telephone Survey | NUREG/ Contractor Report | Provides conclusions from focus group research on the views of the public and emergency response personnel, and a telephone survey of residents living within NPP Emergency Planning Zones to understand public tendencies towards emergency preparedness. Understanding the public's knowledge and confidence in protective actions informs the decision process on development of protective actions. | 10/01/2008 | NSIR | No | **** | ***** | ML083110406 | N/A |

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| 150 | NUREG/CR-6953, Volume 3, Review of NUREG-0654, Supplement 3, "Criteria for Protective Action Recommendations for Severe Accidents" - Technical Basis for Protective Action Strategies | NUREG/ Contractor Report | As the final volume of the Protective Action Recommendation Study, this analysis provides a technical basis for decision criteria that can be used by nuclear power plant (NPP) licensees and offsite response organizations to tailor protective action strategies using site specific evacuation time information for NPP accidents that progress rapidly. | 08/31/2010 | NSIR | No | **** | **** | ML102380087 | N/A |
| 151 | NUREG/CR-7002, Criteria for Development of Evacuation Time Estimate Studies | NUREG/ Contractor Report | Provides guidance to NRC licensees, under 10 CFR Part 50 and 10 CFR Part 52, for the development of evacuation time estimates, including those associated with staged evacuation protective actions. The document also identifies the importance of using approved emergency response plans and existing traffic control information to reflect the expected response actions during an emergency. | 12/05/2011 | NSIR | No | 07/21/2011 | 12/05/2011 | ML113010515 | 76 FR 75771 |
| 152 | NUREG/CR-7032, Developing an Emergency Risk Communication (ERC)/Joint Information Center (JIC) Plan for a Radiological Emergency | NUREG/ Contractor Report | Provides best practices for nuclear power reactor licensees and emergency response organizations on developing an Emergency Risk Communication and Joint Information Center plan for a radiological emergency. | 02/28/2011 | NSIR | No | **** | **** | ML110490119 | N/A |
| 153 | NUREG/CR-7033, Guidance on Developing Effective Radiological Risk Communication Messages: Effective Message Mapping and Risk Communication with the Public in Nuclear Plant Emergency Planning Zones | NUREG/ Contractor Report | Provides guidance for nuclear power reactor licensees and local response organizations on message development for radiological emergencies. | 02/28/2011 | NSIR | No | **** | **** | ML110490120 | N/A |
| 154 | NUREG/CR-7154, Volume 1, Risk Informing Emergency Preparedness Oversight: Evaluation of Emergency Action Levels — A Pilot Study of Peach Bottom, Surry and Sequoyah | NUREG/ Contractor Report | Provides generic and plant specific insights to be considered when developing future risk informed emergency planning regulatory activities. | 01/01/2013 | NSIR | No | **** | **** | ML13031A500 | N/A |

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| 155 | NUREG/CR-7154, Volume 2, Risk Informing Emergency Preparedness Oversight: Evaluation of Emergency Action Levels — A Pilot Study of Peach Bottom, Surry and Sequoyah | NUREG/ Contractor Report | Explores the feasibility of using probabilistic risk assessment to provide risk insights about Emergency Action Level schemes. | 01/01/2013 | NSIR | No | **** | ***** | ML13031A501 | N/A |
| 156 | NUREG/CR-7160, Emergency Preparedness Significance Quantification Process: Proof of Concept | NUREG/ Contractor Report | To improve prioritization of regulatory activities, a decision process has been developed to aid in the determination of risk significance of Emergency Preparedness (EP) program elements. The DedUctive Quantification Index method was developed and used in a proof of concept application for two representative nuclear power plant sites, and applied to determine risk significance of specific EP elements. | 06/01/2013 | NSIR | No | **** | ***** | ML13164A285 | N/A |
| 157 | NUREG/CR-7195, Risk-Informed and Performance-Based Oversight of Radiological Emergency Response Programs | NUREG/ Contractor Report | Provides a concept for performance-based oversight of offsite radiological emergency response preparedness in jurisdictions surrounding commercial nuclear power plants. The report proposes an initial set of objective performance indicators for demonstration in drills and exercises. | 05/01/2015 | NSIR | No | **** | ***** | ML15134A035 | N/A |
| 158 | NUREG/CR 7201, Characterizing Explosive Effects on Underground Structures | NUREG | Reviews the effects explosions close to the ground surface and in contact with the ground surface have on underground structures, with emphasis on the Vehicle Borne Improvised Explosive Devices | 09/01/2015 | NSIR | No | **** | ***** | ML15245A640 | N/A |
| 159 | NUREG/CR-7183, Best Practices for Behavioral Observation Programs at Operating Power Reactors and Power Reactor Construction Sites | NUREG | Identifies best practices associated with behavioral observation programs (BOP) used by a cross-section of Federal agencies and private entities, discusses the need for effective BOPs at power reactors, and power reactor construction sites, and presents insights and recommendations to improve BOP performance | 06/30/2014 | NSIR | No | **** | ***** | ML14189A355 | N/A |

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| 160 | NUREG/CR-7145, Nuclear Power Plant Security Assessment Guide | NUREG | Provides detailed guidance for the format and content of a security assessment of a commercial nuclear power plant | 04/01/2013 | NSIR | No | **** | ***** | ML13122A181 | N/A |
| 161 | NUREG-0561 Rev. 2, Physical Protection of Shipments of Irradiated Reactor Fuel | NUREG | Sets forth means, methods and procedures that the NRC considers acceptable for satisfying the requirements for the physical protection of spent nuclear fuel (SNF) during transportation by road, rail and water, and for satisfying the requirements for background investigations of individuals granted unescorted access to SNF during transportation | 04/01/2013 | NSIR | No | 06/01/2012 | ***** | ML13120A230 | 78 FR 31821 |
| 162 | NUREG-1964, Access Control Systems: Technical Information for NRC Licensees | NUREG | Provides technical details applicable to access control methods and technologies commonly used to protect facilities licensed by the NRC | 04/01/2011 | NSIR | No | **** | ***** | ML11115A078 | N/A |
| 163 | NUREG-0725 Rev. 15, Public Information Circular For Shipments of Irradiated Reactor Fuel | NUREG | Provides information on the shipment of irradiated reactor fuel subject to regulation by the NRC | 05/01/2010 | NSIR | No | **** | ***** | ML101390089 | N/A |
| 164 | | | | | | | | | | |
| 165 | | | | | | | | | | |
| 166 | Office of Nuclear Regulatory Research | | | | | | | | | |
| 167 | 1. Title | 2. Form of Guidance | 3. Subject Description | 4. Date of Issuance | 5. Issuing Office | 6a. Major rule under CRA? | 6b. Date Requested OMB Major/Non-Major CRA Determination and/or Submitted to OMB under Paperwork Reduction Act (PRA)** | 6c. Date submitted to Congress and GAO Under CRA | 7. If Applicable, Hyperlink or ADAMS Number | 7. If applicable, Federal Register Citation |
| 168 | New RGs | | | | | | | | | |
| 169 | Regulatory Guide 1.210, "Qualification of Safety-Related Battery Chargers and Inverters" | Guidance | As described in document title | 06/06/2008 | RES | No | N/A* | ***** | ML080640184 | 73 FR 32362 |
| 170 | Regulatory Guide 4.21, "Minimization of Contamination and Radioactive Waste Generation: Life-Cycle Planning" | Guidance | As described in document title | 06/19/2008 | RES | No | N/A* | ***** | ML080500187 | 73 FR 33465 |
| 171 | Regulatory Guide 1.212, "Sizing Of Large Lead-Acid Storage Batteries" | Guidance | As described in document title | 11/25/2008 | RES | No | N/A* | ***** | ML082740047 | 73 FR 71705 |
| 172 | Regulatory Guide 5.77, "Insider Mitigation Program" | Guidance | As described in document title | 03/01/2009 | RES | No | N/A* | ***** | non-public***** | N/A |
| 173 | Regulatory Guide 5.73, "Fatigue Management for Nuclear Power Plant Personnel" | Guidance | As described in document title | 03/31/2009 | RES | No | N/A* | ***** | ML083450028 | 74 FR 11979 |

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| 174 | Regulatory Guide 1.211, "Qualification of Safety-Related Cables and Field Splices for Nuclear Power Plants" | Guidance | As described in document title | 04/01/2009 | RES | No | N/A* | ***** | ML082530205 | 74 FR 18000 |
| 175 | Regulatory Guide 1.214, "Response Procedures for Potential or Actual Aircraft Attacks" | Guidance | As described in document title | 04/21/2009 | RES | No | N/A* | ***** | non-public***** | 74 FR 13926 |
| 176 | Regulatory Guide 1.213, "Qualification of Safety-Related Motor Control Centers for Nuclear Power Plants" | Guidance | As described in document title | 05/31/2009 | RES | No | N/A* | ***** | ML083010447 | 74 FR 22985 |
| 177 | Regulatory Guide 5.74, "Managing the Safety / Security Interface" | Guidance | As described in document title | 06/01/2009 | RES | No | N/A* | ***** | ML091690036 | 74 FR 32197 |
| 178 | Regulatory Guide 5.76, "Nuclear Power Reactor Physical Security Programs" | Guidance | As described in document title | 07/01/2009 | RES | No | N/A* | ***** | non-public***** | N/A |
| 179 | Regulatory Guide 5.75, "Training and Qualification of Security Personnel at Nuclear Power Reactor Facilities" | Guidance | As described in document title | 07/09/2009 | RES | No | N/A* | ***** | ML091690037 | 74 FR 34052 |
| 180 | Regulatory Guide 1.215, "Guidance for ITAAC Closure Under 10 CFR Part 52" | Guidance | As described in document title | 10/20/2009 | RES | No | N/A* | ***** | ML091480076 | 74 FR 53785 |
| 181 | Regulatory Guide 5.71, "Cyber Security Programs for Nuclear Facilities" | Guidance | As described in document title | 01/07/2010 | RES | No | N/A* | ***** | ML090340159 | 75 FR 1830 |
| 182 | Regulatory Guide 5.78, "Physical Protection of Mixed-Oxide Fuels in Nuclear Power Plants" (SGI) | Guidance | As described in document title | 07/08/2010 | RES | No | N/A* | ***** | non-public***** | N/A |
| 183 | Regulatory Guide 8.40, "Methods for Measuring Effective Dose Equivalent from External Exposure" | Guidance | As described in document title | 07/20/2010 | RES | No | N/A* | 07/30/2010 | ML100610534 | 75 FR 42170 |
| 184 | Regulatory Guide 1.216, "Containment Structural Integrity Evaluation for Internal Pressure Loadings Above Design-Basis Pressure" | Guidance | As described in document title | 08/01/2010 | RES | No | N/A* | 08/10/2010 | ML093200703 | 75 FR 48381 |
| 185 | Regulatory Guide 5.81, "Target Set Identification and Development for Nuclear Power Reactors" (OUO-SRI) | Guidance | As described in document title | 11/30/2010 | RES | No | N/A* | ***** | non-public***** | N/A |
| 186 | Regulatory Guide 5.80, "Pressure-Sensitive and Tamper-Indicating Device Seals for Material Control and Accounting of Special Nuclear Material" | Guidance | As described in document title | 12/17/2010 | RES | No | N/A* | 12/20/2010 | ML101800504 | 75 FR 79049 |
| 187 | Regulatory Guide 5.79, "Protection of Safeguards Information" | Guidance | As described in document title | 04/08/2011 | RES | No | N/A* | 04/18/2011 | ML103270219 | 76 FR 19817 |

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| 188 | Regulatory Guide 1.217, "Guidance for the Assessment of Beyond-Design-Basis Aircraft Impacts" | Guidance | As described in document title | 08/12/2011 | RES | No | N/A* | 08/12/2011 | ML092900004 | 76 FR 50275 |
| 189 | Regulatory Guide 1.221, "Design-Basis Hurricane and Hurricane Missiles for Nuclear Power Plants" | Guidance | As described in document title | 10/14/2011 | RES | No | N/A* | 10/12/2011 | ML110940300 | 76 FR 63541 |
| 190 | Regulatory Guide 1.219, "Guidance on Making Changes to Emergency Plans for Nuclear Power Reactors" | Guidance | As described in document title | 11/30/2011 | RES | No | N/A* | 01/09/2012 | ML102510626 | 76 FR 74630 |
| 191 | Regulatory Guide 3.74, "Guidance for Fuel Cycle Facility Change Processes" | Guidance | As described in document title | 01/06/2012 | RES | No | N/A* | 01/09/2012 | ML100890016 | 77 FR 823 |
| 192 | Regulatory Guide 4.22, "Decommissioning Planning During Operations" | Guidance | As described in document title | 12/31/2012 | RES | No | N/A* | ***** | ML12158A361 | 78 FR 663 |
| 193 | Regulatory Guide 1.79.1, "Initial Test Program of Emergency Core Cooling Systems for New Boiling-Water Reactors" | Guidance | As described in document title | 10/24/2013 | RES | No | N/A* | 10/17/2013 | ML12300A329 | 78 FR 63516 |
| 194 | Regulatory Guide 3.75, "Corrective Action Programs for Fuel Cycle Facilities" | Guidance | As described in document title | 07/09/2014 | RES | No | N/A* | ***** | ML14139A321 | 79 FR 41315 |
| 195 | Regulatory Guide 7.13, "Transportation Security Plans for Classified Matter Shipments" (OUO-SRI) | Guidance | As described in document title | 06/09/2015 | RES | No | N/A* | ***** | non-public***** | 80 FR 32622 |
| 196 | Regulatory Guide 5.84, "Fitness-for-Duty Programs at New Reactor Construction Sites" | Guidance | As described in document title | 08/11/2015 | RES | No | N/A* | ***** | ML15083A412 | 80 FR 48348 |
| 197 | Regulatory Guide 5.83, "Cyber Security Event Notifocations" | Guidance | As described in document title | 11/02/2015 | RES | No | N/A* | 12/02/2015 | ML14269A388 | 80 FR 67275 |
| 198 | Regulatory Guide 4.24, "Aquatic Environmental Studies for Nuclear Power Stations" | Guidance | As described in document title | 12/28/2016 | RES | No | N/A* | ***** | ML15309A219 | 81 FR 95655 |
| 199 | Regulatory Guide 4.25, "Assessment of Abnormal Radionuclide Discharges in Ground Water to the Unrestricted Area at Nuclear Power Plant Sites" | Guidance | As described in document title | 03/10/2017 | RES | No | N/A* | ***** | ML16253A333 | 82 FR 13875 |
| 200 | Regulatory Guide 5.41, "Shipping, Receiving, and Internal Transfer of Special Nuclear Material At Fuel Cycle Facilities" | Guidance | As described in document title | 05/31/2017 | RES | No | N/A* | ***** | ML16348A213 | 82 FR 24997 |
| 201 | Regulatory Guide 1.164, "Dedication of Commercial-Grade Items for Use in Nuclear Power Plants" | Guidance | As described in document title | 06/27/2017 | RES | No | N/A* | ***** | ML17041A206 | 82 FR 29120 |

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| 202 | Regulatory Guide 5.88, "Physical Inventories and Material Balances at Fuel Cycle Facilities" | Guidance | As described in document title | 09/27/2017 | RES | No | N/A* | ***** | ML17167A292 | 82 FR 45074 |
| 203 | RG Revised | | | | | | | | | |
| 204 | Regulatory Guide 1.45, "Guidance on Monitoring and Responding to Reactor Coolant System Leakage" | Guidance | As described in document title | 05/28/2008 | RES | No | N/A* | ***** | ML073200271 | 73 FR 30648 |
| 205 | Regulatory Guide 3.65, "Standard Format and Content of Decommissioning Plans for Materials Licensees" | Guidance | As described in document title | 05/30/2008 | RES | No | N/A* | ***** | ML081130703 | 73 FR 31154 |
| 206 | Regulatory Guide 3.66, "Standard Format and Content of Financial Assurance Mechanisms" | Guidance | As described in document title | 05/30/2008 | RES | No | N/A* | ***** | ML081130718 | 73 FR 31155 |
| 207 | Regulatory Guide 6.4, "Verification of Containment Properties of Sealed Radioactive Sources" | Guidance | As described in document title | 06/30/2008 | RES | No | N/A* | ***** | ML081140487 | 73 FR 36135 |
| 208 | Regulatory Guide 10.2, "Guidance to Academic Institutions Applying for Specific Byproduct Material Licenses of Limited Scope" | Guidance | As described in document title | 07/31/2008 | RES | No | N/A* | ***** | ML081140578 | 73 FR 39994 |
| 209 | Regulatory Guide 10.3, "Guide for the Preparation of Applications for Special Nuclear Material Licenses for Less than Critical Mass Quantities" | Guidance | As described in document title | 07/31/2008 | RES | No | N/A* | ***** | ML081140586 | 73 FR 39340 |
| 210 | Regulatory Guide 10.5, "Applications for a Type A License of Broad Scope" | Guidance | As described in document title | 07/31/2008 | RES | No | N/A* | ***** | ML081140591 | 73 FR 39993 |
| 211 | Regulatory Guide 10.6, "Guide for the Preparation of Applications for an Industrial Radiography License" | Guidance | As described in document title | 07/31/2008 | RES | No | N/A* | ***** | ML081140599 | 73 FR 39735 |
| 212 | Regulatory Guide 6.1, "Leak Testing Radioactive Brachytherapy Sources" | Guidance | As described in document title | 07/31/2008 | RES | No | N/A* | ***** | ML081140467 | 73 FR 39994 |
| 213 | Regulatory Guide 6.2, "Integrity and Test Specifications for Selected Brachytherapy Sources" | Guidance | As described in document title | 07/31/2008 | RES | No | N/A* | ***** | ML081140471 | 73 FR 42377 |
| 214 | Regulatory Guide 6.5, "General Safety Standards for Installations Using Nonmedical Sealed Gamma-Ray Sources" | Guidance | As described in document title | 07/31/2008 | RES | No | N/A* | ***** | ML081140498 | 73 FR 39993 |
| 215 | Regulatory Guide 10.7, "Guide for the Preparation of Applications for Licenses for Laboratory and Industrial Use of Small Quantities of Byproduct Material" | Guidance | As described in document title | 09/30/2008 | RES | No | N/A* | ***** | ML081960533 | 73 FR 55544 |

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| 216 | Regulatory Guide 10.8, "Guide for the Preparation of Applications for Medical Use Programs" | Guidance | As described in document title | 09/30/2008 | RES | No | N/A* | ***** | ML081960579 | 73 FR 53451 |
| 217 | Regulatory Guide 10.9, "Guide for the Preparation of Applications for the Use of Self-Contained Dry Source-Storage Gamma Irradiators" | Guidance | As described in document title | 09/30/2008 | RES | No | N/A* | ***** | ML081960560 | 73 FR 55544 |
| 218 | Regulatory Guide 1.114, "Guidance to Operators at the Controls and to Senior Operators in the Control Room of a Nuclear Power Unit" | Guidance | As described in document title | 10/31/2008 | RES | No | N/A* | ***** | ML082380236 | 73 FR 64000 |
| 219 | Regulatory Guide 3.11, "Design, Construction, and Inspection of Embankment Retention Systems at Uranium Recovery Facilities" | Guidance | As described in document title | 11/30/2008 | RES | No | N/A* | ***** | ML082380144 | 73 FR 66686 |
| 220 | Regulatory Guide 3.25, "Standard Format and Content of Safety Analysis Reports for Uranium Enrichment Facilities" | Guidance | As described in document title | 01/07/2009 | RES | No | N/A* | ***** | ML082690559 | 74 FR 728 |
| 221 | Regulatory Guide 1.125, "Physical Models for Design and Operation of Hydraulic Structures and Systems for Nuclear Power Plants" | Guidance | As described in document title | 03/24/2009 | RES | No | N/A* | ***** | ML082810208 | 74 FR 12401 |
| 222 | Regulatory Guide 1.200, "An Approach for Determining the Technical Adequacy of Probabilistic Risk Assessment Results for Risk-Informed Activities" | Guidance | As described in document title | 03/31/2009 | RES | No | N/A* | ***** | ML090410014 | 74 FR 11381 |
| 223 | Regulatory Guide 10.4, "Guide for the Preparation of Applications for Licenses to Process Source Material" | Guidance | As described in document title | 03/31/2009 | RES | No | N/A* | ***** | ML083650402 | 74 FR 11382 |
| 224 | Regulatory Guide 3.16, "General Fire Protection Guide for Plutonium Processing and Fuel Fabrication Plants" | Guidance | As described in document title | 04/17/2009 | RES | No | N/A* | ***** | ML083650087 | 74 FR 17885 |
| 225 | Regulatory Guide 1.69, "Concrete Radiation Shields and Generic Shield Testing for Nuclear Power Plants" | Guidance | As described in document title | 05/31/2009 | RES | No | N/A* | ***** | ML090820425 | 74 FR 26893 |
| 226 | Regulatory Guide 3.52, "Standard Format and Content for the Health and Safety Sections of License Renewal Applications for Uranium Processing and Fuel Fabrication" | Guidance | As described in document title | 06/03/2009 | RES | No | N/A* | ***** | ML091050098 | 74 FR 26737 |

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| 227 | Regulatory Guide 1.21, "Measuring, Evaluating, and Reporting Radioactive Material in Liquid and Gaseous Effluents and Solid Waste" | Guidance | As described in document title | 06/15/2009 | RES | No | N/A* | ***** | ML091170109 | 74 FR 29243 |
| 228 | Regulatory Guide 4.1, "Radiological Environmental Monitoring for Nuclear Power Plants" | Guidance | As described in document title | 06/30/2009 | RES | No | N/A* | ***** | ML091310141 | 74 FR 29244 |
| 229 | Regulatory Guide 5.54, "Standard Format and Content of Safeguards Contingency Plans for Nuclear Power Plants" (SGI) | Guidance | As described in document title | 06/30/2009 | RES | No | N/A* | ***** | non-public***** | N/A |
| 230 | Regulatory Guide 1.100, "Seismic Qualification of Electric and Mechanical Equipment for Nuclear Power Plants" | Guidance | As described in document title | 09/21/2009 | RES | No | N/A* | ***** | ML091320468 | 74 FR 47977 |
| 231 | Regulatory Guide 1.189, "Fire Protection for Nuclear Power Plants" | Guidance | As described in document title | 10/31/2009 | RES | No | N/A* | ***** | ML092580550 | 74 FR 56673 |
| 232 | Regulatory Guide 1.205, "Risk-Informed, Performance-Based Fire Protection for Existing Light-Water Nuclear Power Plants" | Guidance | As described in document title | 12/18/2009 | RES | No | N/A* | ***** | ML092730314 | 74 FR 67253 |
| 233 | Regulatory Guide 1.40, "Qualification of Continuous Duty Safety-Related Motors for Nuclear Power Plants" | Guidance | As described in document title | 02/28/2010 | RES | No | N/A* | ***** | ML093080087 | 75 FR 9627 |
| 234 | Regulatory Guide 1.47, "Bypassed and Inoperable Status Indication for Nuclear Power Plant Safety Systems" | Guidance | As described in document title | 02/28/2010 | RES | No | N/A* | ***** | ML092330064 | 75 FR 9626 |
| 235 | Regulatory Guide 1.11, "Instrument Lines Penetrating the Primary Reactor Containment" | Guidance | As described in document title | 03/31/2010 | RES | No | N/A* | ***** | ML100250396 | 75 FR 16525 |
| 236 | Regulatory Guide 1.126, "An Acceptable Model and Related Statistical Methods for the Analysis of Fuel Densification" | Guidance | As described in document title | 03/31/2010 | RES | No | N/A* | ***** | ML093360318 | 75 FR 16202 |
| 237 | Regulatory Guide 1.68.2, "Initial Startup Test Program to Demonstrate Remote Shutdown Capability for Water-Cooled Nuclear Power Plants" | Guidance | As described in document title | 04/21/2010 | RES | No | N/A* | ***** | ML100610034 | 75 FR 20868 |
| 238 | Regulatory Guide 1.65, "Materials and Inspections for Reactor Vessel Closure Studs" | Guidance | As described in document title | 04/30/2010 | RES | No | N/A* | ***** | ML092050716 | 75 FR 19665 |

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| 239 | Regulatory Guide 6.9, "Establishing Quality Assurance Programs for the Manufacture and Distribution of Sealed Sources and Devices Containing Byproduct Material" | Guidance | As described in document title | 04/30/2010 | RES | No | N/A* | ***** | ML100490731 | 75 FR 20399 |
| 240 | Regulatory Guide 6.7, "Preparation of an Environmental Report To Support a Rulemaking Petition Seeking an Exemption for a Radionuclide-Containing Product" | Guidance | As described in document title | 05/17/2010 | RES | No | N/A* | ***** | ML100331338 | 75 FR 27599 |
| 241 | Regulatory Guide 2.5, "Quality Assurance Program Requirements for Research and Test Reactors" | Guidance | As described in document title | 06/30/2010 | RES | No | N/A* | 07/06/2010 | ML093520099 | 75 FR 37842 |
| 242 | Regulatory Guide 1.28, Rev. 4, "Quality Assurance Program Criteria (Design and Construction)" | Guidance | As described in document title | 06/11/2010 | RES | No | N/A* | ***** | ML100160003 | 75 FR 33361 |
| 243 | Regulatory Guide 1.62, "Manual Initiation of Protective Actions" | Guidance | As described in document title | 06/30/2010 | RES | No | N/A* | ***** | ML092530559 | 75 FR 36715 |
| 244 | Regulatory Guide 1.141, "Containment Isolation Provisions for Fluid Systems" | Guidance | As described in document title | 07/22/2010 | RES | No | N/A* | 07/30/2010 | ML092850042 | 75 FR 43207 |
| 245 | Regulatory Guide 1.151, "Instrument Sensing Lines" | Guidance | As described in document title | 08/02/2010 | RES | No | N/A* | 08/09/2010 | ML092330219 | 75 FR 45173 |
| 246 | Regulatory Guide 3.13, "Design, Construction, and Inspection of Embankment Retention Systems at Fuel Cycle Facilities" | Guidance | As described in document title | 08/02/2010 | RES | No | N/A* | 08/09/2010 | ML101470167 | 75 FR 45171 |
| 247 | Regulatory Guide 8.35, "Planned Special Exposure" | Guidance | As described in document title | 08/31/2010 | RES | No | N/A* | 08/30/2010 | ML101370008 | 75 FR 52999 |
| 248 | Regulatory Guide 1.147, "Inservice Inspection Code Case Acceptability, ASME Section XI, Division 1" | Guidance | As described in document title | 10/05/2010 | RES | No | N/A* | 10/05/2010 | ML101800536 | 75 FR 61321 |
| 249 | Regulatory Guide 1.193, "ASME Code Cases Not Approved for Use" | Guidance | As described in document title | 10/05/2010 | RES | No | N/A* | 10/05/2010 | ML101800540 | 75 FR 61531 |
| 250 | Regulatory Guide 1.84, "Design, Fabrication, and Materials Code Case Acceptability, ASME Section III" | Guidance | As described in document title | 10/05/2010 | RES | No | N/A* | 10/05/2010 | ML101800532 | 75 FR 61321 |
| 251 | Regulatory Guide 1.54, "Service Level I, II, and III Protective Coatings Applied to Nuclear Power Plants" | Guidance | As described in document title | 10/31/2010 | RES | No | N/A* | 10/13/2010 | ML102230344 | 75 FR 62436 |
| 252 | Regulatory Guide 3.12, "General Design Guide for Ventilation Systems of Plutonium Processing and Fuel Fabrication Plants" | Guidance | As described in document title | 12/17/2010 | RES | No | N/A* | 12/20/2010 | ML102730431 | 75 FR 79049 |

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| 253 | Regulatory Guide 4.16, "Monitoring and Reporting Radioactive Materials in Liquid and Gaseous Effluents from Nuclear Fuel Cycle Facilities" | Guidance | As described in document title | 12/28/2010 | RES | No | N/A* | ***** | ML101720291 | 75 FR 81675 |
| 254 | Regulatory Guide 3.71, "Nuclear Criticality Safety Standards for Fuels and Material Facilities" | Guidance | As described in document title | 01/03/2011 | RES | No | N/A* | 01/13/2011 | ML103210345 | 76 FR 189 |
| 255 | Regulatory Guide 1.34, "Control of Electroslag Weld Properties" | Guidance | As described in document title | 03/31/2011 | RES | No | N/A* | ***** | ML101670357 | 76 FR 14108 |
| 256 | Regulatory Guide 1.43, "Control of Stainless Steel Weld Cladding of Low-Alloy Steel Components" | Guidance | As described in document title | 03/31/2011 | RES | No | N/A* | ***** | ML101670458 | 76 FR 14107 |
| 257 | Regulatory Guide 1.44, "Control of the Processing and Use of Stainless Steel" | Guidance | As described in document title | 03/31/2011 | RES | No | N/A* | 04/05/2011 | ML101680225 | 76 FR 18262 |
| 258 | Regulatory Guide 1.50, "Control of Preheat Temperature for Welding of Low-Alloy Steel" | Guidance | As described in document title | 03/31/2011 | RES | No | N/A* | ***** | ML101870612 | 76 FR 14108 |
| 259 | Regulatory Guide 1.149, "Nuclear Power Plant Simulation Facilities for Use in Operator Training, License Examinations, and Applicant Experience Requirements." | Guidance | As described in document title | 04/15/2011 | RES | No | N/A* | 04/18/2011 | ML110420119 | 76 FR 20052 |
| 260 | Regulatory Guide 3.67, "Standard Format and Content for Emergency Plans for Fuel Cycle and Materials Facilities" | Guidance | As described in document title | 05/02/2011 | RES | No | N/A* | 05/02/2011 | ML103360487 | 76 FR 24539 |
| 261 | Regulatory Guide 8.18, "Information Relevant to Ensuring that Radiation Exposures at Medical Institutions Will Be as Low as Is Reasonably Achievable" | Guidance | As described in document title | 05/05/2011 | RES | No | N/A* | 05/02/2011 | ML102350460 | 76 FR 25725 |
| 262 | Regulatory Guide 1.177, "An Approach for Plant-Specific, Risk-Informed Decisionmaking: Technical Specifications" | Guidance | As described in document title | 05/06/2011 | RES | No | N/A* | 05/16/2011 | ML100910008 | 76 FR 28102 |
| 263 | Regulatory Guide 1.174, "An Approach for Using Probabilistic Risk Assessment in Risk-Informed Decisions on Plant-Specific Changes to the Licensing Basis" | Guidance | As described in document title | 05/31/2011 | RES | No | N/A* | 05/16/2011 | ML100910006 | 76 FR 28102 |
| 264 | Regulatory Guide 8.2, "Administrative Practices in Radiation Surveys and Monitoring" | Guidance | As described in document title | 05/31/2011 | RES | No | N/A* | 05/31/2011 | ML110460093 | 76 FR 31382 |

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| 265 | Regulatory Guide 1.107, "Qualifications for Cement Grouting for Prestressing Tendons in Containment Structures" | Guidance | As described in document title | 06/29/2011 | RES | No | N/A* | 07/05/2011 | ML110550732 | 76 FR 38213 |
| 266 | Regulatory Guide 1.179, "Standard Format and Content of License Termination Plans for Nuclear Power Reactors" | Guidance | As described in document title | 06/30/2011 | RES | No | N/A* | 08/01/2011 | ML110490419 | 76 FR 38212 |
| 267 | Regulatory Guide 8.4, "Personnel Monitoring Device - Direct-Reading Pocket Dosimeters" | Guidance | As described in document title | 06/30/2011 | RES | No | N/A* | 07/05/2011 | ML101900087 | 76 FR 35922 |
| 268 | Regulatory Guide 1.152, "Criteria for Use of Computers in Safety Systems of Nuclear Power Plants" | Guidance | As described in document title | 07/12/2011 | RES | No | N/A* | 07/11/2011 | ML102870022 | 76 FR 40943 |
| 269 | Regulatory Guide 1.156, "Qualification of Connection Assemblies for Nuclear Power Plants" | Guidance | As described in document title | 08/03/2011 | RES | No | N/A* | 08/02/2011 | ML111730464 | 76 FR 46856 |
| 270 | Regulatory Guide 3.39, "Standard Format and Content of License Applications for Mixed Oxide Fuel Fabrication Facilities" | Guidance | As described in document title | 10/21/2011 | RES | No | N/A* | 11/01/2011 | ML100280809 | 76 FR 65544 |
| 271 | Regulatory Guide 1.159, "Assuring the Availability of Funds for Decommissioning Nuclear Reactors" | Guidance | As described in document title | 10/31/2011 | RES | No | N/A* | 11/01/2011 | ML112160012 | 76 FR 65541 |
| 272 | Regulatory Guide 5.66, "Access Authorization Program for Nuclear Power Plants" | Guidance | As described in document title | 10/31/2011 | RES | No | N/A* | 11/01/2011 | ML112060028 | 76 FR 66089 |
| 273 | Regulatory Guide 1.115, "Protection Against Turbine Missiles" | Guidance | As described in document title | 01/24/2012 | RES | No | N/A* | 01/24/2012 | ML101650675 | 77 FR 3514 |
| 274 | Regulatory Guide 1.106, "Thermal Overload Protection for Electric Motors on Motor-Operated Valves" | Guidance | As described in document title | 02/28/2012 | RES | No | N/A* | 02/27/2012 | ML112580358 | 77 FR 11598 |
| 275 | Regulatory Guide 7.4, "Leakage Tests on Packages for Shipment of Radioactive Material" | Guidance | As described in document title | 03/09/2012 | RES | No | N/A* | 03/16/2012 | ML112520023 | 77 FR 14445 |
| 276 | Regulatory Guide 4.11, "Terrestrial Environmental Studies for Nuclear Power Stations" | Guidance | As described in document title | 03/27/2012 | RES | No | N/A* | 03/26/2012 | ML113350385 | 77 FR 18271 |
| 277 | Regulatory Guide 1.93, "Availability of Electric Power Sources" | Guidance | As described in document title | 03/28/2012 | RES | No | N/A* | 04/05/2012 | ML090550661 | 77 FR 18872 |
| 278 | Regulatory Guide 7.7, "Administrative Guide for Verifying Compliance with Packaging Requirements for Shipping and Receiving of Radioactive Material" | Guidance | As described in document title | 03/28/2012 | RES | No | N/A* | 04/05/2012 | ML112160407 | 77 FR 18871 |

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| 279 | Regulatory Guide 1.82, "Water Sources for Long-Term Recirculation Cooling Following a Loss-of-Coolant Accident" | Guidance | As described in document title | 04/02/2012 | RES | No | N/A* | 04/05/2012 | ML111330278 | 77 FR 19740 |
| 280 | Regulatory Guide 1.218, "Condition-Monitoring Techniques for Electric Cables Used in Nuclear Power Plants" | Guidance | As described in document title | 04/23/2012 | RES | No | N/A* | 04/23/2012 | ML103510447 | 77 FR 24228 |
| 281 | Regulatory Guide 4.20, "Constraint on Releases of Airborne Radioactive Materials to the Environment for Licensees Other Than Power Reactors" | Guidance | As described in document title | 04/25/2012 | RES | No | N/A* | 04/27/2012 | ML110120299 | 77 FR 24746 |
| 282 | Regulatory Guide 1.160, "Monitoring the Effectiveness of Maintenance at Nuclear Power Plants" | Guidance | As described in document title | 05/22/2012 | RES | No | N/A* | 05/23/2012 | ML113610098 | 77 FR 30030 |
| 283 | Regulatory Guide 1.215, Rev. 1, "Guidance for ITAAC Closure Under 10 CFR Part 52" | Guidance | As described in document title | 05/31/2012 | RES | No | N/A* | 05/29/2012 | ML112580018 | 77 FR 51880 |
| 284 | Regulatory Guide 8.24, "Health Physics Surveys During Enriched Uranium-235 Processing and Fuel Fabrication" | Guidance | As described in document title | 06/05/2012 | RES | No | N/A* | 06/04/2012 | ML110400305 | 77 FR 33253 |
| 285 | Regulatory Guide 1.68.1, "Initial Test Program of Condensate and Feedwater Systems for Light-Water Reactors" | Guidance | As described in document title | 09/11/2012 | RES | No | N/A* | 09/17/2012 | ML12160A169 | 77 FR 55877 |
| 286 | Regulatory Guide, 1.68.3, "Preoperational Testing of Instrument and Control Air Systems" | Guidance | As described in document title | 09/25/2012 | RES | No | N/A* | 09/24/2012 | ML12160A047 | 77 FR 59023 |
| 287 | Regulatory Guide 1.52, "Design, Inspection, and Testing Criteria for Air Filtration and Adsorption Units of Post-Accident Engineered-Safety-Feature Atmosphere Cleanup Systems in Light-Water-Cooled Nuclear Power Plants" | Guidance | As described in document title | 10/03/2012 | RES | No | N/A* | 10/09/2012 | ML12159A013 | 77 FR 60481 |
| 288 | Regulatory Guide 1.92, "Combining Modal Responses and Spatial Components in Seismic Response Analysis" | Guidance | As described in document title | 10/31/2012 | RES | No | N/A* | 10/01/2012 | ML12220A043 | 77 FR 69509 |
| 289 | Regulatory Guide 1.90, "Inservice Inspection of Prestressed Concrete Containment Structures with Grouted Tendons" | Guidance | As described in document title | 11/30/2012 | RES | No | N/A* | 11/16/2012 | ML11249A008 | 77 FR 69508 |

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| 290 | Regulatory Guide 1.91, "Evaluations of Explosions Postulated to Occur at Nearby Facilities and on Transportation Routes Near Nuclear Power Plants" | Guidance | As described in document title | 04/25/2013 | RES | No | N/A* | 04/23/2012 | ML12170A980 | 78 FR 24438 |
| 291 | Regulatory Guide 1.57, "Design Limits and Loading Combinations for Metal Primary Reactor Containment System Components" | Guidance | As described in document title | 05/16/2013 | RES | No | N/A* | 05/20/2013 | ML12325A043 | 78 FR 28896 |
| 292 | Regulatory Guide 2.3, "Quality Verification for Plate-Type Uranium-Aluminum Fuel Elements for Use in Research and Test Reactors" | Guidance | As described in document title | 06/06/2013 | RES | No | N/A* | 06/06/2013 | ML12160A492 | 78 FR 33132 |
| 293 | Regulatory Guide 1.68, "Initial Test Programs for Water-Cooled Nuclear Power Plants" | Guidance | As described in document title | 06/12/2013 | RES | No | N/A* | 06/06/2013 | ML13051A027 | 78 FR 35330 |
| 294 | Regulatory Guide 1.137, "Fuel Oil Systems for Emergency Power Supplies" | Guidance | As described in document title | 06/17/2013 | RES | No | N/A* | 06/18/2013 | ML12300A122 | 78 FR 36278 |
| 295 | Regulatory Guide 4.2S1, Preparation of Environmental Reports for Nuclear Power Plant License Renewal Applications" | Guidance | As described in document title | 06/24/2013 | RES | No | N/A* | ***** | ML13067A354 | 78 FR 37324 |
| 296 | Regulatory Guide 1.185, "Standard Format and Content for Post-Shutdown Decommissioning Activities Report" | Guidance | As described in document title | 06/27/2013 | RES | No | N/A* | 06/26/2013 | ML13140A038 | 78 FR 38739 |
| 297 | Regulatory Guide 5.29, "Special Nuclear Material Control and Accounting Systems for Nuclear Power Plants" | Guidance | As described in document title | 06/27/2013 | RES | No | N/A* | 06/26/2013 | ML13051A421 | 78 FR 38739 |
| 298 | Regulatory Guide 1.33, "Quality Assurance Program Requirements (Operation)" | Guidance | As described in document title | 06/30/2013 | RES | No | N/A* | 06/26/2013 | ML13109A458 | 78 FR 37850 |
| 299 | Regulatory Guide 1.124, "Service Limits and Loading Combinations for Class 1 Linear-Type Supports" | Guidance | As described in document title | 07/08/2013 | RES | No | N/A* | 07/15/2013 | ML13141A666 | 78 FR 40776 |
| 300 | Regulatory Guide 1.130, "Service Limits and Loading Combinations for Class 1 Plate-and-Shell-Type Supports" | Guidance | As described in document title | 07/08/2013 | RES | No | N/A* | 07/15/2013 | ML13141A667 | 78 FR 40776 |
| 301 | Regulatory Guide 1.169, "Configuration Management Plans for Digital Computer Software Used in Safety Systems of Nuclear Power Plants" | Guidance | As described in document title | 08/02/2013 | RES | No | N/A* | 07/31/2013 | ML12355A642 | 78 FR 47014 |

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| 302 | Regulatory Guide 1.171, "Software Unit Testing for Digital Computer Software Used in Safety Systems of Nuclear Power Plants" | Guidance | As described in document title | 08/02/2013 | RES | No | N/A* | 07/31/2013 | ML13004A375 | 78 FR 47011 |
| 303 | Regulatory Guide 1.172, "Software Requirement Specifications for Digital Computer Software and Complex Electronics Used in Safety Systems of Nuclear Power Plants" | Guidance | As described in document title | 08/02/2013 | RES | No | N/A* | 07/31/2013 | ML13007A173 | 78 FR 47015 |
| 304 | Regulatory Guide 1.173, "Developing Software Life-Cycle Processes for Digital Computer Software Used in Safety Systems of Nuclear Power Plants" | Guidance | As described in document title | 08/02/2013 | RES | No | N/A* | 07/31/2013 | ML13009A190 | 78 FR 47012 |
| 305 | Regulatory Guide 1.168, "Verification, Validation, Reviews, and Audits for Digital Computer Software Used in Safety Systems of Nuclear Power Plants" | Guidance | As described in document title | 08/06/2013 | RES | No | N/A* | 07/31/2013 | ML13073A210 | 78 FR 47804 |
| 306 | Regulatory Guide 1.170, "Test Documentation for Digital Computer Software Used in Safety Systems of Nuclear Power Plants" | Guidance | As described in document title | 08/06/2013 | RES | No | N/A* | 07/31/2013 | ML13003A216 | 78 FR 47805 |
| 307 | Regulatory Guide 1.129, "Maintenance, Testing, and Replacement of Vented Lead-Acid Storage Batteries for Nuclear Power Plants" | Guidance | As described in document title | 09/24/2013 | RES | No | N/A* | 09/30/2013 | ML13170A112 | 78 FR 58574 |
| 308 | Regulatory Guide 1.31, "Control of Ferrite Content in Stainless Steel Weld Metal" | Guidance | As described in document title | 10/04/2013 | RES | No | N/A* | 10/17/2013 | ML13211A485 | 78 FR 63517 |
| 309 | Regulatory Guide 1.110, "Cost-Benefit Analysis for Radwaste Systems for Light-Water-Cooled Nuclear Power Reactors" | Guidance | As described in document title | 10/07/2013 | RES | No | N/A* | 10/29/2013 | ML13241A052 | 78 FR 64029 |
| 310 | Regulatory Guide 1.184, "Decommissioning of Nuclear Power Reactors" | Guidance | As described in document title | 10/25/2013 | RES | No | N/A* | 10/17/2013 | ML13144A840 | 78 FR 64028 |
| 311 | Regulatory Guide 1.79, "Preoperational Testing of Emergency Core Cooling Systems for Pressurized-Water Reactors" | Guidance | As described in document title | 10/25/2013 | RES | No | N/A* | 10/17/2013 | ML113540207 | 78 FR 64027 |
| 312 | Regulatory Guide 1.73, "Qualification Tests for Safety-Related Actuators in Nuclear Power Plants" | Guidance | As described in document title | 11/08/2013 | RES | No | N/A* | 11/05/2013 | ML13210A463 | 78 FR 67206 |

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| 313 | Regulatory Guide 1.214, "Response Strategies for Potential Aircraft Threats" (OUO-SRI) | Guidance | As described in document title | 03/26/2014 | RES | No | N/A* | 04/04/2014 | non-public***** | 79 FR 16834 |
| 314 | Regulatory Guide 4.7, Rev 3, "General Site Suitability Criteria for Nuclear Power Stations" | Guidance | As described in document title | 03/28/2014 | RES | No | N/A* | 04/14/2014 | ML12188A053 | 79 FR 17582 |
| 315 | Regulatory Guide 8.22, Rev 2, "Bioassay at Uranium Mills" | Guidance | As described in document title | 05/30/2014 | RES | No | N/A* | 04/13/2016 | ML13350A638 | 79 FR 35387 |
| 316 | Regulatory Guide 1.60, "Design Response Spectra for Seismic Design of Nuclear Power Plants" | Guidance | As described in document title | 07/08/2014 | RES | No | N/A* | 04/26/2016 | ML13210A432 | 79 FR 40795 |
| 317 | Regulatory Guide 1.147, "Inservice Inspection Code Case Acceptability, ASME Section XI, Division 1" | Guidance | As described in document title | 08/31/2014 | RES | No | N/A* | 10/29/2014 | ML13339A689 | 79 FR 65775 |
| 318 | Regulatory Guide 1.192, "Operation and Maintenance Code Case Acceptability, ASME OM Code" | Guidance | As described in document title | 08/31/2014 | RES | No | N/A* | 10/29/2014 | ML13340A034 | 79 FR 65775 |
| 319 | Regulatory Guide 1.193, "ASME Code Cases Not Approved for Use" | Guidance | As described in document title | 08/31/2014 | RES | No | N/A* | 10/29/2014 | ML13350A001 | 79 FR 65775 |
| 320 | Regulatory Guide 1.84, "Design, Fabrication, and Materials Code Case Acceptability, ASME Section III" | Guidance | As described in document title | 08/31/2014 | RES | No | N/A* | 10/29/2014 | ML13339A515 | 79 FR 65775 |
| 321 | Regulatory Guide 8.20, "Applications of Bioassay for Radioiodine" | Guidance | As described in document title | 09/20/2014 | RES | No | N/A* | 03/25/2015 | ML14064A060 | 79 FR 54752 |
| 322 | Regulatory Guide 1.134, "Medical Assessment of Licensed Operators or Applicants for Operator Licenses at Nuclear Power Plants" | Guidance | As described in document title | 09/30/2014 | RES | No | N/A* | 10/14/2014 | ML14189A385 | 79 FR 60197 |
| 323 | Regulatory Guide 3.50, "Standard Format and Content for a Specific License Application for an Independent Spent Fuel Storage Installation or Monitored Retrievable Storage Facility" | Guidance | As described in document title | 09/30/2014 | RES | No | N/A* | 10/14/2014 | ML14043A080 | 79 FR 60191 |
| 324 | Regulatory Guide 1.138, "Laboratory Investigations of Soils and Rocks for Engineering Analysis and Design of Nuclear Power Plants" | Guidance | As described in document title | 12/18/2014 | RES | No | N/A* | 03/25/2015 | ML14289A600 | 80 FR 3264 |
| 325 | Regulatory Guide 5.74, "Managing the Safety/Security Interface" | Guidance | As described in document title | 04/20/2015 | RES | No | N/A* | 04/29/2015 | ML14323A549 | 80 FR 21770 |

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| 326 | Regulatory Guide 1.36, "Nonmetallic Thermal Insulation for Austenitic Stainless Steel" | Guidance | As described in document title | 05/21/2015 | RES | No | N/A* | ***** | ML15026A664 | 80 FR 29350 |
| 327 | Regulatory Guide 7.10, "Establishing Quality Assurance Programs for Packaging Used in Transport of Radioactive Material" | Guidance | As described in document title | 06/12/2015 | RES | No | N/A* | ***** | ML14064A505 | 80 FR 34010 |
| 328 | Regulatory Guide 8.11, Rev 1, "Applications of Bioassay for Uranium" | Guidance | As described in document title | 07/13/2015 | RES | No | N/A* | 04/19/2016 | ML15054A618 | 80 FR 40088 |
| 329 | Regulatory Guide 1.215, Rev. 2, "Guidelines for ITAAC Closure Under 10 CFR Part 52" | Guidance | As described in document title | 07/24/2015 | RES | No | N/A* | ***** | ML15105A447 | 80 FR 44157 |
| 330 | Regulatory Guide 5.27, "Special Nuclear Material Doorway Monitors" | Guidance | As described in document title | 08/30/2015 | RES | No | N/A* | ***** | ML14290A268 | 80 FR 47534 |
| 331 | Regulatory Guide 1.212, "Sizing Of Large Lead-Acid Storage Batteries" | Guidance | As described in document title | 10/26/2015 | RES | No | N/A* | ***** | ML15170A003 | 80 FR 65255 |
| 332 | Regulatory Guide 1.27, Rev 3, "Ultimate Heat Sink for Nuclear Power Plants" | Guidance | As described in document title | 11/23/2015 | RES | No | N/A* | 12/16/2015 | ML14107A411 | 80 FR 72996 |
| 333 | Regulatory Guide 1.127, "Criteria and Design Features for Inspection of Water Control Structures Associated with Nuclear Power Plants" | Guidance | As described in document title | 02/18/2016 | RES | No | N/A* | 03/22/2016 | ML15107A412 | 81 FR 8254 |
| 334 | Regulatory Guide 1.117, "Protection Against Extreme Wind Events and Missiles for Nuclear Power Plants" | Guidance | As described in document title | 07/01/2016 | RES | No | N/A* | ***** | ML15356A213 | 81 FR 45311 |
| 335 | Regulatory Guide 1.29, "Seismic Design Classification for Nuclear Power Plants" | Guidance | As described in document title | 07/15/2016 | RES | No | N/A* | ***** | ML16118A148 | 81 FR 46117 |
| 336 | Regulatory Guide 1.219, "Guidance on Making Changes to Emergency Plans for Nuclear Power Reactors" | Guidance | As described in document title | 07/27/2016 | RES | No | N/A* | 08/10/2016 | ML16061A104 | 81 FR 49279 |
| 337 | Regulatory Guide 8.10, "Operating Philosophy for Maintaining Occupational and Public Radiation Exposures as Low as Is Reasonably Achievable" | Guidance | As described in document title | 08/11/2016 | RES | No | N/A* | ***** | ML16105A136 | 81 FR 52974 |
| 338 | Regulatory Guide 1.140, "Design, Inspection, and Testing Criteria for Air Filtration and Adsorption Units of Normal Atmosphere Cleanup Systems in Light-Water-Cooled Nuclear Power Plants" | Guidance | As described in document title | 08/17/2016 | RES | No | N/A* | ***** | ML16070A277 | 81 FR 54855 |

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| 339 | Regulatory Guide 5.12, "General Use of Locks in the Protection and Control of: Facilities, Radioactive Materials, Classified Information, Classified Matter, and Safeguards Information" | Guidance | As described in document title | 10/05/2016 | RES | No | N/A* | ***** | ML15357A411 | 81 FR 69088 |
| 340 | Regulatory Guide 5.51, "Independent Assessment of Nuclear Material Control and Accounting Systems" | Guidance | As described in document title | 10/26/2016 | RES | No | N/A* | ***** | ML16223A915 | 81 FR 74484 |
| 341 | Regulatory Guide 8.7, "Instructions for Recording and Reporting Occupational Radiation Exposure Data" | Guidance | As described in document title | 12/08/2016 | RES | No | N/A* | ***** | ML16054A170 | 81 FR 88710 |
| 342 | Regulatory Guide 5.70, Rev 1, "Guidance for the Application of the Theft and Diversion Design-Basis Treat in the Design Development, and Implementaiton of a Physical Security Program that Meets CFR 73.45 and 73.46" (CONFIDENTIAL) | Guidance | As described in document title | 02/17/2017 | RES | No | N/A* | 07/21/2017 | non-public***** | 82 FR 11069 |
| 343 | Regulatory Guide 1.20, "Comprehensive Vibration Assessment Program for Reactor Internals During Preoperational and Initial Startup Testing" | Guidance | As described in document title | 02/28/2017 | RES | No | N/A* | 07/21/2017 | ML16056A338 | 82 FR 10505 |
| 344 | Regulatory Guide 1.26, "Quality Group Classifications and Standards for Water-, Steam-, and Radioactive-Waste-Containing Components of Nuclear Power Plants" | Guidance | As described in document title | 02/28/2017 | RES | No | N/A* | 07/21/2017 | ML16082A501 | 82 FR 12129 |
| 345 | Regulatory Guide 1.54, "Service Level I, II, and III, and In-Scope License Renewal Protective Coatings Applied to Nuclear Power Plants" | Guidance | As described in document title | 04/24/2017 | RES | No | N/A* | 07/21/2017 | ML17031A288 | 82 FR 19113 |
| 346 | Regulatory Guide 2.6, "Emergency Planning for Research and Test Reactors and Other Non-Power Production and Utilization Facilities" | Guidance | As described in document title | 09/27/2017 | RES | No | N/A* | ***** | ML17263A472 | 82 FR 45073 |
| 347 | Regulatory Guide 1.28, Rev. 5, "Quality Assurance Program Criteria (Design and Construction)" | Guidance | As described in document title | 10/19/2017 | RES | No | N/A* | ***** | ML17207A293 | 82 FR 48728 |
| 348 | Regulatory Guide 1.12, "Nuclear Power Plant Instrumentation for Earthquakes" | Guidance | As described in document title | 11/01/2017 | RES | No | N/A* | ***** | ML17094A831 | 82 FR 51879 |
| 349 | NUREGs | | | | | | | | | |

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| 350 | NUREG-2117, Revision 1, Practical Implementation Guidelines for SSHAC Level 3 and 4 Hazard Studies | Guidance | Provides guidance for performing probabilistic seismic hazard analysis. | 04/01/2012 | RES | No | **** | ***** | ML12118A445 | N/A |
| 351 | NUREG/CR-7004, Technical Basis for Regulatory Guidance on Design-Basis Hurricane-Borne Missile Speeds for Nuclear Power Plants | Guidance | Provides calculation method for hurricane-borne missile speeds that may be considered in the design of nuclear power plants. | 11/01/2011 | RES | No | **** | ***** | ML11341A102 | N/A |
| 352 | NUREG/CR-7114, A Framework for Low Power/Shutdown Fire PRA | Guidance | Presents a probabilistic risk assessment (PRA) framework for quantitatively analyzing fire risk in commercial nuclear power plants during low power and shutdown (LPSD) conditions, including the determination of core damage frequency (CDF) and large early release frequency (LERF). | 09/01/2013 | RES | No | **** | ***** | ML13260A155 | N/A |
| 353 | NUREG/CR-7005, Technical Basis for Regulatory Guidance on Design-Basis Hurricane Wind Speeds for Nuclear Power Plants | Guidance | Provides calculation method for analysis of peak-gust hurricane wind speeds for the simulation of 10 million years of hurricane wind speeds in the contiguous United States. | 11/01/2011 | RES | No | **** | ***** | ML11335A031 | N/A |
| 354 | NUREG/CR-7006, Review Guidelines for Field-Programmable Gate Arrays in Nuclear Power Plant Safety Systems | Guidance | Provides a compilation of safe field-programmable gate array (FPGA) design practices that can be used by NRC staff as guidance for reviewing FPGA-based safety systems in nuclear power plants. | 02/01/2010 | RES | No | **** | ***** | ML100880142 | N/A |
| 355 | NUREG-1934, EPRI 1023259, Nuclear Power Plant Fire Modeling Analysis Guidelines (NPP FIRE MAG) — Final Report | Guidance | Provides guidance on the validation and verification for fire models and discusses use of fire models in support of various commercial nuclear power plant fire hazard analysis applications. | 11/01/2012 | RES | No | **** | ***** | ML12314A165 | 76 FR 46330*** |
| 356 | NUREG-1921, EPRI/NRC-RES Fire Human Reliability Analysis Guidelines — Final Report | Guidance | Provides a method and associated guidance for conducting a fire human reliability analysis. | 07/01/2012 | RES | No | **** | ***** | ML12216A104 | 74 FR 65810***, 75 FR 5633*** |
| 357 | NUREG-1921 Supplement 1, EPRI/NRC-RES Fire Human Reliability Analysis Guidelines: Qualitative Guidance for Main Control Room Abandonment Scenarios | Guidance | Provides human reliability analysis and probabilistic risk assessment guidance on treatment of scenarios that require main control room abandonment in response to a fire event. | 04/01/2016 | RES | No | **** | ***** | ML16110A413 | N/A |

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| 358 | NUREG-2152, Computational Fluid Dynamics Best Practice Guidelines for Dry Cask Applications | Guidance | Provides guidance on how to verify the input model, validate results, and document the process for computational fluid dynamics. | 03/01/2013 | RES & NMSS | No | **** | ***** | ML13086A202 | 77 FR 64834*** |
| 359 | NUREG-1855, Revision 1, Guidance on the Treatment of Uncertainties Associated with PRAs in Risk-Informed Decisionmaking, Final Report | Guidance | Provides guidance on how to treat uncertainties associated with probabilistic risk assessment in risk-informed decisionmaking. | 03/01/2017 | RES | No | **** | 03/01/2017 | ML17062A466 | 78 FR 22349*** |
| 360 | Management Directive 6.4, "Generic Issues Program" | Management Directive | Provides staff guidance for assessing, evaluating, and resolving potential generic concerns regarding nuclear safety issues. | 01/02/2015 | RES | No | 01/06/2015 | 02/23/2015 | ML14245A048 | N/A |
| 361 | Management Directive 6.5, "NRC Participation in the Development and Use of Consensus Standards" | Management Directive | Provides staff guidance on participation on code committees and development of consensus standards. | 10/28/2016 | RES | No | 09/08/2011 | 01/18/2012 | ML16193A497 | N/A |
| 362 | Management Directive 8.1, "Abnormal Occurrence Reporting Procedure" | Management Directive | Provides guidance to staff supporting the development of an annual congressional report on abnormal occurrences. | 09/09/2011 | RES | No | 07/18/2011 | 09/26/2011 | ML111360014 | N/A |
| 363 | | | | | | | | | | |
| 364 | Office of Nuclear Material Safety and Safeguards | | | | | | | | | |
| 365 | 1. Title | 2. Form of Guidance | 3. Subject Description | 4. Date of Issuance | 5. Issuing Office | 6a. Major rule under CRA? | 6b. Date Requested OMB Major/Non-Major CRA Determination and/or Submitted to OMB under Paperwork Reduction Act (PRA)** | 6c. Date submitted to Congress and GAO Under CRA | 7. If Applicable, Hyperlink or ADAMS Number | 7. If applicable, Federal Register Citation |
| 366 | Generic Letter 2015-01, Treatment of Natural Phenomena Hazards in Fuel Cycle Facilities | Generic Letter | Discusses the treatment of natural phenomena hazards in fuel cycle facilities | 06/22/2015 | NMSS | No | **** | ***** (CRA); 04/01/2015 (PRA) | ML14328A029 | 80 FR 37028 |
| 367 | Consolidated Guidance about Materials Licenses: Program-Specific Guidance About Industrial Radiography Licenses (NUREG-1556, Volume 2, Rev. 1) | NUREG | This report provides guidance to an applicant applying for an industrial radiography license and provides the U.S. Nuclear Regulatory Commission (NRC) with criteria for evaluating such applications. | 02/01/2016 | NMSS | No | 04/01/2015 | ***** https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1556/v2/ | | 81 FR 64207 |

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| 368 | Consolidated Guidance about Materials Licenses: Applications for Sealed Source and Device Evaluation and Registration (NUREG-1556, Volume 3, Rev. 2) | NUREG | This report provides guidance to applicants on submitting requests to the U.S. Nuclear Regulatory Commission (NRC) for radiation safety evaluation and registration of sealed sources and devices (SSDs) containing byproduct material, and provides the NRC with criteria for evaluating such applications. | 09/01/2015 | NMSS | No | 05/06/2015 | 09/25/2015 | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1556/v3/ | 81 FR 64207 |
| 369 | Consolidated Guidance about Materials License Program-Specific Guidance About Fixed Gauge Licenses (NUREG-1556, Volume 4, Rev. 1) | NUREG | This report addresses a variety of radiation safety issues associated with fixed gauges of many designs. Typically, gauges are used for process control (e.g., to measure the thickness of paper, the density of coal, the level of material in vessels and tanks, and volumetric flow rate). | 07/01/2016 | NMSS | No | 05/06/2016 | 07/19/2016 | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1556/v4/ | 81 FR 64207 |
| 370 | Consolidated Guidance about Materials Licenses: Program-Specific Guidance About Medical Use Licenses (NUREG-1556, Volume 9, Rev. 2) | NUREG | This report is intended to provide guidance to individuals who are preparing an application for a license for the medical use of byproduct material as well as to NRC staff who review applications. | 01/01/2008 | NMSS | No | **** | **** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1556/v9/r2/ | 73 FR 8909 |
| 371 | Consolidated Guidance about Materials Licenses: Program-Specific Guidance About Master Materials Licenses (NUREG-1556, Volume 10, Rev. 1) | NUREG | This report provides guidance to Federal organizations preparing a new Master Materials License (MML) application and those Federal organizations with existing MMLs when applying for a license amendment. In addition, it provides the criteria the U.S. Nuclear Regulatory Commission (NRC) license reviewers and other NRC personnel use in reviewing MML applications and current MMLs. | 06/01/2016 | NMSS | No | 10/01/2015 | 07/19/2016 | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1556/v10/ | 81 FR 64207 |
| 372 | Consolidated Guidance about Materials Licenses: Program-Specific Guidance about Licenses of Broad Scope (NUREG-1556, Volume 11, Rev. 1) | NUREG | This NUREG provides guidance to an applicant applying for a broad scope license and also provides the U.S. Nuclear Regulatory Commission (NRC) staff with the criteria for evaluating such applications. | 02/01/2017 | NMSS | No | **** | 03/21/2017 | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1556/v11/ | 82 FR 16635 |

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| 373 | Consolidated Guidance about Materials Licenses: Guidance About Changes of Control and About Bankruptcy Involving Byproduct, Source, or Special Nuclear Materials Licenses (NUREG-1556, Volume 15, Rev. 1) | NUREG | The report contains guidance for licensees and, in some cases, license applicants to use in preparing a notification to NRC of a change of control or bankruptcy. It also contains NRC's criteria for evaluating such a notification and determining whether a new or amended license is needed. | 06/01/2016 | NMSS | No | 10/01/2015 | 07/19/2016 | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1556/v15/ | 81 FR 64207 |
| 374 | Consolidated Guidance about Materials Licenses: Program-Specific Guidance About Service Provider Licenses (NUREG-1556, Volume 18, Rev. 1) | NUREG | This report provides guidance to an applicant in preparing a service provider license application based on the risk significance of the proposed activity, as well as providing the U.S. Nuclear Regulatory Commission (NRC) staff with the appropriate criteria for evaluating such applications. | 08/01/2017 | NMSS | No | 11/30/2016 | **** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1556/v18/ | 82 FR 4612 |
| 375 | Consolidated Guidance about Materials Licenses: Guidance for Agreement State Licensees About NRC Form 241 "Report of Proposed Activities in Non-Agreement States, Areas of Exclusive Federal Jurisdiction, or Offshore Waters" and Guidance for NRC Licensees Proposing to Work in Agreement State Jurisdiction (Reciprocity) (NUREG-1556, Volume 19, Rev. 1) | NUREG | This report provides information important to Agreement State licensees on submitting the U.S. Nuclear Regulatory Commission (NRC) Form 241, "Report of Proposed Activities in Non-Agreement States, Areas of Exclusive Federal Jurisdiction, or Offshore Waters". | 06/01/2016 | NMSS | No | **** | 07/19/2016 | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1556/v19/ | 81 FR 64207 |
| 376 | Products Intended for Use by General Public (Consumer Products) - Policy Statement | Policy Statement | Update to policy statement on consumer products to reflect NRC's current approach to radiation protection, legislation that has been enacted since the policy was published in 1965, and subsequent approaches taken in the NRC's regulatory framework for exemptions | 01/16/2014 | NMSS | No | 07/02/2013 | 02/07/2014 | https://www.gpo.gov/fdsys/pkg/FR-2014-01-16/pdf/2014-00730.pdf | 79 FR 2907 |

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| 377 | Tribal Policy Statement | Policy Statement | Sets forth principles to be followed by the NRC staff to promote effective government-to-government interactions with American Indian and Alaska Native Tribes, and to encourage and facilitate Tribal involvement in the areas over which the Commission has jurisdiction. | 01/09/2017 | NMSS | No | **** | ***** | https://www.gpo.gov/fdsys/pkg/FR-2017-01-09/pdf/2017-00091.pdf | 82 FR 2402 |
| 378 | Implementation Guidance for 10 CFR Part 37, "Physical Protection of Category 1 and Category 2 Quantities of Radioactive Material" rev. 1 (NUREG-2155) | NUREG | The intent of this technical report is to provide guidance on, and to assist applicants and licensees in, the implementation of Title 10 of the Code of Federal Regulations (10 CFR) Part 37, "Physical Protection of Category 1 and Category 2 Quantities of Radioactive Material." This document describes methods that the U.S. Nuclear Regulatory Commission (NRC) finds acceptable for implementing the regulations. | 01/01/2015 | NMSS | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr2155/ | N/A |
| 379 | ViewRay System for Radiation Therapy | Licensing Guidance | The guidance provides applicants with an acceptable means of satisfying the requirements for a license to use the subject material, source, or device. | 07/24/2013 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML1317/ML13179A287/24/20137.pdf | N/A |
| 380 | TheraSphere® and SIR-Spheres® Yttrium-90 Microspheres, Draft Rev 10 | Licensing Guidance | The guidance provides applicants with an acceptable means of satisfying the requirements for a license to use the subject material, source, or device. | 10/30/2017 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML1710/ML17107A375.pdf | N/A |
| 381 | Leksell Gamma Knife® PerfexionTM and Leksell Gamma Knife® IconTM | Licensing Guidance | The guidance provides applicants with an acceptable means of satisfying the requirements for a license to use the subject material, source, or device. | 05/25/2016 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML1610/ML16109A208.pdf | N/A |
| 382 | Low Activity Radioactive Seeds Used for Localization of Non-Palpable Lesions and Lymph Nodes | Licensing Guidance | The guidance provides applicants with an acceptable means of satisfying the requirements for a license to use the subject material, source, or device. | 10/07/2016 | NMSS | No | 08/31/2016 | ***** | https://www.nrc.gov/docs/ML1619/ML16197A568.pdf | N/A |

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| 383 | Eckert and Ziegler GalliaPharm TM Germanium-68/Gallium-68 Pharmacy Grade Generator | Licensing Guidance | The guidance provides applicants with an acceptable means of satisfying the requirements for a license to use the subject material, source, or device. | 07/13/2017 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML1707/ML17075A488.pdf | N/A |
| 384 | Radium-223 Dichloride | Memorandum | The guidance provides applicants with an acceptable means of satisfying the requirements for a license to use the subject material. | 01/10/2013 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML1707/ML17075A488.pdf | N/A |
| 385 | Licensing Guidance for the Intraocular Use of NeoVista, Inc's Epi-Rad ₉₀ TM (Sr-90) Ophthalmic System | Licensing Guidance | The guidance provides applicants with an acceptable means of satisfying the requirements for a license to use the subject material, source, or device. | Apr-09 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML0911/ML091140370.pdf | N/A |
| 386 | Managemnt Directive 5.8: Proposed Section 274B Agreements with States | Management Directive | Establish a system for an orderly transition in the discontinuance of certain regulatory authority by NRC with assumption thereof by the State through a standard agreement or amendment. | 06/25/2013 | NMSS | No | 10/01/2012 | 07/22/2013 | https://www.nrc.gov/docs/ML1306/ML13067A396.pdf | N/A |
| 387 | Management Directive 6.3: The Rulemaking Process | Management Directive | Describes the rulemaking process at the NRC | 07/22/2013 | NMSS | No | 07/03/2012 | 08/16/2013 | ML13205A400 | N/A |
| 388 | Management Directive 8.10: NRC Assessment Program for a Medical Event or an Incident Occurring at a Medical Facility | Management Directive | Specify the scope, objectives, authorities, responsibilities, and basic requirements for the NRC's Assessment Program. | 03/28/2014 | NMSS | No | 07/03/2012 | 04/15/2014 | https://www.nrc.gov/docs/ML1330/ML13301A732.pdf | N/A |
| 389 | Management Directive 8.12: Decommissioning Financial Assurance | Management Directive | Procedures to ensure that financial instruments submitted as financial assurance for decommissioning by materials licensees and fuel cycle licensees provide reasonable assurance that financial resources for decommissioning will be available when needed. | 11/22/2013 | NMSS | No | 09/12/2012 | 01/22/2014 | ML120030527 | N/A |
| 390 | Agreement State Program Policy Statement | Policy Statement | Policy Statement for Implementation of the NRC's Agreement State Program | 10/06/2017 | NMSS | No | 10/04/2017 | ***** | https://www.federalregister.gov/documents/2017/10/06/2017-21542/agreement-state-program-policy-statement | 82 FR 46840 |

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| 3 | 1. Title | 2. Form of Guidance | 3. Subject Description | 4. Date of Issuance | 5. Issuing Office | 6a. Major rule under CRA? | 6b. Date Requested OMB Major/Non-Major CRA Determination and/or Submitted to OMB under Paperwork Reduction Act (PRA)** | 6c. Date submitted to Congress and GAO Under CRA | 7. If Applicable, Hyperlink or ADAMS Number | 7. If applicable, Federal Register Citation |
| 391 | Physical Security Best Practices for the Protection of Risk-Significant Radioactive Material (NUREG-2166) | NUREG | This document provides guidance to U.S. Nuclear Regulatory Commission (NRC) licensees or applicants on developing and implementing a physical protection program for the protection of risk-significant radioactive materials (e.g., category 1 and category 2 quantities of radioactive material). The intent of this information is to provide NRC licensees or applicants guidance with specific emphasis on physical security best practices. The approaches and methods in this document are not requirements; however, the NRC considers them to be acceptable for complying with the requirements in Title 10 of the Code of Federal Regulations (10 CFR) Part 37, "Physical Protection of Category 1 and Category 2 Quantities of Radioactive Material." | 05/01/2014 | NMSS | No | 04/24/2014 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr2166/ | N/A |
| 392 | Interim Staff Guidance – 2, Revision 2 Fuel Retrieval in Spent Fuel Storage Applications | Interim Staff Guidance | Provides guidance to the staff for determining whether an application submitted under 10 CFR Part 72 sufficiently demonstrates that the system is designed to allow ready retrieval of spent fuel. | 04/26/2016 | NMSS | No | 04/11/2016 | ***** | https://www.nrc.gov/docs/ML1611/ML16117A080.pdf | N/A |
| 393 | Interim Staff Guidance - 8 Revision 3 Burnup Credit in the Criticality Safety Analyses of PWR Spent Fuel in Transportation and Storage Casks | Interim Staff Guidance | Provides recommendations to the staff for accepting, on a design-specific basis, a burnup credit approach in the criticality safety analysis of pressurized water reactor (PWR) SNF storage and transportation systems. | 09/26/2012 | NMSS | No | 09/12/2012 | ***** | https://www.nrc.gov/reading-rm/doc-collections/isg/isg-8R3.pdf | N/A |
| 394 | Interim Staff Guidance - ISG-18, Rev. 1 The Design and Testing of Lid Welds on Austenitic Stainless Steel Canisters as the Confinement Boundary for Spent Fuel Storage | Interim Staff Guidance | Guidance to address the design and testing of the various closure welds ("lid welds") associated with the redundant closure of all-welded austenitic stainless steel canisters | 10/03/2008 | NMSS | No | 02/22/2008 | ***** | https://www.nrc.gov/reading-rm/doc-collections/isg/isg-18r1.pdf | N/A |

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| 395 | Interim Staff Guidance-24, Revision 0 The Use of a Demonstration Program as a Surveillance Tool for Confirmation of Integrity for Continued Storage of High Burnup Fuel Beyond 20 Years | Interim Staff Guidance | Provides guidance to the staff for reviewing if a demonstration of high burnup fuel (HBF)1 has the necessary properties to qualify as one method that an applicant might use in license and certificate of compliance (CoC) applications to demonstrate the integrity of HBF for continued storage. | 07/11/2014 | NMSS | No | 06/03/2013 | ***** | https://www.nrc.gov/docs/ML1405/ML14058B166.pdf | N/A |
| 396 | Interim Staff Guidance – 25 Pressure and Helium Leakage Testing of the Confinement Boundary of Spent Fuel Dry Storage Systems | Interim Staff Guidance | Additional guidance to supplement standard review plan guidance for evaluating the helium leakage testing and ASME Code1 required pressure (hydrostatic/pneumatic) testing that is specified for the dry storage system (DSS) confinement boundary. | 08/18/2010 | NMSS | No | 02/25/2010 | ***** | https://www.nrc.gov/docs/ML1019/ML101970493.pdf | N/A |
| 397 | NUREG-1536, Rev. 1, Standard Review Plan for Spent Fuel Dry Storage Systems at a General License Facility - Final Report | NUREG | Provides guidance to NRC staff for reviewing applications for a Certificate of Compliance of a dry storage system for use at a general license facility | 07/01/2010 | NMSS | No | 05/26/2010 | ***** | http://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1536/r1/sr1536r1.pdf | 74 FR 17546*** |
| 398 | NUREG-1886, Joint Canada - United States Guide for Approval of Type B(U) and Fissile Material Transportation Packages | NUREG | This guide was developed by the United States Department of Transportation and Nuclear Regulatory Commission, and the Canadian Nuclear Safety Commission to provide direction on the standard format and content for applications for approval of packages used to transport Type B(U) and fissile materials. | 03/01/2009 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML0909/ML090930197.pdf | 73 FR 31946*** |
| 399 | NUREG-1927, Rev. 1, Standard Review Plan for Renewal of Specific Licenses and Certificates of Compliance for Dry Storage of Spent Nuclear Fuel – Final Report | NUREG | Provides guidance for the safety review of renewal applications for specific licenses of independent spent fuel storage installations and certificates of compliance (CoCs) of dry storage systems | 06/01/2016 | NMSS | No | 04/11/2016 | 07/07/2016 | https://www.nrc.gov/docs/ML1617/ML16179A148.pdf | 81 FR 44054 |
| 400 | Interim Staff Guidance-23 Application of ASTM Standard Practice C1671-07 when performing technical reviews of spent fuel storage and transportation packaging licensing actions. | Interim Staff Guidance | Guidance on applying ASTM SP C1671-07 for NRC staff use in technical reviews of spent fuel storage and transportation packaging licensing actions | 01/18/2011 | NMSS | No | **** | 02/28/2011 | https://www.nrc.gov/reading-rm/doc-collections/isg/isg-23.pdf | N/A |

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| 401 | NUREG/BR-0006, Rev. 8, Instructions for Completing Nuclear Material Transaction Reports | NUREG | Provide guidance to licensees reporting nuclear material transactions to the Nuclear Material Management and Safeguards System | 04/01/2017 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML1702/ML17026A069.pdf | N/A |
| 402 | NUREG/BR-0007, Rev. 7, Instructions for the Preparation and Distribution of Material Status Reports | NUREG | Provides instructions and guidance for preparing and distributing material status reports | 04/01/2017 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML1702/ML17026A076.pdf | N/A |
| 403 | NUREG-1520, Rev. 2, Standard Review Plan for Fuel Cycle Facilities License Applications | NUREG | Provides guidance for reviewing and evaluating the health, safety, and environmental aspects of applications to use special nuclear material to produce reactor fuel | 06/01/2015 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML1517/ML15176A258.pdf | 80 FR 38238 |
| 404 | NUREG-1757, Volume 3, Rev. 1, Consolidated Decommissioning Guidance - Financial Assurance, Recordkeeping, and Timeliness | NUREG | Provides guidance on the technical aspects of compliance with requirements for timeliness in decommissioning of materials facilities, the requirements for financial assurance for decommissioning, and the recordkeeping requirements related to eventual decommissioning. | 02/01/2012 | NMSS | No | 03/31/2011 | ***** | https://www.nrc.gov/docs/ML1204/ML12048A683.pdf | N/A |
| 405 | FCSS-ISG-12, rev. 1 Reportable Safety Events per 10 CFR Part 70 Appendix A | Interim Staff Guidance | Clarifies some of the reporting requirements in 10 CFR Part 70, Appendix A, which contains criteria for submitting one hour reports, twenty-four hour reports, and concurrent reports. | 09/18/2014 | NMSS | No | **** | ***** | ML14157A067 | N/A |
| 406 | FCSS-ISG-13, Estimating Decommissioning Costs For Uranium Enrichment Facilities | Interim Staff Guidance | Provides guidance on estimating decommissioning costs for uranium enrichment facilities | 08/13/2010 | NMSS | No | **** | ***** | ML102240529 | N/A |
| 407 | FCSE-ISG-14, Acute Uranium Exposure Standards for Workers | Interim Staff Guidance | Provides acute uranium intake quantities that the staff finds acceptable as proposed quantitative standards used to classify the chemical consequences of worker acute uranium exposure accidents analyzed in licensee's ISA | 06/08/2015 | NMSS | No | 07/31/2015 | 09/25/2015 | ML15147A682 | N/A |

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| 408 | Concentration Averaging and Encapsulation Branch Technical Position, Revision 1; Volume 1 | Branch Technical Position | This document provides guidance for one aspect related to the 10 CFR 61.42 performance objective regarding protection of an inadvertent intruder (i.e., the averaging of radionuclide concentrations for determining the classification of the waste). Specifically, this Concentration Averaging (CA) Branch Technical Position (BTP) provides guidance on complying with 10 CFR 61.55(a)(8), "Determination of concentrations in wastes," as it applies to the classification of waste for disposal under 10 CFR Part 61. | 02/25/2015 | NMSS | No | 11/03/2014 | 04/15/2015 | https://www.nrc.gov/docs/ML1225/ML12254B065.pdf | 80 FR 10165 |
| 409 | Concentration Averaging and Encapsulation Branch Technical Position, Revision 1; Volume 2 | Branch Technical Position | This document provides guidance for one aspect related to the 10 CFR 61.42 performance objective regarding protection of an inadvertent intruder (i.e., the averaging of radionuclide concentrations for determining the classification of the waste). Specifically, this Concentration Averaging (CA) Branch Technical Position (BTP) provides guidance on complying with 10 CFR 61.55(a)(8), "Determination of concentrations in wastes," as it applies to the classification of waste for disposal under 10 CFR Part 61. | 02/25/2015 | NMSS | No | 11/03/2014 | 04/15/2015 | https://www.nrc.gov/docs/ML1232/ML12326A611.pdf | 80 FR 10165 |
| 410 | Low-Level Radioactive Waste Management and Volume Reduction | Policy Statement | Revises the NRC's 1981 Policy Statement on Low-Level Radioactive Waste (LLRW) Volume Reduction. This revised statement is to recognize that progress in reducing waste volume has been achieved since the 1981 Policy Statement was published, and to acknowledge that factors other than volume reduction may be considered by licensees to determine how best to manage their LLRW. | 05/01/2012 | NMSS | No | **** | 05/15/2012 | ML15023A098 | 77 FR 25760 |
| 411 | | | | | | | | | | |

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| 412 | | | | | | | | | | |
| 413 | Miscellaneous | | | | | | | | | |
| 414 | 1. Title | 2. Form of Guidance | 3. Subject Description | 4. Date of Issuance | 5. Issuing Office | 6a. Major rule under CRA? | 6b. Date Requested OMB Major/Non-Major CRA Determination and or Submitted to OMB under Paperwork Reduction Act (PRA)** | 6c. Date submitted to Congress and GAO Under CRA | 7. If Applicable, Hyperlink or ADAMS Number | 7. Federal Register Citation |
| 415 | Management Directive 3.17, "NRC Information Quality Program" | Management Directive | Establishes program to ensure quality of all information the NRC relies on for making decisions or disseminates to the public | 06/28/2016 | Office of the Chief Information Officer | No | 09/30/2014 | **** | https://www.nrc.gov/docs/ML1610/ML16105A321.pdf | N/A |
| 416 | Management Directive 3.5, "Attendance at NRC Staff-Sponsored Meetings" | Management Directive | Establishes policies for participation by the public in certain meetings between the staff of the NRC and external stakeholders | 12/23/2011 | Office of the Executive Director for Operations | No | 05/27/2011 | 01/10/2012 | https://www.nrc.gov/docs/ML1129/ML112971635.pdf | N/A |
| 417 | Management Directive 11.4, "NRC Small Business Program" | Management Directive | Describes the NRC Small Business Program's role in acquisition and explains the acquisition review process | 06/18/2014 | Office of Small Business and Civil Rights | No | 06/03/2013 | **** | https://www.nrc.gov/docs/ML1332/ML13329A758.pdf | N/A |
| 418 | | | | | | | | | | |
| 419 | | | | | | | | | | |
| 420 | NOTES | | | | | | | | | |
| 421 | *OMB Memorandum M-99-13, "Guidance for Implementing the Congressional Review Act," states: "If the rule is not subject to E.O. 12866 review, you should contact your Desk Officer in OMB's Office of Information and Regulatory Affairs (OIRA) in accordance with your established practice." In accordance with this guidance, the established practice is for the NRC to request a major/non-major determination for rules under the CRA, except for a limited set of final NRC actions. | | | | | | | | | |
| 422 | **Unless indicated otherwise, all dates are for submission of a CRA request to OMB | | | | | | | | | |
| 423 | ***Citation for draft document. Final document not announced or not yet announced in the Federal Register | | | | | | | | | |

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| 3 | | | | | | | | | | |
| 424 | ****Document was not considered a rule under the CRA and/or the NRC could not find documentation regarding transmission of a CRA request to OMB | | | | | | | | | |
| 425 | *****Document was not considered a rule under the CRA and/or the NRC could not find documentation regarding transmission of this document to Congress and GAO | | | | | | | | | |
| 426 | *****Sensitive, Safeguards, and Classified documents are not publicly available, but are retrievable upon request | | | | | | | | | |
| 427 | | | | | | | | | | |
| 428 | | | | | | | | | | |

Identical letters sent to:

The Honorable Trey Gowdy
Chairman, Committee on Oversight
and Government Reform
United States House of Representatives
Washington, DC 20515
cc: Representative Elijah E. Cummings

The Honorable Mark Meadows
Chairman, Subcommittee on Government Operations
Committee on Oversight and Government Reform
United States House of Representatives
Washington, DC 20515
cc: Representative Gerald E. Connolly

The Honorable Jim Jordan
Chairman, Subcommittee on Healthcare,
Benefits, and Administrative Rules
Committee on Oversight and Government Reform
United States House of Representatives
Washington, DC 20515
cc: Representative Raja Kirshnamoorthi

The Honorable Gary J. Palmer
Chairman, Subcommittee on Intergovernmental Affairs
Committee on Oversight and Government Reform
United States House of Representatives
Washington, DC 20515
cc: Representative Val Butler Demings

The Honorable Blake Farenthold
Chairman, Subcommittee on the Interior,
Energy, and Environment
Committee on Oversight and Government Reform
United States House of Representatives
Washington, DC 20515
cc: Representative Stacey E. Plaskett



CHAIRMAN

UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

February 12, 2018

The Honorable Blake Farenthold
Chairman, Subcommittee on the Interior,
Energy, and Environment
Committee on Oversight and Government Reform
United States House of Representatives
Washington, DC 20515

Dear Mr. Chairman:

On behalf of the U.S. Nuclear Regulatory Commission (NRC), I am responding to your December 8, 2017, letter requesting a list of guidance documents issued by the NRC since January 1, 2008. The NRC has a consolidated website¹ where it posts links to the wide variety of documents issued by the agency, including the majority of its guidance documents. The NRC issues guidance in various forms, including as Regulatory Guides and NUREG Series publications. Please find enclosed the list of guidance documents that you requested.

As an independent regulatory agency, portions of the sixth criterion listed in your letter do not apply to the NRC. However, we have identified whether the listed guidance documents were considered "major rules" under the Congressional Review Act (CRA). We have also indicated when the NRC requested a major/non-major rule determination under the CRA and/or review under the Paperwork Reduction Act from the Office of Information and Regulatory Affairs within the Office of Management and Budget for the listed guidance documents. The NRC has also provided accession (or "ML") numbers for documents that are publicly available in the NRC's Agencywide Documents Access and Management System.

I hope this information meets the Committee's needs. If you need anything additional, please contact me or have your staff contact Eugene Dacus, Director of the Office of Congressional Affairs, at [REDACTED].

Sincerely,

A handwritten signature in blue ink, appearing to read "K. Svinicki", is written over a blue horizontal line.

Kristine L. Svinicki

Enclosure:
As stated

cc: Representative Stacey E. Plaskett

¹ <https://www.nrc.gov/reading-rm/doc-collections/>.

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| 2 | Office of Administration | | | | | | | | | |
| 3 | 1. Title | 2. Form of Guidance | 3. Subject Description | 4. Date of Issuance | 5. Issuing Office | 6a. Major rule under CRA? | 6b. Date Requested OMB Major/Non-Major CRA Determination and/or Submitted to OMB under Paperwork Reduction Act (PRA)** | 6c. Date submitted to Congress and GAO Under CRA | 7. If Applicable, Hyperlink or ADAMS Number | 7. If applicable, Federal Register Citation |
| 4 | Management Directive 12.3, NRC Personnel Security Program | Management Directive | Outlines the Personnel Security Program for the NRC | 08/08/2013 | ADM | No | 09/04/2013 | 11/12/2013 | ML13151A402 | N/A |
| 5 | Management Directive 11.1, NRC Acquisition of Supplies and Services | Management Directive | Outlines the Acquisition Program for the NRC | 05/09/2014 | ADM | No | 05/13/2014 | 06/05/2014 | ML13322B277 | N/A |
| 6 | | | | | | | | | | |
| 7 | | | | | | | | | | |
| 8 | Office of the Chief Financial Officer | | | | | | | | | |
| 9 | 1. Title | 2. Form of Guidance | 3. Subject Description | 4. Date of Issuance | 5. Issuing Office | 6a. Major rule under CRA? | 6b. Date Requested OMB Major/Non-Major CRA Determination and submitted to OMB Under Paperwork Reduction Act | 6c. Date submitted to Congress and GAO Under CRA | 7. If Applicable, Hyperlink or ADAMS Number | 7. If applicable, Federal Register Citation |
| 10 | License Fees FAQ | FAQ | Provides responses to frequently asked questions including general questions, materials license annual fee, annual small entity certification and payment of invoice. | ongoing | OCFO | No | **** | **** | https://www.nrc.gov/about-nrc/regulatory/licensing/fees.htm#faq | N/A |
| 11 | Management Directive 11.6, "Financial Assistance Program" | Management Directive | Outlines procedures for the NRC financial assistance program | 07/28/2017 | OCFO | No | **** | **** | https://www.nrc.gov/docs/ML1625/ML16253A024.pdf | N/A |
| 12 | NUREG/BR-0254, Revision 7, Payment Methods | NUREG | Provides payment methods accepted by the NRC for payment of an annual fee, a proposed or imposed civil penalty, a full cost licensing or inspection invoice, or other fees | 07/29/2015 | OCFO | No | 06/02/2017 (most recent PRA) | **** | ML15209A661 | N/A |
| 13 | | | | | | | | | | |
| 14 | Office of Enforcement | | | | | | | | | |
| 15 | 1. Title | 2. Form of Guidance | 3. Subject Description | 4. Date of Issuance | 5. Issuing Office | 6a. Major rule under CRA? | 6b. Date Requested OMB Major/Non-Major CRA Determination and/or Submitted to OMB under Paperwork Reduction Act (PRA)** | 6c. Date submitted to Congress and GAO Under CRA | 7. If Applicable, Hyperlink or ADAMS Number | 7. If applicable, Federal Register Citation |
| 16 | Nuclear Regulatory Commission Enforcement Manual (Revision 10, Change 1) and all appendices | Guidance | Provides specific guidance to staff on implementation of the NRC enforcement program. | 07/28/2017 | OE | No | N/A* | **** | https://www.nrc.gov/docs/ML1721/ML17212A125.pdf | N/A |
| 17 | Allegation Manual and all appendices | Guidance | This manual describes how allegations are received, evaluated, and closed by the NRC. | 12/22/2016 | OE | No | **** | **** | https://www.nrc.gov/docs/ML1700/ML17003A227.pdf | N/A |

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| 18 | Pre-Investigation Alternative Dispute Resolution (ADR) Brochure | Guidance | The pre-investigation program provides an individual and his/her employer (or former employer) the opportunity to resolve an allegation of discrimination through mediation rather than fully litigate the discrimination allegation or have the NRC initiate an investigation. | 08/01/2010 | OE | No | 02/06/2013 | ***** | https://www.nrc.gov/about-nrc/regulatory/enforcement/adr/pre-invest-brochure.pdf | N/A |
| 19 | Alternative Dispute Resolution (ADR) Brochure-Enforcement ADR | Guidance | Enforcement ADR, formerly known as "post-investigation ADR", refers to the use of mediation either (1) anytime after an investigation by the NRC's Office of Investigations is complete and an enforcement panel concludes that pursuit of an enforcement action appears warranted or (2) for nonwillful, traditional cases with the potential for civil penalties. | 07/01/2011 | OE | No | **** | ***** | https://www.nrc.gov/docs/ML1121/ML11210B507.pdf | N/A |
| 20 | Safety Culture Policy Statement | Policy Statement | Statement of Policy that sets forth expectation that individuals and organizations performing or overseeing regulated activities establish and maintain a positive safety culture. | 06/14/2011 | OE | No | 03/31/2011 | ***** | ML111650336 | 76 FR 34773 |
| 21 | Enforcement Policy | Policy | The NRC Enforcement Policy supports the NRC's mission to ensure adequate protection of public health and safety, promote common defense and security, and protect the environment. | Nov-16 | OE | No | **** | 08/10/2016 | https://www.nrc.gov/docs/ML1627/ML16271A446.pdf | 81 FR 78022 |
| 22 | | | | | | | | | | |
| 23 | | | | | | | | | | |
| 24 | Office of International Programs | | | | | | | | | |
| 25 | 1. Title | 2. Form of Guidance | 3. Subject Description | 4. Date of Issuance | 5. Issuing Office | 6a. Major rule under CRA? | 6b. Date Requested OMB Major/Non-Major CRA Determination and Submitted to OMB under Paperwork Reduction Act | 6c. Date submitted to Congress and GAO Under CRA | 7. If Applicable, Hyperlink or ADAMS Number | 7. If applicable, Federal Register Citation |

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| 26 | International Policy Statement | Commission Policy Statement | The International Policy Statement captures a brief history of almost 40 years of involvement in international activities and how this has impacted the NRC. The International Policy Statement also outlines how international activities directly support the NRC's goals and mission, and enumerates specific elements in which the NRC will proactively engage. | 07/10/2014 | OIP | No | **** | **** | https://www.nrc.gov/docs/ML1413/ML14132A317.pdf | 79 FR 39415 |
| 27 | Import/Export Licensing Frequently Asked Questions | FAQ | Document a series of questions and answers related to import/export licensing | ongoing | OIP | No | **** | ***** | https://www.nrc.gov/about-nrc/ip/faq.html | N/A |
| 28 | | | | | | | | | | |
| 29 | | | | | | | | | | |
| 30 | Office of New Reactors | | | | | | | | | |
| 31 | 1. Title | 2. Form of Guidance | 3. Subject Description | 4. Date of Issuance | 5. Issuing Office | 6a. Major rule under CRA? | 6b. Date Requested OMB Major/Non-Major CRA Determination and/or Submitted to OMB under Paperwork Reduction Act (PRA)** | 6c. Date submitted to Congress and GAO Under CRA | 7. If Applicable, Hyperlink or ADAMS Number | 7. If applicable, Federal Register Citation |
| 32 | NUREG-2194 Standard Technical Specifications, Westinghouse Advanced Passive 1000 (AP 1000) Plants | NUREG | Standard Technical Specifications (STS) for Westinghouse Advanced Passive 1000 (AP1000) plants | Apr-16 | NRO | no | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr2194/ | N/A |
| 33 | DC/COL-ISG-1 Interim Staff Guidance On Seismic Issues of High Frequency Ground Motion | Interim Staff Guidance | Describes how the staff will review seismic issues associated with HF ground motion as addressed in DC applications and COL applications | 05/19/2008 | NRO | no | **** | ***** | ML081400293 | 73 FR 30425 |
| 34 | DC/COL-ISG-2 Interim Staff Guidance on Financial Qualifications of Applicants For Combined License Applications | Interim Staff Guidance | Summarizes the requirements under 10 CFR Section 50.33(f)(1) for COL applicants and corrects the information provided in Section C.IV.5.1 of Regulatory Guide 1.206 | 05/02/2008 | NRO | no | **** | ***** | ML080710301 | N/A |
| 35 | DC/COL-ISG-3 PRA Information to Support Design Certification and Combined License Applications | Interim Staff Guidance | Supplements the guidance provided to the staff in Section 19.0, of the SRP concerning the review of PRA information and severe accident assessment submitted to support DC and COL applications | 06/11/2008 | NRO | no | **** | ***** | ML081430675 | 73 FR 34347 |

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| 36 | COL/ESP-ISG-4 Interim Staff Guidance on the Definition of Construction and on Limited Work Authorizations | Interim Staff Guidance | Provides guidance regarding the definition of construction and the delineation of preconstruction activities and those activities requiring prior approval of the NRC | 03/13/2009 | NRO | no | **** | ***** | ML082970729 | 74 FR 7488 |
| 37 | DC/COL-ISG-5 GALE86 Code for Calculation of Routine Radioactive Releases in Gaseous and Liquid Effluents to Support Design Certification and Combined License Applications | Interim Staff Guidance | Provides a clarification on the use of a newer version of the boiling-water reactor and pressurized-water reactors GALE codes that is not referenced in the current NRC guidance. | 07/10/2008 | NRO | no | **** | ***** | ML081710299 | 73 FR 41129 |
| 38 | DC/COL-ISG-6 Evaluation and Acceptance Criteria for 10 CFR 20.1406 to Support Design Certification and Combined License Applications | Interim Staff Guidance | Clarifies the U.S. Nuclear Regulatory Commission (NRC) position on acceptable levels of detail and content required for an applicant to demonstrate compliance with 10 CFR 20.1406 | 10/09/2009 | NRO | no | **** | ***** | ML092470100 | 74 FR 52267 |
| 39 | DC/COL-ISG-7 Assessment of Normal and Extreme Winter Precipitation Loads on the Roofs of Seismic Category I Structures | Interim Staff Guidance | Clarifies the NRC position on identifying winter precipitation events as site characteristics and site parameters for determining normal and extreme winter precipitation loads on the roofs of Seismic Category I structures | 7/1/2009 | NRO | no | **** | ***** | ML091490556 | 74 FR 31470 |
| 40 | DC/COL-ISG-8 Necessary Content of Plant-Specific Technical Specifications | Interim Staff Guidance | Replaces the related guidance in Chapter 16.0 of the Standard Review Plan (NUREG-0800) regarding the content of plant-specific Technical Specifications to support issuing a COL | 12/09/2008 | NRO | no | **** | ***** | ML083310259 | 73 FR 76685 |
| 41 | DC/COL-ISG-10 Review of Evaluation To Address Adverse Flow Effects in Equipment Other Than Reactor Internals | Interim Staff Guidance | Modifies and provides additional clarity in the guidance for the review of analyses of adverse flow effects in equipment other than reactor internals performed by COL and DC applicants | 11/03/2009 | NRO | no | **** | ***** | ML092890285 | 74 FR 58323 |

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| 42 | DC/COL-ISG-11 Finalizing Licensing-basis Information | Interim Staff Guidance | Clarifies the NRC staff position on finalizing licensing basis information at a point during the licensing review, a so-called freeze point, and the control of licensing basis information during and following the initial review of applications for DCs or COLs | 11/02/2009 | NRO | no | 01/04/2012 | ***** | ML092890623 | 74 FR 57715 |
| 43 | DC/COL-ISG-13 Assessing the Radiological Consequences of Accidental Releases of Radioactive Materials from Liquid Waste Tanks for Combined License Applications | Interim Staff Guidance | Addresses the development of the radiological source term contained in the postulated tank failure and mechanism for the radioactivity to reach groundwater or surface water | 01/22/2013 | NRO | no | 10/27/2011 | 01/24/2013 | ML12191A325 | 78 FR 6149 |
| 44 | DC/COL-ISG-14 Assessing the Radiological Consequences of Accidental Releases of Radioactive Materials from Liquid Waste Tanks in Ground and Surface Waters for Combined License Applications | Interim Staff Guidance | Focuses on characterizing the hydro-geologic conditions of the site where radioactivity is assumed to enter groundwater or surface water and modeling the movement of the radioactivity to points beyond the site boundary | 01/22/2013 | NRO | no | 10/27/2011 | ***** | ML12191A330 | 78 FR 6149 |
| 45 | DC/COL-ISG-15 Post-Combined License Commitments | Interim Staff Guidance | Discusses options regarding completion of COL items that cannot be completed until after issuance of the COL | 02/02/2010 | NRO | no | **** | ***** | ML093561416 | 75 FR 5356 |
| 46 | DC/COL-ISG-17 Ensuring Hazard-Consistent Seismic Input for Site Response and Soil Structure Interaction Analyses | Interim Staff Guidance | Provides the staff position on issues related to Seismic Input for Site Response and Soil Structure Interaction Analyses | 03/24/2010 | NRO | no | **** | ***** | ML100570203 | 75 FR 16202 |
| 47 | DC/COL-ISG-19 Gas Accumulation Issues in Safety Related Systems | Interim Staff Guidance | Clarifies the NRC staff guidance to address issues of gas accumulation in safety related systems | 09/09/2011 | NRO | no | **** | ***** | ML111110572 | 76 FR 58846 |
| 48 | DC/COL-ISG-20 Seismic Margin Analysis for New Reactors Based on Probabilistic Risk Assessment | Interim Staff Guidance | Supplements the guidance provided to the staff in Section 19.0 of the SRP to Support Design Certification and Combined License concerning the review of probabilistic risk assessment (PRA) information and severe accident assessments submitted to support DC and COL applications | 03/15/2010 | NRO | no | 07/03/2012 | ***** | ML100491233 | 75 FR 13610 |

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| 49 | DC/COL-ISG-21 Review of Nuclear Power Plant Designs using a Gas Turbine Driven Standby Emergency Alternating Current Power System | Interim Staff Guidance | Clarifies the NRC staff guidance for review of Nuclear Power Plant designs using a Gas Turbine Driven Standby Emergency Alternating Current (AC) Power system and also revises and updates the guidance previously provided in the SRP related to the Standby Emergency AC Power System | 03/22/2011 | NRO | no | 02/11/2011 | ***** | ML102510119 | 76 FR 17160 |
| 50 | DC/COL-ISG-22 Impact of Construction (Under a Combined License) of New Nuclear Power Plant Units on Operating Units at Multi-Unit Sites | Interim Staff Guidance | Assists the NRC staff in the evaluation of COL applicants' compliance with 10 CFR 52.79(a)(31). 10 CFR 52.79(a)(31) requires COL applicants who intend to construct and operate new units on multi-unit sites to provide an evaluation of the potential hazards to structures, systems, and components important to safety for the operating units resulting from construction activities | 05/11/2012 | NRO | no | 05/02/2011 | ***** | ML112630044 | 77 FR 29701 |
| 51 | DC/COL-ISG-24 Interim Staff Guidance Implementation of Regulatory Guide 1.221 on Design-Basis Hurricane and Hurricane Missiles DC/COL-ISG-024 | Interim Staff Guidance | Provides the staff guidance regarding the application of Regulatory Guide 1.221, "Design-Basis Hurricane and Hurricane Missiles for Nuclear Power Plants," in support of NRC reviews of ESP, DC, and COL applications being performed under the March 2007 version of the SRP | 05/14/2013 | NRO | no | 01/15/2013 | 06/30/2013 | ML13015A693 | 78 FR 31614 |
| 52 | DC/COL-ISG-25 Changes during Construction Under Title 10 of the Code of Federal Regulations Part 52 | Interim Staff Guidance | Provides guidance to the NRC staff on the Preliminary Amendment Request (PAR) review process available to the COL holders | 07/31/2015 | NRO | no | 05/02/2011 | ***** | ML15058A377 | 80 FR 48351 |

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| 53 | COL/ESP-ISG-26 Environmental Issues Associated with New Reactors | Interim Staff Guidance | Clarifies the NRC guidance and application of the Environmental Standard Review Plan, regarding the assessment of construction impacts, greenhouse gas and climate change, socioeconomics, environmental justice, need for power, alternatives, cumulative impacts, and cultural/historical resources as part of the preparation of environmental impact statements for ESP and COL applications | 09/03/2014 | NRO | no | 02/26/2013 | 09/09/2014 | ML14092A402 | 79 FR 52373 |
| 54 | COL/ESP-ISG-27 Specific Environmental Guidance for Light Water Small Modular Reactor | Interim Staff Guidance | Clarifies the NRC guidance and application of NUREG-1555, "Standard Review Plans for Environmental Reviews for Nuclear Power Plants," regarding the assessment of environmental reviews for applications for licenses to construct and operate light water small modular reactors | 8/25/2014 | NRO | no | 02/26/2013 | 09/09/2014 | ML14100A648 | 79 FR 53083 |
| 55 | DC/COL-ISG-28 Assessing the Technical Adequacy of the Advanced Light-Water Reactor Probabilistic Risk Assessment for the Design Certification Application and Combined License Application | Interim Staff Guidance | Addresses how applicants for advanced light water reactors can use ASME /ANS RA-S-2008 Standard for Level 1/Large Early Release Frequency Probabilistic Risk Assessment for Nuclear Power Plant Applications," (the PRA Standard), as endorsed by RG 1.200, Revision 2 | Nov-16 | NRO | no | 08/03/2015 | 12/08/2016 | ML16130A468 | 81 FR 87083 |
| 56 | Commission Policy Statement on the Regulation of Advanced Reactors | Commission Policy Statement | Reinforces the Commission's current policy regarding advanced reactors and includes new items to be considered during the design of these reactors, including security, emergency preparedness, threat of theft, and international safeguards | 10/14/2008 | NRO | no | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/commission/policy/73fr60612.pdf | 73 FR 60612 |
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| 63 | Office of Nuclear Reactor Regulation | | | | | | | | | |
| 64 | NUREG-0654 | NUREG | Criteria for Preparation and Evaluation of Radiological Emergency Response Plans and Preparedness in Support of Nuclear Power Plants (FEMA-REP-1, Revision 1, Supplement 3) | Nov-11 | NRR | No | 07/21/2011 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr0654/r1/s3/ | 76 FR 75771 |
| 65 | NUREG-0711 | NUREG | Human Factors Engineering Program Review Model, Revision 3 | Nov-12 | NRR | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr0711/ | N/A |
| 66 | NUREG-0800 | NUREG | Standard Review Plan for the Review of Safety Analysis Reports for Nuclear Power Plants: LWR Edition | Various Chapters: Dates before and after 2008 | NRR/NRO | No | Various | Various | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr0800/ | Various |
| 67 | NUREG-1021 | NUREG | Operator Licensing Examination Standards for Power Reactors | Feb-17 | NRR | No | 01/04/2017 | 02/28/2017 | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1021/ | 82 FR 10809 |
| 68 | NUREG-1022 | NUREG | Event Reporting Guidelines: 10 CFR 50.72 and 50.73 | Sep-14 | NRR | No | 01/15/2013 | 03/22/2013 | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1022/ | 79 FR 58811 |
| 69 | NUREG-1122 | NUREG | Knowledge and Abilities Catalog for Nuclear Power Plant Operators: Pressurized Water Reactors | Apr-17 | NRR | No | 08/31/2017 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1122/ | 82 FR 18018*** |
| 70 | NUREG-1123 | NUREG | Knowledge and Abilities Catalog for Nuclear Power Plant Operators: Boiling Water Reactors | Apr-17 | NRR | No | 08/31/2017 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1123/ | 82 FR 18018*** |
| 71 | NUREG-1307 | NUREG | Report on Waste Burial Charges: Changes in Decommissioning Waste Disposal Costs at Low-Level Waste Burial Facilities | Mar-17 | NRR | No | 12/18/2012 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1307/ | 82 FR 13677 |
| 72 | NUREG-1430 | NUREG | Standard Technical Specifications — Babcock and Wilcox Plants | Apr-12 | NRR | No | 05/27/2011 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1430/ | 77 FR 27490 |
| 73 | NUREG-1431 | NUREG | Standard Technical Specifications — Westinghouse Plants | Apr-12 | NRR | No | 05/27/2011 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1431/ | 77 FR 27490 |

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| 74 | NUREG-1432 | NUREG | Standard Technical Specifications — Combustion Engineering Plants | Apr-12 | NRR | No | 05/27/2011 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1432/ | 77 FR 27490 |
| 75 | NUREG-1433 | NUREG | Standard Technical Specifications — General Electric Plants (BWR/4) | Apr-12 | NRR | No | 05/27/2011 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1433/ | 77 FR 27490 |
| 76 | NUREG-1434 | NUREG | Standard Technical Specifications — General Electric Plants (BWR/6) | Apr-12 | NRR | No | 05/27/2011 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1434/ | 77 FR 27490 |
| 77 | NUREG-1437 | NUREG | Generic Environmental Impact Statement for License Renewal of Nuclear Plants | Various Supplement s: Dates before and after 2008 | NRR | No | 03/30/2012 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1437/ | 61 FR 28467; 78 FR 37325 |
| 78 | NUREG-1475 | NUREG | Applying Statistics | Mar-11 | NRR | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1475/ | N/A |
| 79 | NUREG-1482 | NUREG | Guidelines for Inservice Testing at Nuclear Power Plants: Inservice Testing of Pumps and Valves and Inservice Examination and Testing of Dynamic Restraints (Snubbers) at Nuclear Power Plants | Oct-13 | NRR | No | 04/04/2013 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1482/ | 78 FR 71676 |
| 80 | NUREG-1530 | NUREG | Reassessment of NRC's Dollar Per Person-Rem Conversion Factor Policy | Aug-15 | NRR | No | 10/13/2017 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1530/ | 80 FR 53585*** |
| 81 | NUREG-1555 | NUREG | Standard Review Plans for Environmental Reviews for Nuclear Power Plants: Environmental Standard Review Plan (with Supplement 1, Revision 1, for Operating Reactor License Renewal) | Jun-13 | NRR | No | 03/30/2012 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1555/ | 78 FR 37325 |
| 82 | NUREG-1800 | NUREG | Standard Review Plan for Review of License Renewal Applications for Nuclear Power Plants, Revision 2 | Dec-10 | NRR | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1800/ | 75 FR 80857 |
| 83 | NUREG-1801 | NUREG | Generic Aging Lessons Learned (GALL) Report | Dec-10 | NRR | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1801/ | 75 FR 80857 |

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| 84 | NUREG-2103 | NUREG | Knowledge and Abilities Catalog for Nuclear Power Plant Operators: Westinghouse AP1000 Pressurized-Water Reactors | Oct-11 | NRR/NRO | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr2103/ | 76 FR 73720*** |
| 85 | NUREG-2104 | NUREG | Knowledge and Abilities Catalog for Nuclear Power Plant Operators: Advanced Boiling-Water Reactors | Dec-11 | NRR/NRO | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr2104/ | 77 FR 3009*** |
| 86 | NUREG-2165 | NUREG | Safety Culture Common Language | Mar-14 | NRR | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr2165/ | N/A |
| 87 | NUREG-2188 | NUREG | U.S. Operating Experience with Thermally Treated Alloy 600 Steam Generator Tubes Through December 2013 | Feb-16 | NRR | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr2188/ | N/A |
| 88 | NUREG-2191 | NUREG | Generic Aging Lessons Learned for Subsequent License Renewal (GALL-SLR) Report | Jul-17 | NRR | No | 12/28/2016 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr2191/ | 82 FR 32588 |
| 89 | NUREG-2192 | NUREG | Standard Review Plan for Review of Subsequent License Renewal Applications for Nuclear Power Plants | Jul-17 | NRR | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr2192/ | 82 FR 32588 |
| 90 | GL-08-01, Managing Gas Accumulation in Emergency Core Cooling, Decay Heat Removal, and Containment Spray Systems | Generic Letter | Addresses the issue of gas accumulation in the emergency core cooling, decay heat removal, and containment spray systems | 01/11/2008 | NRR | No | 02/04/2013 | ***** | ML072910759 | 73 FR 4644 |
| 91 | GL-16-01 Monitoring of Neutron-Absorbing Materials in Spent Fuels Pools | Generic Letter | To address degradation of neutron-absorbing materials in wet storage systems for reactor fuel at power and non-power reactors | 04/07/2016 | NRR | No | 12/31/15 (PRA) | ***** | ML16097A169 | 81 FR 22129 |

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| 92 | BL-11-01 Mitigating Strategies | Bulletin | <p>1. To require that addressees provide a comprehensive verification of their compliance with the regulatory requirements of Title 10 of the Code of Federal Regulations (10 CFR) Section 50.54(hh)(2),</p> <p>2. To notify addressees about the NRC staff's need for information associated with licensee mitigating strategies under 10 CFR 50.54(hh)(2) in light of the recent events at Japan's Fukushima Daiichi facility in order to determine if 1) additional assessment of program implementation is needed, 2) the current inspection program should be enhanced, or 3) further regulatory action is warranted, and</p> <p>3. To require that addressees provide a written response to the NRC in accordance with 10 CFR 50.54(f).</p> | 05/11/2011 | NRR | No | **** | ***** | ML111250360 | N/A |

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| 93 | BL-12-01 Design Vulnerability In Electric Power System | Bulletin | <p>1. To notify the addressees that the NRC staff is requesting information about the facilities' electric power system designs, in light of the recent operating experience that involved the loss of one of the three phases of the offsite power circuit (single-phase open circuit condition) at Byron Station, Unit 2, to determine if further regulatory action is warranted.</p> <p>2. To require that the addressees comprehensively verify their compliance with the regulatory requirements of General Design Criterion (GDC) 17, "Electric Power Systems," in Appendix A, "General Design Criteria for Nuclear Power Plants," to 10 CFR Part 50 or the applicable principal design criteria in the updated final safety analysis report; and the design criteria for protection systems under 10 CFR 50.55a(h)(2) and 10 CFR 50.55a(h)(3).</p> <p>3. To require that addressees respond to the NRC in writing, in accordance with 10 CFR 50.54(f).</p> | 07/27/2012 | NRO, NRR | No | **** | ***** | ML12074A115 | N/A |
| 94 | NRC Inspection Manual | Inspection Manual | NRC Inspection Manual documents issued by the various NRC offices that manage and oversee inspection programs | Various | NRR | No | N/A* | ***** | https://www.nrc.gov/reading-rm/doc-collections/insp-manual/manual-chapter/ | N/A |
| 95 | NRC Inspection Procedure | Inspection Procedure | NRC Inspection Procedure documents issued by the various NRC Offices that perform inspections | Various | NRR | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/insp-manual/inspection-procedure/ | N/A |
| 96 | Risk Assessment of Operational Events Handbook, Volume 1-4 | Guidance Document | Risk Assessment of Operational Events Handbook: Internal Event (Vol. 1), External Events (Vol. 2), SPAR Model Reviews (Vol. 3), Shutdown Events (Vol. 4) | <p>12/01/2017</p> <p>11/01/2017</p> <p>9/01/2011</p> <p>4/1/2011</p> | NRR | No | **** | ***** | <p>ML17348A149</p> <p>ML17349A301 ML102850267</p> <p>ML111370163</p> | N/A |

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| 97 | LR-ISG-2011-1, Revision 1 - "Aging Management of Stainless Steel Structures and Components in Treated Borated Water" | Interim Staff Guidance | Provides guidance as to one acceptable approach for managing the effects of aging at nuclear power plants during the period of extended operation for stainless steel structures and components exposed to treated borated water within the scope of 10 CFR Part 54. This LR-ISG revises the NRC staff's aging management recommendations in NUREG-1800, Revision 2, and NUREG-1801, Revision 2. | 12/18/2012 | NRR | No | **** | ***** | https://www.nrc.gov/docs/ML1228/ML12286A275.pdf | 77 FR 74883 |
| 98 | LR-ISG-2011-02 - "Aging Management Program for Steam Generators" | Interim Staff Guidance | Evaluates the suitability of using Revision 3 of Nuclear Energy Institute (NEI) 97-06, "Steam Generator Program Guidelines," to manage the effects of aging of steam generators and corrects an incorrect revision in NUREG-1801, Revision 2, to the Steam Generator Integrity Assessment Guidelines. | 11/21/2011 | NRR | No | **** | ***** | https://www.nrc.gov/docs/ML1129/ML11297A085.pdf | 76 FR 74834 |
| 99 | LR-ISG-2011-03 - "Changes to the Generic Aging Lessons Learned (GALL) Report Revision 2 Aging Management Program XI.M41, "Buried and Underground Piping and Tanks" | Interim Staff Guidance | Changes GALL Report AMP XI.M41 to provide one acceptable approach for managing the effects of aging of buried and underground piping and tanks within the scope of 10 CFR Part 54. This LR-ISG also changes Table 3.0-1, "FSAR Supplement for Aging Management of Applicable Systems," in NUREG-1800, Revision 2. A licensee may reference this ISG in its nuclear power plant license renewal application to demonstrate that its buried and underground piping and tanks program is acceptable to the staff until the guidance in this LR-ISG is implemented into the next update of the license renewal guidance documents. | 07/26/2012 | NRR | No | 03/30/2012 | 08/06/2012 | https://www.nrc.gov/docs/ML1213/ML12138A296.pdf | 77 FR 46127 |

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| 100 | LR-ISG-2011-04 - "Updated Aging Management Criteria for Reactor Vessel Internal Components of Pressurized Water Reactors" | Interim Staff Guidance | Revises the recommendations in NUREG-1801, Revision 2, and the NRC staff's acceptance criteria and review procedures in NUREG-1800, Revision 2 to ensure consistency with Revision 1 to the Final Safety Evaluation of MRP-227-A, "Pressurized Water Reactor (PWR) Internals Inspection and Evaluation Guidelines." This LR-ISG also provides a framework to ensure that PWR license renewal applicants will adequately address age-related degradation and aging management of reactor vessel internal components during the term of the renewed license(s). | 05/28/2013 | NRR | No | 06/01/2012 | 06/03/2013 | https://www.nrc.gov/docs/ML1227/ML12270A436.pdf | 78 FR 33120 |
| 101 | LR-ISG-2011-05 - "Ongoing Review of Operating Experience" | Interim Staff Guidance | Clarifies the NRC guidance in NUREG-1800, Revision 2, that acceptable nuclear power plant license renewal AMPs should be informed, and enhanced when necessary, based on the ongoing review of both plant-specific and industry operating experience. Specifically, this LR-ISG revises the NRC staff's acceptance criteria and review procedures to better address the ongoing review of operating experience within the SRP-LR. This LR-ISG also better aligns NUREG-1801, Revision 2, with the SRP-LR by incorporating the recommendation for ongoing review of operating experience into each of the program descriptions. This LR-ISG also provides a framework to ensure that license renewal applicants' operating experience review activities will adequately address operating experience concerning age-related degradation and aging management during the term of the renewed license(s). | 03/09/2012 | NRR | No | 03/02/2012 | 03/19/2012 | https://www.nrc.gov/docs/ML1204/ML12044A215.pdf | 77 FR 15818 |

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| 102 | LR-ISG-2012-01 - "Wall Thinning Due to Erosion Mechanisms" | Interim Staff Guidance | Provides interim guidance for an approach acceptable to the NRC staff to manage the effects of aging during the period of extended operation for wall thinning due to various erosion mechanisms for piping and components within the scope of 10 CFR Part 54. This LR-ISG revises NUREG-1801, Revision 2 aging management program XI.M17, "Flow-Accelerated Corrosion." It also supplements, or revises, related aging management recommendations in the NUREG-1801, Revision 2 and NUREG-1800, Revision 2. | 04/25/2013 | NRR | No | 10/01/2012 | 04/26/2013 | https://www.nrc.gov/docs/ML1235/ML12352A057.pdf | 78 FR 25487 |
| 103 | LR-ISG-2012-02 - "Aging Management of Internal Surfaces, Fire Water Systems, Atmospheric Storage Tanks, and Corrosion Under Insulation" | Interim Staff Guidance | Changes NUREG-1801, Revision 2 and NUREG-1800, Revision 2, to provide one acceptable approach for managing the associated aging effects for internal surfaces, fire water systems, atmospheric storage tanks, and corrosion under insulation within the scope of 10 CFR Part 54. | 11/14/2013 | NRR | No | 07/02/2013 | ***** | https://www.nrc.gov/docs/ML1322/ML13227A361.pdf | 78 FR 70076 |
| 104 | LR-ISG-2013-01, LR-ISG-2014-004 - "Aging Management of Loss of Coating or Lining Integrity for Internal Coatings/Linings on In-Scope Piping, Piping Components, Heat Exchangers, and Tanks" | Interim Staff Guidance | Changes NUREG-1801, Revision 2 and NUREG-1800, Revision 2, to provide one acceptable approach for managing the associated aging effects of loss of coating or lining integrity for internal components/linings on piping, piping components, heat exchangers, and tanks within the scope of 10 CFR Part 54. | 11/06/2014 | NRR | No | 03/04/2014 | 12/01/2014 | https://www.nrc.gov/docs/ML1422/ML14225A059.pdf | 79 FR 68308 |
| 105 | LR-ISG-2015-01 - "Changes to Buried and Underground Piping and Tank Recommendations" | Interim Staff Guidance | Changes NUREG-1801, Revision 2 and NUREG-1800, Revision 2, to provide one acceptable approach for managing the associated aging effects for buried and underground piping and tanks within the scope of the 10 CFR Part 54. | 01/28/2016 | NRR | No | 11/30/2015 | 02/08/2016 | https://www.nrc.gov/docs/ML1530/ML15308A018.pdf | 81 FR 6055 |

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| 106 | LR-ISG-2016-01 - "Changes to Aging Management Guidance for Various Steam Generator Components" | Interim Staff Guidance | Changes NUREG-1801, Revision 2 and NUREG-1800, Revision 2, to provide one acceptable approach for managing the associated aging effects for steam generator components within the scope of the 10 CFR Part 54. | 11/30/2016 | NRR | No | 08/31/2016 | 12/14/2016 | https://www.nrc.gov/docs/ML1623/ML16237A383.pdf | 81 FR 88276 |
| 107 | Revised Guidance for Review of Final Licensee Responses to Generic Letter 2004-02, "Potential Impact of Debris Blockage on Emergency Recirculation During Design Basis Accidents at Pressurized Water Reactors" | Guidance | Guidance for responding to GL 2004-02 in the areas of head loss, chemical effects, and coatings | 03/28/2008 | NRR | No | **** | ***** | ML080230234 | 69 FR 57101 |
| 108 | Management Directive 8.7, "Reactor Operating Experience Program" | Management Directive | Provides means for assessing the significance of OpE Information, providing timely and effective communication to stakeholders, and applying the lessons learned to regulatory decisions and programs affecting nuclear reactors. | 09/27/2012 | NRR | No | 11/02/2012 | ***** | ML122750292 | N/A |
| 109 | DSS-ISG-2016-01 and Rev. 1 | Interim Staff Guidance | Clarification of Licensee Actions in Receipt of Enforcement Discretion Per Enforcement Guidance Memorandum EGM 15-002, "Enforcement Discretion for Tornado-generated Missile Protection Noncompliance | 11/22/2017 2/25/2016 | NRR | No | 07/28/2017 1/28/2016 | ***** 02/24/2016 | ML17128A344, ML15348A202 | 82 FR 55657, 81 FR 9518 |
| 110 | DSS-ISG-2010-01 | Interim Staff Guidance | Staff Guidance regarding the Nuclear Criticality Safety Analysis for Spent Fuel Pools | 10/13/2011 | NRR | No | **** | 10/03/2011 | ML110620086 | 76 FR 63676 |
| 111 | DI&C-ISG-03 - Review of New Reactor Digital Instrumentation and Control Probabilistic Risk Assessments | Interim Staff Guidance | Provides acceptable methods for evaluating digital instrumentation and control system risk assessments. The primary purpose of this document is to provide clear guidance on how NRC reviewers should evaluate digital instrumentation and control (I&C) system probabilistic risk assessments (PRAs), including common cause failures in PRAs and uncertainty analysis associated with new reactor digital systems. | 08/11/2008 | NRR | No | **** | ***** | ML080570048 | N/A |

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| 112 | DI&C-ISG-04, Rev 1 - Highly-Integrated Control Rooms—Communications Issues (HICRc) | Interim Staff Guidance | Provides acceptable methods for addressing HICRc in digital I&C system designs, and clarifies the criteria the staff will use to evaluate whether an applicant/licensee digital system design is consistent with HICRc guidelines. | 03/06/2009 | NRR | No | **** | ***** | ML083310185 | N/A |
| 113 | DI&C-ISG-05, Rev 1 - Highly Integrated Control Rooms - Human Factors | Interim Staff Guidance | Provides acceptable methods for addressing HICR—HF in digital I&C system designs, and clarifies the criteria the staff would use to evaluate whether an applicant/licensee digital system design is consistent with HICR—HF guidelines. | 11/03/2008 | NRR | No | **** | ***** | ML082740440 | N/A |
| 114 | DI&C-ISG-06 - Licensing Processes | Interim Staff Guidance | Describes the licensing process that may be used in the review (against licensing criteria – the Standard Review Plan, NUREG-0800) of license amendment requests associated with digital I&C system modifications in operating plants originally licensed under Part 50, and covers activities prior to submittal of a license amendment request. | 01/19/2011 | NRR | No | **** | ***** | ML110140103 | N/A |
| 115 | DI&C-ISG-07 - Fuel Cycle Facilities - DI&C Systems in Safety Applications at Fuel Cycle Facilities | Interim Staff Guidance | Provides licensing review criteria that address acceptable means of implementing digital instrumentation and controls (I&C) applications used to accomplish safety functions in fuel cycle facilities. | 12/1/2010 6/1/2009 | NRR | No | **** | ***** | ML101900316 ML091550599 | 74 FR 38673 |
| 116 | RTR-ISG-2009-001 | Interim Staff Guidance | Interim Staff Guidance on Streamlined Review Process for License Renewal for Research Reactors | 10/16/2009 | NRR | No | **** | ***** | ML092240244 | 74 FR 57206 |
| 117 | OL/FR-ISG-2014-01, "Reviewing and Assessing the Financial Condition of Operating Power Reactor Licensees, Including Requests for Additional Information" | Interim Staff Guidance | Clarifies the process by which the NRC staff will review financial conditions of, and financial concerns about, currently operating power reactor licensees. | 03/04/2015 | NRR | No | 02/02/2015 | 03/12/2015 | ML14218A625 | 80 FR 11691 |

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| 118 | Final Interim Staff Guidance Augmenting NUREG-1537, Parts 1 and 2 | Interim Staff Guidance | Provides guidance for preparing and reviewing applications for radioisotope production facilities and aqueous homogeneous reactors | 10/30/2012 | NRR | No | **** | 11/05/2012 | ML12156A069 ML12156A075 | 77 FR 65728 |
| 119 | Draft Interim Staff Guidance Augmenting NUREG-1537, Chapter 7, "Instrumentation and Control Systems," Parts 1 and 2 | Interim Staff Guidance | Provides updated and consolidated guidance for preparing and reviewing applications for initial licensing or to amend a facility operating license for instrumentation and control (I&C) upgrades at non-power production or utilization facilities, as appropriate. | 11/16/2015 | NRR | No | 08/01/2014 | ***** | ML17248A545 ML17248A546 | 80 FR 70850*** |
| 120 | NSIR/DPR-ISG-01, "Interim Staff Guidance - Emergency Planning for Nuclear Power Plants" | Interim Staff Guidance | Provides updated guidance information for addressing emergency planning (EP) requirements for nuclear power plants (NPPs), and provides additional guidance on one topic not specifically addressed in the EP final rule (i.e., integrating offsite response organization (ORO) event response concepts with onsite EP programs). | 12/05/2011 | NRR | No | 07/21/2011 | ***** | ML113010523 | 76 FR 75771 |
| 121 | JLD-ISG-2012-01 - "Interim Staff Guidance for Compliance with Order EA-12-049 Concerning Mitigation Strategies for Beyond-Design-Basis Events" | Interim Staff Guidance | Provides guidance and clarification to assist nuclear power reactor licensees with the identification of measures needed to comply with requirements contained in Order EA-12-049, "Order Modifying Licenses with Regard to Requirements for Mitigation Strategies for Beyond-Design-Basis External Events," to mitigate challenges to key safety functions. | 08/29/12 | NRR | No | 09/10/2012 | 09/10/2012 | ML12229A174 | 77 FR 55230 |

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| 122 | JLD-ISG-2012-01 - "Interim Staff Guidance for Compliance with Order EA-12-049 Concerning Mitigation Strategies for Beyond-Design-Basis Events" (Rev 1) | Interim Staff Guidance | Provides guidance and clarification to assist nuclear power reactor licensees with the identification of measures needed to comply with requirements contained in Order EA-12-049, "Order Modifying Licenses with Regard to Requirements for Mitigation Strategies for Beyond-Design-Basis External Events," to mitigate challenges to key safety functions. | 01/22/16 | NRR | No | | 02/17/2016 | https://www.nrc.gov/docs/ML1535/ML15357A163.pdf | 81 FR 10283 |
| 123 | JLD-ISG-12-02 - "Interim Staff Guidance for Compliance with Order EA-12-050, Reliable Hardened Vents" | Interim Staff Guidance | Provides guidance and clarification to assist nuclear power reactor applicants and licensees with the identification of measures needed to comply with requirements contained in Order EA-12-050, "Order Modifying Licenses with Regard to Reliable Hardened Containment Vents," to mitigate challenges to key safety functions. | 08/09/12 | NRR | No | 06/15/2012 | 09/10/2012 | https://www.nrc.gov/docs/ML1222/ML12229A475.pdf | 77 FR 55231 |
| 124 | JLD-ISG-12-03 - "Interim Staff Guidance for Compliance with Order EA-12-051, Spent Fuel Pool Instrumentation" | Interim Staff Guidance | Provides guidance and clarification to assist nuclear power reactors applicants and licensees with the identification of measures needed to comply with requirements contained in Order EA-12-051, "Order Modifying Licenses with Regard to Reliable Spent Fuel Pool Instrumentation," to install enhanced spent fuel pool monitoring capability. | 08/29/12 | NRR | No | **** | **** | https://www.nrc.gov/docs/ML1222/ML12221A339.pdf | 77 FR 55232 |
| 125 | JLD-ISG-12-04 - "Interim Staff Guidance on Performing a Seismic Margin Assessment in Response to the March 2012 Request for Information Letter" | Interim Staff Guidance | Provides guidance and clarification to assist nuclear power reactor licensees when responding to the NRC staff's request for information dated March 12, 2012, Enclosure 1, "Recommendation 2.1: Seismic." | 11/16/12 | NRR | No | **** | 11/26/2012 | https://www.nrc.gov/docs/ML1228/ML12286A029.pdf | 77 FR 71019 |

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| 126 | JLD-ISG-12-05 - "Interim Staff Guidance for Performing the Integrated Assessment for External Flooding" | Interim Staff Guidance | Provides guidance and clarification to assist nuclear power reactors applicants and licensees with performing an integrated assessment for external flooding in response to Enclosure 2 of a March 12, 2012, information request. | 11/30/12 | NRR | No | 10/01/2012 | 12/10/2012 | https://www.nrc.gov/docs/ML1231/ML12311A214.pdf | 77 FR 73057 |
| 127 | JLD-ISG-12-06 - "Interim Staff Guidance for Performing a Tsunami, Surge, or Seiche Hazard Assessment" | Interim Staff Guidance | Provides guidance and clarification to assist nuclear power reactors applicants and licensees with the flooding hazard reassessment in response to Enclosure 2 of the NRC staff's request for information, "Request for Information Pursuant to section 50.54(f) of Title 10 of the Code of Federal Regulations (10 CFR), Regarding Recommendations 2.1, 2.3, and 9.3 of the Near-Term Task Force Review of Insights from the Fukushima Dai-ichi Accident," dated March 12, 2012. | 01/04/13 | NRR | No | 11/02/2012 | 01/23/2013 | https://www.nrc.gov/docs/ML1231/ML12314A412.pdf | 78 FR 3039 |
| 128 | JLD-ISG-13-01 - "Interim Staff Guidance for Assessment of Flooding Hazards due to Dam Failure" | Interim Staff Guidance | Provides guidance and clarification to assist nuclear power reactors applicants and licensees with the flooding hazard reassessment in response to Enclosure 2 of the NRC staff's request for information, "Request for Information Pursuant to section 50.54(f) of Title 10 of the Code of Federal Regulations (10 CFR), Regarding Recommendations 2.1, 2.3, and 9.3 of the Near-Term Task Force Review of Insights from the Fukushima Dai-ichi Accident," dated March 12, 2012. | 07/29/13 | NRR | No | 04/24/2013 | ***** | https://www.nrc.gov/docs/ML1315/ML13151A153.pdf | 78 FR 48201 |

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| 129 | JLD-ISG-13-02 - "Interim Staff Guidance for Compliance with Order EA-13-109 Regarding Reliable Hardened Containment Vents" | Interim Staff Guidance | Provides guidance and clarifies the requirements in the order to assist the licensees that have Boiling Water Reactors with Mark I and Mark II Containments in the design and implementation of a containment venting system that is capable of a operation under severe accident conditions, and endorses, with clarifications, the industry guidance contained in Nuclear Energy Institute 13-02, "Industry Guidance for Compliance with Order EA-13-109," Revision 0. | 11/25/13 | NRR | No | **** | ***** | ML13304B836 | 78 FR 70356 |
| 130 | JLD-ISG-15-01 - "Interim Staff Guidance for Compliance with Phase 2 of Order EA-13-109, Order Modifying Licenses with Regard to Reliable Hardened Containment Vents Capable of Operation under Severe Accident Conditions" | Interim Staff Guidance | Provides guidance and clarifies the Phase 2 requirements in the order to assist the licensees that have Boiling Water Reactors with Mark I and Mark II Containments in the design and implementation of either a vent path from the containment drywell or a strategy that makes it unlikely that venting would be needed from the drywell before alternate reliable containment heat removal and pressure control is reestablished, and endorses, with clarifications, the industry guidance contained in Nuclear Energy Institute 13-02, "Industry Guidance for Compliance with Order EA-13-109," Revision 1. | 05/07/15 | NRR | No | **** | ***** | https://www.nrc.gov/docs/ML1510/ML15104A118.pdf | 80 FR 26303 |
| 131 | JLD-ISG-16-01 - "Interim Staff Guidance for Activities Related to Near-Term Task Force Recommendation 2.1, Flooding Hazard Reevaluation; Focused Evaluation and Integrated Assessment" | Interim Staff Guidance | Provides guidance and clarification to assist operating power reactor licensees and holders of construction permits under the NRC's regulations with the performance of the focused evaluations and revised integrated assessments for external flooding. | 07/19/16 | NRR | No | 06/28/2016 | 08/17/2016 | https://www.nrc.gov/docs/ML1616/ML16162A301.pdf | 81 FR 46970 |
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| 136 | Office of Nuclear Security and Incident Response | | | | | | | | | |
| 137 | Letter to Security Managers of nuclear power reactor licensees, "The U.S. Nuclear Regulatory Commission Inspection Approach Related to Industry Implementation of Early Warning systems" | Guidance | Outlines the approach to be used by NRC inspectors when evaluating Early Warning Systems | 05/26/2016 | NSIR | No | **** | **** | Non-public ***** | N/A |
| 138 | NSIR/DSP Interim Staff Guidance (ISG)-03, Review of Security Exemptions / License Amendment Request for Decommissioning Nuclear Power Plants | Interim Staff Guidance | Describes the methods and that the staff of the NRC uses in conducting technical reviews and safety evaluations of a licensee's requests for license amendments, alternative measures, and requests for exemption from security regulations for nuclear power plants that are undergoing the process of decommissioning | 09/28/2015 | NSIR | No | 03/27/2015 | **** | ML15106A737 | 80 FR 62116 |
| 139 | NSIR/DPR Interim Staff Guidance (ISG)-01, Interim Staff Guidance on Emergency Planning for Nuclear Power Plants | Interim Staff Guidance | Describes the methods and procedures that the staff of the NRC uses and considers acceptable for use by licensees and applicants as guidance for implementing changes to their onsite emergency preparedness programs based on the revised requirements (i.e, the 2011 Emergency Preparedness Final Rule). | 11/20/2011 | NSIR | No | 07/21/2011 | 12/05/2011 | ML113010523 | 76 FR 75771 |
| 140 | NSIR/DPR Interim Staff Guidance (ISG)-02, Emergency Planning Exemption Requests for Decommissioning Nuclear Power Plants | Interim Staff Guidance | Describes the methods and procedures that the staff of the NRC uses for conducting the technical review of requests for exemptions from the emergency preparedness requirements for nuclear power reactor licensees that have been permanently shutdown and defueled or are planning to transition to a decommissioning state. | 05/11/2015 | NSIR | No | 11/03/2014 | 05/20/2015 | ML14106A057 | 80 FR 29348 |

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| 141 | NSIR/DPR Interim Staff Guidance (ISG)-03, Review of Security Exemptions/License Amendment Requests for Decommissioning Nuclear Power Plants | Interim Staff Guidance | Describes the methods and procedures that the staff of the NRC uses for conducting the technical review of requests for exemptions from the emergency preparedness requirements for nuclear power reactor licensees that have been permanently shutdown and defueled or are planning to transition to a decommissioning state. | 09/28/2015 | NSIR | No | 03/27/2015 | ***** | ML15106A737 | 80 FR 62116 |
| 142 | Policy Statement of the U.S. Nuclear Regulatory Commission on the Protection of Cesium-137 Chloride Sources | Policy Statement | Set forth Commission policy on the secure uses of sealed sources containing CsCl and to describe potential Commission actions if changes in the security threat environment necessitate regulatory action. The Policy Statement also delineates the Commission's expectations for the secure and safe use of CsCl sources with activity levels of Category 1 and 2 as characterized by the IAEA Code of Conduct. | 07/19/2011 | NSIR/NMS S | No | 03/21/2011 | 08/01/2011 | ML111870035 | 76 FR 44378 |
| 143 | Management Directive 5.12, International Nuclear and Radiological Event Scale (INES) Participation" | Management Directive | Ensure that the rating of events involving reactors, fuel cycle facilities, transportation, and licensed materials is performed in accordance with the guidance provided in "The International Nuclear and Radiological Event Scale (INES) User's Manual, 2008 Edition" (the INES User's Manual) ADAMS Accession No. ML092230008. | 07/17/2017 | NSIR | No | 01/11/2012 | ***** | ML17157B346 | N/A |

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| 144 | NUREG-0654/FEMA-REP-1, Rev. 1, Supplement 3, Criteria for Preparation and Evaluation of Radiological Emergency Response Plans and Preparedness in Support of Nuclear Power Plants: Guidance for Protective Action Strategies | NUREG | Provides an acceptable method to comply with 10 CFR Appendix E, Section IV, paragraph 3 in the use of evacuation time estimates in the formulation of protective action recommendations (PAR) for the plume exposure emergency planning zone, and provides guidance for the provisions of 10 CFR 50.47(b)(10) in the development of a range of PARs. Provides guidance to support the information in NUREG-0654/FEMA-REP-1 that the NRC finds to be an acceptable method of meeting the requirements in 10 CFR 50.47(b)(7) for the development of a public information program. | 12/05/2011 | NSIR | No | 07/21/2011 | 12/05/2011 | ML113010596 | 76 FR 75771 |
| 145 | NUREG-1940, RASCAL 4: Description of Models and Methods | NUREG | Describes the models and calculational methods used in the Radiological Assessment System for Consequence Analysis (RASCAL) 4 computer code and the consequence assessment implications of changes in models and methods from RASCAL 3.0. RASCAL 4 is used by NRC staff to conduct an independent assessment of potential radiological releases from NRC-regulated nuclear power reactors, spent fuel storage pools and casks, fuel cycle facilities, and radioactive material handling facilities. RASCAL 4 includes improvements in the models and methods related to source term calculations, atmospheric dispersion and deposition, and dose calculations. | 12/01/2012 | NSIR | No | **** | **** | ML13031A448 | N/A |

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| 146 | NUREG-1940, Supplement 1, RASCAL 4.3: Description of Models and Methods | NUREG | RASCAL 4.3 computer code contains a number of new features and revision of several old features in response to the lessons learned by the staff of the NRC during its response to the events at the 2011 Fukushima Daiichi nuclear power plants. This guidance document is a supplement to NUREG-1940, "RASCAL 4: Description of Models and Methods," which is the technical basis for the models and methods used in the RASCAL computer code versions 4.0, 4.1 and 4.2. | 05/31/2015 | NSIR | No | **** | ***** | ML15132A119 | N/A |
| 147 | NUREG-1959 Rev. 1, Intrusion Detection Systems and Subsystems | NUREG | Provides information about the design, installation, testing, maintenance, and monitoring of intrusion detection systems and subsystems used for the protection of facilities licensed by the NRC | 09/30/2017 | NSIR | No | **** | ***** | ML17250A867 | N/A |
| 148 | NUREG-2203, Glossary of Security Terms for Nuclear Power Reactors | NUREG | Consists of glossary of security terms specifically for nuclear power reactors that are commonly used in the nuclear industry and regulatory community | 02/28/2017 | NSIR | No | **** | ***** | ML17047A669 | N/A |
| 149 | NUREG/CR-6953, Volume 2, Review of NUREG-0654, Supplement 3, "Criteria for Protective Action Recommendations for Severe Accidents" - Focus Groups and Telephone Survey | NUREG/ Contractor Report | Provides conclusions from focus group research on the views of the public and emergency response personnel, and a telephone survey of residents living within NPP Emergency Planning Zones to understand public tendencies towards emergency preparedness. Understanding the public's knowledge and confidence in protective actions informs the decision process on development of protective actions. | 10/01/2008 | NSIR | No | **** | ***** | ML083110406 | N/A |

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| 150 | NUREG/CR-6953, Volume 3, Review of NUREG-0654, Supplement 3, "Criteria for Protective Action Recommendations for Severe Accidents" - Technical Basis for Protective Action Strategies | NUREG/ Contractor Report | As the final volume of the Protective Action Recommendation Study, this analysis provides a technical basis for decision criteria that can be used by nuclear power plant (NPP) licensees and offsite response organizations to tailor protective action strategies using site specific evacuation time information for NPP accidents that progress rapidly. | 08/31/2010 | NSIR | No | **** | **** | ML102380087 | N/A |
| 151 | NUREG/CR-7002, Criteria for Development of Evacuation Time Estimate Studies | NUREG/ Contractor Report | Provides guidance to NRC licensees, under 10 CFR Part 50 and 10 CFR Part 52, for the development of evacuation time estimates, including those associated with staged evacuation protective actions. The document also identifies the importance of using approved emergency response plans and existing traffic control information to reflect the expected response actions during an emergency. | 12/05/2011 | NSIR | No | 07/21/2011 | 12/05/2011 | ML113010515 | 76 FR 75771 |
| 152 | NUREG/CR-7032, Developing an Emergency Risk Communication (ERC)/Joint Information Center (JIC) Plan for a Radiological Emergency | NUREG/ Contractor Report | Provides best practices for nuclear power reactor licensees and emergency response organizations on developing an Emergency Risk Communication and Joint Information Center plan for a radiological emergency. | 02/28/2011 | NSIR | No | **** | **** | ML110490119 | N/A |
| 153 | NUREG/CR-7033, Guidance on Developing Effective Radiological Risk Communication Messages: Effective Message Mapping and Risk Communication with the Public in Nuclear Plant Emergency Planning Zones | NUREG/ Contractor Report | Provides guidance for nuclear power reactor licensees and local response organizations on message development for radiological emergencies. | 02/28/2011 | NSIR | No | **** | **** | ML110490120 | N/A |
| 154 | NUREG/CR-7154, Volume 1, Risk Informing Emergency Preparedness Oversight: Evaluation of Emergency Action Levels — A Pilot Study of Peach Bottom, Surry and Sequoyah | NUREG/ Contractor Report | Provides generic and plant specific insights to be considered when developing future risk informed emergency planning regulatory activities. | 01/01/2013 | NSIR | No | **** | **** | ML13031A500 | N/A |

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| 155 | NUREG/CR-7154, Volume 2, Risk Informing Emergency Preparedness Oversight: Evaluation of Emergency Action Levels — A Pilot Study of Peach Bottom, Surry and Sequoyah | NUREG/ Contractor Report | Explores the feasibility of using probabilistic risk assessment to provide risk insights about Emergency Action Level schemes. | 01/01/2013 | NSIR | No | **** | ***** | ML13031A501 | N/A |
| 156 | NUREG/CR-7160, Emergency Preparedness Significance Quantification Process: Proof of Concept | NUREG/ Contractor Report | To improve prioritization of regulatory activities, a decision process has been developed to aid in the determination of risk significance of Emergency Preparedness (EP) program elements. The DedUctive Quantification Index method was developed and used in a proof of concept application for two representative nuclear power plant sites, and applied to determine risk significance of specific EP elements. | 06/01/2013 | NSIR | No | **** | ***** | ML13164A285 | N/A |
| 157 | NUREG/CR-7195, Risk-Informed and Performance-Based Oversight of Radiological Emergency Response Programs | NUREG/ Contractor Report | Provides a concept for performance-based oversight of offsite radiological emergency response preparedness in jurisdictions surrounding commercial nuclear power plants. The report proposes an initial set of objective performance indicators for demonstration in drills and exercises. | 05/01/2015 | NSIR | No | **** | ***** | ML15134A035 | N/A |
| 158 | NUREG/CR 7201, Characterizing Explosive Effects on Underground Structures | NUREG | Reviews the effects explosions close to the ground surface and in contact with the ground surface have on underground structures, with emphasis on the Vehicle Borne Improvised Explosive Devices | 09/01/2015 | NSIR | No | **** | ***** | ML15245A640 | N/A |
| 159 | NUREG/CR-7183, Best Practices for Behavioral Observation Programs at Operating Power Reactors and Power Reactor Construction Sites | NUREG | Identifies best practices associated with behavioral observation programs (BOP) used by a cross-section of Federal agencies and private entities, discusses the need for effective BOPs at power reactors, and power reactor construction sites, and presents insights and recommendations to improve BOP performance | 06/30/2014 | NSIR | No | **** | ***** | ML14189A355 | N/A |

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| 160 | NUREG/CR-7145, Nuclear Power Plant Security Assessment Guide | NUREG | Provides detailed guidance for the format and content of a security assessment of a commercial nuclear power plant | 04/01/2013 | NSIR | No | **** | ***** | ML13122A181 | N/A |
| 161 | NUREG-0561 Rev. 2, Physical Protection of Shipments of Irradiated Reactor Fuel | NUREG | Sets forth means, methods and procedures that the NRC considers acceptable for satisfying the requirements for the physical protection of spent nuclear fuel (SNF) during transportation by road, rail and water, and for satisfying the requirements for background investigations of individuals granted unescorted access to SNF during transportation | 04/01/2013 | NSIR | No | 06/01/2012 | ***** | ML13120A230 | 78 FR 31821 |
| 162 | NUREG-1964, Access Control Systems: Technical Information for NRC Licensees | NUREG | Provides technical details applicable to access control methods and technologies commonly used to protect facilities licensed by the NRC | 04/01/2011 | NSIR | No | **** | ***** | ML11115A078 | N/A |
| 163 | NUREG-0725 Rev. 15, Public Information Circular For Shipments of Irradiated Reactor Fuel | NUREG | Provides information on the shipment of irradiated reactor fuel subject to regulation by the NRC | 05/01/2010 | NSIR | No | **** | ***** | ML101390089 | N/A |
| 164 | | | | | | | | | | |
| 165 | | | | | | | | | | |
| 166 | Office of Nuclear Regulatory Research | | | | | | | | | |
| 167 | 1. Title | 2. Form of Guidance | 3. Subject Description | 4. Date of Issuance | 5. Issuing Office | 6a. Major rule under CRA? | 6b. Date Requested OMB Major/Non-Major CRA Determination and/or Submitted to OMB under Paperwork Reduction Act (PRA)** | 6c. Date submitted to Congress and GAO Under CRA | 7. If Applicable, Hyperlink or ADAMS Number | 7. If applicable, Federal Register Citation |
| 168 | New RGs | | | | | | | | | |
| 169 | Regulatory Guide 1.210, "Qualification of Safety-Related Battery Chargers and Inverters" | Guidance | As described in document title | 06/06/2008 | RES | No | N/A* | ***** | ML080640184 | 73 FR 32362 |
| 170 | Regulatory Guide 4.21, "Minimization of Contamination and Radioactive Waste Generation: Life-Cycle Planning" | Guidance | As described in document title | 06/19/2008 | RES | No | N/A* | ***** | ML080500187 | 73 FR 33465 |
| 171 | Regulatory Guide 1.212, "Sizing Of Large Lead-Acid Storage Batteries" | Guidance | As described in document title | 11/25/2008 | RES | No | N/A* | ***** | ML082740047 | 73 FR 71705 |
| 172 | Regulatory Guide 5.77, "Insider Mitigation Program" | Guidance | As described in document title | 03/01/2009 | RES | No | N/A* | ***** | non-public***** | N/A |
| 173 | Regulatory Guide 5.73, "Fatigue Management for Nuclear Power Plant Personnel" | Guidance | As described in document title | 03/31/2009 | RES | No | N/A* | ***** | ML083450028 | 74 FR 11979 |

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| 174 | Regulatory Guide 1.211, "Qualification of Safety-Related Cables and Field Splices for Nuclear Power Plants" | Guidance | As described in document title | 04/01/2009 | RES | No | N/A* | ***** | ML082530205 | 74 FR 18000 |
| 175 | Regulatory Guide 1.214, "Response Procedures for Potential or Actual Aircraft Attacks" | Guidance | As described in document title | 04/21/2009 | RES | No | N/A* | ***** | non-public***** | 74 FR 13926 |
| 176 | Regulatory Guide 1.213, "Qualification of Safety-Related Motor Control Centers for Nuclear Power Plants" | Guidance | As described in document title | 05/31/2009 | RES | No | N/A* | ***** | ML083010447 | 74 FR 22985 |
| 177 | Regulatory Guide 5.74, "Managing the Safety / Security Interface" | Guidance | As described in document title | 06/01/2009 | RES | No | N/A* | ***** | ML091690036 | 74 FR 32197 |
| 178 | Regulatory Guide 5.76, "Nuclear Power Reactor Physical Security Programs" | Guidance | As described in document title | 07/01/2009 | RES | No | N/A* | ***** | non-public***** | N/A |
| 179 | Regulatory Guide 5.75, "Training and Qualification of Security Personnel at Nuclear Power Reactor Facilities" | Guidance | As described in document title | 07/09/2009 | RES | No | N/A* | ***** | ML091690037 | 74 FR 34052 |
| 180 | Regulatory Guide 1.215, "Guidance for ITAAC Closure Under 10 CFR Part 52" | Guidance | As described in document title | 10/20/2009 | RES | No | N/A* | ***** | ML091480076 | 74 FR 53785 |
| 181 | Regulatory Guide 5.71, "Cyber Security Programs for Nuclear Facilities" | Guidance | As described in document title | 01/07/2010 | RES | No | N/A* | ***** | ML090340159 | 75 FR 1830 |
| 182 | Regulatory Guide 5.78, "Physical Protection of Mixed-Oxide Fuels in Nuclear Power Plants" (SGI) | Guidance | As described in document title | 07/08/2010 | RES | No | N/A* | ***** | non-public***** | N/A |
| 183 | Regulatory Guide 8.40, "Methods for Measuring Effective Dose Equivalent from External Exposure" | Guidance | As described in document title | 07/20/2010 | RES | No | N/A* | 07/30/2010 | ML100610534 | 75 FR 42170 |
| 184 | Regulatory Guide 1.216, "Containment Structural Integrity Evaluation for Internal Pressure Loadings Above Design-Basis Pressure" | Guidance | As described in document title | 08/01/2010 | RES | No | N/A* | 08/10/2010 | ML093200703 | 75 FR 48381 |
| 185 | Regulatory Guide 5.81, "Target Set Identification and Development for Nuclear Power Reactors" (OUO-SRI) | Guidance | As described in document title | 11/30/2010 | RES | No | N/A* | ***** | non-public***** | N/A |
| 186 | Regulatory Guide 5.80, "Pressure-Sensitive and Tamper-Indicating Device Seals for Material Control and Accounting of Special Nuclear Material" | Guidance | As described in document title | 12/17/2010 | RES | No | N/A* | 12/20/2010 | ML101800504 | 75 FR 79049 |
| 187 | Regulatory Guide 5.79, "Protection of Safeguards Information" | Guidance | As described in document title | 04/08/2011 | RES | No | N/A* | 04/18/2011 | ML103270219 | 76 FR 19817 |

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| 188 | Regulatory Guide 1.217, "Guidance for the Assessment of Beyond-Design-Basis Aircraft Impacts" | Guidance | As described in document title | 08/12/2011 | RES | No | N/A* | 08/12/2011 | ML092900004 | 76 FR 50275 |
| 189 | Regulatory Guide 1.221, "Design-Basis Hurricane and Hurricane Missiles for Nuclear Power Plants" | Guidance | As described in document title | 10/14/2011 | RES | No | N/A* | 10/12/2011 | ML110940300 | 76 FR 63541 |
| 190 | Regulatory Guide 1.219, "Guidance on Making Changes to Emergency Plans for Nuclear Power Reactors" | Guidance | As described in document title | 11/30/2011 | RES | No | N/A* | 01/09/2012 | ML102510626 | 76 FR 74630 |
| 191 | Regulatory Guide 3.74, "Guidance for Fuel Cycle Facility Change Processes" | Guidance | As described in document title | 01/06/2012 | RES | No | N/A* | 01/09/2012 | ML100890016 | 77 FR 823 |
| 192 | Regulatory Guide 4.22, "Decommissioning Planning During Operations" | Guidance | As described in document title | 12/31/2012 | RES | No | N/A* | ***** | ML12158A361 | 78 FR 663 |
| 193 | Regulatory Guide 1.79.1, "Initial Test Program of Emergency Core Cooling Systems for New Boiling-Water Reactors" | Guidance | As described in document title | 10/24/2013 | RES | No | N/A* | 10/17/2013 | ML12300A329 | 78 FR 63516 |
| 194 | Regulatory Guide 3.75, "Corrective Action Programs for Fuel Cycle Facilities" | Guidance | As described in document title | 07/09/2014 | RES | No | N/A* | ***** | ML14139A321 | 79 FR 41315 |
| 195 | Regulatory Guide 7.13, "Transportation Security Plans for Classified Matter Shipments" (OUO-SRI) | Guidance | As described in document title | 06/09/2015 | RES | No | N/A* | ***** | non-public***** | 80 FR 32622 |
| 196 | Regulatory Guide 5.84, "Fitness-for-Duty Programs at New Reactor Construction Sites" | Guidance | As described in document title | 08/11/2015 | RES | No | N/A* | ***** | ML15083A412 | 80 FR 48348 |
| 197 | Regulatory Guide 5.83, "Cyber Security Event Notifocations" | Guidance | As described in document title | 11/02/2015 | RES | No | N/A* | 12/02/2015 | ML14269A388 | 80 FR 67275 |
| 198 | Regulatory Guide 4.24, "Aquatic Environmental Studies for Nuclear Power Stations" | Guidance | As described in document title | 12/28/2016 | RES | No | N/A* | ***** | ML15309A219 | 81 FR 95655 |
| 199 | Regulatory Guide 4.25, "Assessment of Abnormal Radionuclide Discharges in Ground Water to the Unrestricted Area at Nuclear Power Plant Sites" | Guidance | As described in document title | 03/10/2017 | RES | No | N/A* | ***** | ML16253A333 | 82 FR 13875 |
| 200 | Regulatory Guide 5.41, "Shipping, Receiving, and Internal Transfer of Special Nuclear Material At Fuel Cycle Facilities" | Guidance | As described in document title | 05/31/2017 | RES | No | N/A* | ***** | ML16348A213 | 82 FR 24997 |
| 201 | Regulatory Guide 1.164, "Dedication of Commercial-Grade Items for Use in Nuclear Power Plants" | Guidance | As described in document title | 06/27/2017 | RES | No | N/A* | ***** | ML17041A206 | 82 FR 29120 |

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| 3 | Regulatory Guide 5.88, "Physical Inventories and Material Balances at Fuel Cycle Facilities" | Guidance | As described in document title | 09/27/2017 | RES | No | N/A* | ***** | ML17167A292 | 82 FR 45074 |
| 202 | RG Revised | | | | | | | | | |
| 203 | Regulatory Guide 1.45, "Guidance on Monitoring and Responding to Reactor Coolant System Leakage" | Guidance | As described in document title | 05/28/2008 | RES | No | N/A* | ***** | ML073200271 | 73 FR 30648 |
| 204 | Regulatory Guide 3.65, "Standard Format and Content of Decommissioning Plans for Materials Licensees" | Guidance | As described in document title | 05/30/2008 | RES | No | N/A* | ***** | ML081130703 | 73 FR 31154 |
| 205 | Regulatory Guide 3.66, "Standard Format and Content of Financial Assurance Mechanisms" | Guidance | As described in document title | 05/30/2008 | RES | No | N/A* | ***** | ML081130718 | 73 FR 31155 |
| 206 | Regulatory Guide 6.4, "Verification of Containment Properties of Sealed Radioactive Sources" | Guidance | As described in document title | 06/30/2008 | RES | No | N/A* | ***** | ML081140487 | 73 FR 36135 |
| 207 | Regulatory Guide 10.2, "Guidance to Academic Institutions Applying for Specific Byproduct Material Licenses of Limited Scope" | Guidance | As described in document title | 07/31/2008 | RES | No | N/A* | ***** | ML081140578 | 73 FR 39994 |
| 208 | Regulatory Guide 10.3, "Guide for the Preparation of Applications for Special Nuclear Material Licenses for Less than Critical Mass Quantities" | Guidance | As described in document title | 07/31/2008 | RES | No | N/A* | ***** | ML081140586 | 73 FR 39340 |
| 209 | Regulatory Guide 10.5, "Applications for a Type A License of Broad Scope" | Guidance | As described in document title | 07/31/2008 | RES | No | N/A* | ***** | ML081140591 | 73 FR 39993 |
| 210 | Regulatory Guide 10.6, "Guide for the Preparation of Applications for an Industrial Radiography License" | Guidance | As described in document title | 07/31/2008 | RES | No | N/A* | ***** | ML081140599 | 73 FR 39735 |
| 211 | Regulatory Guide 6.1, "Leak Testing Radioactive Brachytherapy Sources" | Guidance | As described in document title | 07/31/2008 | RES | No | N/A* | ***** | ML081140467 | 73 FR 39994 |
| 212 | Regulatory Guide 6.2, "Integrity and Test Specifications for Selected Brachytherapy Sources" | Guidance | As described in document title | 07/31/2008 | RES | No | N/A* | ***** | ML081140471 | 73 FR 42377 |
| 213 | Regulatory Guide 6.5, "General Safety Standards for Installations Using Nonmedical Sealed Gamma-Ray Sources" | Guidance | As described in document title | 07/31/2008 | RES | No | N/A* | ***** | ML081140498 | 73 FR 39993 |
| 214 | Regulatory Guide 10.7, "Guide for the Preparation of Applications for Licenses for Laboratory and Industrial Use of Small Quantities of Byproduct Material" | Guidance | As described in document title | 09/30/2008 | RES | No | N/A* | ***** | ML081960533 | 73 FR 55544 |
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| 216 | Regulatory Guide 10.8, "Guide for the Preparation of Applications for Medical Use Programs" | Guidance | As described in document title | 09/30/2008 | RES | No | N/A* | ***** | ML081960579 | 73 FR 53451 |
| 217 | Regulatory Guide 10.9, "Guide for the Preparation of Applications for the Use of Self-Contained Dry Source-Storage Gamma Irradiators" | Guidance | As described in document title | 09/30/2008 | RES | No | N/A* | ***** | ML081960560 | 73 FR 55544 |
| 218 | Regulatory Guide 1.114, "Guidance to Operators at the Controls and to Senior Operators in the Control Room of a Nuclear Power Unit" | Guidance | As described in document title | 10/31/2008 | RES | No | N/A* | ***** | ML082380236 | 73 FR 64000 |
| 219 | Regulatory Guide 3.11, "Design, Construction, and Inspection of Embankment Retention Systems at Uranium Recovery Facilities" | Guidance | As described in document title | 11/30/2008 | RES | No | N/A* | ***** | ML082380144 | 73 FR 66686 |
| 220 | Regulatory Guide 3.25, "Standard Format and Content of Safety Analysis Reports for Uranium Enrichment Facilities" | Guidance | As described in document title | 01/07/2009 | RES | No | N/A* | ***** | ML082690559 | 74 FR 728 |
| 221 | Regulatory Guide 1.125, "Physical Models for Design and Operation of Hydraulic Structures and Systems for Nuclear Power Plants" | Guidance | As described in document title | 03/24/2009 | RES | No | N/A* | ***** | ML082810208 | 74 FR 12401 |
| 222 | Regulatory Guide 1.200, "An Approach for Determining the Technical Adequacy of Probabilistic Risk Assessment Results for Risk-Informed Activities" | Guidance | As described in document title | 03/31/2009 | RES | No | N/A* | ***** | ML090410014 | 74 FR 11381 |
| 223 | Regulatory Guide 10.4, "Guide for the Preparation of Applications for Licenses to Process Source Material" | Guidance | As described in document title | 03/31/2009 | RES | No | N/A* | ***** | ML083650402 | 74 FR 11382 |
| 224 | Regulatory Guide 3.16, "General Fire Protection Guide for Plutonium Processing and Fuel Fabrication Plants" | Guidance | As described in document title | 04/17/2009 | RES | No | N/A* | ***** | ML083650087 | 74 FR 17885 |
| 225 | Regulatory Guide 1.69, "Concrete Radiation Shields and Generic Shield Testing for Nuclear Power Plants" | Guidance | As described in document title | 05/31/2009 | RES | No | N/A* | ***** | ML090820425 | 74 FR 26893 |
| 226 | Regulatory Guide 3.52, "Standard Format and Content for the Health and Safety Sections of License Renewal Applications for Uranium Processing and Fuel Fabrication" | Guidance | As described in document title | 06/03/2009 | RES | No | N/A* | ***** | ML091050098 | 74 FR 26737 |

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| 227 | Regulatory Guide 1.21, "Measuring, Evaluating, and Reporting Radioactive Material in Liquid and Gaseous Effluents and Solid Waste" | Guidance | As described in document title | 06/15/2009 | RES | No | N/A* | ***** | ML091170109 | 74 FR 29243 |
| 228 | Regulatory Guide 4.1, "Radiological Environmental Monitoring for Nuclear Power Plants" | Guidance | As described in document title | 06/30/2009 | RES | No | N/A* | ***** | ML091310141 | 74 FR 29244 |
| 229 | Regulatory Guide 5.54, "Standard Format and Content of Safeguards Contingency Plans for Nuclear Power Plants" (SGI) | Guidance | As described in document title | 06/30/2009 | RES | No | N/A* | ***** | non-public***** | N/A |
| 230 | Regulatory Guide 1.100, "Seismic Qualification of Electric and Mechanical Equipment for Nuclear Power Plants" | Guidance | As described in document title | 09/21/2009 | RES | No | N/A* | ***** | ML091320468 | 74 FR 47977 |
| 231 | Regulatory Guide 1.189, "Fire Protection for Nuclear Power Plants" | Guidance | As described in document title | 10/31/2009 | RES | No | N/A* | ***** | ML092580550 | 74 FR 56673 |
| 232 | Regulatory Guide 1.205, "Risk-Informed, Performance-Based Fire Protection for Existing Light-Water Nuclear Power Plants" | Guidance | As described in document title | 12/18/2009 | RES | No | N/A* | ***** | ML092730314 | 74 FR 67253 |
| 233 | Regulatory Guide 1.40, "Qualification of Continuous Duty Safety-Related Motors for Nuclear Power Plants" | Guidance | As described in document title | 02/28/2010 | RES | No | N/A* | ***** | ML093080087 | 75 FR 9627 |
| 234 | Regulatory Guide 1.47, "Bypassed and Inoperable Status Indication for Nuclear Power Plant Safety Systems" | Guidance | As described in document title | 02/28/2010 | RES | No | N/A* | ***** | ML092330064 | 75 FR 9626 |
| 235 | Regulatory Guide 1.11, "Instrument Lines Penetrating the Primary Reactor Containment" | Guidance | As described in document title | 03/31/2010 | RES | No | N/A* | ***** | ML100250396 | 75 FR 16525 |
| 236 | Regulatory Guide 1.126, "An Acceptable Model and Related Statistical Methods for the Analysis of Fuel Densification" | Guidance | As described in document title | 03/31/2010 | RES | No | N/A* | ***** | ML093360318 | 75 FR 16202 |
| 237 | Regulatory Guide 1.68.2, "Initial Startup Test Program to Demonstrate Remote Shutdown Capability for Water-Cooled Nuclear Power Plants" | Guidance | As described in document title | 04/21/2010 | RES | No | N/A* | ***** | ML100610034 | 75 FR 20868 |
| 238 | Regulatory Guide 1.65, "Materials and Inspections for Reactor Vessel Closure Studs" | Guidance | As described in document title | 04/30/2010 | RES | No | N/A* | ***** | ML092050716 | 75 FR 19665 |

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| 239 | Regulatory Guide 6.9, "Establishing Quality Assurance Programs for the Manufacture and Distribution of Sealed Sources and Devices Containing Byproduct Material" | Guidance | As described in document title | 04/30/2010 | RES | No | N/A* | ***** | ML100490731 | 75 FR 20399 |
| 240 | Regulatory Guide 6.7, "Preparation of an Environmental Report To Support a Rulemaking Petition Seeking an Exemption for a Radionuclide-Containing Product" | Guidance | As described in document title | 05/17/2010 | RES | No | N/A* | ***** | ML100331338 | 75 FR 27599 |
| 241 | Regulatory Guide 2.5, "Quality Assurance Program Requirements for Research and Test Reactors" | Guidance | As described in document title | 06/30/2010 | RES | No | N/A* | 07/06/2010 | ML093520099 | 75 FR 37842 |
| 242 | Regulatory Guide 1.28, Rev. 4, "Quality Assurance Program Criteria (Design and Construction)" | Guidance | As described in document title | 06/11/2010 | RES | No | N/A* | ***** | ML100160003 | 75 FR 33361 |
| 243 | Regulatory Guide 1.62, "Manual Initiation of Protective Actions" | Guidance | As described in document title | 06/30/2010 | RES | No | N/A* | ***** | ML092530559 | 75 FR 36715 |
| 244 | Regulatory Guide 1.141, "Containment Isolation Provisions for Fluid Systems" | Guidance | As described in document title | 07/22/2010 | RES | No | N/A* | 07/30/2010 | ML092850042 | 75 FR 43207 |
| 245 | Regulatory Guide 1.151, "Instrument Sensing Lines" | Guidance | As described in document title | 08/02/2010 | RES | No | N/A* | 08/09/2010 | ML092330219 | 75 FR 45173 |
| 246 | Regulatory Guide 3.13, "Design, Construction, and Inspection of Embankment Retention Systems at Fuel Cycle Facilities" | Guidance | As described in document title | 08/02/2010 | RES | No | N/A* | 08/09/2010 | ML101470167 | 75 FR 45171 |
| 247 | Regulatory Guide 8.35, "Planned Special Exposure" | Guidance | As described in document title | 08/31/2010 | RES | No | N/A* | 08/30/2010 | ML101370008 | 75 FR 52999 |
| 248 | Regulatory Guide 1.147, "Inservice Inspection Code Case Acceptability, ASME Section XI, Division 1" | Guidance | As described in document title | 10/05/2010 | RES | No | N/A* | 10/05/2010 | ML101800536 | 75 FR 61321 |
| 249 | Regulatory Guide 1.193, "ASME Code Cases Not Approved for Use" | Guidance | As described in document title | 10/05/2010 | RES | No | N/A* | 10/05/2010 | ML101800540 | 75 FR 61531 |
| 250 | Regulatory Guide 1.84, "Design, Fabrication, and Materials Code Case Acceptability, ASME Section III" | Guidance | As described in document title | 10/05/2010 | RES | No | N/A* | 10/05/2010 | ML101800532 | 75 FR 61321 |
| 251 | Regulatory Guide 1.54, "Service Level I, II, and III Protective Coatings Applied to Nuclear Power Plants" | Guidance | As described in document title | 10/31/2010 | RES | No | N/A* | 10/13/2010 | ML102230344 | 75 FR 62436 |
| 252 | Regulatory Guide 3.12, "General Design Guide for Ventilation Systems of Plutonium Processing and Fuel Fabrication Plants" | Guidance | As described in document title | 12/17/2010 | RES | No | N/A* | 12/20/2010 | ML102730431 | 75 FR 79049 |

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| 253 | Regulatory Guide 4.16, "Monitoring and Reporting Radioactive Materials in Liquid and Gaseous Effluents from Nuclear Fuel Cycle Facilities" | Guidance | As described in document title | 12/28/2010 | RES | No | N/A* | ***** | ML101720291 | 75 FR 81675 |
| 254 | Regulatory Guide 3.71, "Nuclear Criticality Safety Standards for Fuels and Material Facilities" | Guidance | As described in document title | 01/03/2011 | RES | No | N/A* | 01/13/2011 | ML103210345 | 76 FR 189 |
| 255 | Regulatory Guide 1.34, "Control of Electroslag Weld Properties" | Guidance | As described in document title | 03/31/2011 | RES | No | N/A* | ***** | ML101670357 | 76 FR 14108 |
| 256 | Regulatory Guide 1.43, "Control of Stainless Steel Weld Cladding of Low-Alloy Steel Components" | Guidance | As described in document title | 03/31/2011 | RES | No | N/A* | ***** | ML101670458 | 76 FR 14107 |
| 257 | Regulatory Guide 1.44, "Control of the Processing and Use of Stainless Steel" | Guidance | As described in document title | 03/31/2011 | RES | No | N/A* | 04/05/2011 | ML101680225 | 76 FR 18262 |
| 258 | Regulatory Guide 1.50, "Control of Preheat Temperature for Welding of Low-Alloy Steel" | Guidance | As described in document title | 03/31/2011 | RES | No | N/A* | ***** | ML101870612 | 76 FR 14108 |
| 259 | Regulatory Guide 1.149, "Nuclear Power Plant Simulation Facilities for Use in Operator Training, License Examinations, and Applicant Experience Requirements." | Guidance | As described in document title | 04/15/2011 | RES | No | N/A* | 04/18/2011 | ML110420119 | 76 FR 20052 |
| 260 | Regulatory Guide 3.67, "Standard Format and Content for Emergency Plans for Fuel Cycle and Materials Facilities" | Guidance | As described in document title | 05/02/2011 | RES | No | N/A* | 05/02/2011 | ML103360487 | 76 FR 24539 |
| 261 | Regulatory Guide 8.18, "Information Relevant to Ensuring that Radiation Exposures at Medical Institutions Will Be as Low as Is Reasonably Achievable" | Guidance | As described in document title | 05/05/2011 | RES | No | N/A* | 05/02/2011 | ML102350460 | 76 FR 25725 |
| 262 | Regulatory Guide 1.177, "An Approach for Plant-Specific, Risk-Informed Decisionmaking: Technical Specifications" | Guidance | As described in document title | 05/06/2011 | RES | No | N/A* | 05/16/2011 | ML100910008 | 76 FR 28102 |
| 263 | Regulatory Guide 1.174, "An Approach for Using Probabilistic Risk Assessment in Risk-Informed Decisions on Plant-Specific Changes to the Licensing Basis" | Guidance | As described in document title | 05/31/2011 | RES | No | N/A* | 05/16/2011 | ML100910006 | 76 FR 28102 |
| 264 | Regulatory Guide 8.2, "Administrative Practices in Radiation Surveys and Monitoring" | Guidance | As described in document title | 05/31/2011 | RES | No | N/A* | 05/31/2011 | ML110460093 | 76 FR 31382 |

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| 265 | Regulatory Guide 1.107, "Qualifications for Cement Grouting for Prestressing Tendons in Containment Structures" | Guidance | As described in document title | 06/29/2011 | RES | No | N/A* | 07/05/2011 | ML110550732 | 76 FR 38213 |
| 266 | Regulatory Guide 1.179, "Standard Format and Content of License Termination Plans for Nuclear Power Reactors" | Guidance | As described in document title | 06/30/2011 | RES | No | N/A* | 08/01/2011 | ML110490419 | 76 FR 38212 |
| 267 | Regulatory Guide 8.4, "Personnel Monitoring Device - Direct-Reading Pocket Dosimeters" | Guidance | As described in document title | 06/30/2011 | RES | No | N/A* | 07/05/2011 | ML101900087 | 76 FR 35922 |
| 268 | Regulatory Guide 1.152, "Criteria for Use of Computers in Safety Systems of Nuclear Power Plants" | Guidance | As described in document title | 07/12/2011 | RES | No | N/A* | 07/11/2011 | ML102870022 | 76 FR 40943 |
| 269 | Regulatory Guide 1.156, "Qualification of Connection Assemblies for Nuclear Power Plants" | Guidance | As described in document title | 08/03/2011 | RES | No | N/A* | 08/02/2011 | ML111730464 | 76 FR 46856 |
| 270 | Regulatory Guide 3.39, "Standard Format and Content of License Applications for Mixed Oxide Fuel Fabrication Facilities" | Guidance | As described in document title | 10/21/2011 | RES | No | N/A* | 11/01/2011 | ML100280809 | 76 FR 65544 |
| 271 | Regulatory Guide 1.159, "Assuring the Availability of Funds for Decommissioning Nuclear Reactors" | Guidance | As described in document title | 10/31/2011 | RES | No | N/A* | 11/01/2011 | ML112160012 | 76 FR 65541 |
| 272 | Regulatory Guide 5.66, "Access Authorization Program for Nuclear Power Plants" | Guidance | As described in document title | 10/31/2011 | RES | No | N/A* | 11/01/2011 | ML112060028 | 76 FR 66089 |
| 273 | Regulatory Guide 1.115, "Protection Against Turbine Missiles" | Guidance | As described in document title | 01/24/2012 | RES | No | N/A* | 01/24/2012 | ML101650675 | 77 FR 3514 |
| 274 | Regulatory Guide 1.106, "Thermal Overload Protection for Electric Motors on Motor-Operated Valves" | Guidance | As described in document title | 02/28/2012 | RES | No | N/A* | 02/27/2012 | ML112580358 | 77 FR 11598 |
| 275 | Regulatory Guide 7.4, "Leakage Tests on Packages for Shipment of Radioactive Material" | Guidance | As described in document title | 03/09/2012 | RES | No | N/A* | 03/16/2012 | ML112520023 | 77 FR 14445 |
| 276 | Regulatory Guide 4.11, "Terrestrial Environmental Studies for Nuclear Power Stations" | Guidance | As described in document title | 03/27/2012 | RES | No | N/A* | 03/26/2012 | ML113350385 | 77 FR 18271 |
| 277 | Regulatory Guide 1.93, "Availability of Electric Power Sources" | Guidance | As described in document title | 03/28/2012 | RES | No | N/A* | 04/05/2012 | ML090550661 | 77 FR 18872 |
| 278 | Regulatory Guide 7.7, "Administrative Guide for Verifying Compliance with Packaging Requirements for Shipping and Receiving of Radioactive Material" | Guidance | As described in document title | 03/28/2012 | RES | No | N/A* | 04/05/2012 | ML112160407 | 77 FR 18871 |

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| 279 | Regulatory Guide 1.82, "Water Sources for Long-Term Recirculation Cooling Following a Loss-of-Coolant Accident" | Guidance | As described in document title | 04/02/2012 | RES | No | N/A* | 04/05/2012 | ML111330278 | 77 FR 19740 |
| 280 | Regulatory Guide 1.218, "Condition-Monitoring Techniques for Electric Cables Used in Nuclear Power Plants" | Guidance | As described in document title | 04/23/2012 | RES | No | N/A* | 04/23/2012 | ML103510447 | 77 FR 24228 |
| 281 | Regulatory Guide 4.20, "Constraint on Releases of Airborne Radioactive Materials to the Environment for Licensees Other Than Power Reactors" | Guidance | As described in document title | 04/25/2012 | RES | No | N/A* | 04/27/2012 | ML110120299 | 77 FR 24746 |
| 282 | Regulatory Guide 1.160, "Monitoring the Effectiveness of Maintenance at Nuclear Power Plants" | Guidance | As described in document title | 05/22/2012 | RES | No | N/A* | 05/23/2012 | ML113610098 | 77 FR 30030 |
| 283 | Regulatory Guide 1.215, Rev. 1, "Guidance for ITAAC Closure Under 10 CFR Part 52" | Guidance | As described in document title | 05/31/2012 | RES | No | N/A* | 05/29/2012 | ML112580018 | 77 FR 51880 |
| 284 | Regulatory Guide 8.24, "Health Physics Surveys During Enriched Uranium-235 Processing and Fuel Fabrication" | Guidance | As described in document title | 06/05/2012 | RES | No | N/A* | 06/04/2012 | ML110400305 | 77 FR 33253 |
| 285 | Regulatory Guide 1.68.1, "Initial Test Program of Condensate and Feedwater Systems for Light-Water Reactors" | Guidance | As described in document title | 09/11/2012 | RES | No | N/A* | 09/17/2012 | ML12160A169 | 77 FR 55877 |
| 286 | Regulatory Guide, 1.68.3, "Preoperational Testing of Instrument and Control Air Systems" | Guidance | As described in document title | 09/25/2012 | RES | No | N/A* | 09/24/2012 | ML12160A047 | 77 FR 59023 |
| 287 | Regulatory Guide 1.52, "Design, Inspection, and Testing Criteria for Air Filtration and Adsorption Units of Post-Accident Engineered-Safety-Feature Atmosphere Cleanup Systems in Light-Water-Cooled Nuclear Power Plants" | Guidance | As described in document title | 10/03/2012 | RES | No | N/A* | 10/09/2012 | ML12159A013 | 77 FR 60481 |
| 288 | Regulatory Guide 1.92, "Combining Modal Responses and Spatial Components in Seismic Response Analysis" | Guidance | As described in document title | 10/31/2012 | RES | No | N/A* | 10/01/2012 | ML12220A043 | 77 FR 69509 |
| 289 | Regulatory Guide 1.90, "Inservice Inspection of Prestressed Concrete Containment Structures with Grouted Tendons" | Guidance | As described in document title | 11/30/2012 | RES | No | N/A* | 11/16/2012 | ML11249A008 | 77 FR 69508 |

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| 290 | Regulatory Guide 1.91, "Evaluations of Explosions Postulated to Occur at Nearby Facilities and on Transportation Routes Near Nuclear Power Plants" | Guidance | As described in document title | 04/25/2013 | RES | No | N/A* | 04/23/2012 | ML12170A980 | 78 FR 24438 |
| 291 | Regulatory Guide 1.57, "Design Limits and Loading Combinations for Metal Primary Reactor Containment System Components" | Guidance | As described in document title | 05/16/2013 | RES | No | N/A* | 05/20/2013 | ML12325A043 | 78 FR 28896 |
| 292 | Regulatory Guide 2.3, "Quality Verification for Plate-Type Uranium-Aluminum Fuel Elements for Use in Research and Test Reactors" | Guidance | As described in document title | 06/06/2013 | RES | No | N/A* | 06/06/2013 | ML12160A492 | 78 FR 33132 |
| 293 | Regulatory Guide 1.68, "Initial Test Programs for Water-Cooled Nuclear Power Plants" | Guidance | As described in document title | 06/12/2013 | RES | No | N/A* | 06/06/2013 | ML13051A027 | 78 FR 35330 |
| 294 | Regulatory Guide 1.137, "Fuel Oil Systems for Emergency Power Supplies" | Guidance | As described in document title | 06/17/2013 | RES | No | N/A* | 06/18/2013 | ML12300A122 | 78 FR 36278 |
| 295 | Regulatory Guide 4.2S1, Preparation of Environmental Reports for Nuclear Power Plant License Renewal Applications" | Guidance | As described in document title | 06/24/2013 | RES | No | N/A* | ***** | ML13067A354 | 78 FR 37324 |
| 296 | Regulatory Guide 1.185, "Standard Format and Content for Post-Shutdown Decommissioning Activities Report" | Guidance | As described in document title | 06/27/2013 | RES | No | N/A* | 06/26/2013 | ML13140A038 | 78 FR 38739 |
| 297 | Regulatory Guide 5.29, "Special Nuclear Material Control and Accounting Systems for Nuclear Power Plants" | Guidance | As described in document title | 06/27/2013 | RES | No | N/A* | 06/26/2013 | ML13051A421 | 78 FR 38739 |
| 298 | Regulatory Guide 1.33, "Quality Assurance Program Requirements (Operation)" | Guidance | As described in document title | 06/30/2013 | RES | No | N/A* | 06/26/2013 | ML13109A458 | 78 FR 37850 |
| 299 | Regulatory Guide 1.124, "Service Limits and Loading Combinations for Class 1 Linear-Type Supports" | Guidance | As described in document title | 07/08/2013 | RES | No | N/A* | 07/15/2013 | ML13141A666 | 78 FR 40776 |
| 300 | Regulatory Guide 1.130, "Service Limits and Loading Combinations for Class 1 Plate-and-Shell-Type Supports" | Guidance | As described in document title | 07/08/2013 | RES | No | N/A* | 07/15/2013 | ML13141A667 | 78 FR 40776 |
| 301 | Regulatory Guide 1.169, "Configuration Management Plans for Digital Computer Software Used in Safety Systems of Nuclear Power Plants" | Guidance | As described in document title | 08/02/2013 | RES | No | N/A* | 07/31/2013 | ML12355A642 | 78 FR 47014 |

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| 302 | Regulatory Guide 1.171, "Software Unit Testing for Digital Computer Software Used in Safety Systems of Nuclear Power Plants" | Guidance | As described in document title | 08/02/2013 | RES | No | N/A* | 07/31/2013 | ML13004A375 | 78 FR 47011 |
| 303 | Regulatory Guide 1.172, "Software Requirement Specifications for Digital Computer Software and Complex Electronics Used in Safety Systems of Nuclear Power Plants" | Guidance | As described in document title | 08/02/2013 | RES | No | N/A* | 07/31/2013 | ML13007A173 | 78 FR 47015 |
| 304 | Regulatory Guide 1.173, "Developing Software Life-Cycle Processes for Digital Computer Software Used in Safety Systems of Nuclear Power Plants" | Guidance | As described in document title | 08/02/2013 | RES | No | N/A* | 07/31/2013 | ML13009A190 | 78 FR 47012 |
| 305 | Regulatory Guide 1.168, "Verification, Validation, Reviews, and Audits for Digital Computer Software Used in Safety Systems of Nuclear Power Plants" | Guidance | As described in document title | 08/06/2013 | RES | No | N/A* | 07/31/2013 | ML13073A210 | 78 FR 47804 |
| 306 | Regulatory Guide 1.170, "Test Documentation for Digital Computer Software Used in Safety Systems of Nuclear Power Plants" | Guidance | As described in document title | 08/06/2013 | RES | No | N/A* | 07/31/2013 | ML13003A216 | 78 FR 47805 |
| 307 | Regulatory Guide 1.129, "Maintenance, Testing, and Replacement of Vented Lead-Acid Storage Batteries for Nuclear Power Plants" | Guidance | As described in document title | 09/24/2013 | RES | No | N/A* | 09/30/2013 | ML13170A112 | 78 FR 58574 |
| 308 | Regulatory Guide 1.31, "Control of Ferrite Content in Stainless Steel Weld Metal" | Guidance | As described in document title | 10/04/2013 | RES | No | N/A* | 10/17/2013 | ML13211A485 | 78 FR 63517 |
| 309 | Regulatory Guide 1.110, "Cost-Benefit Analysis for Radwaste Systems for Light-Water-Cooled Nuclear Power Reactors" | Guidance | As described in document title | 10/07/2013 | RES | No | N/A* | 10/29/2013 | ML13241A052 | 78 FR 64029 |
| 310 | Regulatory Guide 1.184, "Decommissioning of Nuclear Power Reactors" | Guidance | As described in document title | 10/25/2013 | RES | No | N/A* | 10/17/2013 | ML13144A840 | 78 FR 64028 |
| 311 | Regulatory Guide 1.79, "Preoperational Testing of Emergency Core Cooling Systems for Pressurized-Water Reactors" | Guidance | As described in document title | 10/25/2013 | RES | No | N/A* | 10/17/2013 | ML113540207 | 78 FR 64027 |
| 312 | Regulatory Guide 1.73, "Qualification Tests for Safety-Related Actuators in Nuclear Power Plants" | Guidance | As described in document title | 11/08/2013 | RES | No | N/A* | 11/05/2013 | ML13210A463 | 78 FR 67206 |

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| 313 | Regulatory Guide 1.214, "Response Strategies for Potential Aircraft Threats" (OUO-SRI) | Guidance | As described in document title | 03/26/2014 | RES | No | N/A* | 04/04/2014 | non-public***** | 79 FR 16834 |
| 314 | Regulatory Guide 4.7, Rev 3, "General Site Suitability Criteria for Nuclear Power Stations" | Guidance | As described in document title | 03/28/2014 | RES | No | N/A* | 04/14/2014 | ML12188A053 | 79 FR 17582 |
| 315 | Regulatory Guide 8.22, Rev 2, "Bioassay at Uranium Mills" | Guidance | As described in document title | 05/30/2014 | RES | No | N/A* | 04/13/2016 | ML13350A638 | 79 FR 35387 |
| 316 | Regulatory Guide 1.60, "Design Response Spectra for Seismic Design of Nuclear Power Plants" | Guidance | As described in document title | 07/08/2014 | RES | No | N/A* | 04/26/2016 | ML13210A432 | 79 FR 40795 |
| 317 | Regulatory Guide 1.147, "Inservice Inspection Code Case Acceptability, ASME Section XI, Division 1" | Guidance | As described in document title | 08/31/2014 | RES | No | N/A* | 10/29/2014 | ML13339A689 | 79 FR 65775 |
| 318 | Regulatory Guide 1.192, "Operation and Maintenance Code Case Acceptability, ASME OM Code" | Guidance | As described in document title | 08/31/2014 | RES | No | N/A* | 10/29/2014 | ML13340A034 | 79 FR 65775 |
| 319 | Regulatory Guide 1.193, "ASME Code Cases Not Approved for Use" | Guidance | As described in document title | 08/31/2014 | RES | No | N/A* | 10/29/2014 | ML13350A001 | 79 FR 65775 |
| 320 | Regulatory Guide 1.84, "Design, Fabrication, and Materials Code Case Acceptability, ASME Section III" | Guidance | As described in document title | 08/31/2014 | RES | No | N/A* | 10/29/2014 | ML13339A515 | 79 FR 65775 |
| 321 | Regulatory Guide 8.20, "Applications of Bioassay for Radioiodine" | Guidance | As described in document title | 09/20/2014 | RES | No | N/A* | 03/25/2015 | ML14064A060 | 79 FR 54752 |
| 322 | Regulatory Guide 1.134, "Medical Assessment of Licensed Operators or Applicants for Operator Licenses at Nuclear Power Plants" | Guidance | As described in document title | 09/30/2014 | RES | No | N/A* | 10/14/2014 | ML14189A385 | 79 FR 60197 |
| 323 | Regulatory Guide 3.50, "Standard Format and Content for a Specific License Application for an Independent Spent Fuel Storage Installation or Monitored Retrievable Storage Facility" | Guidance | As described in document title | 09/30/2014 | RES | No | N/A* | 10/14/2014 | ML14043A080 | 79 FR 60191 |
| 324 | Regulatory Guide 1.138, "Laboratory Investigations of Soils and Rocks for Engineering Analysis and Design of Nuclear Power Plants" | Guidance | As described in document title | 12/18/2014 | RES | No | N/A* | 03/25/2015 | ML14289A600 | 80 FR 3264 |
| 325 | Regulatory Guide 5.74, "Managing the Safety/Security Interface" | Guidance | As described in document title | 04/20/2015 | RES | No | N/A* | 04/29/2015 | ML14323A549 | 80 FR 21770 |

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| 326 | Regulatory Guide 1.36, "Nonmetallic Thermal Insulation for Austenitic Stainless Steel" | Guidance | As described in document title | 05/21/2015 | RES | No | N/A* | ***** | ML15026A664 | 80 FR 29350 |
| 327 | Regulatory Guide 7.10, "Establishing Quality Assurance Programs for Packaging Used in Transport of Radioactive Material" | Guidance | As described in document title | 06/12/2015 | RES | No | N/A* | ***** | ML14064A505 | 80 FR 34010 |
| 328 | Regulatory Guide 8.11, Rev 1, "Applications of Bioassay for Uranium" | Guidance | As described in document title | 07/13/2015 | RES | No | N/A* | 04/19/2016 | ML15054A618 | 80 FR 40088 |
| 329 | Regulatory Guide 1.215, Rev. 2, "Guidelines for ITAAC Closure Under 10 CFR Part 52" | Guidance | As described in document title | 07/24/2015 | RES | No | N/A* | ***** | ML15105A447 | 80 FR 44157 |
| 330 | Regulatory Guide 5.27, "Special Nuclear Material Doorway Monitors" | Guidance | As described in document title | 08/30/2015 | RES | No | N/A* | ***** | ML14290A268 | 80 FR 47534 |
| 331 | Regulatory Guide 1.212, "Sizing Of Large Lead-Acid Storage Batteries" | Guidance | As described in document title | 10/26/2015 | RES | No | N/A* | ***** | ML15170A003 | 80 FR 65255 |
| 332 | Regulatory Guide 1.27, Rev 3, "Ultimate Heat Sink for Nuclear Power Plants" | Guidance | As described in document title | 11/23/2015 | RES | No | N/A* | 12/16/2015 | ML14107A411 | 80 FR 72996 |
| 333 | Regulatory Guide 1.127, "Criteria and Design Features for Inspection of Water Control Structures Associated with Nuclear Power Plants" | Guidance | As described in document title | 02/18/2016 | RES | No | N/A* | 03/22/2016 | ML15107A412 | 81 FR 8254 |
| 334 | Regulatory Guide 1.117, "Protection Against Extreme Wind Events and Missiles for Nuclear Power Plants" | Guidance | As described in document title | 07/01/2016 | RES | No | N/A* | ***** | ML15356A213 | 81 FR 45311 |
| 335 | Regulatory Guide 1.29, "Seismic Design Classification for Nuclear Power Plants" | Guidance | As described in document title | 07/15/2016 | RES | No | N/A* | ***** | ML16118A148 | 81 FR 46117 |
| 336 | Regulatory Guide 1.219, "Guidance on Making Changes to Emergency Plans for Nuclear Power Reactors" | Guidance | As described in document title | 07/27/2016 | RES | No | N/A* | 08/10/2016 | ML16061A104 | 81 FR 49279 |
| 337 | Regulatory Guide 8.10, "Operating Philosophy for Maintaining Occupational and Public Radiation Exposures as Low as Is Reasonably Achievable" | Guidance | As described in document title | 08/11/2016 | RES | No | N/A* | ***** | ML16105A136 | 81 FR 52974 |
| 338 | Regulatory Guide 1.140, "Design, Inspection, and Testing Criteria for Air Filtration and Adsorption Units of Normal Atmosphere Cleanup Systems in Light-Water-Cooled Nuclear Power Plants" | Guidance | As described in document title | 08/17/2016 | RES | No | N/A* | ***** | ML16070A277 | 81 FR 54855 |

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| 339 | Regulatory Guide 5.12, "General Use of Locks in the Protection and Control of: Facilities, Radioactive Materials, Classified Information, Classified Matter, and Safeguards Information" | Guidance | As described in document title | 10/05/2016 | RES | No | N/A* | ***** | ML15357A411 | 81 FR 69088 |
| 340 | Regulatory Guide 5.51, "Independent Assessment of Nuclear Material Control and Accounting Systems" | Guidance | As described in document title | 10/26/2016 | RES | No | N/A* | ***** | ML16223A915 | 81 FR 74484 |
| 341 | Regulatory Guide 8.7, "Instructions for Recording and Reporting Occupational Radiation Exposure Data" | Guidance | As described in document title | 12/08/2016 | RES | No | N/A* | ***** | ML16054A170 | 81 FR 88710 |
| 342 | Regulatory Guide 5.70, Rev 1, "Guidance for the Application of the Theft and Diversion Design-Basis Treat in the Design Development, and Implementaiton of a Physical Security Program that Meets CFR 73.45 and 73.46" (CONFIDENTIAL) | Guidance | As described in document title | 02/17/2017 | RES | No | N/A* | 07/21/2017 | non-public***** | 82 FR 11069 |
| 343 | Regulatory Guide 1.20, "Comprehensive Vibration Assessment Program for Reactor Internals During Preoperational and Initial Startup Testing" | Guidance | As described in document title | 02/28/2017 | RES | No | N/A* | 07/21/2017 | ML16056A338 | 82 FR 10505 |
| 344 | Regulatory Guide 1.26, "Quality Group Classifications and Standards for Water-, Steam-, and Radioactive-Waste-Containing Components of Nuclear Power Plants" | Guidance | As described in document title | 02/28/2017 | RES | No | N/A* | 07/21/2017 | ML16082A501 | 82 FR 12129 |
| 345 | Regulatory Guide 1.54, "Service Level I, II, and III, and In-Scope License Renewal Protective Coatings Applied to Nuclear Power Plants" | Guidance | As described in document title | 04/24/2017 | RES | No | N/A* | 07/21/2017 | ML17031A288 | 82 FR 19113 |
| 346 | Regulatory Guide 2.6, "Emergency Planning for Research and Test Reactors and Other Non-Power Production and Utilization Facilities" | Guidance | As described in document title | 09/27/2017 | RES | No | N/A* | ***** | ML17263A472 | 82 FR 45073 |
| 347 | Regulatory Guide 1.28, Rev. 5, "Quality Assurance Program Criteria (Design and Construction)" | Guidance | As described in document title | 10/19/2017 | RES | No | N/A* | ***** | ML17207A293 | 82 FR 48728 |
| 348 | Regulatory Guide 1.12, "Nuclear Power Plant Instrumentation for Earthquakes" | Guidance | As described in document title | 11/01/2017 | RES | No | N/A* | ***** | ML17094A831 | 82 FR 51879 |
| 349 | NUREGs | | | | | | | | | |

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| 350 | NUREG-2117, Revision 1, Practical Implementation Guidelines for SSHAC Level 3 and 4 Hazard Studies | Guidance | Provides guidance for performing probabilistic seismic hazard analysis. | 04/01/2012 | RES | No | **** | ***** | ML12118A445 | N/A |
| 351 | NUREG/CR-7004, Technical Basis for Regulatory Guidance on Design-Basis Hurricane-Borne Missile Speeds for Nuclear Power Plants | Guidance | Provides calculation method for hurricane-borne missile speeds that may be considered in the design of nuclear power plants. | 11/01/2011 | RES | No | **** | ***** | ML11341A102 | N/A |
| 352 | NUREG/CR-7114, A Framework for Low Power/Shutdown Fire PRA | Guidance | Presents a probabilistic risk assessment (PRA) framework for quantitatively analyzing fire risk in commercial nuclear power plants during low power and shutdown (LPSD) conditions, including the determination of core damage frequency (CDF) and large early release frequency (LERF). | 09/01/2013 | RES | No | **** | ***** | ML13260A155 | N/A |
| 353 | NUREG/CR-7005, Technical Basis for Regulatory Guidance on Design-Basis Hurricane Wind Speeds for Nuclear Power Plants | Guidance | Provides calculation method for analysis of peak-gust hurricane wind speeds for the simulation of 10 million years of hurricane wind speeds in the contiguous United States. | 11/01/2011 | RES | No | **** | ***** | ML11335A031 | N/A |
| 354 | NUREG/CR-7006, Review Guidelines for Field-Programmable Gate Arrays in Nuclear Power Plant Safety Systems | Guidance | Provides a compilation of safe field-programmable gate array (FPGA) design practices that can be used by NRC staff as guidance for reviewing FPGA-based safety systems in nuclear power plants. | 02/01/2010 | RES | No | **** | ***** | ML100880142 | N/A |
| 355 | NUREG-1934, EPRI 1023259, Nuclear Power Plant Fire Modeling Analysis Guidelines (NPP FIRE MAG) — Final Report | Guidance | Provides guidance on the validation and verification for fire models and discusses use of fire models in support of various commercial nuclear power plant fire hazard analysis applications. | 11/01/2012 | RES | No | **** | ***** | ML12314A165 | 76 FR 46330*** |
| 356 | NUREG-1921, EPRI/NRC-RES Fire Human Reliability Analysis Guidelines — Final Report | Guidance | Provides a method and associated guidance for conducting a fire human reliability analysis. | 07/01/2012 | RES | No | **** | ***** | ML12216A104 | 74 FR 65810***, 75 FR 5633*** |
| 357 | NUREG-1921 Supplement 1, EPRI/NRC-RES Fire Human Reliability Analysis Guidelines: Qualitative Guidance for Main Control Room Abandonment Scenarios | Guidance | Provides human reliability analysis and probabilistic risk assessment guidance on treatment of scenarios that require main control room abandonment in response to a fire event. | 04/01/2016 | RES | No | **** | ***** | ML16110A413 | N/A |

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| 358 | NUREG-2152, Computational Fluid Dynamics Best Practice Guidelines for Dry Cask Applications | Guidance | Provides guidance on how to verify the input model, validate results, and document the process for computational fluid dynamics. | 03/01/2013 | RES & NMSS | No | **** | ***** | ML13086A202 | 77 FR 64834*** |
| 359 | NUREG-1855, Revision 1, Guidance on the Treatment of Uncertainties Associated with PRAs in Risk-Informed Decisionmaking, Final Report | Guidance | Provides guidance on how to treat uncertainties associated with probabilistic risk assessment in risk-informed decisionmaking. | 03/01/2017 | RES | No | **** | 03/01/2017 | ML17062A466 | 78 FR 22349*** |
| 360 | Management Directive 6.4, "Generic Issues Program" | Management Directive | Provides staff guidance for assessing, evaluating, and resolving potential generic concerns regarding nuclear safety issues. | 01/02/2015 | RES | No | 01/06/2015 | 02/23/2015 | ML14245A048 | N/A |
| 361 | Management Directive 6.5, "NRC Participation in the Development and Use of Consensus Standards" | Management Directive | Provides staff guidance on participation on code committees and development of consensus standards. | 10/28/2016 | RES | No | 09/08/2011 | 01/18/2012 | ML16193A497 | N/A |
| 362 | Management Directive 8.1, "Abnormal Occurrence Reporting Procedure" | Management Directive | Provides guidance to staff supporting the development of an annual congressional report on abnormal occurrences. | 09/09/2011 | RES | No | 07/18/2011 | 09/26/2011 | ML111360014 | N/A |
| 363 | | | | | | | | | | |
| 364 | Office of Nuclear Material Safety and Safeguards | | | | | | | | | |
| 365 | 1. Title | 2. Form of Guidance | 3. Subject Description | 4. Date of Issuance | 5. Issuing Office | 6a. Major rule under CRA? | 6b. Date Requested OMB Major/Non-Major CRA Determination and/or Submitted to OMB under Paperwork Reduction Act (PRA)** | 6c. Date submitted to Congress and GAO Under CRA | 7. If Applicable, Hyperlink or ADAMS Number | 7. If applicable, Federal Register Citation |
| 366 | Generic Letter 2015-01, Treatment of Natural Phenomena Hazards in Fuel Cycle Facilities | Generic Letter | Discusses the treatment of natural phenomena hazards in fuel cycle facilities | 06/22/2015 | NMSS | No | **** | ***** (CRA); 04/01/2015 (PRA) | ML14328A029 | 80 FR 37028 |
| 367 | Consolidated Guidance about Materials Licenses: Program-Specific Guidance About Industrial Radiography Licenses (NUREG-1556, Volume 2, Rev. 1) | NUREG | This report provides guidance to an applicant applying for an industrial radiography license and provides the U.S. Nuclear Regulatory Commission (NRC) with criteria for evaluating such applications. | 02/01/2016 | NMSS | No | 04/01/2015 | ***** https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1556/v2/ | | 81 FR 64207 |

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| 368 | Consolidated Guidance about Materials Licenses: Applications for Sealed Source and Device Evaluation and Registration (NUREG-1556, Volume 3, Rev. 2) | NUREG | This report provides guidance to applicants on submitting requests to the U.S. Nuclear Regulatory Commission (NRC) for radiation safety evaluation and registration of sealed sources and devices (SSDs) containing byproduct material, and provides the NRC with criteria for evaluating such applications. | 09/01/2015 | NMSS | No | 05/06/2015 | 09/25/2015 | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1556/v3/ | 81 FR 64207 |
| 369 | Consolidated Guidance about Materials License Program-Specific Guidance About Fixed Gauge Licenses (NUREG-1556, Volume 4, Rev. 1) | NUREG | This report addresses a variety of radiation safety issues associated with fixed gauges of many designs. Typically, gauges are used for process control (e.g., to measure the thickness of paper, the density of coal, the level of material in vessels and tanks, and volumetric flow rate). | 07/01/2016 | NMSS | No | 05/06/2016 | 07/19/2016 | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1556/v4/ | 81 FR 64207 |
| 370 | Consolidated Guidance about Materials Licenses: Program-Specific Guidance About Medical Use Licenses (NUREG-1556, Volume 9, Rev. 2) | NUREG | This report is intended to provide guidance to individuals who are preparing an application for a license for the medical use of byproduct material as well as to NRC staff who review applications. | 01/01/2008 | NMSS | No | **** | **** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1556/v9/r2/ | 73 FR 8909 |
| 371 | Consolidated Guidance about Materials Licenses: Program-Specific Guidance About Master Materials Licenses (NUREG-1556, Volume 10, Rev. 1) | NUREG | This report provides guidance to Federal organizations preparing a new Master Materials License (MML) application and those Federal organizations with existing MMLs when applying for a license amendment. In addition, it provides the criteria the U.S. Nuclear Regulatory Commission (NRC) license reviewers and other NRC personnel use in reviewing MML applications and current MMLs. | 06/01/2016 | NMSS | No | 10/01/2015 | 07/19/2016 | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1556/v10/ | 81 FR 64207 |
| 372 | Consolidated Guidance about Materials Licenses: Program-Specific Guidance about Licenses of Broad Scope (NUREG-1556, Volume 11, Rev. 1) | NUREG | This NUREG provides guidance to an applicant applying for a broad scope license and also provides the U.S. Nuclear Regulatory Commission (NRC) staff with the criteria for evaluating such applications. | 02/01/2017 | NMSS | No | **** | 03/21/2017 | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1556/v11/ | 82 FR 16635 |

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| 373 | Consolidated Guidance about Materials Licenses: Guidance About Changes of Control and About Bankruptcy Involving Byproduct, Source, or Special Nuclear Materials Licenses (NUREG-1556, Volume 15, Rev. 1) | NUREG | The report contains guidance for licensees and, in some cases, license applicants to use in preparing a notification to NRC of a change of control or bankruptcy. It also contains NRC's criteria for evaluating such a notification and determining whether a new or amended license is needed. | 06/01/2016 | NMSS | No | 10/01/2015 | 07/19/2016 | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1556/v15/ | 81 FR 64207 |
| 374 | Consolidated Guidance about Materials Licenses: Program-Specific Guidance About Service Provider Licenses (NUREG-1556, Volume 18, Rev. 1) | NUREG | This report provides guidance to an applicant in preparing a service provider license application based on the risk significance of the proposed activity, as well as providing the U.S. Nuclear Regulatory Commission (NRC) staff with the appropriate criteria for evaluating such applications. | 08/01/2017 | NMSS | No | 11/30/2016 | **** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1556/v18/ | 82 FR 4612 |
| 375 | Consolidated Guidance about Materials Licenses: Guidance for Agreement State Licensees About NRC Form 241 "Report of Proposed Activities in Non-Agreement States, Areas of Exclusive Federal Jurisdiction, or Offshore Waters" and Guidance for NRC Licensees Proposing to Work in Agreement State Jurisdiction (Reciprocity) (NUREG-1556, Volume 19, Rev. 1) | NUREG | This report provides information important to Agreement State licensees on submitting the U.S. Nuclear Regulatory Commission (NRC) Form 241, "Report of Proposed Activities in Non-Agreement States, Areas of Exclusive Federal Jurisdiction, or Offshore Waters". | 06/01/2016 | NMSS | No | **** | 07/19/2016 | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1556/v19/ | 81 FR 64207 |
| 376 | Products Intended for Use by General Public (Consumer Products) - Policy Statement | Policy Statement | Update to policy statement on consumer products to reflect NRC's current approach to radiation protection, legislation that has been enacted since the policy was published in 1965, and subsequent approaches taken in the NRC's regulatory framework for exemptions | 01/16/2014 | NMSS | No | 07/02/2013 | 02/07/2014 | https://www.gpo.gov/fdsys/pkg/FR-2014-01-16/pdf/2014-00730.pdf | 79 FR 2907 |

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| 377 | Tribal Policy Statement | Policy Statement | Sets forth principles to be followed by the NRC staff to promote effective government-to-government interactions with American Indian and Alaska Native Tribes, and to encourage and facilitate Tribal involvement in the areas over which the Commission has jurisdiction. | 01/09/2017 | NMSS | No | **** | ***** | https://www.gpo.gov/fdsys/pkg/FR-2017-01-09/pdf/2017-00091.pdf | 82 FR 2402 |
| 378 | Implementation Guidance for 10 CFR Part 37, "Physical Protection of Category 1 and Category 2 Quantities of Radioactive Material" rev. 1 (NUREG-2155) | NUREG | The intent of this technical report is to provide guidance on, and to assist applicants and licensees in, the implementation of Title 10 of the Code of Federal Regulations (10 CFR) Part 37, "Physical Protection of Category 1 and Category 2 Quantities of Radioactive Material." This document describes methods that the U.S. Nuclear Regulatory Commission (NRC) finds acceptable for implementing the regulations. | 01/01/2015 | NMSS | No | **** | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr2155/ | N/A |
| 379 | ViewRay System for Radiation Therapy | Licensing Guidance | The guidance provides applicants with an acceptable means of satisfying the requirements for a license to use the subject material, source, or device. | 07/24/2013 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML1317/ML13179A287/24/20137.pdf | N/A |
| 380 | TheraSphere® and SIR-Spheres® Yttrium-90 Microspheres, Draft Rev 10 | Licensing Guidance | The guidance provides applicants with an acceptable means of satisfying the requirements for a license to use the subject material, source, or device. | 10/30/2017 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML1710/ML17107A375.pdf | N/A |
| 381 | Leksell Gamma Knife® PerfexionTM and Leksell Gamma Knife® IconTM | Licensing Guidance | The guidance provides applicants with an acceptable means of satisfying the requirements for a license to use the subject material, source, or device. | 05/25/2016 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML1610/ML16109A208.pdf | N/A |
| 382 | Low Activity Radioactive Seeds Used for Localization of Non-Palpable Lesions and Lymph Nodes | Licensing Guidance | The guidance provides applicants with an acceptable means of satisfying the requirements for a license to use the subject material, source, or device. | 10/07/2016 | NMSS | No | 08/31/2016 | ***** | https://www.nrc.gov/docs/ML1619/ML16197A568.pdf | N/A |

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| 383 | Eckert and Ziegler GalliaPharm TM Germanium-68/Gallium-68 Pharmacy Grade Generator | Licensing Guidance | The guidance provides applicants with an acceptable means of satisfying the requirements for a license to use the subject material, source, or device. | 07/13/2017 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML1707/ML17075A488.pdf | N/A |
| 384 | Radium-223 Dichloride | Memorandum | The guidance provides applicants with an acceptable means of satisfying the requirements for a license to use the subject material. | 01/10/2013 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML1707/ML17075A488.pdf | N/A |
| 385 | Licensing Guidance for the Intraocular Use of NeoVista, Inc's Epi-Rad ₉₀ TM (Sr-90) Ophthalmic System | Licensing Guidance | The guidance provides applicants with an acceptable means of satisfying the requirements for a license to use the subject material, source, or device. | Apr-09 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML0911/ML091140370.pdf | N/A |
| 386 | Managemnt Directive 5.8: Proposed Section 274B Agreements with States | Management Directive | Establish a system for an orderly transition in the discontinuance of certain regulatory authority by NRC with assumption thereof by the State through a standard agreement or amendment. | 06/25/2013 | NMSS | No | 10/01/2012 | 07/22/2013 | https://www.nrc.gov/docs/ML1306/ML13067A396.pdf | N/A |
| 387 | Management Directive 6.3: The Rulemaking Process | Management Directive | Describes the rulemaking process at the NRC | 07/22/2013 | NMSS | No | 07/03/2012 | 08/16/2013 | ML13205A400 | N/A |
| 388 | Management Directive 8.10: NRC Assessment Program for a Medical Event or an Incident Occurring at a Medical Facility | Management Directive | Specify the scope, objectives, authorities, responsibilities, and basic requirements for the NRC's Assessment Program. | 03/28/2014 | NMSS | No | 07/03/2012 | 04/15/2014 | https://www.nrc.gov/docs/ML1330/ML13301A732.pdf | N/A |
| 389 | Management Directive 8.12: Decommissioning Financial Assurance | Management Directive | Procedures to ensure that financial instruments submitted as financial assurance for decommissioning by materials licensees and fuel cycle licensees provide reasonable assurance that financial resources for decommissioning will be available when needed. | 11/22/2013 | NMSS | No | 09/12/2012 | 01/22/2014 | ML120030527 | N/A |
| 390 | Agreement State Program Policy Statement | Policy Statement | Policy Statement for Implementation of the NRC's Agreement State Program | 10/06/2017 | NMSS | No | 10/04/2017 | ***** | https://www.federalregister.gov/documents/2017/10/06/2017-21542/agreement-state-program-policy-statement | 82 FR 46840 |

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| 391 | Physical Security Best Practices for the Protection of Risk-Significant Radioactive Material (NUREG-2166) | NUREG | This document provides guidance to U.S. Nuclear Regulatory Commission (NRC) licensees or applicants on developing and implementing a physical protection program for the protection of risk-significant radioactive materials (e.g., category 1 and category 2 quantities of radioactive material). The intent of this information is to provide NRC licensees or applicants guidance with specific emphasis on physical security best practices. The approaches and methods in this document are not requirements; however, the NRC considers them to be acceptable for complying with the requirements in Title 10 of the Code of Federal Regulations (10 CFR) Part 37, "Physical Protection of Category 1 and Category 2 Quantities of Radioactive Material." | 05/01/2014 | NMSS | No | 04/24/2014 | ***** | https://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr2166/ | N/A |
| 392 | Interim Staff Guidance – 2, Revision 2 Fuel Retrieval in Spent Fuel Storage Applications | Interim Staff Guidance | Provides guidance to the staff for determining whether an application submitted under 10 CFR Part 72 sufficiently demonstrates that the system is designed to allow ready retrieval of spent fuel. | 04/26/2016 | NMSS | No | 04/11/2016 | ***** | https://www.nrc.gov/docs/ML1611/ML16117A080.pdf | N/A |
| 393 | Interim Staff Guidance - 8 Revision 3 Burnup Credit in the Criticality Safety Analyses of PWR Spent Fuel in Transportation and Storage Casks | Interim Staff Guidance | Provides recommendations to the staff for accepting, on a design-specific basis, a burnup credit approach in the criticality safety analysis of pressurized water reactor (PWR) SNF storage and transportation systems. | 09/26/2012 | NMSS | No | 09/12/2012 | ***** | https://www.nrc.gov/reading-rm/doc-collections/isg/isg-8R3.pdf | N/A |
| 394 | Interim Staff Guidance - ISG-18, Rev. 1 The Design and Testing of Lid Welds on Austenitic Stainless Steel Canisters as the Confinement Boundary for Spent Fuel Storage | Interim Staff Guidance | Guidance to address the design and testing of the various closure welds ("lid welds") associated with the redundant closure of all-welded austenitic stainless steel canisters | 10/03/2008 | NMSS | No | 02/22/2008 | ***** | https://www.nrc.gov/reading-rm/doc-collections/isg/isg-18r1.pdf | N/A |

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| 395 | Interim Staff Guidance-24, Revision 0 The Use of a Demonstration Program as a Surveillance Tool for Confirmation of Integrity for Continued Storage of High Burnup Fuel Beyond 20 Years | Interim Staff Guidance | Provides guidance to the staff for reviewing if a demonstration of high burnup fuel (HBF)1 has the necessary properties to qualify as one method that an applicant might use in license and certificate of compliance (CoC) applications to demonstrate the integrity of HBF for continued storage. | 07/11/2014 | NMSS | No | 06/03/2013 | ***** | https://www.nrc.gov/docs/ML1405/ML14058B166.pdf | N/A |
| 396 | Interim Staff Guidance – 25 Pressure and Helium Leakage Testing of the Confinement Boundary of Spent Fuel Dry Storage Systems | Interim Staff Guidance | Additional guidance to supplement standard review plan guidance for evaluating the helium leakage testing and ASME Code1 required pressure (hydrostatic/pneumatic) testing that is specified for the dry storage system (DSS) confinement boundary. | 08/18/2010 | NMSS | No | 02/25/2010 | ***** | https://www.nrc.gov/docs/ML1019/ML101970493.pdf | N/A |
| 397 | NUREG-1536, Rev. 1, Standard Review Plan for Spent Fuel Dry Storage Systems at a General License Facility - Final Report | NUREG | Provides guidance to NRC staff for reviewing applications for a Certificate of Compliance of a dry storage system for use at a general license facility | 07/01/2010 | NMSS | No | 05/26/2010 | ***** | http://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1536/r1/sr1536r1.pdf | 74 FR 17546*** |
| 398 | NUREG-1886, Joint Canada - United States Guide for Approval of Type B(U) and Fissile Material Transportation Packages | NUREG | This guide was developed by the United States Department of Transportation and Nuclear Regulatory Commission, and the Canadian Nuclear Safety Commission to provide direction on the standard format and content for applications for approval of packages used to transport Type B(U) and fissile materials. | 03/01/2009 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML0909/ML090930197.pdf | 73 FR 31946*** |
| 399 | NUREG-1927, Rev. 1, Standard Review Plan for Renewal of Specific Licenses and Certificates of Compliance for Dry Storage of Spent Nuclear Fuel – Final Report | NUREG | Provides guidance for the safety review of renewal applications for specific licenses of independent spent fuel storage installations and certificates of compliance (CoCs) of dry storage systems | 06/01/2016 | NMSS | No | 04/11/2016 | 07/07/2016 | https://www.nrc.gov/docs/ML1617/ML16179A148.pdf | 81 FR 44054 |
| 400 | Interim Staff Guidance-23 Application of ASTM Standard Practice C1671-07 when performing technical reviews of spent fuel storage and transportation packaging licensing actions. | Interim Staff Guidance | Guidance on applying ASTM SP C1671-07 for NRC staff use in technical reviews of spent fuel storage and transportation packaging licensing actions | 01/18/2011 | NMSS | No | **** | 02/28/2011 | https://www.nrc.gov/reading-rm/doc-collections/isg/isg-23.pdf | N/A |

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| 401 | NUREG/BR-0006, Rev. 8, Instructions for Completing Nuclear Material Transaction Reports | NUREG | Provide guidance to licensees reporting nuclear material transactions to the Nuclear Material Management and Safeguards System | 04/01/2017 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML1702/ML17026A069.pdf | N/A |
| 402 | NUREG/BR-0007, Rev. 7, Instructions for the Preparation and Distribution of Material Status Reports | NUREG | Provides instructions and guidance for preparing and distributing material status reports | 04/01/2017 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML1702/ML17026A076.pdf | N/A |
| 403 | NUREG-1520, Rev. 2, Standard Review Plan for Fuel Cycle Facilities License Applications | NUREG | Provides guidance for reviewing and evaluating the health, safety, and environmental aspects of applications to use special nuclear material to produce reactor fuel | 06/01/2015 | NMSS | No | **** | ***** | https://www.nrc.gov/docs/ML1517/ML15176A258.pdf | 80 FR 38238 |
| 404 | NUREG-1757, Volume 3, Rev. 1, Consolidated Decommissioning Guidance - Financial Assurance, Recordkeeping, and Timeliness | NUREG | Provides guidance on the technical aspects of compliance with requirements for timeliness in decommissioning of materials facilities, the requirements for financial assurance for decommissioning, and the recordkeeping requirements related to eventual decommissioning. | 02/01/2012 | NMSS | No | 03/31/2011 | ***** | https://www.nrc.gov/docs/ML1204/ML12048A683.pdf | N/A |
| 405 | FCSS-ISG-12, rev. 1 Reportable Safety Events per 10 CFR Part 70 Appendix A | Interim Staff Guidance | Clarifies some of the reporting requirements in 10 CFR Part 70, Appendix A, which contains criteria for submitting one hour reports, twenty-four hour reports, and concurrent reports. | 09/18/2014 | NMSS | No | **** | ***** | ML14157A067 | N/A |
| 406 | FCSS-ISG-13, Estimating Decommissioning Costs For Uranium Enrichment Facilities | Interim Staff Guidance | Provides guidance on estimating decommissioning costs for uranium enrichment facilities | 08/13/2010 | NMSS | No | **** | ***** | ML102240529 | N/A |
| 407 | FCSE-ISG-14, Acute Uranium Exposure Standards for Workers | Interim Staff Guidance | Provides acute uranium intake quantities that the staff finds acceptable as proposed quantitative standards used to classify the chemical consequences of worker acute uranium exposure accidents analyzed in licensee's ISA | 06/08/2015 | NMSS | No | 07/31/2015 | 09/25/2015 | ML15147A682 | N/A |

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| 408 | Concentration Averaging and Encapsulation Branch Technical Position, Revision 1; Volume 1 | Branch Technical Position | This document provides guidance for one aspect related to the 10 CFR 61.42 performance objective regarding protection of an inadvertent intruder (i.e., the averaging of radionuclide concentrations for determining the classification of the waste). Specifically, this Concentration Averaging (CA) Branch Technical Position (BTP) provides guidance on complying with 10 CFR 61.55(a)(8), "Determination of concentrations in wastes," as it applies to the classification of waste for disposal under 10 CFR Part 61. | 02/25/2015 | NMSS | No | 11/03/2014 | 04/15/2015 | https://www.nrc.gov/docs/ML1225/ML12254B065.pdf | 80 FR 10165 |
| 409 | Concentration Averaging and Encapsulation Branch Technical Position, Revision 1; Volume 2 | Branch Technical Position | This document provides guidance for one aspect related to the 10 CFR 61.42 performance objective regarding protection of an inadvertent intruder (i.e., the averaging of radionuclide concentrations for determining the classification of the waste). Specifically, this Concentration Averaging (CA) Branch Technical Position (BTP) provides guidance on complying with 10 CFR 61.55(a)(8), "Determination of concentrations in wastes," as it applies to the classification of waste for disposal under 10 CFR Part 61. | 02/25/2015 | NMSS | No | 11/03/2014 | 04/15/2015 | https://www.nrc.gov/docs/ML1232/ML12326A611.pdf | 80 FR 10165 |
| 410 | Low-Level Radioactive Waste Management and Volume Reduction | Policy Statement | Revises the NRC's 1981 Policy Statement on Low-Level Radioactive Waste (LLRW) Volume Reduction. This revised statement is to recognize that progress in reducing waste volume has been achieved since the 1981 Policy Statement was published, and to acknowledge that factors other than volume reduction may be considered by licensees to determine how best to manage their LLRW. | 05/01/2012 | NMSS | No | **** | 05/15/2012 | ML15023A098 | 77 FR 25760 |
| 411 | | | | | | | | | | |

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| 412 | | | | | | | | | | |
| 413 | Miscellaneous | | | | | | | | | |
| 414 | 1. Title | 2. Form of Guidance | 3. Subject Description | 4. Date of Issuance | 5. Issuing Office | 6a. Major rule under CRA? | 6b. Date Requested OMB Major/Non-Major CRA Determination and or Submitted to OMB under Paperwork Reduction Act (PRA)** | 6c. Date submitted to Congress and GAO Under CRA | 7. If Applicable, Hyperlink or ADAMS Number | 7. Federal Register Citation |
| 415 | Management Directive 3.17, "NRC Information Quality Program" | Management Directive | Establishes program to ensure quality of all information the NRC relies on for making decisions or disseminates to the public | 06/28/2016 | Office of the Chief Information Officer | No | 09/30/2014 | **** | https://www.nrc.gov/docs/ML1610/ML16105A321.pdf | N/A |
| 416 | Management Directive 3.5, "Attendance at NRC Staff-Sponsored Meetings" | Management Directive | Establishes policies for participation by the public in certain meetings between the staff of the NRC and external stakeholders | 12/23/2011 | Office of the Executive Director for Operations | No | 05/27/2011 | 01/10/2012 | https://www.nrc.gov/docs/ML1129/ML112971635.pdf | N/A |
| 417 | Management Directive 11.4, "NRC Small Business Program" | Management Directive | Describes the NRC Small Business Program's role in acquisition and explains the acquisition review process | 06/18/2014 | Office of Small Business and Civil Rights | No | 06/03/2013 | **** | https://www.nrc.gov/docs/ML1332/ML13329A758.pdf | N/A |
| 418 | | | | | | | | | | |
| 419 | | | | | | | | | | |
| 420 | NOTES | | | | | | | | | |
| 421 | *OMB Memorandum M-99-13, "Guidance for Implementing the Congressional Review Act," states: "If the rule is not subject to E.O. 12866 review, you should contact your Desk Officer in OMB's Office of Information and Regulatory Affairs (OIRA) in accordance with your established practice." In accordance with this guidance, the established practice is for the NRC to request a major/non-major determination for rules under the CRA, except for a limited set of final NRC actions. | | | | | | | | | |
| 422 | **Unless indicated otherwise, all dates are for submission of a CRA request to OMB | | | | | | | | | |
| 423 | ***Citation for draft document. Final document not announced or not yet announced in the Federal Register | | | | | | | | | |

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| 424 | ****Document was not considered a rule under the CRA and/or the NRC could not find documentation regarding transmission of a CRA request to OMB | | | | | | | | | |
| 425 | *****Document was not considered a rule under the CRA and/or the NRC could not find documentation regarding transmission of this document to Congress and GAO | | | | | | | | | |
| 426 | *****Sensitive, Safeguards, and Classified documents are not publicly available, but are retrievable upon request | | | | | | | | | |
| 427 | | | | | | | | | | |
| 428 | | | | | | | | | | |

Identical letters sent to:

The Honorable Trey Gowdy
Chairman, Committee on Oversight
and Government Reform
United States House of Representatives
Washington, DC 20515
cc: Representative Elijah E. Cummings

The Honorable Mark Meadows
Chairman, Subcommittee on Government Operations
Committee on Oversight and Government Reform
United States House of Representatives
Washington, DC 20515
cc: Representative Gerald E. Connolly

The Honorable Jim Jordan
Chairman, Subcommittee on Healthcare,
Benefits, and Administrative Rules
Committee on Oversight and Government Reform
United States House of Representatives
Washington, DC 20515
cc: Representative Raja Kirshnamoorthi

The Honorable Gary J. Palmer
Chairman, Subcommittee on Intergovernmental Affairs
Committee on Oversight and Government Reform
United States House of Representatives
Washington, DC 20515
cc: Representative Val Butler Demings

The Honorable Blake Farenthold
Chairman, Subcommittee on the Interior,
Energy, and Environment
Committee on Oversight and Government Reform
United States House of Representatives
Washington, DC 20515
cc: Representative Stacey E. Plaskett

Appendix CC

National Transportation Safety Board



National Transportation Safety Board

Washington, DC 20594 | www.nts.gov

OFFICE OF THE CHAIRMAN

January 25, 2018

The Honorable Trey Gowdy
Chairman
Committee on Oversight
and Government Reform
US House of Representatives
2157 Rayburn House Office Bldg.
Washington, DC 20515

Dear Chairman Gowdy:

This is in response to your request for a list of all guidance documents issued by the National Transportation Safety Board (NTSB) since January 1, 2008.

The NTSB is an independent federal agency charged by Congress with investigating every civil aviation accident in the United States and significant accidents in other modes of transportation—railroad, highway, marine, and pipeline. We determine the probable cause of the accidents we investigate and issue safety recommendations aimed at preventing future accidents. In addition, we conduct special transportation safety studies and coordinate the resources of the federal government and other organizations to assist victims and their family members who have been impacted by major transportation disasters. We have no authority to regulate, fund, or be directly involved in the operation of any mode of transportation.

I am enclosing the policy guidance documents (such as memoranda, policy statements, manuals, circulars, bulletins, advisories, and guidance) that we have issued since January 1, 2008, that affect regulated entities or the public. This does not include products such as briefs, recommendations, or safety alerts that we have released as a result of our accident investigations.

If you have any further questions, please contact Mr. Christopher Wallace, Director of Government & Industry Affairs, at [REDACTED], or [REDACTED].

Sincerely,

Robert L. Sumwalt, III
Chairman

Enclosures (11)

cc: The Honorable Elijah E. Cummings
Ranking Member
Committee on Oversight and
Government Reform

The Honorable Mark Meadows
Chairman
Subcommittee on Government
Operations

The Honorable Jim Jordan
Chairman
Subcommittee on Healthcare, Benefits,
and Administrative Rules

The Honorable Gary J. Palmer
Chairman
Subcommittee on Intergovernmental
Affairs

The Honorable Blake Farenthold
Chairman
Subcommittee on the Interior, Energy,
and Environment

The Honorable Gerald E. Connolly
Ranking Member
Subcommittee on Government
Operations

The Honorable Raja Krishnamoorthi
Ranking Member
Subcommittee on Healthcare, Benefits,
and Administrative Rules

The Honorable Val Butler Demings
Ranking Member
Subcommittee on Intergovernmental
Affairs

The Honorable Stacey E. Plaskett
Ranking Member
Subcommittee on the Interior, Energy,
and Environment

| NTSB Guidance Documents - January 1, 2008 - Present | | | | | | | | | | | | |
|---|---|------------------|--------|--------------|--------------------|-------------------------------|--------------------------------------|------------------|---|------------|------------------------|--|
| Title | Description | Date of Issuance | Office | Significant? | Submitted to OIRA? | Submitted to Congress or GAO? | Regulatory Reform Task Force Review? | Fed Reg Citation | Hyperlink | Reg ID No. | ID No. (if applicable) | |
| Federal Family Assistance Plan for Aviation Disasters | Guidance provided to air carriers and other organizations that are part of the family assistance response community that organizes the various victim support tasks associated with a comprehensive family assistance response following a legislated aviation accident. | 12/2008 | SRC | No | No | No | No | NA | https://www.nts.gov/tda/TDADocuments/Federal-Family-Plan-Aviation-Disasters-rev-12-2008.pdf | NA | | |
| Federal Family Assistance Plan for Rail Passenger Disasters | Guidance provided to rail passenger carriers and other organizations that are part of the family assistance response community that organizes the various victim support tasks associated with a comprehensive family assistance response following a legislated rail passenger accident. | 11/2010 | SRC | No | No | No | No | NA | https://www.nts.gov/tda/TDADocuments/NTSB%20Federal%20Family%20Assistance%20Plan%20for%20Rail%20Passenger%20Accident.pdf | NA | | |
| Mass Fatality Incident Family Assistance Operations: Recommended Strategies for Local and State Agencies | Guidance developed collaboratively between the FBI and NTSB for local and state agencies that provides an overview of the components of a family assistance operation as it relates to transportation and criminal mass casualty incidents. | 04/2013 | SRC | No | No | No | No | NA | https://www.nts.gov/tda/TDADocuments/Mass%20Fatal%20Incident%20Family%20Assistance%20Operations.pdf | NA | | |
| NTSB TDA Accident Notification Supplemental Information Worksheet | Worksheet provided to air carriers that hold a CPN or economic authority detailing information that should be provided to the NTSB in the aftermath of a legislated accident. | 09/2017 | SRC | No | No | No | No | NA | https://www.nts.gov/tda/Documents/NTSB-TDA-Accident-Supp-Form-2016.pdf | NA | | |
| Responding to a Transportation Accident - How to Support the NTSB: A Guide for Police and Public Safety Personnel | Guidance to the first response community regarding scene security, on-scene operations and evidence preservation. | 2004 | MD | No | No | No | No | NA | https://www.nts.gov/tda/TDADocuments/SPC0402.pdf | NA | SPC-04-02 | |
| NTSB Guidance for Manifest Distribution/Control | Guidance provided to air carriers that hold a CPN or economic authority regarding the dissemination of the manifest following an accident. | 11/2010 | SRC | No | No | No | No | NA | https://www.nts.gov/tda/TDADocuments/NTSB-TDA-Accident-Supp-Form-2016.pdf | NA | | |
| Air Carrier Aviation Accident Notification Flow | Guide to assist air carriers in reviewing their family assistance plans on file with the DOT, updating existing plans, submission process, and providing additional emergency contact information to the NTSB. | 09/2017 | SRC | No | No | No | No | NA | NA | NA | | |
| Air Carrier Aviation Disaster Family Assistance Act Assurance Submission Guidance | Guidance provided to air carriers for reporting accidents to the NTSB resulting in fatalities that occur within the United States, its territories, or its possessions that result in activation of their family assistance program. | 09/2017 | SRC | No | No | No | No | NA | NA | NA | | |
| Personal Effects (PE) Best Practices | General guidance to the response community regarding on-scene management of personal effects. | 2017 | SRC | No | No | No | No | NA | NA | NA | NTSB/SPC-07/02 | |
| Guidance to Party Representatives Regarding Transcription of Cockpit and Other Vehicle Voice and Image Recorders | Guidance to party representatives regarding procedures and requirements for the transcription of any voice or video recording captured by a recorder inside a cockpit or surface vehicle, and to the transcription of any oral communications among or concerning crewmembers responsible for operating an aircraft, vehicle, or vessel involved in an accident or incident, or between those individuals and ground or other remote communications stations. | 2/1/2014 | RE | No | No | No | No | NA | NA | NA | | |
| Advisory to Operators of Civil Unmanned Aircraft Systems in the United States | Advisory to operators of any civil UAS, other than those operated for hobby or recreational purposes, of the NTSB's accident and incident reporting requirements in Part 830 of title 49, Code of Federal Regulations | 7/29/2016 | AS | No | No | No | No | NA | https://www.nts.gov/investigations/process/Documents/NTSB-Advisory-Drones.pdf | NA | | |



**FEDERAL FAMILY ASSISTANCE PLAN
FOR
AVIATION DISASTERS**

**Prepared by
National Transportation Safety Board
Office of Transportation Disaster Assistance**

**REVISED
December 2008**

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PURPOSE

This plan, which is designed to serve as guidance, assigns responsibilities and describes how air carriers and Federal agencies should respond to an aviation accident involving a significant number of passenger fatalities and/or injuries. Organizations given authority or responsibility by legislation should develop procedures specific to their role. Supporting legislation is located in appendix A.

IMPLEMENTATION

This plan shall be executed in full or part by the Director, Office of Transportation Disaster Assistance (TDA), under the direction of the Chairman, National Transportation Safety Board (NTSB).

1. The Director shall recommend to the Chairman activation of the plan or portions thereof.
2. Federal agencies that have responsibilities under this plan shall maintain control of their resources while supporting the NTSB in accordance with the above references. (For purposes of this document, the terms “Federal agencies” and “Federal staff” include the American Red Cross.)
3. The NTSB, through its communications center, will initiate notification of Federal agencies to activate planning and will coordinate with the air carrier an appropriate response based on the magnitude of the aviation accident. As factual information about the accident is confirmed, additional resources may be requested to support the overall family assistance response. Upon instruction by the Director, the NTSB communications center will notify any or all of the following operations centers:
 - a. American Red Cross
 - b. Department of State (DOS)
 - c. Department of Health and Human Services (DHHS) Secretary’s Operations Center
 - d. Federal Bureau of Investigation Operations Center (FBI-SIOC)
 - e. Federal Emergency Management Agency (FEMA)
 - f. Department of Defense (DOD)
 - g. Department of Homeland Security (DHS)
4. Under the Aviation Disaster Family Assistance Act of 1996, the NTSB serves as the coordinator for the integration of Federal government resources and the resources of other organizations to support efforts of local and State governments and the air carrier to meet the needs of aviation disaster victims and their families. Crisis intervention, victim identification and forensic services, communication with foreign governments, and translation services are among Federal government services available to help local authorities and the air carrier respond to a major aviation disaster. Local government emergency services should provide a representative from the Joint Family Support

Operations Center (JFSOC) to participate in the local, air carrier, and Federal response. Details of the JFSOC are provided in appendix C. The layout of the JFSOC will depend on the facilities and rooms available near the disaster location.

5. Local authorities maintain the same jurisdictional responsibilities in regard to the initial accident response, recovery, security, site cleanup, and medical examiner operations, and the NTSB leads the aviation accident investigation. If a criminal act is believed to have caused the accident, the FBI becomes the lead investigative agency and is supported by the NTSB.
6. The air carrier has a fundamental responsibility to victims and their families affected by an aviation disaster. The air carrier is primarily responsible for family notification and all aspects of victim and family logistical support. The Aviation Disaster Family Assistance Act of 1996 (appendix A) and the Foreign Air Carrier Family Support Act (appendix A) place the air carrier, as well as other support organizations, in a collaborative relationship with families.
7. All personnel involved in providing services to assist victims and their family members should be trained in crisis response and must demonstrate compassion, technical expertise, and professionalism. Personal information provided by family members and victims through discussions, interviews, counseling, or any other form of information exchange should remain confidential and shall not be used for future litigation purposes.

SCOPE

This plan pertains to any domestic or foreign commercial aviation accidents occurring within the United States, its territories, possessions, and territorial seas.

ASSUMPTIONS

1. The Chairman of the NTSB will request Federal agencies to support the NTSB in accordance with the references included in appendix A.
2. Pursuant to the Aviation Disaster Family Assistance Act of 1996, the NTSB has the primary Federal responsibility for facilitating victim recovery and identification. It is understood that the presiding medical examiner or coroner is legally responsible for victim recovery and identification. (It is noted that there are differences between a medical examiner and coroner. For the purposes of this document, the term “medical examiner” is used interchangeably with “coroner.”) To ensure adequate resources for medical examiners to accomplish their jurisdictional responsibilities, the NTSB coordinates the resources of various Federal agencies to effect victim recovery and identification. The NTSB supports the use of State and local mass fatality teams and other trained experience personnel under the direction of the medical examiner.
3. For purposes of this document, the terms “family,” “family members,” “friends,” and “relatives” are used to refer to those people who have a relationship to a person involved in the accident. Although these terms have slightly different meanings, they are used interchangeably throughout the document.
4. Large numbers of family members of those killed in the accident will travel to the city closest to the accident and will utilize the accommodations provided by the air carrier. Other family members will remain at their local residences.
5. Most, if not all, families of those seriously injured will travel to where the injured are hospitalized; once the injured are released from the hospital, these family members and the injured will return home.
6. Implementation of this plan assumes that the accident will occur in a geographical area where the resources described above are available. Air carriers operating equipment over remote areas (for instance, Alaska) should contact NTSB TDA about modifications needed for accident response in those locations.

GENERAL MISSION TASKS

The family assistance mission tasks following an aviation accident are as follows:

1. Notify family members of victims involved in the aviation accident based on manifest documents and other available information.
2. Monitor search and recovery operations, and offer assistance as needed.
3. Determine the status and location of injured victims.
4. Obtain approval of the local medical examiner for Federal assistance (for instance, the Disaster Mortuary Operational Response Team [DMORT]) to assist in the identification of fatalities and the notification of their families.
5. Provide crisis intervention, logistical support, and services to victims and their family members.
6. Provide daily briefings to families on the progress of recovery efforts, identification of victims, the investigation, and other areas of concern.
7. Arrange for a memorial service, if desired by families.
8. Provide for the return of personal effects.
9. Maintain contact with victims and their families to provide continuous updates regarding the progress of the investigation and other related matters, both at the accident location and once the families have returned home.

RESPONSIBILITIES

Seven Victim Support Tasks (VSTs) identify the response requirements assigned to participating organizations. The organizations responsible for each of the seven VSTs are as follows:

VST 1–NTSB

VST 2–Air Carrier

VST 3– American Red Cross (Family Care and Mental Health)

VST 4– DHHS/ASPR and DOD (Victim Identification Services)

VST 5–DOS (Assisting Families of Foreign Victims)

VST 6–DHS/FEMA (Communications)

VST 7–DOJ (Assisting Victims of Crime)

Because each aviation accident is unique, the following responsibilities may be employed fully, partially, or not at all.

VICTIM SUPPORT TASK 1–National Transportation Safety Board

1. Coordinate Federal assistance and serve as a liaison between the air carrier and family members.
2. Provide an NTSB toll-free number and e-mail address (www.assistance@ntsb.gov) to family members for obtaining information on the victim recovery and identification effort, accident investigation, and other concerns. This number will normally be provided to families during the final family briefing. The NTSB will coordinate with the air carrier to provide, through the air carrier's family representatives, this contact information to families who do not travel to the accident city.
3. Request a copy of the passenger manifest from the air carrier.
4. Review with the air carrier the logistical needs of the families, giving special consideration to security, quality of hotel rooms and facilities, and privacy for family members.
5. Integrate local and Federal government officials and air carrier staff to form a JFSOC to coordinate services and activities for families.
6. Coordinate assistance efforts with local and State authorities, including the medical examiner, local/county/State law enforcement, emergency management agency, hospitals, and other emergency support personnel.
7. Maintain communications with the air carrier to receive updates regarding the notification status of victims' families.
8. Conduct daily coordination meetings with the air carrier and local and Federal government representatives to review daily activities, resolve problems, and synchronize future family support operations and activities. See appendix D for an example of information required for the daily coordination meeting.
9. Provide and coordinate family briefings both with families at the accident city and with families who remain at home.
10. Discuss with the medical examiner the capabilities of his or her staff to conduct victim identification. Examine the capabilities of local/State mass fatality teams and procedures to use the team in the identification process. Discuss strategies for collecting antemortem information and other factors influencing victim identification. Discuss the use of DMORT and standard procedures DMORT uses that support NTSB efforts.
11. Discuss with the medical examiner the subject of victim identification, in particular the use of DNA analysis. Explain that the NTSB typically coordinates with the Armed Forces DNA Identification Laboratory for DNA identification.

12. At the discretion of the NTSB Investigator in Charge (IIC), coordinate a visit to the accident site for family members. Planning for such a visit will begin only after the IIC agrees that such a visit will not impede the investigation and is believed to be safe for family members.
13. Provide information releases to the media, in coordination with the NTSB Office of Public Affairs, pertaining to the types of Federal support available to assist family members.
14. Maintain contact with family members to keep them informed about the victim recovery and identification effort, accident investigation, and other accident-related concerns.
 - a. Approximately 6 to 8 months after the date of the accident, factual reports written by the NTSB investigators are made available in a public docket. Families should be informed prior to the factual report being made public that they may request a copy of the report from the NTSB. The report will be provided to them at no cost.
 - b. If the NTSB decides a public hearing is necessary for the purpose of the investigation, families will be notified of the date, time, and location. Such a hearing is designed to gather additional facts from individuals selected to testify. Travel and lodging for the hearing is at the family's expense. Families will be provided seating and copies of official exhibits discussed at the hearing. NTSB public hearings are broadcast via the Internet through the NTSB website at www.nts.gov.

Vision 100 states the following:

An assurance that, in the case of an accident in which the National Transportation Safety Board conducts a public hearing or comparable proceeding at a location greater than 80 miles from the accident site, the air carrier will ensure that the proceeding is made available simultaneously by electronic means at a location open to the public at both the origin city and destination city of the air carrier's flight if that city is located in the United States. [49 USC 41113 (b) (18)]

Based on the facts of the accident, location requirements will be reviewed on a case-by-case basis.

- c. Families will be informed of the date, time, and location of any Board meeting to be held at the NTSB, Washington, D.C., headquarters (travel will be at the families' expense). At the meeting, the NTSB investigative staff will present to the Board a draft accident report for member discussion and approval. This report will document the NTSB's findings, determination of the probable cause of the accident and recommendations to prevent future aviation disasters. Board meetings are broadcast via the Internet through the NTSB website (www.nts.gov). See VST 2 "Air Carrier", number 30, and to the Vision 100 legislation [49 USC 41113 (b) (18)] for additional information.

15. If the accident is determined to be the result of a criminal act, the NTSB TDA staff may assist the FBI Office for Victim Assistance (OVA) in family assistance support.
16. Consolidate and review After Action Reports (AARs) to resolve problem areas and update operating plans and procedures.

VICTIM SUPPORT TASK 2–Air Carrier

1. In addition to accident notification required by Title 49 *Code of Federal Regulations* (CFR) 830.5, notify the NTSB communications center immediately upon knowledge of an accident. The following information must be provided:
 - Place (or general vicinity) of accident, number of passengers and crew (based on preliminary departure information), and number of injuries and fatalities (if known).
 - Flight number, origination, connection points, final destination, demographics of passengers (if known), and whether the flight was domestic or international.
 - Name and telephone number of the person/persons in charge of the air carrier's humanitarian response, passenger manifest reconciliation, and family notification process.
 - Name, telephone number, and location of the facility designated as the Family Assistance Center (FAC) and JFSOC.
2. Provide the NTSB, upon request, the most current reconciled copy of the passenger manifest. Each copy should be numbered or annotated indicating the date and time so that it is distinguishable from previous copies.
3. Provide a reliable publicized toll-free telephone number with sufficient capacity to handle the anticipated call volume. Although not required, consider providing teletypewriter (TTY) capability.
4. When disseminating the toll-free number, ask the media to request that the toll-free number be used only by those who have reason to believe a family member or friend was a passenger on the accident flight.
5. Emphasize in the media notice that, upon initial contact with the air carrier, family members will receive basic accident flight and point-of-contact information as the first steps of air carrier humanitarian support.
6. Ask the media to reemphasize the name of the carrier(s) involved, the accident flight number, airport of origination, connection, and final destination.
7. Provide the media with continuous updates on the progress of the notification process, such as providing the number of victims' families notified as of a certain time and the number

remaining to be notified. This process will continue until all victims' families have been notified.

8. Modify your carrier's normal "on-hold" messages during an accident to eliminate music, sales information, and similar non-accident related messages.
9. Provide timely notification to family members of passengers. As required by AIR 21, at a family member's request, inform the family if the passenger's name appears on a preliminary manifest for the accident flight. Updated information on passengers will be provided to family members as it becomes available. (AIR 21 states the following: "...upon request of the family of a passenger, the air carrier will inform the family of whether the passenger's name appeared on a preliminary passenger manifest for the flight involved in the accident.")
10. Provide notification to family members prior to releasing passenger names to the public. Give family members adequate time to notify other family members and friends prior to public release of the victim's name. Although it may be necessary for some families to have more than one contact point with the air carrier, your carrier may request that families designate one primary contact point for purposes of sharing information. This will allow your carrier to use its personnel in a more efficient manner. The carrier is under no obligation to release the victim's name if family members request otherwise.
11. Inform family members at the time of notification or soon thereafter of American Red Cross family care and crisis assistance available at the FAC and after families return home. Relay requests for crisis assistance to the American Red Cross representative, who will coordinate on-scene or home area contacts for family members. For family members who do not travel to the accident location, the American Red Cross personnel on-scene can coordinate personnel at the family member's location to provide assistance.
12. Secure facilities at departure, arrival, and connecting airports for family members and friends who may be gathering. This facility is designed to allow family members to grieve in private, shielding them from the media and solicitors; it serves as a secure location where families can receive continuous updates regarding the reconciliation of the passenger manifest and other accident information. Be prepared to provide the necessary assistance to special needs populations per the American with Disabilities Act (ADA). Arrange for one of your carrier's employees or agents who has been trained in crisis response to meet privately with family members once they have arrived at the facility secured. Employees or agents should be prepared to inform family members that their loved ones were aboard a plane that crashed.
13. Secure a facility to be used as the FAC. Factors to consider in selecting a facility are quality of rooms and size of facility, privacy for family members, ability to secure the facility, and proximity to the accident site and medical treatment facilities. See appendix B.
14. Make provisions for a JFSOC to include space, communication, and logistical support for the local and Federal staff. Details of the JFSOC are provided in appendix C.

15. Provide logistical support to family members who desire to travel to the accident city (or to a hospital location) that includes, but is not limited to, transportation, lodging, meals, security, communications, and incidental expenditures.
16. Assist family members as they travel to and from the city by informing flight crews and airport personnel about family members aboard particular flights. At departure, connecting, and arrival airports, family members should have air carrier personnel meet and assist them while on airport grounds. If necessary, seek assistance from other carriers with a larger presence at the airport. Assist family members as they depart the accident city and provide a contact person who will continue to be the air carrier's interface with family members following their return to their residence.
17. Provide a contact person to meet family members as they arrive and accompany them at the accident city. This person will be responsible for assisting the family while in the accident city and should continue to be the air carrier interface with the family until the family returns to their residence. At that time the air carrier may decide to designate a single contact person for all family members. This point of contact should be available through a toll-free phone number.
18. Maintain daily contact with family members who do not travel to the accident city by providing a contact person from the air carrier until the on-site investigation has concluded.
19. Designate an individual who will be the air carrier's representative to the Director of NTSB TDA. This individual will travel to various locations, such as the accident site, morgue, JFSOC, and FAC with the Director of NTSB TDA. The designated individual should have the authority, or ready access to those who have sufficient authority, to make decisions on behalf of the air carrier.
20. Establish an exclusive badge system to identify family members. In unique cases, the NTSB, in coordination with the air carrier, will decide on the specifications of the badging system.
21. Participate and provide operational updates during daily coordination meetings to review daily activities, resolve problems, and synchronize future family support operations and activities at the FAC. This information is helpful in planning logistical support (such as meals, lodging, and transportation) and allows for an update of current and future support operations. The type of information typically discussed during the daily coordination meetings is located in appendix D.
22. Make provisions for private areas within the hotel for medical examiner personnel and the DMORT FAC Team to collect antemortem information and DNA reference samples from families. Provide quiet space and communications for DMORT and medical examiner personnel to telephonically collect antemortem information from family members who are not at the FAC. Secure a sufficient number of rooms for DMORT/crisis counseling use. Based on NTSB experience, the number of rooms required ranges from 4 to 12, depending on the number of fatalities.

23. Be aware that crisis counseling and DMORT facilities are also used as venues to inform families when positive identification has been made. By having the medical examiner or DMORT team representative located within the FAC, transportation of victim's remains and other logistical considerations can be better coordinated. Support requirements for planning purposes are in appendix C.
24. Provide DOS representatives the necessary information regarding foreign passengers to facilitate interaction with appropriate foreign government embassies.
25. Establish a liaison with the American Red Cross at each medical treatment facility to monitor the status of injured victims and to provide assistance to their families.
26. Develop procedures for the handling of personal effects released by the NTSB or the FBI if the aviation disaster is declared a criminal act. Consider utilizing a third party that has experience in the return of personal effects associated with aviation disasters. As required by law, provisions will be made for unclaimed possessions to be retained for at least 18 months from the date of the accident. NTSB has developed guidelines for the on-scene search for personal effects.
27. Consult with family members about any air carrier-sponsored monument, including any inscriptions.
28. As required by the Aviation Disaster Family Assistance Act of 1996, provide reasonable reimbursement to the American Red Cross for the services provided to the family, air carrier, and supporting personnel.
29. Provide the same support and treatment to families of non-revenue passengers or any other victim of the accident (for instance, ground fatalities) as is provided for revenue passengers.
30. If the NTSB conducts a public hearing or comparable proceeding at a location more than 80 miles from the accident site, ensure that a simultaneous transmission of the proceeding is available to family members at a location open to the public at both the origin city and destination city of the accident flight.
31. In the event of an accident outside the United States, AIR 21 legislation requires "...in the event that the air carrier volunteers assistance to United States citizens within the United States with respect to an aircraft accident outside the United States involving major loss of life, the air carrier will consult with the Board and the Department of State on the provision of the assistance."
32. In the event the investigation determines the accident is the result of a criminal act, coordinate with the FBI OVA in arranging meetings with family members to explain their rights as victims of a Federal crime.

VICTIM SUPPORT TASK 3–American Red Cross–Family Care and Mental Health

1. An American Red Cross Critical Response Team (CRT) is deployed from the American Red Cross National Headquarters Disaster Operations Center (DOC) and serves as the functional leadership of family care and crisis intervention during the aviation accident. The CRT will support the local American Red Cross response and manage any spontaneous volunteers.
2. Assign a representative to the JFSOC to coordinate and address American Red Cross–related issues and family requests for assistance.
3. Coordinate and manage the numerous organizations and personnel offering counseling, religious and other support services to the operation. Create a staff processing center, operated away from the FAC, to screen, monitor, and manage personnel (employee and volunteer staff). The staff processing center will also be responsible for developing an exclusive badge system for personnel, matching staff skills with organizational needs, assigning work schedules, briefing and debriefing of support staff, and planning for future activities.
 - a. Qualified local resources should be integrated with American Red Cross personnel for crisis and grief counseling, food services, administrative assistance, and other support services to family members and support organizations.
 - b. Crisis and grief counseling for family members who travel to the accident city should be coordinated with air carrier personnel.
4. Employ an accounting system to accurately record cost data in specific cost categories for reimbursement by the air carrier.
5. Assess the needs and available resources of other crisis support agencies, coordinate with them to ensure ongoing emotional support for workers during the operation, and provide exit interviews before departure.
6. Establish a liaison with the air carrier at each supporting medical treatment facility to monitor the status of injured victims and to provide assistance to their families.
7. Coordinate with the air carrier to establish areas in the FAC for families to grieve privately.
8. If deemed necessary, deploy a Critical Response Childcare Team (CRC) to coordinate on-site childcare services for families who arrive with young children. Ensure the CRC Team is equipped with the necessary supplies to operate a childcare center along with specially trained staff qualified to attend to children in the aftermath of a traumatic disaster.
9. If deemed necessary, deploy a Spiritual Care Response Team (SRT) to coordinate on-site spiritual care. The SRT is trained to provide spiritual care to an array of faiths and will manage spiritual care for the victims and their families. If desired by the families, the SRT will coordinate the planning for a suitable interfaith memorial service within the first few days following the accident. The SRT deploys an events manager to begin planning the

memorial service upon a request from the family members. The American Red Cross Events Manager will work closely with the NTSB, the air carrier, and local, county, and State governments to plan a suitable memorial site. The American Red Cross will also deploy a Life Safety and Asset Protection manager to the JFSOC to manage safety and security concerns related to the memorial.

10. If deemed necessary, arrange a memorial service for any future burial of unidentified remains.
11. Provide families, at their request, with referrals to mental health professionals and support groups in the family member's local area.
12. Provide additional support to affected special needs or other demographically or culturally diverse populations as deemed necessary.

VICTIM SUPPORT TASK 4—Department of Health and Human Services, Assistant Secretary for Preparedness and Response—Victim Identification Services

1. At the time of an accident and following notification by the NTSB, activate the National Disaster Medical System (NDMS) and the appropriate DMORT team personnel, supplies, and equipment to assist in the management of victim identification.
2. Assign a representative to the JFSOC to address DMORT-related issues.
3. Assign the necessary DMORT team members to assist the medical examiner with victim identification and mortuary services. The configuration of team and skills required will be determined by the details of the accident and the capabilities of the local medical examiner.
4. Follow the “DMORT Standard Operating Procedures for National Transportation Safety Board Activations.”
5. Provide, if necessary, a morgue facility, a DMORT Portable Morgue Unit (DPMU), and the necessary equipment and supplies to augment the local medical examiner's capabilities.
6. Monitor the status of all incoming antemortem records to include dental, medical, and DNA data to ensure that all records have been received. If not, take steps to obtain the records and radiographs.
7. Employ a standard antemortem questionnaire and disposition of remains form that can be adapted to meet local medical examiner and State requirements. The disposition of remains form will be used to obtain directions from the lawfully authorized next of kin (NOK) regarding what he or she desires the medical examiner to do with remains that may later be identified as those of his or her family member. Information collected from family members is strictly confidential and is ultimately under the control of the medical examiner.

8. Using a specially trained FAC team, interview family members who are both on and off site for information regarding antemortem identification and disposition of remains.
9. Coordinate with the medical examiner to integrate qualified personnel who are providing assistance to the medical examiner's office into the morgue operation.
10. If necessary, assist the medical examiner in notifying family members of positive identification, including an explanation of how identification was determined.
11. Ensure the accuracy of the chain of custody by performing a check of documentation and remains prior to their release to the designated funeral director.
12. Assist the medical examiner with the reassociation of remains following the identification process. This may occur weeks or months after the accident.
13. Using information gathered from the antemortem interview, provide the NTSB with contact information for the NOK (addresses, telephone numbers, e-mail addresses) and the NOK's relationship to the victim.

Support of VST 4–Department of Defense–Victim Identification Services (As required)

1. Provide the use of a military installation, such as the Charles C. Carson Center for Mortuary Affairs located at the Dover Air Force Base, to support mortuary operations.
2. Provide resources from the Office of the Armed Forces Medical Examiner (OAFME) and Armed Forces DNA Identification Laboratory (AFDIL) to assist in the identification effort and to conduct appropriate DNA comparison testing on specimens submitted by the medical examiner. OAFME and AFDIL personnel may be asked to travel to the accident site to assist with victim identification.
3. Provide available medical and dental records and DNA reference samples of fatally injured passengers who may have antemortem records based on prior or current military service.

VICTIM SUPPORT TASK 5–Department of State–Assisting Families of Foreign Victims (As required)

1. Assign a representative to the JFSOC to coordinate DOS-related issues with other members of the operations center staff. Assist in obtaining dental and medical records and DNA reference samples from foreign families. Respond to family member requests for information and assistance as appropriate. Provide additional personnel as needed for

accidents involving significant numbers of foreign passengers, particularly those involving international flights.

2. Provide official notification to foreign governments of citizens involved in the accident. Such notifications will take place after obtaining necessary information on foreign passengers from the air carrier.
3. Assist the air carrier in notifying US citizens who may reside or are traveling outside the United States that a member of their family has been involved in an aviation accident.
4. Provide interpretation/translation services (via DOS staff or a contracted provider) to facilitate communications with the victim's family and all interested parties. For family briefings held at the FAC or similar location or activity, provide simultaneous interpretation/translation services in multiple languages as needed.
5. Provide logistical and communications support to the extent practicable, in establishing contact with foreign authorities and individuals abroad to aid the air carrier and Federal support staff in fulfilling their duties under the laws referenced above.
6. Assist foreign air carrier employees and families of foreign victims with entry into the United States and with the extension or granting of visas to eligible applicants.
7. Facilitate necessary consulate and customs services for the return of remains and personal effects to the country of destination.
8. Assist the medical examiner in acquiring the necessary information to facilitate the identification of foreign victims and to complete death certificates.

VICTIM SUPPORT TASK 6–Department of Homeland Security/ Federal Emergency Management Agency–Communications (As required)

1. Assign a representative to the JFSOC to coordinate with local and State officials concerning emergency management–related issues.
2. Provide voice and data communication assets to facilitate communication from the accident site to the NTSB Communications Center.
3. Upon the request of the NTSB Office of Public Affairs, provide personnel to assist in public information dissemination, to include assistance in establishing and staffing external media support centers at the accident site, wreckage hangar, FAC, airport, and other areas that may attract media interest.

VICTIM SUPPORT TASK 7–Department of Justice–Assisting Victims of Crime (As required)

1. Provide to the NTSB, upon request, an FBI Disaster Squad with sufficient personnel to obtain fingerprint identification of accident fatalities. This team will work with the medical examiner and the DMORT personnel at the morgue location.
2. Provide to the NTSB, upon request, an FBI Evidence Response Team (ERT) and other FBI Laboratory assets to assist with victim recovery operations under the direction of the medical examiner.
3. Provide to the NTSB, upon request, FBI Office for Victim Assistance Rapid Deployment Team (VARDT) members to assist the NTSB TDA in unique circumstances, such as simultaneous accident responses.
4. Perform the following responsibilities only if the air carrier disaster is officially declared a criminal act:
 - a. Coordinate Federal assistance and serve as the liaison between the air carrier and family members.
 - b. Provide an FBI toll-free number for family members to obtain information on the victim recovery and identification effort, investigation, and other concerns. This number will normally be provided to families on site during the initial family briefing and repeated in subsequent briefings. Coordinate with the air carrier to have air carrier family representatives provide the toll-free number to the families who do not travel to the accident city.
 - c. Establish a special web page for the victims' families for the purpose of sharing updated information and maintaining ongoing communication with victims and families throughout the duration of the investigation.
 - d. Review with the air carrier logistical family support with special consideration toward security, quality of rooms and facilities, and privacy for family members.
 - e. Oversee the establishment and management of the JFSOC and the FAC. Information on FAC operations can be found in appendix B.
 - f. Integrate local and Federal government officials and air carrier staff to form a JFSOC to facilitate close coordination of services and activities.
 - g. Assist the air carrier, if requested, with finding NOK that have not been notified of their family member's involvement.
 - h. Conduct daily coordination meetings with the air carrier and local and Federal government representatives to review daily activities, resolve problem areas, and

to synchronize future family support operations and activities. Examples of information needed at the daily coordination meeting are in appendix D.

- i. Provide and coordinate family briefings for family members at the accident city and for those who remain at home. Conduct in-person family briefings at the FAC. Conduct briefings for off-site families via telephone conference bridges.
- j. Provide information to victims and families regarding their rights and available services related to their status as victims of a Federal crime.
- k. Maintain contact with family members to keep them informed about the progress of the investigation and to continue to meet their future needs.

COORDINATING INSTRUCTIONS

1. The point of contact for this plan is the Director, Transportation Disaster Assistance, NTSB. The telephone number is (202) 314-6185. The office fax number is (202) 314-6638. The backup fax number is 202-314-6293. The e-mail address is assistance@ntsb.gov.
2. Upon implementation and until NTSB TDA staff is present at the JFSOC, calls should be directed to the NTSB communications center. The Communications center will pass any information or messages to the appropriate NTSB TDA staff member.
3. Supporting agencies should appoint the same individual or individuals to the JFSOC for each aviation accident. The focused efforts of a group of experienced personnel who understand the complex issues of an aviation disaster response will lead to improved delivery of services to victims and their families. Agencies are not precluded from designating and training alternate personnel as long as inexperienced personnel are partnered with experienced personnel during the response.
4. It is recommended that all Federal personnel involved at the accident site wear clothing (e.g. hats, shirts, and/or jackets) identifying their agency or group. This is helpful for families and those involved in supporting the operation.
5. Agencies providing support to victims and their family members under this plan are requested to submit an AAR to the Director, NTSB TDA, within 60 days of completion of their tasks. The report is critical for capturing lessons learned, taking corrective actions, and updating plans. A sample format is provided in appendix E.
6. Other than media releases by the air carrier regarding the progress of family notification and the release of passengers' names as described in VST 2, item 9, all media inquiries and releases pertaining to the NTSB TDA operation will be referred to the NTSB Office of Public Affairs. The NTSB will advise and assist the local medical examiner regarding any media affairs related to his or her area of responsibility. Support organizations may provide press releases or briefings on their specific mission/actions during the accident response. There are no restrictions on victims or family members meeting with the media if they so desire.
7. Due to differences among air carriers and air carrier underwriter policies, as well as differences among aviation accidents, consideration for reimbursement of costs associated with an agency's participation in an aviation disaster response will be made after discussions with the air carrier and its insurance underwriter.

LIST OF APPENDICES

Appendix A–Aviation Disaster Family Assistance Act of 1996, Foreign Air Carrier Family Support Act of 1997, AIR 21, and Vision 100

Appendix B–Family Assistance Center Operations

Appendix C–Joint Family Support Operations Center

Appendix D–Joint Family Support Operations Center Daily Status Report Information

Appendix E–Sample After Action Report Format

Appendix F–Victim Identification Information

Appendix G–Victim Support Tasks–Checklists

Appendix H–Frequently Asked Questions

Appendix I–Internet Resources for Mass Fatality Response

Appendix J–References

Appendix K–List of Acronyms

APPENDIX A

Aviation Disaster Family Assistance Act of 1996

SEC. 701. SHORT TITLE.

This title may be cited as the “Aviation Disaster Family Assistance Act of 1996.”

SEC. 702. ASSISTANCE BY NATIONAL TRANSPORTATION SAFETY BOARD TO FAMILIES OF PASSENGERS INVOLVED IN AIRCRAFT ACCIDENTS.

(a) Authority To Provide Assistance.--

(1) In general.--Subchapter III of chapter 11 is amended by adding at the end the following:

“Sec. 1136. Assistance to families of passengers involved in aircraft accidents

“(a) In General.--As soon as practicable after being notified of an aircraft accident within the United States involving an air carrier or foreign air carrier and resulting in a major loss of life, the Chairman of the National Transportation Safety Board shall--

“(1) designate and publicize the name and phone number of a director of family support services who shall be an employee of the Board and shall be responsible for acting as a point of contact within the Federal Government for the families of passengers involved in the accident and a liaison between the air carrier or foreign air carrier and the families; and

“(2) designate an independent nonprofit organization, with experience in disasters and post trauma communication with families, which shall have primary responsibility for coordinating the emotional care and support of the families of passengers involved in the accident.

“(b) Responsibilities of the Board.--The Board shall have primary Federal responsibility for facilitating the recovery and identification of fatally-injured passengers involved in an accident described in subsection (a).

“(c) Responsibilities of Designated Organization.--The organization designated for an accident under subsection (a)(2) shall have the following responsibilities with respect to the families of passengers involved in the accident:

“(1) To provide mental health and counseling services, in coordination with the disaster response team of the air carrier or foreign air carrier involved.

“(2) To take such actions as may be necessary to provide an

environment in which the families may grieve in private.

“(3) To meet with the families who have traveled to the location of the accident, to contact the families unable to travel to such location, and to contact all affected families periodically thereafter until such time as the organization, in consultation with the director of family support services designated for the accident under subsection (a)(1), determines that further assistance is no longer needed.

“(4) To communicate with the families as to the roles of the organization, government agencies, and the air carrier or foreign air carrier involved with respect to the accident and the post-accident activities.

“(5) To arrange a suitable memorial service, in consultation with the families.

“(d) Passenger Lists.--

“(1) Requests for passenger lists.--

“(A) Requests by director of family support services.--It shall be the responsibility of the director of family support services designated for an accident under subsection (a)(1) to request, as soon as practicable, from the air carrier or foreign air carrier involved in the accident a list, which is based on the best available information at the time of the request, of the names of the passengers that were aboard the aircraft involved in the accident.

“(B) Requests by designated organization.--The organization designated for an accident under subsection (a)(2) may request from the air carrier or foreign air carrier involved in the accident a list described in subparagraph (A).

“(2) Use of information.--The director of family support services and the organization may not release to any person information on a list obtained under paragraph (1) but may provide information on the list about a passenger to the family of the passenger to the extent that the director of family support services or the organization considers appropriate.

“(e) Continuing Responsibilities of the Board.--In the course of its investigation of an accident described in subsection (a), the Board shall, to the maximum extent practicable, ensure that the families of passengers involved in the accident--

“(1) are briefed, prior to any public briefing, about the accident, its causes, and any other findings from the investigation; and

“(2) are individually informed of and allowed to attend any public hearings and meetings of the Board about the accident.

“(f) Use of Air Carrier Resources.--To the extent practicable, the organization designated for an accident under subsection (a)(2) shall coordinate its activities with the air carrier or foreign air carrier involved in the accident so that the resources of the carrier can be used to the greatest extent possible to carry out the organization’s responsibilities under this section.

“(g) Prohibited Actions.--

“(1) Actions to impede the board.--No person (including a State or political subdivision) may impede the ability of the Board (including the director of family support services designated for an accident under subsection (a)(1)), or an organization designated for an accident under subsection (a)(2), to carry out its responsibilities under this section or the ability of the families of passengers involved in the accident to have contact with one another.

“(2) Unsolicited communications.--In the event of an accident involving an air carrier providing interstate or foreign air transportation, no unsolicited communication concerning a potential action for personal injury or wrongful death may be made by an attorney or any potential party to the litigation to an individual injured in the accident, or to a relative of an individual involved in the accident, before the 30th day following the date of the accident.

“(h) Definitions.--In this section, the following definitions apply:

“(1) Aircraft accident.--The term ‘aircraft accident’ means any aviation disaster regardless of its cause or suspected cause.

“(2) Passenger.--The term ‘passenger’ includes an employee of an air carrier aboard an aircraft..”

(2) Conforming amendment.--The table of sections for such chapter is amended by inserting after the item relating to section 1135 the following:

“1136. Assistance to families of passengers involved in aircraft accidents.”

(b) Penalties.--Section 1155(a)(1) of such title is amended--

(1) by striking “or 1134(b) or (f)(1)” and inserting “, section 1134(b), section 1134(f)(1), or section 1136(g)”; and

(2) by striking “either of” and inserting “any of.”

SEC. 703. AIR CARRIER PLANS TO ADDRESS NEEDS OF FAMILIES OF PASSENGERS INVOLVED IN AIRCRAFT ACCIDENTS.

(a) In General.--Chapter 411 is amended by adding at the end the following:

“Sec. 41113. Plans to address needs of families of passengers involved in aircraft accidents

“(a) Submission of Plans.--Not later than 6 months after the date of the enactment of this section, each air carrier holding a certificate of public convenience and necessity under section 41102 of this title shall submit to the Secretary and the Chairman of the National Transportation Safety Board a plan for addressing the needs of the families of passengers involved in any aircraft accident involving an aircraft of the air carrier and resulting in a major loss of life.

“(b) Contents of Plans.--A plan to be submitted by an air carrier under subsection (a) shall include, at a minimum, the following:

“(1) A plan for publicizing a reliable, toll-free telephone number, and for providing staff, to handle calls from the families of the passengers.

“(2) A process for notifying the families of the passengers, before providing any public notice of the names of the passengers, either by utilizing the services of the organization designated for the accident under section 1136(a)(2) of this title or the services of other suitably trained individuals.

“(3) An assurance that the notice described in paragraph (2) will be provided to the family of a passenger as soon as the air carrier has verified that the passenger was aboard the aircraft (whether or not the names of all of the passengers have been verified) and, to the extent practicable, in person.

“(4) An assurance that the air carrier will provide to the director of family support services designated for the accident under section 1136(a)(1) of this title, and to the organization designated for the accident under section 1136(a)(2) of this title, immediately upon request, a list (which is based on the best available information at the time of the request) of the names of the passengers aboard the aircraft (whether or not such names have been verified), and will periodically update the list.

“(5) An assurance that the family of each passenger will be consulted about the disposition of all remains and personal effects of the passenger within the control of the air carrier.

“(6) An assurance that if requested by the family of a

passenger, any possession of the passenger within the control of the air carrier (regardless of its condition) will be returned to the family unless the possession is needed for the accident investigation or any criminal investigation.

“(7) An assurance that any unclaimed possession of a passenger within the control of the air carrier will be retained by the air carrier for at least 18 months.

“(8) An assurance that the family of each passenger will be consulted about construction by the air carrier of any monument to the passengers, including any inscription on the monument.

“(9) An assurance that the treatment of the families of nonrevenue passengers (and any other victim of the accident) will be the same as the treatment of the families of revenue passengers.

“(10) An assurance that the air carrier will work with any organization designated under section 1136(a)(2) of this title on an ongoing basis to ensure that families of passengers receive an appropriate level of services and assistance following each accident.

“(11) An assurance that the air carrier will provide reasonable compensation to any organization designated under section 1136(a)(2) of this title for services provided by the organization.

“(12) An assurance that the air carrier will assist the family of a passenger in traveling to the location of the accident and provide for the physical care of the family while the family is staying at such location.

“(13) An assurance that the air carrier will commit sufficient resources to carry out the plan.

“(c) Certificate Requirement.--After the date that is 6 months after the date of the enactment of this section, the Secretary may not approve an application for a certificate of public convenience and necessity under section 41102 of this title unless the applicant has included as part of such application a plan that meets the requirements of subsection (b).

“(d) Limitation on Liability.--An air carrier shall not be liable for damages in any action brought in a Federal or State court arising out of the performance of the air carrier in preparing or providing a passenger list pursuant to a plan submitted by the air carrier under subsection (b), unless such liability was caused by conduct of the air carrier which was grossly negligent or which constituted intentional misconduct.

“(e) Aircraft Accident and Passenger Defined.--In this section, the terms ‘aircraft accident’ and ‘passenger’ have the meanings such terms

have in section 1136 of this title..”

(b) Conforming Amendment.--The table of sections for such chapter is amended by adding at the end the following:

“41113. Plans to address needs of families of passengers involved in aircraft accidents.”

SEC. 704. ESTABLISHMENT OF TASK FORCE.

(a) Establishment.--The Secretary of Transportation, in cooperation with the National Transportation Safety Board, the Federal Emergency Management Agency, the American Red Cross, air carriers, and families which have been involved in aircraft accidents shall establish a task force consisting of representatives of such entities and families, representatives of air carrier employees, and representatives of such other entities as the Secretary considers appropriate.

(b) Guidelines and Recommendations.--The task force established pursuant to subsection (a) shall develop--

(1) guidelines to assist air carriers in responding to aircraft accidents;

(2) recommendations on methods to ensure that attorneys and representatives of media organizations do not intrude on the privacy of families of passengers involved in an aircraft accident;

(3) recommendations on methods to ensure that the families of passengers involved in an aircraft accident who are not citizens of the United States receive appropriate assistance;

(4) recommendations on methods to ensure that State mental health licensing laws do not act to prevent out-of-state mental health workers from working at the site of an aircraft accident or other related sites;

(5) recommendations on the extent to which military experts and facilities can be used to aid in the identification of the remains of passengers involved in an aircraft accident; and

(6) recommendations on methods to improve the timeliness of the notification provided by air carriers to the families of passengers involved in an aircraft accident, including--

(A) an analysis of the steps that air carriers would have to take to ensure that an accurate list of passengers on board the aircraft would be available within 1 hour of the accident and an analysis of such steps to ensure that such list would be available within 3 hours of the accident;

(B) an analysis of the added costs to air carriers and travel agents that would result if air carriers were required to take the steps described in subparagraph

(A);

(C) an analysis of any inconvenience to passengers, including flight delays, that would result if air carriers were required to take the steps described in subparagraph (A); and

(D) an analysis of the implications for personal privacy that would result if air carriers were required to take the steps described in subparagraph (A).

(c) Report.--Not later than 1 year after the date of the enactment of this Act, the Secretary shall transmit to Congress a report containing the model plan and recommendations developed by the task force under subsection (b).

Foreign Air Carrier Family Support Act of 1997

Public Law 105-148, 105th Congress

To amend title 49, United States Code, to require the National Transportation Safety Board and individual foreign air carriers to address the needs of families of passengers involved in aircraft accidents involving foreign air carriers.

Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,

SECTION 1. PLANS TO ADDRESS NEEDS OF FAMILIES OF PASSENGERS INVOLVED IN FOREIGN AIR CARRIER ACCIDENTS.

(a) In General.--Chapter 413 of title 49, United States Code, is amended by adding at the end the following:

“Sec. 41313. Plans to address needs of families of passengers involved in foreign air carrier accidents

“(a) Definitions.--In this section, the following definitions apply:

“(1) Aircraft accident.--The term ‘aircraft accident’ means any aviation disaster, regardless of its cause or suspected cause, that occurs within the United States; and

“(2) Passenger.--The term ‘passenger’ includes an employee of a foreign air carrier or air carrier aboard an aircraft.

“(b) Submission of Plans.--A foreign air carrier providing foreign air transportation under this chapter shall transmit to the Secretary of

Transportation and the Chairman of the National Transportation Safety Board a plan for addressing the needs of the families of passengers involved in an aircraft accident that involves an aircraft under the control of the foreign air carrier and results in a significant loss of life.

“(c) Contents of Plans.--To the extent permitted by foreign law which was in effect on the date of the enactment of this section, a plan submitted by a foreign air carrier under subsection (b) shall include the following:

“(1) Telephone number.--A plan for publicizing a reliable, toll-free telephone number and staff to take calls to such number from families of passengers involved in an aircraft accident that involves an aircraft under the control of the foreign air carrier and results in a significant loss of life.

“(2) Notification of families.--A process for notifying, in person to the extent practicable, the families of passengers involved in an aircraft accident that involves an aircraft under the control of the foreign air carrier and results in a significant loss of life before providing any public notice of the names of such passengers. Such notice shall be provided by using the services of--

“(A) the organization designated for the accident under section 1136(a)(2); or

“(B) other suitably trained individuals.

“(3) Notice provided as soon as possible.--An assurance that the notice required by paragraph (2) shall be provided as soon as practicable after the foreign air carrier has verified the identity of a passenger on the foreign aircraft, whether or not the names of all of the passengers have been verified.

“(4) List of passengers.--An assurance that the foreign air carrier shall provide, immediately upon request, and update a list (based on the best available information at the time of the request) of the names of the passengers aboard the aircraft (whether or not such names have been verified), to--

“(A) the director of family support services designated for the accident under section 1136(a)(1); and

“(B) the organization designated for the accident under section 1136(a)(2).

“(5) Consultation regarding disposition of remains and effects.--An assurance that the family of each passenger will be consulted about the disposition of any remains and personal effects of the passenger that are within the control of the foreign air carrier.

“(6) Return of possessions.--An assurance that, if requested by the family of a passenger, any possession

(regardless of its condition) of that passenger that is within the control of the foreign air carrier will be returned to the family unless the possession is needed for the accident investigation or a criminal investigation.

“(7) Unclaimed possessions retained.--An assurance that any unclaimed possession of a passenger within the control of the foreign air carrier will be retained by the foreign air carrier for not less than 18 months after the date of the accident.

“(8) Monuments.--An assurance that the family of each passenger will be consulted about construction by the foreign air carrier of any monument to the passengers built in the United States, including any inscription on the monument.

“(9) Equal treatment of passengers.--An assurance that the treatment of the families of nonrevenue passengers will be the same as the treatment of the families of revenue passengers.

“(10) Service and assistance to families of passengers.--An assurance that the foreign air carrier will work with any organization designated under section 1136(a)(2) on an ongoing basis to ensure that families of passengers receive an appropriate level of services and assistance following an accident.

“(11) Compensation to service organizations.--An assurance that the foreign air carrier will provide reasonable compensation to any organization designated under section 1136(a)(2) for services and assistance provided by the organization.

“(12) Travel and care expenses.--An assurance that the foreign air carrier will assist the family of any passenger in traveling to the location of the accident and provide for the physical care of the family while the family is staying at such location.

“(13) Resources for plan.--An assurance that the foreign air carrier will commit sufficient resources to carry out the plan.

“(14) Substitute measures.--If a foreign air carrier does not wish to comply with paragraph (10), (11), or (12), a description of proposed adequate substitute measures for the requirements of each paragraph with which the foreign air carrier does not wish to comply.

“(d) Permit and Exemption Requirement.--The Secretary shall not approve an application for a permit under section 41302 unless the applicant has included as part of the application or request for exemption a plan that meets the requirements of subsection (c).

“(e) Limitation on Liability.--A foreign air carrier shall not be liable for damages in any action brought in a Federal or State court arising out of the performance of the foreign air carrier in preparing or providing a passenger list pursuant to a plan submitted by the foreign air carrier under subsection (c), unless the liability was caused by conduct of the foreign air carrier which was grossly negligent or which constituted intentional misconduct.”

(b) Conforming Amendment.--The table of sections for such chapter is amended by adding at the end the following:

“41313. Plans to address needs of families of passengers involved in foreign air carrier accidents.”

(c) Effective Date.--The amendments made by this section shall take effect on the 180th day following the date of the enactment of this Act.

“AIR 21”

Public Law 106-181, 106th Congress

To amend title 49, United States Code, to reauthorize programs of the Federal Aviation Administration, and for other purposes.

SECTION 1. SHORT TITLE; TABLE OF CONTENTS.

(a) Short note. Title.--This Act may be cited as the “Wendell H. Ford Aviation Investment and Reform Act for the 21st Century.”

TITLE IV--FAMILY ASSISTANCE

SEC. 401. RESPONSIBILITIES OF NATIONAL TRANSPORTATION SAFETY BOARD.

(a) Prohibition on Unsolicited Communications.--

(1) In general.--Section 1136(g)(2) is amended--

(A) by striking “transportation,” and inserting “transportation and in the event of an accident involving a foreign air carrier that occurs within the United States,”;

(B) by inserting after “attorney” the following: “(including any associate, agent, employee, or other representative of an attorney)”; and

(C) by striking “30th day” and inserting “45th

day.”

(2) Enforcement.--Section 1151 is amended by inserting “1136(g)(2),” before “or 1155(a)” each place it appears.

(b) Prohibition on Actions To Prevent Mental Health and Counseling Services.--Section 1136(g) is amended by adding at the end the following:

“(3) Prohibition on actions to prevent mental health and counseling services.--No State or political subdivision thereof may prevent the employees, agents, or volunteers of an organization designated for an accident under subsection (a)(2) from providing mental health and counseling services under subsection (c)(1) in the 30-day period beginning on the date of the accident. The director of family support services designated for the accident under subsection (a)(1) may extend such period for not to exceed an additional 30 days if the director determines that the extension is necessary to meet the needs of the families and if State and local authorities are notified of the determination.”

(c) Inclusion of Nonrevenue Passengers in Family Assistance Coverage.--Section 1136(h)(2) is amended to read as follows:

“(2) Passenger.--The term ‘passenger’ includes--

“(A) an employee of an air carrier or foreign air carrier aboard an aircraft; and

“(B) any other person aboard the aircraft without regard to whether the person paid for the transportation, occupied a seat, or held a reservation for the flight.”

(d) Statutory Construction.--Section 1136 is amended by adding at the end the following:

“(i) Statutory Construction.--Nothing in this section may be construed as limiting the actions that an air carrier may take, or the obligations that an air carrier may have, in providing assistance to the families of passengers involved in an aircraft accident.”

SEC. 402. AIR CARRIER PLANS.

(a) Contents of Plans.--

(1) Flight reservation information.--Section 41113(b) is amended by adding at the end the following:

“(14) An assurance that, upon request of the family of a passenger, the air carrier will inform the family of whether the passenger’s name appeared on a preliminary passenger manifest for the flight involved in the accident.”

(2) Training of employees and agents.--Section 41113(b) is further amended by adding at the end the following:

“(15) An assurance that the air carrier will provide adequate training to the employees and agents of the carrier to meet the needs of survivors and family members following an accident.”

(3) Consultation on carrier response not covered by plan.--Section 41113(b) is further amended by adding at the end the following:

“(16) An assurance that the air carrier, in the event that the air carrier volunteers assistance to United States citizens within the United States with respect to an aircraft accident outside the United States involving major loss of life, the air carrier will consult with the Board and the Department of State on the provision of the assistance.”

(4) Submission <<NOTE: 49 USC 41113 note.>> of updated plans.--The amendments made by paragraphs (1), (2), and (3) shall take effect on the 180th day following the date of the enactment of this Act. On or before such 180th day, each air carrier holding a certificate of public convenience and necessity under section 41102 of title 49, United States Code, shall submit to the Secretary and the Chairman of the National Transportation Safety Board an updated plan under section 41113 of such title that meets the requirements of the amendments made by paragraphs (1), (2), and (3).

(5) Conforming amendments.--Section 41113 is amended--

(A) in subsection (a) by striking “Not later than 6 months after the date of the enactment of this section, each air carrier” and inserting “Each air carrier”; and

(B) in subsection (c) by striking “After the date that is 6 months after the date of the enactment of this section, the Secretary” and inserting “The Secretary.”

(b) Limitation on Liability.--Section 41113(d) is amended by inserting “, or in providing information concerning a preliminary passenger manifest,” before “pursuant to a plan.”

(c) Statutory Construction.--Section 41113 is amended by adding at the end the following:

“(f) Statutory Construction.--Nothing in this section may be construed as limiting the actions that an air carrier may take, or the obligations that an air carrier may have, in providing assistance to the families of passengers involved in an aircraft accident.”

SEC. 403. FOREIGN AIR CARRIER PLANS.

(a) Inclusion of Nonrevenue Passengers in Family Assistance Coverage.--Section 41313(a)(2) is amended to read as follows:

“(2) Passenger.--The term ‘passenger’ has the meaning given such term by section 1136.”

(b) Accidents for Which Plan Is Required.--Section 41313(b) is amended by striking “significant” and inserting “major.”

(c) Contents of Plans.--

(1) In general.--Section 41313(c) is amended by adding at the end the following:

“(15) Training of employees and agents.--An assurance that the foreign air carrier will provide adequate training to the employees and agents of the carrier to meet the needs of survivors and family members following an accident.

“(16) Consultation on carrier response not covered by plan.--An assurance that the foreign air carrier, in the event that the foreign air carrier volunteers assistance to United States citizens within the United States with respect to an aircraft accident outside the United States involving major loss of life, the foreign air carrier will consult with the Board and the Department of State on the provision of the assistance.”

(2) Submission <<NOTE: 49 USC 41313 note.>> of updated plans.--The amendment made by paragraph (1) shall take effect on the 180th day following the date of the enactment of this Act. On or before such 180th day, each foreign air carrier providing foreign air transportation under chapter 413 of title 49, United States Code, shall submit to the Secretary and the Chairman of the National Transportation Safety Board an updated plan under section 41313 of such title that meets the requirements of the amendment made by paragraph (1).

SEC. 404. DEATH ON THE HIGH SEAS.

(a) Right of Action in Commercial Aviation Accidents.--The first section of the Act of March 30, 1920 (46 U.S.C. App. 761; popularly known as the “Death on the High Seas Act”) is amended--

(1) by inserting “(a) subject to subsection (b),” before “whenever”; and

(2) by adding at the end the following:

“(b) In the case of a commercial aviation accident, whenever the death of a person shall be caused by wrongful act, neglect, or default occurring on the high seas 12 nautical miles or closer to the shore of any State, or the District of Columbia, or the Territories or

dependencies of the United States, this Act shall not apply and the rules applicable under Federal, State, and other appropriate law shall apply..”

(b) Compensation in Commercial Aviation Accidents.--
Section 2 of such Act (46 U.S.C. App. 762) is amended--

- (1) by inserting “(a)” before “the recovery”; and
- (2) by adding at the end the following:

“(b)(1) If the death resulted from a commercial aviation accident occurring on the high seas beyond 12 nautical miles from the shore of any State, or the District of Columbia, or the Territories or dependencies of the United States, additional compensation for nonpecuniary damages for wrongful death of a decedent is recoverable. Punitive damages are not recoverable.

“(2) In this subsection, the term ‘nonpecuniary damages’ means damages for loss of care, comfort, and companionship..”

(c) Effective <<NOTE: 46 USC app. 761 note.>> Date.--The amendments made by subsections (a) and (b) shall apply to any death occurring after July 16, 1996.

“Vision 100”

SEC. 809. AVAILABILITY OF AIRCRAFT ACCIDENT SITE INFORMATION.

(a) DOMESTIC AIR TRANSPORTATION.—Section 41113(b) is amended—

(1) in paragraph (16) by striking “the air carrier” the third place it appears; and

(2) by adding at the end the following:

“(17)(A) An assurance that, in the case of an accident that results in significant damage to a manmade structure or other property on the ground that is not government-owned, the air carrier will promptly provide notice, in writing, to the extent practicable, directly to the owner of the structure or other property about liability for any property damage and means for obtaining compensation.

“(B) At a minimum, the written notice shall advise an owner (i) to contact the insurer of the property as the authoritative source for information about coverage and compensation; (ii) to not rely on unofficial information offered by air carrier representatives about compensation by the air carrier for accident-site property damage; and (iii) to obtain photographic or other detailed evidence of property damage as soon as possible after the accident, consistent with restrictions on access to the accident site.

“(18) An assurance that, in the case of an accident in which the National Transportation Safety Board conducts a public hearing or comparable proceeding at a location greater than 80 miles from the accident site, the air carrier will ensure that the proceeding is made available simultaneously by electronic means at a location open to the public at both the origin city and destination city of the air carrier’s flight if that city is located in the United States..”

(b) FOREIGN AIR TRANSPORTATION.—Section 41313(c) is amended by adding at the end the following:

“(17) NOTICE CONCERNING LIABILITY FOR MANMADE STRUCTURES.—

“(A) IN GENERAL.—An assurance that, in the case of an accident that results in significant damage to a manmade structure or other property on the ground that is not government-owned, the foreign air carrier will promptly provide notice, in writing, to the extent practicable, directly to the owner of the structure or other property about liability for any property damage and means for obtaining compensation.

“(B) MINIMUM CONTENTS.—At a minimum, the written notice shall advise an owner (i) to contact the insurer of the property as the authoritative source for information about coverage and compensation; (ii) to not rely on unofficial information offered by foreign air carrier representatives about compensation by the foreign air carrier for accident-site property damage; and (iii) to obtain photographic or other detailed evidence of property damage as soon as possible after the accident, consistent with restrictions on access to the accident site.

“(18) SIMULTANEOUS ELECTRONIC TRANSMISSION OF NTSB HEARING.—An assurance that, in the case of an accident in which the National Transportation Safety Board conducts a public hearing or comparable proceeding at a location greater than 80 miles from the accident site, the foreign air carrier will ensure that the proceeding is made available simultaneously by electronic means at a location open to the public at both the origin city and destination city of the foreign air carrier’s flight if that city is located in the United States..”

(c) UPDATE PLANS.—Air carriers and foreign air carriers shall update their plans under sections 41113 and 41313 of title 49, United States Code, respectively, to reflect the amendments made by subsections (a) and (b) of this section not later than 90 days after the date of enactment of this Act.

APPENDIX B

Family Assistance Center Operations

The Family Assistance Center (FAC) is the focus of services for family members when they travel to the accident location. FACs are designed to meet the immediate and short-term needs of family members: safety, security, physiological needs (food, sleep), information (about the victim recovery and identification process, and the investigation), and crisis/grief counseling. In addition, family members may be interviewed to gather antemortem information about the victims and to submit DNA samples to facilitate victim identification. The air carrier is required to provide the FAC location. Most FACs are established at hotels or similar facilities. Consideration should be given to a facility that has multiple meeting rooms, a large ballroom, up-to-date information technology infrastructure, and food services. Arrangements are coordinated by the air carrier and the NTSB.

The NTSB TDA Director manages FAC operations or assigns a designee in her/his absence.

Staff present at the FAC should include the following:

1. Air carrier support team personnel and their associated management team
2. NTSB TDA staff
3. Local law enforcement
4. American Red Cross personnel, including approved child care providers, spiritual care staff, health professionals and crisis counselors
5. Medical examiner staff
6. Personnel designated by the medical examiner to conduct antemortem interviews
7. Personal effects management contractors working for the air carrier
8. Local support agency personnel

A number of critical functions will take place at the FAC and must be closely coordinated; they include the following:

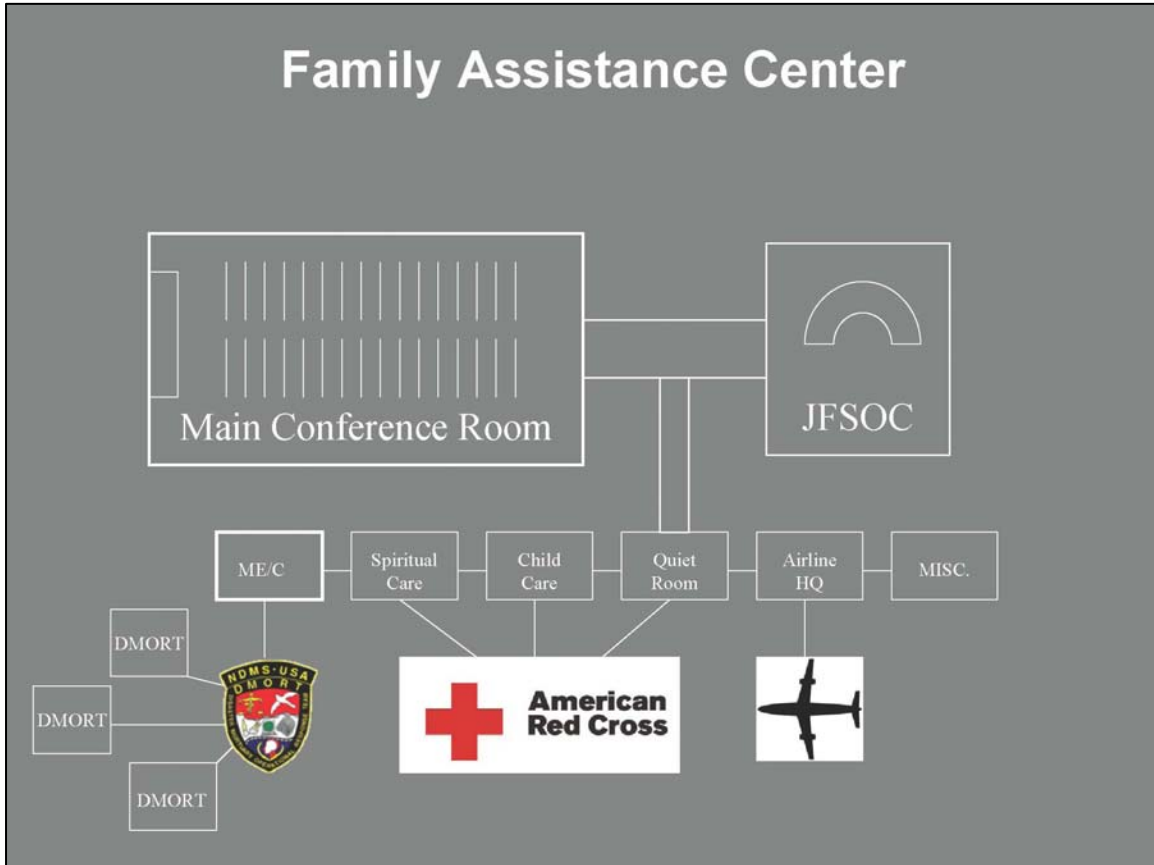
1. Safety and security, including badging of staff and family members
2. NTSB daily briefings, typically held twice daily
3. Antemortem interviews conducted by the medical examiner personnel or their designee
4. Childcare, spiritual care, and crisis counseling (conducted by the American Red Cross)
5. Death notifications by the medical examiner

Typically, the FAC will remain operational until the decedents have been identified, or until families are notified that the identification process will continue for an extended period of time. If this should occur, families will be contacted at home regarding positive identifications.

It is essential that each agency in the FAC understand its role in the support of families.

Not all family members will travel to the FAC. If there are family members already residing in the accident city, they will most likely visit the FAC to receive updates at the briefings and then return home. Other family members will participate in the briefings via a telephone conference bridge.

Schematic of a Family Assistance Center



APPENDIX C

Joint Family Support Operations Center

The Joint Family Support Operations Center (JFSOC) is an important element in the control and coordination of the responses and resources of supporting organizations involved in an aviation accident.

The JFSOC is a central location where participating organizations are brought together to monitor, plan, coordinate, and execute a response operation maximizing the utilization of all available resources. Communication and sharing of information continue to be challenges to a successful emergency response. The JFSOC is designed to address these challenges.

Organizations normally involved in the JFSOC are the following: NTSB, air carrier, the American Red Cross, local government and law enforcement, and supporting Federal agencies. Depending on the extent of the disaster, other organizations may also be involved in the JFSOC.

The JFSOC and one or more of the organizations involved (listed above) provide the following:

1. Serve as the central point for coordination and sharing of information among participating organizations.
2. Monitor ongoing family support activities and tracks mission activities of each organization, such as the status of the available resources.
3. Maintain a current list of locations and key telephone numbers of participating organizations and personnel.
4. Manage and coordinate requests for services.
5. Maintain a daily journal of organizational activities and responses.

Agency representatives assigned to the JFSOC are responsible for the following tasks:

1. Maintain current status of family support activities.
2. Prepare information for the NTSB family website.
3. Provide information for the daily family briefing/conference bridge.
4. Brief participating agencies daily about activities concerning family support.
5. Coordinate and share information among all organizational representatives.

6. Maintain locations and telephone numbers of organizational entities along with key personnel (for instance, FAC, medical examiner, staff processing center, NTSB investigators, air carrier, accident site, supporting organizations, local law enforcement, and local government).
7. Maintain and update daily plans, incident action plans, and plans for future operations.
8. Maintain the status and location of injured victims.
9. Maintain the status of victim identification effort, utilizing information provided by medical examiner personnel or their designee.
10. Update information on numbers of families at the accident city and projected departures/arrivals (24/48 hours).
11. Track the progress of antemortem interviews and antemortem data collection.

An aviation accident may take place anywhere. Therefore, it is necessary to remain flexible in planning the location of the JFSOC, taking into consideration the location and the severity of the accident. The location of the JFSOC will be determined on the basis of available space, in such places as hotels, local government buildings, or mobile command posts.

The air carrier is responsible for securing space to accommodate family members, the FAC, and the JFSOC. The involved air carrier should plan on securing a hotel area that can accommodate the people, equipment, and activities in the JFSOC. Although hotel space for family members and the FAC will be at a premium, the JFSOC should be located in the same hotel. A small ballroom or large conference room is appropriate. Should the air carrier have difficulty securing space, the NTSB will contact local authorities to determine the availability of suitable space.

The following is a general description of the duties and responsibilities of agency representatives assigned to the JFSOC:

1. **Coordinator:** The JFSOC Coordinator represents the NTSB and is charged with managing the day-to-day activities of the JFSOC. The coordinator may do any of the following tasks: assign responsibilities to JFSOC members, facilitate the exchange of information among the JFSOC participants, ensure that critical information is kept current, inform other participants of significant developments, collect information that may be used for family briefings, ensure that individual logs are kept current, coordinate with NTSB headquarters regarding information to be placed on the Board's special family website, and perform other duties relating to the specific requirements of the accident response.

2. **Deputy Coordinator:** A Deputy Coordinator may be designated to assist the Coordinator. The Deputy Coordinator may be from the air carrier or from the local emergency management agency.

3. Administrative Officer: An Administrative Officer will assist the Coordinator with administrative functions, such as preparing drafts of documents, collecting and posting logs, assembling clips of media coverage of the accident, providing supplies, and performing other duties relating to the specific requirements of the accident response.

4. Air carrier representative: The air carrier representative serves primarily in a coordinating role for the carrier. Responsibilities include passing along information to the carrier's command center regarding positively identified passengers (after families have been notified); addressing questions related to current and future support provided to families by the air carrier; providing updates regarding other agencies' current and future plans and developments; scheduling meetings and related agendas; maintaining a daily log; monitoring status of injured victims and numbers of family members on and off site; providing information for daily briefings to family members; and updating other JFSOC participants on the carrier's activities and developments.

5. Local government representative: The local government representative is the coordinating point for JFSOC participants on issues of security of the morgue, FAC, hotels for family members, and other designated sensitive areas. The representative is also responsible for keeping his or her organization informed of family affairs activities and meetings, updating other JFSOC participants on the local government's activities and developments, maintaining a daily log, providing information for daily briefings to family members, identifying local assets and resources that can be utilized to support the operation, and assisting other participants in their understanding of the local community and their leaders.

6. Medical examiner representative: The medical examiner representative serves as a liaison between the victim identification activities at the morgue, the antemortem interview process at the FAC, and the DMORT FAC team. They may also provide information on the victim identification process at the family briefings.

7. American Red Cross representative: The American Red Cross representative assigned to the JFSOC coordinates the American Red Cross' operations in the FAC and the staff processing center. Responsibilities include responding to questions that relate to current and future support provided to families and support workers, answering questions related to persons and organizations who want to volunteer services or support, informing the American Red Cross of scheduled meetings, maintaining a daily log, monitoring status of support personnel in the FAC and other sites, answering or redirecting calls from family members who may be off site, providing information for daily briefings to family members, and updating other JFSOC participants regarding operational activities and developments.

8. DOS representative (if required): The DOS representative serves in a coordinating role between the JFSOC and the DOS. The representative will coordinate issues involving foreign passengers and the support they will need from DOS, the victim's embassy/consulate, and other participants of the JFSOC. Other tasks include maintaining a daily log, monitoring status of foreign victims and their families, providing advice on cultural issues, answering or redirecting calls from foreign government officials, providing information for daily briefings to family members, and updating other JFSOC participants on the organization's activities and

developments. If foreign consulate officials participate in the activities of the JFSOC, the DOS representative will serve as their sponsor.

9. DOJ/FBI OVA representative (if required): DOJ/FBI OVA will only be involved in the JFSOC when the cause of the disaster is suspected to be of criminal intent. The representative serves primarily in a coordinating and informational role for DOJ/FBI.

10. FEMA representative (if required): The FEMA representative is not normally involved in the JFSOC, unless the disaster requires substantial Federal government assistance. For example, a disaster that occurs in a highly populated area causing severe structural damage and a substantial number of ground casualties will require a FEMA representative at the JFSOC. The representative will be primarily responsible for coordinating the local and State emergency management agency efforts with the family support operation.

APPENDIX D

Joint Family Support Operations Center Daily Status Report Information

| | |
|--|---------------------------|
| 1. Number of families notified /number pending notification | Air Carrier |
| 2. Number of families on site /number of families at home | Air Carrier |
| 3. Number of total family members at the hotel | Air Carrier |
| 4. Number of families expected to arrive within the next 24 hours | Air Carrier |
| 5. Number of families expected to depart within the next 24 hours | Air Carrier |
| 6. Number of families at home who were contacted by their air carrier representative within the last 24 hours | Air Carrier |
| 7. Status of injured personnel and location of family members | Air Carrier |
| 8. Number of families on site who have requested American Red Cross assistance and have been assisted by American Red Cross personnel within the last 24 hours | American Red Cross |
| 9. Number of families at home who have requested American Red Cross assistance and have been contacted by their American Red Cross representative within the last 24 hours | American Red Cross |
| 10. Number of workers who have received American Red Cross assistance in the last 24 hours | American Red Cross |
| 11. Number of injured emergency response personnel who have received American Red Cross assistance | American Red Cross |
| 12. Status of antemortem data collection and DNA reference samples | Medical Examiner |
| 13. Status of antemortem and disposition of remains interviews | Medical Examiner |
| 14. Status of identification efforts | Medical Examiner |
| 15. Status of families notified of positive identification | Medical Examiner |
| 16. Status of the release of remains | Medical Examiner |
| 17. Update on assistance provided to foreign families | DOS |
| 18. Update on assistance provided to victims and families | DOJ |
| 19. Number of Federal support personnel, to include DMORT and American Red Cross personnel on site and their locations | All |
| 20. Remarks on daily activities | All |
| 21. Remarks on activities scheduled for the next 24 hours | All |

APPENDIX E
Sample Format for an After Action Report

National Transportation Safety Board
Director, Office of Transportation Disaster Assistance
490 L'Enfant Plaza East, S.W.
Washington, D.C. 20594-2000

Attn: Transportation Disaster Assistance

SUBJECT: (AVIATION ACCIDENT) AFTER-ACTION REPORT

Describe such items as how the organization was organized, relationships to other organizations, what the organization's mission was, how many of the organization's personnel were involved, what other resources were provided, transportation and equipment requirements, date arrived/departed, daily activities, and any other information the organization feels important to add to this document. This outline is not intended to limit the content of the report. Attach as separate enclosures discussion of specific aspects of the operation that were either successful or problematic.

The following format is provided:

Topic:

Discussion:

Recommendations:

Enclose any programs, associated ceremonial material, or video documentation.

APPENDIX F

Victim Identification Information

The local medical examiner or coroner has the legal responsibility to identify the victims of an aviation disaster. In addition, he or she is legally responsible for determining cause and manner of death and completing death certification. Medical examiner and coroner offices vary greatly in terms of staff and facility size. Some offices may be able to handle an aviation disaster with existing staff and facilities, while other offices, particularly those in rural areas, may require assistance. The medical examiner or coroner should have a written mass fatality plan that will give a basic framework for a response and whether assistance will be required.

The Aviation Disaster Family Assistance Act of 1996 designates the NTSB to coordinate Federal assistance in response to aviation accidents. The responsibilities of the NTSB transfer to the FBI if the cause of the disaster is officially declared a criminal act.

The NTSB or the FBI can, at the request of the medical examiner or coroner, request the services of the DMORT to assist with fatality management and identification of victims. The NTSB or FBI can also request the delivery of the DMORT portable morgue. In addition, the Office of the Armed Forces Medical Examiner (OAFME) can provide assistance to the FBI for medicolegal investigation issues.

The process of victim identification in a transportation disaster is thorough, deliberate, and based on proven scientific methods. As a rule, personal effects removed from the remains are considered to be a presumptive method of identification used to suggest who the deceased may be. Positive victim identification requires comparison of antemortem (before death) records and samples, such as dental and medical radiographs, with similar information collected from the remains. Exact matches of unique biological characteristics found in both the antemortem and postmortem records leads to a positive identification. Such methods include comparison of dental records and radiographs, comparison of fingerprints, comparison of bone structure in radiographs, comparison of healed fractures in radiographs, unique medical features (such as implants/prosthetics), and comparison of DNA.

In aviation disasters involving fragmented remains, identification is followed by the process of reassociating remains. Reassociation takes more time and is more complex than identification. Although a victim may be identified quickly using a single tooth, the ability to bring together the disassociated remains of victims relies primarily on DNA. DNA identification involves comparing DNA samples of the deceased to antemortem samples from relatives or a sample of DNA from the deceased obtained from clothing, a hairbrush, or a similar item containing skin or hair cells.

Once a positive identification has been made, the medical examiner office or a designee will notify the victim's legal NOK. At this point, the NOK decides on how and when the remains will be returned for burial/final disposition. Crisis support care and other support mechanisms will be available to the family during this process.

APPENDIX G

Victim Support Tasks–Checklists

| Victim Support Tasks |
|--|
| National Transportation Safety Board Tasks |
| <input type="checkbox"/> Coordinate Federal assistance and serve as a liaison between the air carrier and family members. |
| <input type="checkbox"/> Provide an NTSB toll-free number and e-mail address (www.assistance@ntsb.gov) to family members for obtaining information on the victim recovery and identification effort, accident investigation, and other concerns. |
| <input type="checkbox"/> Request a copy of the passenger manifest from the air carrier. |
| <input type="checkbox"/> Review with the air carrier the logistical needs of families, giving special consideration to security, quality of hotel rooms and facilities, and privacy for family members. |
| <input type="checkbox"/> Integrate local and Federal government officials and air carrier staff to form a JFSOC to coordinate services and activities for families. |
| <input type="checkbox"/> Coordinate assistance efforts with local and State authorities, including the medical examiner, local/county/State law enforcement, emergency management agency, hospitals, and other emergency support personnel. |
| <input type="checkbox"/> Maintain communications with the air carrier to receive updates regarding the notification status of the victims' families. |
| <input type="checkbox"/> Conduct daily coordination meetings with the air carrier and local and Federal government representatives to review daily activities, resolve problems, and synchronize future family support operations and activities. |
| <input type="checkbox"/> Provide and coordinate family briefings both with families at the accident city and with families who remain at home. |
| <input type="checkbox"/> Discuss with the medical examiner the subject of victim identification, in particular the use of DNA analysis. Explain that the NTSB typically uses the Armed Forces DNA Identification Laboratory for DNA identification. |
| <input type="checkbox"/> Discuss with the medical examiner the capabilities of his or her office staff to conduct victim identification. Discuss the use of DMORT and the standard procedures used by DMORT in its work in support of NTSB responses. |
| <input type="checkbox"/> At the discretion of the NTSB IIC, coordinate a visit to the accident site for family members. |
| <input type="checkbox"/> Provide information releases to the media, in coordination with NTSB Office of Public Affairs, pertaining to the types of Federal support available to assist family members. |
| <input type="checkbox"/> Maintain contact with family members to keep them informed about the victim recovery and identification effort, accident investigation, and other accident-related concerns. <ul style="list-style-type: none"> ○ Inform family members of the release dates for preliminary, factual, and probable cause statements. ○ Inform family members of the date, time, and location of the public hearing, if applicable. ○ Inform family members of the date, time, and location of the Board meeting, if applicable. |
| <input type="checkbox"/> If the accident is determined to be the result of a criminal act, the NTSB TDA staff may assist the FBI OVA in family assistance support. |
| <input type="checkbox"/> Consolidate and review the AAR to resolve problem areas and to update operating plans and procedures. |

| Victim Support Tasks | |
|-----------------------------|--|
| Air Carrier Tasks | |
| <input type="checkbox"/> | Complete required accident notification as detailed in 49 CFR 830.5 |
| <input type="checkbox"/> | <p>Notify the NTSB Communications Center of the accident and provide the following:</p> <ul style="list-style-type: none"> ○ Location or general vicinity of the accident ○ Number of passengers on board ○ Number of crew on board ○ Number of injuries and fatalities (if known) ○ Flight number ○ Flight origination ○ Flight connection points ○ Flight's final destination ○ Demographics of passengers (if known) ○ Flight's designation as domestic or international ○ Name and telephone number of the carrier's representative in charge of— <ul style="list-style-type: none"> ▪ Carrier's humanitarian response ▪ Passenger manifest reconciliation ▪ Family notification process ○ Name, telephone number and location of the facility designated for use as the FAC and JFSOC |
| <input type="checkbox"/> | Provide a reliable publicized toll free telephone number with sufficient capacity to handle the anticipated call volume from victims' families and friends. |
| <input type="checkbox"/> | <p>Coordinate public notification of the toll-free number with various media (television, radio, Internet) emphasizing the following:</p> <ul style="list-style-type: none"> ○ The number should only be used by people who have a reason to believe a family member or friend was a passenger on the accident flight. ○ Initial calls to the air carrier will provide basic accident information and establish point of contact information for affected family members and friends in order to initiate humanitarian support. ○ When referring to the toll-free telephone number, the following information should always be provided: <ul style="list-style-type: none"> ▪ Name of the carrier(s) involved ▪ The accident flight number(s) ▪ The flight's airport of origination ▪ The flight's connection point(s) ▪ The flight's final destination |
| <input type="checkbox"/> | Modify normal "on-hold" messages. Eliminate music, sales information and similar non-accident-related messages. |
| <input type="checkbox"/> | <p>Provide timely notification to family members and friends prior to releasing passenger names to the public. Refer to AIR 21.</p> <ul style="list-style-type: none"> ○ Request family members to designate one primary point of contact for information sharing. ○ Remember that the air carrier is under no obligation to release the names of victims if family members request otherwise. |
| <input type="checkbox"/> | Ensure notification of family members and friends of American Red Cross family care and |

| Victim Support Tasks | |
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| Air Carrier Tasks | |
| | crisis assistance available at the FAC. |
| <input type="checkbox"/> | Ensure notification of family members and friends of American Red Cross family care and crisis assistance available after their return home (if applicable). |
| <input type="checkbox"/> | Ensure that requests for crisis assistance are forwarded to the American Red Cross representative at the FAC. |
| <input type="checkbox"/> | Provide media representatives with continuous updates regarding the following: <ul style="list-style-type: none"> ○ Progress of the notification process <ul style="list-style-type: none"> ▪ The number of victims' family members notified as of a certain time ▪ The number of families remaining to be notified ○ This process continues until all victims' families have been notified |
| <input type="checkbox"/> | Provide the NTSB, upon request, with the most current reconciled copy of the passenger manifest. <ul style="list-style-type: none"> ○ Each copy of the manifest should be numbered or annotated indicating the date and time so that it is distinguishable from previous copies |
| <input type="checkbox"/> | Secure facilities at departure, arrival, and connecting airports for family members and/or friends who may be gathering. <ul style="list-style-type: none"> ○ This facility is designed to allow family members to grieve in private, shielding them from the media and solicitors; it serves as a secure location where families can receive continuous updates regarding the reconciliation of the passenger manifest and other accident information. |
| <input type="checkbox"/> | Ensure all facilities chosen for use as the Friends and Relatives Reception Center, FAC, and JFSOC are ADA accessible. |
| <input type="checkbox"/> | Secure a venue for use as the FAC (see appendix B) <ul style="list-style-type: none"> ○ Consider the quality of the rooms, size of the facility, privacy for the family members and/or friends, ability to secure the facility, proximity to the accident site, and proximity to medical treatment facilities. |
| <input type="checkbox"/> | Secure a venue for the JFSOC. |
| <input type="checkbox"/> | Make provisions for the JFSOC including, but not limited to, the following: <ul style="list-style-type: none"> ○ Space ○ Communications ○ Logistical support ○ Details of the set-up of the JFSOC are provided in appendix C. |
| <input type="checkbox"/> | Provide logistical support to family members who desire to travel to the accident city (or to a hospital location) that includes, but is not limited to, transportation, lodging, meals, security, communications and incidental expenditures. |
| <input type="checkbox"/> | Assist family members as they travel to and from the accident city by informing flight crews and airport personnel about family members aboard particular flights. <ul style="list-style-type: none"> ○ At departure, connecting, and arrival airports, family members should have air carrier personnel meet and assist them while on airport grounds. ○ If necessary, seek assistance from other carriers with a larger presence at the airport. ○ Assist family members as they depart the accident city and provide a contact person who will continue to be the air carrier's interface with them after they return home. |
| <input type="checkbox"/> | Provide a contact person to meet family members as they arrive and accompany them at the accident city. |

| Victim Support Tasks | |
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| Air Carrier Tasks | |
| <ul style="list-style-type: none"> ○ This person will be responsible for assisting the family while at the accident city and should continue to be the air carrier interface with them until they return home. ○ Once the family returns home, the air carrier may decide to designate a single contact person for all family members. <ul style="list-style-type: none"> □ This point of contact should be available via a toll-free phone number. | |
| <ul style="list-style-type: none"> □ Maintain daily contact with family members who do not travel to the accident city by providing a contact person from the air carrier until the on-site investigation has concluded. | |
| <ul style="list-style-type: none"> □ Designate an individual who will be the air carrier's representative to the Director of the NTSB TDA. <ul style="list-style-type: none"> ○ This individual will travel to various locations, such as the accident site, morgue, JFSOC and FAC with the Director of the NTSB TDA. ○ The designated individual should have the authority or ready access to those who have sufficient authority to make decisions on behalf of the air carrier. | |
| <ul style="list-style-type: none"> □ Establish an exclusive badge system to appropriately identify family members. | |
| <ul style="list-style-type: none"> □ Participate in daily coordination meetings to review daily activities, resolve problems, and synchronize future family support operations and activities at the FAC. <ul style="list-style-type: none"> ○ This information is helpful in planning logistical support (such as meals, lodging, and transportation) and allows for an update of current and future support operations. ○ The type of information typically discussed during the daily coordination meetings is located in appendix D. | |
| <ul style="list-style-type: none"> □ Make provisions for private areas within the hotel for medical examiner personnel and the DMORT FAC Team to collect antemortem information and DNA reference samples from family members. <ul style="list-style-type: none"> ○ Provide quiet space and communications for DMORT and medical examiner personnel to telephonically collect antemortem information from family members who are not at the FAC. ○ Plan and provide for a sufficient number of rooms for DMORT/crisis counseling use. Based on NTSB experience the number of rooms required ranges from 4 to 12, depending on the number of fatalities. ○ Be aware that crisis counseling and DMORT facilities are also used as venues to inform families when positive identification has been made. By having the medical examiner or DMORT team representative located within the FAC, transportation of victims' remains and other logistical considerations can be better coordinated. Support requirements for planning purposes are in appendix C. | |
| <ul style="list-style-type: none"> □ Provide DOS representatives the necessary information regarding foreign passengers to facilitate interaction with appropriate foreign government embassies. | |
| <ul style="list-style-type: none"> □ Establish a liaison with the American Red Cross at each medical treatment facility to monitor the status of injured victims and to provide assistance to their families. | |
| <ul style="list-style-type: none"> □ Develop procedures for the handling of personal effects released by the NTSB or the FBI if the aviation disaster is declared a criminal act. <ul style="list-style-type: none"> ○ Consider utilizing a third party that has experience in the return of personal effects associated with aviation disasters. ○ The proper handling and management of personal effects cannot be discounted. ○ As required by law, provisions will be made for unclaimed possessions to be retained | |

| Victim Support Tasks | |
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| Air Carrier Tasks | |
| | for at least 18 months from the date of the accident. |
| | <ul style="list-style-type: none"> ○ The NTSB has developed guidelines for the on-scene search for personal effects. |
| <input type="checkbox"/> | Consult with family members about any air carrier-sponsored monument, including any inscriptions. |
| <input type="checkbox"/> | Provide reasonable reimbursement to the American Red Cross for the services provided to the family, air carrier, and supporting personnel. |
| <input type="checkbox"/> | Provide the same support and treatment to families of non-revenue passengers or any other victim of the accident (for instance, ground fatality) as is provided for revenue passengers. |
| <input type="checkbox"/> | If the NTSB conducts a public hearing or comparable proceeding at a location more than 80 miles from the accident site, ensure that a simultaneous transmission of the proceeding is available to family members at a location open to the public at both the origin city and destination city of the accident flight. |
| <input type="checkbox"/> | In the event of an accident outside of the United States, AIR 21 legislation requires “An assurance that the air carrier, in the event that the air carrier volunteers assistance to United States citizens within the United States with respect to an aircraft accident outside of the United States involving major loss of life, the air carrier will consult with the Board and the Department of State on the provision of the assistance.” |
| <input type="checkbox"/> | In the event the investigation determines the accident is the result of a criminal act, coordinate with FBI OVA in arranging meetings with family members to explain their rights as victims of a Federal crime. |

| Victim Support Tasks | |
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| American Red Cross | |
| <input type="checkbox"/> | Deploy an American Red Cross CRT to serve as the functional leadership of family care and crisis intervention during the aviation accident. The CRT will support the local American Red Cross response and manage any spontaneous volunteers. |
| <input type="checkbox"/> | Assign a representative to the JFSOC to coordinate American Red Cross related issues and family requests for assistance. |
| <input type="checkbox"/> | <p>Coordinate and manage the numerous organizations and personnel offering counseling, religious, and other support services to the operation. A staff processing center, operated away from the FAC, should be created to screen, monitor, and manage personnel (employee and volunteer staff). The staff processing center will also be responsible for developing an exclusive badge system for personnel, matching volunteer skills with organizational needs, assigning work schedules, briefing and debriefing of support staff, and planning for future activities.</p> <ul style="list-style-type: none"> ○ Qualified local resources should be integrated with American Red Cross personnel for crisis and grief counseling, food services, administrative assistance, and other support services to family members and support organizations. ○ Crisis and grief counseling for family members who do not travel to the accident city should be coordinated with air carrier personnel. |
| <input type="checkbox"/> | Employ an accounting system to accurately record cost data in specific cost categories for reimbursement by the air carrier. |
| <input type="checkbox"/> | Assess the needs and available resources of other crisis support agencies, coordinate with them to ensure ongoing emotional support for workers during the operation, and provide debriefings before departure. |
| <input type="checkbox"/> | Establish a liaison with the air carrier at each supporting medical treatment facility to monitor the status of injured victims and to provide assistance to their families. |
| <input type="checkbox"/> | Coordinate with the air carrier to establish areas in the FAC for families to grieve privately. |
| <input type="checkbox"/> | If deemed necessary, deploy a CRC to coordinate on-site childcare services for families who arrive with young children. |
| <input type="checkbox"/> | If deemed necessary, deploy a SRT to coordinate on-site spiritual care. |
| <input type="checkbox"/> | If desired by the families, coordinate the planning for a suitable interfaith memorial service within the first few days following the accident. |
| <input type="checkbox"/> | If deemed necessary, arrange a memorial service for any future burial of unidentified remains. |
| <input type="checkbox"/> | Provide families, at their request, with referrals to mental health professionals and support groups in their local area. |
| <input type="checkbox"/> | Provide additional support to affected special needs or demographically/culturally diverse populations as deemed necessary. |

| Victim Support Tasks – Victim Identification Services | |
|--|--|
| Department of Health and Human Services | |
| Assistant Secretary for Preparedness and Response | |
| <input type="checkbox"/> | At the time of an accident and following notification by the NTSB, activate the appropriate DMORT team personnel, supplies, and equipment to assist in the management of victim identification. |
| <input type="checkbox"/> | Assign a representative to the JFSOC to address DMORT-related issues. |
| <input type="checkbox"/> | Assign necessary DMORT team members to assist the medical examiner with victim identification and mortuary services. The configuration of the team and skills required will be determined by the details of the accident and the capabilities of the local medical examiner. |
| <input type="checkbox"/> | Follow the “DMORT Standard Operating Procedures for National Transportation Safety Board Activations.” |
| <input type="checkbox"/> | Provide, if necessary, a morgue facility, a DMORT Portable Morgue Unit (DPMU), and the necessary equipment and supplies to augment the local medical examiner’s capabilities. |
| <input type="checkbox"/> | Monitor the status of all incoming antemortem records to include dental, medical, and DNA data to ensure that all records have been received. If not, take steps to obtain the records and radiographs. |
| <input type="checkbox"/> | Employ a standard antemortem questionnaire and disposition of remains form that can be adapted to meet local medical examiner and State requirements. The disposition of remains form will be used to obtain directions from the lawfully authorized NOK regarding what he/she desires the medical examiner to do with remains that may later be identified as those of their family member. Information collected from family members is strictly confidential and is ultimately under the control of the medical examiner. |
| <input type="checkbox"/> | Using a specially trained FAC team, interview family members who are both on site and off site for information regarding antemortem identification and disposition of remains. |
| <input type="checkbox"/> | Coordinate with the medical examiner to integrate qualified non-DMORT personnel who are providing assistance to the medical examiner’s office into the morgue operation. |
| <input type="checkbox"/> | If necessary, assist the medical examiner in notifying family members of positive identification, including an explanation of how identification was determined. |
| <input type="checkbox"/> | Ensure the accuracy of the chain of custody by performing a check of documentation and remains prior to the release of remains to the designated funeral director. |
| <input type="checkbox"/> | Assist the medical examiner with reassociation of remains following the identification process. This may occur weeks or months after the accident. |
| <input type="checkbox"/> | Through the FAC team, provide the NTSB with contact information for the NOK (addresses, telephone numbers, e-mail addresses) and the NOK’s relationship to the victim. |

| Victim Support Tasks (If Required) | |
|---|---|
| Department of Defense (if required) | |
| <input type="checkbox"/> | Provide the use of a military installation, such as The Charles C. Carson Center for Mortuary Affairs, located at the Dover Air Force Base, in support of mortuary operations. |
| <input type="checkbox"/> | Provide personnel from the Office of the OAFME and AFDIL to assist in the identification effort and to conduct appropriate DNA comparison testing on specimens submitted by the medical examiner. OAFME and AFDIL personnel may be asked to travel to the accident site to assist with victim identification. |
| <input type="checkbox"/> | Provide available medical and dental records and DNA reference samples of fatally injured passengers who may have antemortem records based on prior or current military service. |

| Victim Support Tasks – Assisting Families of Foreign Victims (If Required) | |
|---|---|
| Department of State | |
| <input type="checkbox"/> | Assign a representative to the JFSOC to coordinate DOS-related issues with other members of the operations center staff. Assist in obtaining dental and medical records and DNA reference samples from foreign families. Respond to family member requests for information and assistance as appropriate. Additional personnel may be needed for accidents involving significant numbers of foreign passengers, particularly those involving international flights. |
| <input type="checkbox"/> | Provide official notification to foreign governments of citizens involved in the accident. Such notifications will take place after obtaining necessary information about foreign passengers from the air carrier. |
| <input type="checkbox"/> | Assist the air carrier in notifying U.S. citizens who may reside or are traveling outside the United States that a member of their family has been involved in an aviation accident. |
| <input type="checkbox"/> | Provide interpretation/translation services (via DOS staff or a contracted provider) to facilitate communications with the victim's family and all interested parties. For family briefings held at the FAC or similar location or activity, simultaneous interpretation/translation in multiple languages may be required. |
| <input type="checkbox"/> | Assist the air carrier, the Federal support staff, and other pertinent parties in maintaining contact with foreign families not traveling to the United States. |
| <input type="checkbox"/> | Assist foreign air carrier employees and families of foreign victims with entry into the United States and with the extension or granting of visas to eligible applicants. |
| <input type="checkbox"/> | Facilitate necessary consulate and customs services for the return of remains and personal effects to the country of destination. |
| <input type="checkbox"/> | Assist the medical examiner in acquiring the necessary information to facilitate the identification of foreign victims and to complete death certificates. |

| Victim Support Tasks – Communications (If Required) | |
|--|---|
| Department of Homeland Security / Federal Emergency Management Agency | |
| <input type="checkbox"/> | Assign a representative to the JFSOC to coordinate with other members of the operations center staff and local and State officials concerning emergency management-related issues. |
| <input type="checkbox"/> | Provide voice and data communication assets to facilitate communication from the accident site to the NTSB communications center. |
| <input type="checkbox"/> | Upon the request of the NTSB Office of Public Affairs, provide personnel to assist in public information dissemination, to include assistance in establishing and staffing external media support centers at the accident site, wreckage hangar, FAC, airport, and other areas that may attract media interest. |

| Victim Support Tasks – Assisting Victims of Crime (If Required) | |
|--|--|
| Department of Justice | |
| <input type="checkbox"/> | Provide to the NTSB, upon request, an FBI Disaster Squad with sufficient personnel to obtain fingerprint identification of accident fatalities. This team will work with the medical examiner and the DMORT personnel at the morgue location. |
| <input type="checkbox"/> | Provide to the NTSB, upon request, an FBI ERT and other FBI Laboratory assets to assist with victim recovery operations under the direction of the medical examiner. |
| <input type="checkbox"/> | Provide to the NTSB, upon request, FBI Office for Victim Assistance VARDT members to assist in unique circumstances, such as simultaneous accident responses. |
| <input type="checkbox"/> | <p>The following responsibilities will be implemented only if the air carrier disaster is officially declared a criminal act:</p> <ul style="list-style-type: none"> ○ Coordinate Federal assistance and serve as the liaison between the air carrier and family members. ○ Provide an FBI toll-free number for family members to obtain information on the victim recovery and identification effort, investigation, and other concerns. This number will normally be provided to families on site during the initial family briefing and repeated in subsequent briefings. The FBI will coordinate with the air carrier to have air carrier family representatives provide the toll-free number to the families who do not travel to the accident city. ○ Establish a special web page for victims' families for the purpose of sharing updated information and maintaining ongoing communication with victims and families throughout the duration of the investigation. ○ Review with the air carrier logistical family support with special consideration toward security, quality of rooms and facilities, and privacy for family members. ○ Oversee the establishment and management of the JFSOC and the FAC. Information on FAC operations can be found in appendix B. ○ Integrate local and Federal government officials and air carrier staff to form a JFSOC to facilitate close coordination of services and activities. ○ Assist the air carrier, if requested, with finding NOK to be notified. ○ Conduct daily coordination meetings with the air carrier and local and Federal government representatives to review daily activities, resolve problem areas, and to synchronize future family support operations and activities. Examples of information needed for the daily coordination meeting is in appendix D. ○ Provide and coordinate family briefings for family members at the accident city and for those who remain at home. Conduct in-person family briefings at the FAC. Conduct briefings for off-site families via telephone conference bridges. Information regarding family briefings can be found in appendix C. ○ Provide information to victims and families regarding their rights and available services as victims of a Federal crime. ○ Maintain contact with family members to keep them informed about the progress of the investigation and to continue to meet their future needs. |

APPENDIX H

Frequently Asked Questions

Manifest Inquiries

Who can request a copy of the manifest?

Legally, the NTSB is the only Federal agency to which an air carrier is required to provide a copy of the manifest. If the disaster involves a flight segment for which the last point of departure or the first point of arrival is in the United States, the air carrier must also transmit a copy of the manifest to the Department of State within 3 hours of the accident.

When must I provide a copy of the manifest?

A copy of the manifest is given, upon request, to the NTSB. Please ensure each updated version of the manifest is marked in a manner that it can be easily differentiated from other versions. Markings such as “Version # 1,” “Version # 2,” “Final” or other qualifiers (for instance, date and time stamp) are important to avoid confusion.

What if the TSA or an airport police officer wants the manifest?

Since the events of 9/11/2001, the NTSB and air carriers have agreed that air carriers may give a copy of the manifest to the FBI’s Airport Liaison Agent (ALA) at any airport in the United States. The agent will then distribute the manifest, as necessary, to other agencies. If you do not know your ALA, please contact your local FBI office or your airport police department.

Does my airport fire department need a copy of the manifest?

The airport fire department is required to know the number of passengers and crew on the aircraft and to be given the cargo manifest. The air carrier is not required by law to give the passenger manifest to the fire department.

Does the NTSB release the manifest to the press?

The NTSB never releases the manifest to the press.

If a U.S. air carrier crashes overseas, am I required to give a copy of the manifest to the NTSB?

In such an event, a U.S. air carrier is required by law to provide a copy of the manifest to the Department of State within 3 hours of the accident. The NTSB may also ask for a copy.

I am having problems with agencies that believe they have a right to a copy of the manifest. Can the NTSB help me?

Please call the NTSB Office of Transportation Disaster Assistance at (202) 314-6185 and a specialist will be assigned to help you.

Air Carriers

Who constitutes a family member? Is there a specific definition?

U.S. Federal and State laws define who constitutes a family member for legal purposes. These legal definitions vary from State to State. Traditionally, family members included spouse, children, mother, father, brother, and sister. Terms such as stepparents, stepsiblings and life partners have become more common in recent years in defining some family environments. In order to provide support and assistance to victims and their families, air carriers should be prepared to work with various family situations.

During the initial hours of an aviation disaster, the air carrier must verify a significant amount of information regarding the passengers. If a family member calls during the verification process, what information should he or she be given?

Air carriers must establish contact with the family of a victim as soon as possible following an accident. Be aware that, in some cases, a family member may establish contact with the air carrier before the air carrier has been able to contact that family. The air carrier must, upon the request of the family of a passenger, inform the family of whether the passenger's name appears on a preliminary manifest for the accident flight (as stipulated in AIR 21; see appendix A).

Should the air carrier contact any other family members?

If the initial family member contacted is unable to understand the information the air carrier is providing, it may be necessary to notify another family member. Another family member may also assist in other situations, such as non-English speaking families.

Is there a requirement by an air carrier to release the names of the passengers and crew to the media?

There is no legal requirement for the air carrier to release the names of passengers and crew to the media. The media should be informed about the number of families that have been notified during the initial notification process. However, as the air carrier completes the notification process to passenger and crew family members, the air carrier is encouraged to obtain an estimate on how much time will be needed for the family to contact other family members. There should be consideration in delaying the release of any names until these family members have been contacted.

How often should air carriers update family members on the progress of initial events?

Family members should be contacted regularly, even if there is no updated information. If the air carrier tells a family member that the carrier will call back by a specific time, that call must be made as promised. Once the NTSB and the families arrive at the FAC, regular briefings will be scheduled by the NTSB.

Are there any steps an air carrier can take to limit the number of inquiry calls that follow a disaster?

When providing the media with a toll-free number, the air carrier must stress that the number is *only* for those family members and friends who have reason to believe their loved one was on board the accident flight. The air carrier should ask the media to continually emphasize the name of the carrier; flight number and/or code share flight number; and airport origination,

connection, and final destination to prevent confusion and misinformation, and ultimately to reduce call volume.

For air carrier personnel, the air carrier should also rely upon an internal “call home” system. After an accident, flight crews and other employees should be advised of the accident through the company’s internal communications network. They should be asked to call home and check in with their family members.

Are there any special considerations for family members traveling to the accident city?

By law, air carriers must ensure that family members are provided with transportation to the accident city and other immediate needs, such as lodging. The air carrier should be sensitive to requests for more than one family member or for a non-family member to travel to the accident city. If at all possible, a family member should not travel alone to the accident city.

Some family members may request to travel to the accident city via an alternate air carrier, an aircraft type other than the accident aircraft, or an alternate mode of travel (for instance, rental car, bus, or train). The air carrier should attempt to honor such requests.

What types of training can help teach air carrier employees how to assist families following an accident?

Air carriers will train employees and agents who are responsible for assisting survivors and family members following an accident. Employees should be sensitized in a number of areas, including the range of physical and emotional reaction to trauma, family member and victims’ needs for accurate and timely information, the varying needs of different populations, and the importance of providing compassionate and non-judgmental support during this difficult and complex time.

Because employees may encounter physical and psychological stress reactions during an accident response, training should include methods for taking care of themselves during and after the response. The training should also include how to support co-workers who may be having difficulties and how to effectively exit the response assignment and return to their normal duties. Recurrent annual training maintains skills and a level of readiness.

In addition, NTSB TDA holds courses at the NTSB Training Center on a variety of family assistance topics. More information about the NTSB Training Center and course offerings can be found at the following website: www.ntsbtg.gov/TC.

Does an air carrier need to file a family assistance plan?

All carriers are required to file their assurance with both the NTSB and the U.S. Department of Transportation. Send assurances to: National Transportation Safety Board, Office of Transportation Disaster Assistance, Attention: Erik Grosof, 490 L’Enfant Plaza East, S.W., Washington, D.C., 20594. Fax Number: (202) 314-6638. Phone: (202) 314-6185. E-mail: erik.grosof@ntsbtg.gov.

What issues should an air carrier consider in managing personal effects?

Due to the physical dangers and psychological impact that the recovery and management of personal effects can have on air carrier employees, it is strongly suggested that a professional third party be employed. Air carriers need to allow family members the opportunity to view unassociated personal effects. This can be done via a catalog or a CD containing photographs of these items. Family members should be notified before the catalog or CD is sent to them for their review. A claim for specific personal effects can be placed with the air carrier or the third party vendor.

Air carriers are required to maintain possession of all unassociated personal effects for a minimum of 18 months following the accident. It is recommended that family members be notified prior to the destruction of any personal effects in the air carrier's possession, allowing them one final opportunity to claim previously unclaimed personal effects.

NTSB and FBI ERT have developed "best practice" guidance for surveying and searching for personal effects at accident sites. A copy can be obtained from the NTSB TDA office.

How should an air carrier plan to demobilize its family assistance response efforts?

In accordance with common disaster management procedures, air carrier family assistance managers should plan their demobilization during the beginning of the response. Demobilization planning allows managers and staff to focus their activities with an understanding of when the work will end. Since the response will eventually end, knowing the process of how to close down the response is essential to an effective overall response.

What changes did AIR 21 and VISION 100 make to the Aviation Disaster Family Assistance Act?

Pursuant to AIR 21:

- The restrictions on solicitation by attorneys and their agents is increased from 30 to 45 days following the accident.
- Local authorities cannot block the use of mental health and counseling services for 30 days following the date of the accident. The NTSB can extend that period for another 30 days if necessary.
- An assurance that "upon request" of the family, the air carrier will inform the family of whether the passenger's name appeared on a preliminary passenger manifest of the accident flight.
- An assurance that the air carrier provides adequate family assistance training to employees and agents of the carrier.
- If an air carrier volunteers to assist U.S. citizens within the United States with respect to an aircraft accident outside of the United States involving major loss of life, an assurance that the air carrier will consult with the NTSB and the Department of State.

Pursuant to VISION 100:

- Requirements and procedures for air carriers when dealing with non-government owned property damaged or destroyed during an aviation accident.
- Establishes clear lines of communication between the land/property owner and the air carrier underwriter.

- If the NTSB conducts a public hearing or comparable proceeding at a location greater than 80 miles from the accident site, the air carrier must ensure the proceeding is simultaneously broadcast at locations open to the public at both the origin and destination cities of the accident flight (if the city is located in the United States).

American Red Cross

Why was the American Red Cross selected?

The NTSB designated the American Red Cross because it meets the legislated requirement for an independent non-profit organization with experience in disaster response and post-trauma communications with families.

What is the Critical Response Team (CRT)?

At the accident city, the local American Red Cross chapter initiates the American Red Cross response in accordance with local planning. These activities may be supported as needed by other American Red Cross chapters from the surrounding area. The CRT is composed of trained and experienced American Red Cross disaster management specialists, who are mobilized within 4 hours, travel to the accident city, and augment the local American Red Cross response.

Is there any requirement for an air carrier to meet with the American Red Cross before a disaster occurs?

There are no mandates for an air carrier to meet with the American Red Cross before a disaster. However, it is important that local air carrier station management and American Red Cross chapters coordinate their local planning activities where appropriate to ensure each group's awareness of the other's plans. This will enhance the coordination of the immediate response. An annual meeting can eliminate any misunderstandings or confusion over the provision of services.

What other services can the American Red Cross provide to an air carrier, family members, or the community where the disaster occurred?

The American Red Cross can provide crisis support for local agencies, air carrier personnel, and family members. If needed, they will assist with family member referrals for additional mental health services.

The CRT also comprises two specialized teams: the CRC and the SRT. The CRC deploys trained experienced disaster childcare professionals to deliver specialized childcare, typically in the FAC. The SRT deploys trained experienced spiritual care professionals to coordinate, establish, and maintain spiritual care services.

How do other service providers interact with the American Red Cross?

The American Red Cross is responsible for establishing a staff-processing center to ensure the best use of all resources. Providers can contact the American Red Cross through the processing center or their local American Red Cross chapter in advance if interested in assisting.

The American Red Cross can also assist in managing “spontaneous volunteers” who frequently present themselves following an accident.

U.S. State Department

What is the role of the U.S. State Department during an aviation disaster (domestic or foreign air carrier) in the United States or its territories?

The U.S. Department of State is responsible for providing official notification to foreign governments whose citizens were involved in the accident. Notifications take place after necessary information about foreign passengers is obtained from the air carrier. The State Department can also assist the air carrier in notifying U.S. citizens who may reside or are traveling outside the United States that a member of their family has been involved in an aviation accident.

For foreign carriers, the State Department can assist by alerting the U.S. Citizenship and Immigration Services (USCIS) that a corporate “Go Team” is en route to the accident city. They can assist with obtaining required visas or other documents needed to gain entry into the United States. Assistance can also be given to family members en route to the accident city. The State Department will work with USCIS to ensure that entry into the United States by these families is done in a timely, compassionate, and professional manner.

Additional information on State Department roles and responsibilities can be found at <http://www.state.gov/documents/organization/86830.pdf>.

Family Assistance Center

What is the difference between the Friends and Relatives Reception Center and the FAC?

Friends and Relatives Reception Centers are located at the arriving and departing airports and are temporary locations for family members to gather until an FAC is established. The FAC is established at a hotel or similar facility in the accident city and is the focus for the assistance and information family members will receive during the initial phases of the accident response.

Who is responsible for the FAC?

The air carrier is responsible for securing a facility and all reasonable operational expenses to accommodate family members traveling to the accident city. Agencies providing support and services to families will work together to ensure families are assisted. The NTSB has the overall responsibility for the effective operation of the FAC, but it relies upon the cooperation and support of all contributing organizations.

How will professionals and other service agencies in the local community be incorporated into the family assistance response?

The American Red Cross is the designated non-profit organization responsible for family care and crisis intervention. In this capacity, it manages the recruitment, training, and support of all volunteers, including those in the local community, through a Staff Processing Center. It is the

intent of the American Red Cross and the NTSB to integrate local professionals and organizations affiliated with a disaster response agency/organization.

Who is considered a family member for access to the FAC?

“Family member” is defined in broad terms for the purpose of FAC access. Many individuals consider themselves to be the “family” of the victim, even though the law does not formally recognize the relationship. Keep in mind that the goal of the FAC is to support and provide assistance to those associated with the victim(s) impacted by the aviation disaster.

How do families not traveling to the accident city obtain information and support?

There are several ways for families who do not travel to the accident city to obtain information and support. Air carriers should maintain contact with non-traveling families and provide them the same types of support as received by families at the FAC. The American Red Cross can also provide support through their chapters in the local community. A toll-free conference call bridge is used during most family member briefings. The NTSB establishes an accident-specific website for family members along with the existing assistance@ntsb.gov email address.

How will the air carriers, local emergency responders, American Red Cross, and other Federal agencies coordinate the services delivered to family members?

The JFSOC coordinates all family member activities and resolves family assistance concerns and challenges during the on-scene phase of the response. Facilitated by NTSB TDA, the JFSOC includes representatives from each organization providing assistance to ensure efficient use of resources, sharing of information, and the provision of appropriate and professional services to families.

How do the family members find answers to their individual questions?

It is recommended that family members first ask their air carrier escort/representative for answers to their questions. If he or she cannot provide an answer, the question can be channeled to the JFSOC via the representative’s supervisor. Families are encouraged to ask questions at the regularly scheduled family briefings.

NTSB TDA is responsible for maintaining contact (including answering individual questions) with victims and family members following the on-scene phase of the investigation. All necessary contact information will be provided during the final family briefing.

Airports, Emergency Personnel and First Responders

What areas of consideration should airports have in planning to assist families during the first few hours of an aviation disaster?

Although not currently required, airports should have plans to assist victims and their families during the initial hours following an aviation accident. Airport emergency planning is especially critical for smaller air carriers or charter operations that have a limited presence at the airport.

Consider providing family members a private and secure area to gather (Friends and Relatives Reception Area) while awaiting information from the air carrier. Air carrier clubs, conference rooms, or restaurants can serve this purpose. If located within the sterile area, entry procedures must be established with the Transportation Security Administration (TSA) and Federal Security Director prior to an accident. Police officers should be used to secure the room and the

immediate area from the general public. Local Red Cross chapters should be included in planning sessions as they can provide disaster mental health and medical services personnel, as well as other needed local resources, to assist air carrier staff with families at this location.

When selecting the location, consideration should be given to the following:

- Proximity to restrooms and other amenities
- Privacy from the general public
- Privacy from the media
- Availability of television access to family members, if desired
- A location that does not overlook the accident site (if at all possible)

What areas of consideration should be given when airports are reviewing their airport emergency plans?

If an airport's plan calls for sealing off access to the airport or terminals during an emergency, local air carrier management should provide a list of employees required to have access to the airport or terminal(s) during such a period. Airport Operations Access (AOA) badges for these individuals should be issued with a unique indicator. Some airports have used the following indicators: "COMMAND POST," "EMERGENCY ACCESS," "INCIDENT RESPONSE," "DISASTER RESPONSE," or a large letter "E." Once chosen, the format is relayed to law enforcement agencies securing the airport, thus allowing air carrier/airport personnel wearing a badge to gain access even when roadways are closed.

To reduce confusion by responding mutual aid agencies, airports should consider placing large signs at their predetermined staging and emergency access areas and gates. These signs should be reflective, preferable white wording on a red background, using simple text; for example, **ARFF STAGING AREA "A"** or **MUTUAL AID ACCESS GATE #5**. Airports should review and contact emergency service agencies within a 5-mile radius of the airport to receive aircraft emergency ingress/egress familiarization and training. Airport fire services can coordinate with air carriers to arrange familiarization tours of various air carrier aircraft types.

Pre-accident meetings with local emergency planners; hospitals; the American Red Cross; city, county, and State police and fire services; and clergy help to familiarize response groups with one another.

Are there any special considerations about moving wreckage after life-safety efforts have been completed?

Once the emergency response moves from rescue to recovery, the area should be sealed off until an NTSB representative arrives on the scene. If at all possible, pieces of wreckage should not be moved. If the wreckage must be moved, it is critical to document the process in writing. Additionally photographs of the wreckage should be taken before and after disturbing the items.

In some accidents, the NTSB will request the assistance of the FBI Evidence Response Team (ERT) to document the scene and conduct the recovery operation. The FBI ERT is available nationwide and offers a standard level of documentation and recovery to the NTSB.

For more information, a brochure, titled “Responding to an Aircraft Accident: A Guide for Police and Public Safety Personnel,” is available from the NTSB TDA website, www.nts.gov/family.

If the accident is caused by a criminal act, who will be in charge?

For aviation accidents caused by intentional criminal acts, the FBI is the lead investigative Federal agency. The NTSB may support the FBI with technical expertise. Because of the importance of evidence preservation and documentation, local authorities must ensure that all wreckage is preserved and not moved unless necessary for life-safety activities.

Medical Examiners, Coroners, and Forensic Responders

What is the process for the notification of identification and release of victims’ remains?

Once the identification process is complete, the NOK is notified by the medical examiner or the DMORT Family Assistance Team. The notifying agency will make arrangements for the release of remains to the funeral home selected by the NOK. Victims’ remains will be transported from the morgue by a funeral home vendor contracted by the air carrier to the funeral home selected by the NOK. Contact information for the funeral home selected by the NOK is obtained during the antemortem interview or during the notification of identification process.

Generally, victims’ remains are released on an individual basis, as they are identified; however, in some circumstance, remains are released at the end of the identification process, once all identifications have been made. This decision is made by the presiding medical examiner, and the NOK is informed of this process.

What is the process of identifying victims using DNA evidence?

Victim identification based on DNA evidence requires a comparison of DNA data obtained from a victim’s remains to data obtained from a reference sample. Personal items or prior medical specimens from the victim can be submitted as a direct reference sample. If submitted, it is imperative to verify that the DNA from the personal item belongs to the victim. If direct reference samples are not available, family reference samples obtained from close biological relatives are utilized. When obtaining a family reference sample, it is important to understand and document the biological relationship between the victim and the individual providing the reference sample (for instance, biological mother, father, children, brothers, or sisters). DNA identifications cannot be accomplished without the reference sample. For more information, see appendix I , which lists several resources regarding victim identification using DNA evidence.

Why does the victim identification process take so long? What factors influence the process?

Victim identification requires comparing information collected from the remains of the victim (postmortem data) to information available from the victim when he or she was alive (antemortem data). Scientific methods, such as comparing dental radiographs taken while the victim was alive with radiographs taken from the deceased victim, are the preferred methods for victim identification. Other scientific methods include fingerprint examinations, comparison of radiographs, and DNA analysis. In general, five factors influence the victim identification process.

1. **The number of fatalities.** As the number of fatalities increases, the amount of time and resources required to identify victims also increases, due to the investigative effort needed to obtain antemortem information and to conduct postmortem analysis.
2. **An open or closed victim population.** A disaster comprises a closed victim population if the number of victims and their names are known (for example, a manifested air carrier accident). Conversely, an open population is one in which neither the number of victims nor their names are known (for example, an explosion in a public building). Disasters involving open victim populations require more time and resources to resolve because authorities must distinguish those *actually* missing from those *initially reported* missing. The antemortem data collection process only begins once a victim is known to be missing.
3. **The availability of antemortem information.** Not all fatal victims have easily accessible or available antemortem information. For example, a victim who has never visited a dentist will not have dental records. Authorities must know where to look for antemortem records; this information is usually provided by family members. The absence of useful antemortem data, or the presence of incorrect data, will slow the victim identification process.
4. **The condition of remains (for instance, thermal damage, fragmentation, and commingling).** Complete bodies are more easily recovered and identified than remains impacted by fire, decomposition, fragmentation, and commingling. For fragmented remains, the reliance on DNA methods increases, requiring additional time and resources. Additionally, given an open population with fragmented remains, the standard of care is to identify all remains, since the number and names of the dead are not known. With a closed-population investigation, the goal is to account for all potentially identifiable remains for each victim. Often this approach does not require analysis of all remains, just those that have the potential to be identified.
5. **Challenges in search and recovery operations.** Remote terrestrial or underwater recovery operations require specialized support and more time, thus delaying the collection of postmortem data.

What are some of the concerns during the search and recovery process?

The physical location of the accident site determines the resources required and the procedures employed for search and recovery operations. The personnel and equipment needed for scene documentation and recovery operations vary significantly depending on whether the accident occurred on land or over water. The NTSB has authority for the aircraft wreckage, the medical examiner/coroner has authority for the human remains and associated personal effects, and the air carrier has responsibility for unassociated personal effects. Accident scene activities are

coordinated with the NTSB Major Investigations Division (AS-10). The NTSB coordinates with other agencies as necessary.

Who pays for victim recovery and identification costs?

The costs related to victim recovery and identification can be high and are directly related to the number of victims, the condition of remains, and the medical examiner's policies regarding identification of remains. Typically, the air carrier underwriter will pay reasonable costs for victim recovery and identification. However, the underwriter is not required to pay for such costs. As with any emergency response, the costs of the response are often shared among the local, State, and Federal agencies, in addition to the underwriter.

What methods are used to identify aviation accident victims? Does the NTSB require that certain methods be used?

Under their legal responsibilities, the medical examiner conducts victim identification and determines the cause and manner of death. Although there are no enforceable national standards in the forensic field for victim identification, the expectation by family members and society is that all reasonable methods will be used to complete identifications in a timely and professional manner. Biologically based methods (such as fingerprints, dental records, DNA, medical implants with unique serial numbers) are considered by courts of law as primary methods of positive identification. Other methods may result in a presumptive identification, and this information may lead to a positive identification.

How is antemortem information obtained from family members?

The medical examiner is responsible for obtaining medical record information from family members. However, in the event that the local jurisdiction does not have enough staff to interview family members, trained DMORT members can be used to assist the local jurisdiction in interviewing family members. DMORT and other agencies can also be used to collect antemortem DNA reference samples from family members. If reasonable, family members may be asked to bring the contact information of the decedent's dentist and/or doctor when they travel to the FAC.

Is the NTSB responsible for making positive identification of disaster victims?

The medical examiner is responsible for making positive identification of victims. The NTSB can provide additional resources to assist the medical examiner. These resources include the DMORT team, the FBI Evidence Response Team, other FBI Laboratory assets and pertinent Department of Defense laboratories and personnel.

How are personal effects (PE) managed?

In general, PE are collected at the accident scene, catalogued, and cleaned to make them safe. If requested by the NOK, the item can be restored to its original condition. The goal of the PE process is to return all items to the family members in the condition they choose.

There are three classes of PE:

1. **Associated PE** are items recovered at the accident scene that are in physical contact with the victim's remains (for instance, a wedding ring on a hand). The medical examiner has authority over the collection, chain of custody, and final disposition of these items.

Medical examiner staff or designated personnel (for instance, FBI ERT) document and collect associated PE during the recovery of human remains. Once collected, the medical examiner can transfer custody of the associated PE to a third party contracted by the air carrier to manage the overall PE process. The NOK decides when and whether associated PE is returned and provides instructions for the restoration of the items, if desired.

2. **Unassociated PE** are not associated with remains and have no identifying information. Such items may include clothing, books, and toiletries. The air carrier has responsibility for the collection, processing, and return of unassociated PE to the NOK. Air carriers typically designate a contractor to take care of these responsibilities. The contractor also catalogues the items, and coordinates the restoration and return with the NOK.
3. **Unassociated PE containing identifying information** (e.g. luggage with an identification tag) also fall under the responsibility of the air carrier and are processed accordingly.

Are there additional resources available for a medical examiner responsible for managing the identification of decedents?

Under the Federal Family Assistance Plan for Aviation Disasters, the NTSB coordinates and integrates the resources of the Federal Government to support the efforts of the local and State government. The National Disaster Medical System (NDMS—a division of the Department of Health and Human Services, Assistant Secretary for Preparedness and Response) is the primary Federal agency to support local jurisdictions responsible for victim identification. Other Federal agencies able to support victim identification are FBI Laboratory assets and the Department of Defense DNA Registry/Armed Forces DNA Identification Laboratory.

Within the NDMS, the DMORT can conduct victim identification and associated mortuary activities. DMORT also has mobile morgues with specialized personnel to organize a morgue operation. The DMORT Family Assistance Center Team is available to interview family members to obtain antemortem information.

Certain State and local jurisdictions also have disaster victim identification teams. Such teams are considered important in the victim identification process.

The medical examiner should contact the NTSB TDA office through the NTSB Communications Center. TDA staff will inquire about the number of fatalities, the condition of the remains, the capabilities of the local jurisdiction to conduct victim recovery and identification and other pertinent information. Based on this discussion, the NTSB will request assistance from the Federal agencies with appropriate resources.

What is the Portable Morgue Unit?

The DMORT Portable Morgue Unit (DPMU) supports the processing and identification of accident fatalities. The DPMU contains prepackaged morgue supplies and equipment that can be set up in an existing facility. Transportable by truck or air, the DPMU is accompanied by a team that unpacks, organizes, restocks, and repacks the supplies and equipment.

What is the FBI ERT?

The FBI Evidence Response Team (ERT) is a group of trained and equipped FBI personnel specializing in organizing and conducting major evidence recovery operations. They manage the identification, collection, and preservation of evidence at crime scenes. In the case of aviation accidents, the ERTs are available to the NTSB to provide a standard level of scene documentation, mapping, photography, videography, and evidence/wreckage recovery. Each of the FBI's fifty-six Field Offices has an ERT, consisting of 8 to 50 members. These teams train to develop and maintain their organizational and forensic skills; most have aviation disaster experience.

What is the FBI Disaster Squad?

The FBI Disaster Squad is a team of trained experts in the area of fingerprint identification who can be activated to support the local medical examiner in the victim identification process. The FBI Disaster Squad has access to the FBI fingerprint database and is able to collect latent prints to assist in identification.

APPENDIX I

Internet Resources for Mass Fatality Response

NTSB Office of Transportation Disaster Assistance

<http://www.nts.gov/Family/family.htm>

Responding to an Aircraft Accident—How to Support the NTSB (Police & Public Safety Personnel)

http://www.nts.gov/Family/LEO_brochure.pdf

Disaster Mortuary Operational Response Team

www.dmort.org

Capstone Document: Mass Fatality Management for Incidents Involving Weapons of Mass Destruction

http://www.ecbc.army.mil/hld/dl/MFM_Capstone_August_2005.pdf

Office of the Armed Forces Medical Examiner

<http://www.afip.org/consultation/AFMES/>

Armed Forces DNA Identification Laboratory

<http://www.afip.org/consultation/AFMES/AFDIL/index.html>

Mass Fatality Incidents: A Guide for Human Identification

<http://www.ojp.usdoj.gov/nij/pubs-sum/199758.htm>

Lessons Learned from 9/11: DNA Identification in Mass Fatality Incidents

<http://massfatality.dna.gov/>

Identifying Victims Using DNA: A Guide for Families

<http://www.ncjrs.org/pdffiles1/nij/209493.pdf>

FBI Office for Victim Assistance (OVA)

<http://www.fbi.gov/hq/cid/victimassist/home.htm>

Providing Relief to Families After a Mass Fatality: Roles of the Medical Examiner's Office and the Family Assistance Center

http://www.ojp.usdoj.gov/ovc/publications/bulletins/prfmf_11_2001/welcome.html

Department of State Foreign Affairs Manual Volume 7 (1830): Aviation and Other Transportation Disasters

<http://www.state.gov/documents/organization/86830.pdf>

APPENDIX J

References

1. Presidential Executive Memorandum, Subject: Assistance to Families Affected by Aviation and Other Transportation Disasters, September 9, 1996.
2. Public Law 104-264, Title VII, Aviation Disaster Family Assistance Act of 1996, October 9, 1996.
3. Public Law 105-148, Foreign Air Carrier Family Support Act, December 16, 1997.
4. Public Law 106-181, Wendell H. Ford Aviation Investment and Reform Act for the 21st Century (AIR 21), Title VI, Sections 401-405, April 5, 2000.
5. Public Law 108-176, Vision 100—Century Of Aviation Reauthorization Act, Section 809, December 12, 2003.
6. Department of Transportation and National Transportation Safety Board, *Final Report, Task Force on Assistance to Families of Aviation Disasters*, October 29, 1997.
7. Interagency Agreement between Department of Health and Human Services, Assistant Secretary for Preparedness and Response, and National Transportation Safety Board, March 29, 2007.
8. Memorandum of Understanding between Department of State and National Transportation Safety Board, June 18, 1997.
9. Memorandum of Understanding between Federal Emergency Management Agency and National Transportation Safety Board, October 24, 1998.
10. Memorandum of Understanding between American Red Cross and National Transportation Safety Board, January 24, 2007.
11. Memorandum of Understanding between Department of Transportation and National Transportation Safety Board, June 19, 1997.

APPENDIX K

List of Acronyms

| | |
|--------|---|
| AAR | After Action Report |
| ADA | American with Disabilities Act |
| AFDIL | Armed Forces DNA Identification Laboratory (DOD/AFIP/AFMES) |
| AFIP | Armed Forces Institute of Pathology (DOD) |
| AFMES | Armed Forces Medical Examiner System (DOD/AFOP) |
| AIR 21 | Wendell H. Ford Aviation Investment and Reform Act for the 21 st Century |
| ALA | Airport Liaison Agent (DOJ/FBI) |
| AOA | Airport Operations Access |
| ARFF | Aircraft Rescue Firefighting |
| AS-10 | Office of Aviation Safety–Major Investigations (NTSB) |
| ASPR | Assistant Secretary for Preparedness and Response (DHHS) |
| CFR | Code of Federal Regulations |
| CRC | Critical Response Childcare Team (American Red Cross) |
| CRT | Critical Response Team (American Red Cross) |
| DHS | Department of Homeland Security |
| DHHS | Department of Health and Human Services |
| DMORT | Disaster Mortuary Operational Response Team (DHHS/ASPR/NDMS) |
| DNA | Deoxyribonucleic acid |
| DOC | Disaster Operations Center (American Red Cross) |
| DOD | Department of Defense |
| DOJ | Department of Justice |
| DOS | Department of State |
| DPMU | DMORT Portable Morgue Unit |
| ERT | Evidence Response Team (DOD/FBI) |
| FAC | Family Assistance Center |
| FBI | Federal Bureau of Investigation (DOJ) |
| FEMA | Federal Emergency Management Agency (DHS) |
| IIC | Investigator in Charge (NTSB) |
| JFSOC | Joint Family Support Operations Center |
| NDMS | National Disaster Medical System (DHHS/ASPR) |
| NOK | Next of Kin |
| NTSB | National Transportation Safety Board |
| OAFME | Office of Armed Forces Medical Examiner (AFIP) |
| OVA | Office for Victim Assistance (DOJ/FBI) |
| PE | Personal effects |
| SIOC | Strategic Information and Operations Center (DOJ/FBI) |
| SRT | Spiritual Care Response Team (American Red Cross) |
| TDA | Office of Transportation Disaster Assistance (NTSB) |
| TSA | Transportation Security Administration (DHS) |
| TTY | Teletypewriter |
| USCIS | United States Citizenship and Immigration Services (DHS) |
| VARDT | Victim Assistance Rapid Deployment Team (DOJ/FBI) |

VST

Victim Support Task

Appendix DD

Office of Personnel Management



UNITED STATES OFFICE OF PERSONNEL MANAGEMENT

Washington, DC 20415

Congressional and
Legislative Affairs

DEC 22 2017

DEC 22 2017

DEC 22 2017

The Honorable Trey Gowdy
Chairman
Committee on Oversight
and Government Reform
United States House of Representatives
2157 Rayburn House Office Building
Washington, DC 20515

Dear Mr. Chairman:

Thank you for your letter dated December 18, 2017, to the U.S. Office of Personnel Management (OPM) requesting a list of all guidance documents issued by OPM since January 1, 2008. We are seeking to fully meet the Committee's request and will begin providing the information on a rolling basis. OPM is committed to responding, in full, to the Committee's request.

We thank you for your interest in this very important matter. If you have any further questions, please do not hesitate to contact me at [REDACTED].

Sincerely,

Stephen Billy
Acting Director
Congressional, Legislative,
and Intergovernmental Affairs

Cc: The Honorable Elijah E. Cummings, Ranking Member
Committee on Oversight and Government Reform



UNITED STATES OFFICE OF PERSONNEL MANAGEMENT

Washington, DC 20415

Congressional and
Legislative Affairs

DEC 22 2017

The Honorable Blake Farenthold
Chairman
Subcommittee on Interior, Energy,
and Environment
United States House of Representatives
2157 Rayburn House Office Building
Washington, DC 20515

Dear Mr. Chairman:

Thank you for your letter dated December 18, 2017, to the U.S. Office of Personnel Management (OPM) requesting a list of all guidance documents issued by OPM since January 1, 2008. We are seeking to fully meet the Committee's request and will begin providing the information on a rolling basis. OPM is committed to responding, in full, to the Committee's request.

We thank you for your interest in this very important matter. If you have any further questions, please do not hesitate to contact me at [REDACTED].

Sincerely,

A handwritten signature in black ink, appearing to read "SB", is placed above the typed name of the sender.

Stephen Billy
Acting Director
Congressional, Legislative,
and Intergovernmental Affairs

Cc: The Honorable Stacey E. Plaskett, Ranking Member
Subcommittee on Subcommittee on Interior, Energy, and Environment



UNITED STATES OFFICE OF PERSONNEL MANAGEMENT

Washington, DC 20415

Congressional and
Legislative Affairs

DEC 22 2017

DEC 22 2017

DEC 22 2017

The Honorable Jim Jordan
Chairman
Subcommittee on Healthcare, Benefits,
and Administrative Rules
United States House of Representatives
2157 Rayburn House Office Building
Washington, DC 20515

Dear Mr. Chairman:

Thank you for your letter dated December 18, 2017, to the U.S. Office of Personnel Management (OPM) requesting a list of all guidance documents issued by OPM since January 1, 2008. We are seeking to fully meet the Committee's request and will begin providing the information on a rolling basis. OPM is committed to responding, in full, to the Committee's request.

We thank you for your interest in this very important matter. If you have any further questions, please do not hesitate to contact me at [REDACTED]

Sincerely,

A handwritten signature in black ink, appearing to read "SB", is located below the word "Sincerely,".

Stephen Billy
Acting Director
Congressional, Legislative,
and Intergovernmental Affairs

Cc: The Honorable Raja Krishnamoorthi, Ranking Member
Subcommittee on Healthcare, Benefits, and Administrative Rules



UNITED STATES OFFICE OF PERSONNEL MANAGEMENT

Washington, DC 20415

Congressional and
Legislative Affairs

DEC 22 2017

The Honorable Mark Meadows
Chairman
Subcommittee on Government Operations
United States House of Representatives
2157 Rayburn House Office Building
Washington, DC 20515

Dear Mr. Chairman:

Thank you for your letter dated December 18, 2017, to the U.S. Office of Personnel Management (OPM) requesting a list of all guidance documents issued by OPM since January 1, 2008. We are seeking to fully meet the Committee's request and will begin providing the information on a rolling basis. OPM is committed to responding, in full, to the Committee's request.

We thank you for your interest in this very important matter. If you have any further questions, please do not hesitate to contact me at [REDACTED].

Sincerely,

A handwritten signature in black ink, appearing to read "S. Billy", is placed above the typed name.

Stephen Billy
Acting Director
Congressional, Legislative,
and Intergovernmental Affairs

Cc: The Honorable Gerald E. Connolly, Ranking Member
Subcommittee on Government Operations



UNITED STATES OFFICE OF PERSONNEL MANAGEMENT
Washington, DC 20415

Congressional and
Legislative Affairs

DEC 22 2017

The Honorable Gary J. Palmer
Chairman
Subcommittee on Intergovernmental Affairs
United States House of Representatives
2157 Rayburn House Office Building
Washington, DC 20515

Dear Mr. Chairman:

Thank you for your letter dated December 18, 2017, to the U.S. Office of Personnel Management (OPM) requesting a list of all guidance documents issued by OPM since January 1, 2008. We are seeking to fully meet the Committee's request and will begin providing the information on a rolling basis. OPM is committed to responding, in full, to the Committee's request.

We thank you for your interest in this very important matter. If you have any further questions, please do not hesitate to contact me at [REDACTED].

Sincerely,

A handwritten signature in black ink, appearing to read "S. Bilky", is positioned above the typed name.

Stephen Bilky
Acting Director
Congressional, Legislative,
and Intergovernmental Affairs

Cc: The Honorable Val Demings, Ranking Member
Subcommittee on Intergovernmental Affairs



UNITED STATES OFFICE OF PERSONNEL MANAGEMENT

Washington, DC 20415

The Director

February 8, 2018

The Honorable Trey Gowdy
Chairman
Committee on Oversight
and Government Reform
United States House of Representatives
2157 Rayburn House Office Building
Washington, DC 20515

Dear Mr. Chairman:

Thank you for your letter dated December 8, 2017, requesting information regarding how and when the U.S. Office of Personnel Management (OPM) issues guidance documents. OPM utilizes guidance documents to inform and educate Federal agencies and employees on hiring procedures, administration of health and other insurance programs, training and development, and other management tools. In addition, OPM issues guidance to assist agencies with implementing new legislation or Governmentwide policies that relate to personnel issues. OPM also coordinates with other agencies on a number of Federal workforce matters, such as dismissal and closure procedures, furloughs, hurricane guidance, and workforce restructuring.

In 2017, OPM formally created a Regulatory Reform Task Force (Task Force). While the Task Force is not required to review every guidance document under Executive Order 13777, which establishes the Task Force's functions, OPM is taking action in accordance with the Executive Order. We expect that the Task Force will support OPM's Regulatory Reform Officer, as needed, in carrying out his or her responsibility under section 2 of Executive Order 13477 to oversee initiatives for "the termination consistent with applicable law, of programs and activities that derive from or implement . . . guidance documents . . . that have been rescinded."

In response to your request for specific information, we have compiled the following list of guidance documents. OPM's guidance documents are publicly available, therefore we have provided the appropriate links to the documents. Where applicable, these policies may be sorted by date as requested by the Committee. The below links also contain additional requested information by the Committee.

Memorandums to Chief Human Capital Officers, HR Directors and Heads of Agencies

- <https://www.chcoc.gov/transmittals>

Frequently Asked Questions

- <https://www.opm.gov/FAQs/>

Assessment and Selection Reference Materials

- <https://www.opm.gov/policy-data-oversight/assessment-and-selection/reference-materials/>

Classification and Qualifications Reference Materials

- <https://www.opm.gov/policy-data-oversight/classification-qualifications/reference-materials/>

Combined Federal Campaign Reference Materials

- <https://www.opm.gov/combined-federal-campaign/reference-materials/>

Diversity and Inclusion Reference Materials

- <https://www.opm.gov/policy-data-oversight/diversity-and-inclusion/reference-materials/>

Dismissal and Closure Procedures

- <https://www.opm.gov/policy-data-oversight/pay-leave/reference-materials/handbooks/dcdissmissal.pdf>

Employee Relations Reference Materials

- <https://www.opm.gov/policy-data-oversight/employee-relations/reference-materials/>

Federal Investigations Notices

- <https://nbib.opm.gov/hr-security-personnel/federal-investigations-notices/>

Furlough Guidance

- <https://www.opm.gov/policy-data-oversight/pay-leave/furlough-guidance/>

Healthcare Reference Materials

- <https://www.opm.gov/healthcare-insurance/healthcare/reference-materials/>

Healthcare Carriers

- <https://www.opm.gov/healthcare-insurance/healthcare/carriers/>

HSPD-12 Credentialing Guidance

- <https://www.opm.gov/investigations/suitability-executive-agent/policy/#url=HSPD-12>

Hurricane Guidance

- <https://www.opm.gov/policy-data-oversight/snow-dismissal-procedures/hurricane-guidance/>

Insurance Benefits Administration Letters

- <https://www.opm.gov/healthcare-insurance/healthcare/reference-materials/#url=BALEs>
- <https://www.opm.gov/healthcare-insurance/dental-vision/plan-information/#url=Benefits-Administration-Letters>
- <https://www.opm.gov/healthcare-insurance/life-insurance/reference-materials/#url=Benefits-Administration-Letters>

- <https://www.opm.gov/healthcare-insurance/long-term-care/reference-materials/#url=Benefits-Adminstration-Letters>

Multi-State Plan Program and the Health Insurance Marketplace Guidance

- <https://www.opm.gov/healthcare-insurance/multi-state-plan-program/program-guidance/>

Pandemic Info

- <https://www.opm.gov/policy-data-oversight/pandemic-information/>

Pay and Leave Pay Administration

- <https://www.opm.gov/policy-data-oversight/pay-leave/pay-administration/>

Performance Management Reference Materials

- <https://www.opm.gov/policy-data-oversight/performance-management/reference-materials/>

Policy Frequently Asked Questions

- <https://www.opm.gov/policy-data-oversight/policy-faqs/>

Position Designation System with Glossary 2017

- <https://www.opm.gov/investigations/suitability-executive-agent/position-designation-tool/position-designation-system-with-glossary-2017.pdf>

Retirement BALs and Resources

- <https://www.opm.gov/retirement-services/publications-forms/benefits-administration-letters/>
- <https://www.opm.gov/retirement-services/benefits-officers-center/#url=Resources>

Senior Executive Service Reference Materials

- <https://www.opm.gov/policy-data-oversight/senior-executive-service/reference-materials/#url=Guidance>

Settlement Guidelines

- <https://www.opm.gov/policy-data-oversight/settlement-guidelines/>

Social Media Policy

- <https://www.opm.gov/news/social-media-presence/social-media-policy.pdf>

Suitability Executive Agent Policy and Referral Guidelines

- <https://www.opm.gov/investigations/suitability-executive-agent/policy/>
- <https://www.opm.gov/investigations/suitability-executive-agent/suitability-adjudications/#url=Referral-Guidelines>

Tribal Employers Reference Materials

- <https://www.opm.gov/healthcare-insurance/tribal-employers/reference-materials/>

Workforce Restructuring

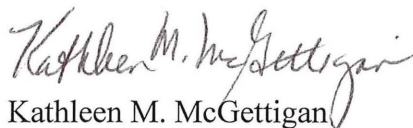
- <https://www.opm.gov/policy-data-oversight/workforce-restructuring/>

Work-Life Reference Materials

- <https://www.opm.gov/policy-data-oversight/worklife/reference-materials/#url=Health-and-Wellness>

I appreciate the opportunity to provide you information on OPM's use of guidance documents. If you have any further questions, please do not hesitate to contact Mr. Stephen Billy, Director, Congressional, Legislative, and Intergovernmental Affairs at [REDACTED].

Sincerely,

A handwritten signature in dark ink, appearing to read "Kathleen M. McGettigan". The signature is fluid and cursive, with the first name "Kathleen" being the most prominent.

Kathleen M. McGettigan
Acting Director