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4	COMMITTEE ON OVERSIGHT AND ACCOUNTABILITY,
5	SELECT SUBCOMMITTEE ON THE CORONAVIRUS PANDEMIC,
6	U.S. HOUSE OF REPRESENTATIVES,
7	WASHINGTON, D.C.
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13	INTERVIEW OF: KRISTIAN ANDERSEN
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18	Friday, June 16, 2023
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20	Washington, D.C.
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23	The interview in the above matter was held in the Executive Board Room, Hilton
24	La Jolla Torrey Pines, La Jolla, California, commencing at 7:29 a.m Pacific time.

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- 2 <u>Appearances:</u>
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- 4
- 5 For the SELECT SUBCOMMITTEE ON THE CORONAVIRUS PANDEMIC:
- 6
- 7 MITCH BENZINE, STAFF DIRECTOR.
- 8 OLIVIA COLEMAN, PRESS SECRETARY
- 9 JACK EMMER, COUNSEL
- 10 GIANCARLO PELLEGRINI, MINORITY CHIEF COUNSEL
- 11 JOSEPH ROMERO, MINORITY COUNSEL
- 12
- 13
- 14 For SCRIPPS RESEARCH:
- 15
- 16 ALANNA RUTAN, ESQ., CHIEF COMPLIANCE COUNSEL
- 17 AND CORPORATE SECRETARY
- 18 10550 NORTH TORREY PINES ROAD
- 19 LA JOLLA, CA 92037
- 20
- 21 (VIA TELEPHONE)
- 22 ARI FRIDMAN, OUTSIDE COUNSEL,
- 23 HOGAN LOVELLS US LLP,
- 24 COLUMBIA SQUARE, 555 THIRTEENTH STREET, NW
- 25 WASHINGTON, DC 20004

- 1
- 2 For KRISTIAN ANDERSEN:
- 3
- 4 JOHN P. ROWLEY
- 5 SECIL LAW PLLC
- 6 1701 PENNSYLVANIA AVE, NW, SUITE 200
- 7 WASHINGTON, DC 20006

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- Mr. <u>Benzine.</u> We can go on the record.
- 4 Dr. Andersen, hi.

This is a transcribed interview of Dr. Kristian Andersen conducted by the House 5 6 Select Subcommittee on the Coronavirus Pandemic under the authority granted to it by House Resolution 5 and the rules of the Committee on Oversight and Accountability. 7 8 This interview was requested by Chairman Brad Wenstrup as part of the select 9 subcommittee's oversight of the Federal Government's response to the coronavirus 10 pandemic. 11 Further, pursuant to House Resolution 5, the select subcommittee has 12 wide-ranging jurisdiction, but specifically to investigate the origins of the coronaviruses 13 pandemic, including, but not limited to, the Federal Government's funding of gain-of-function research. 14 15 Can the witness please state his name and spell his last name for the record? Dr. Andersen. Kristian Andersen, A-n-d-e-r-s-e-n. 16 Mr. Benzine. Thank you. 17 18 Dr. Andersen, my name is Mitch Benzine, and I am the staff director for the 19 majority staff of the select subcommittee. I want to thank you for coming in today for 20 this interview. The select subcommittee recognizes that you are here voluntarily, and 21 we appreciate that. 22 Under the select subcommittee and Committee on Oversight and Accountability's 23 rules, you are allowed to have an attorney present to advise you during this interview. 24 Do you have an attorney representing you in a personal capacity present with you

25 today?

1 Dr. <u>Andersen.</u> I do.

2 Mr. <u>Benzine.</u> Will counsel please identify themselves for the record?

3 Mr. <u>Rowley.</u> John Rowley of the law firm SECIL Law, PLLC, representing

4 Dr. Andersen.

5 Mr. <u>Benzine.</u> Is there also an attorney present representing your employer with 6 you today?

7 Dr. <u>Andersen.</u> Yes.

8 Mr. <u>Benzine.</u> Will counsel please identify themselves for the record?

9 Ms. <u>Rutan.</u> My name is Alanna Rutan. I'm the chief compliance counsel and 10 secretary at Scripps Research.

11 And on the phone?

12 Mr. Fridman. Hi, this is Ari Fridman with Hogan Lovells, outside counsel for

13 Scripps Research.

14 Mr. <u>Benzine.</u> For the record, starting with the remainder of the majority staff,

15 can the additional staff members please introduce themselves with their name, title, and

- 16 affiliation?
- 17 Mr. <u>Emmer.</u> Jack Emmer, majority staff.
- 18 Ms. <u>Coleman.</u> Olivia Coleman, press secretary.

19 Mr. <u>Pellegrini.</u> Giancarlo Pellegrini, chief minority counsel.

20 Mr. <u>Romero.</u> Joseph Romero, minority counsel.

21 Mr. <u>Benzine.</u> Thank you all.

22 Dr. Andersen, before we begin, I would like to go over the ground rules for this

23 interview. The way the interview will proceed is as follows.

24 The majority and minority staff will alternate asking you questions, 1 hour per side

25 per round, until each side is finished with their questioning.

1 The majority staff will begin and proceed for an hour, and then the minority staff 2 will have an hour to ask questions. We will then alternate back and forth in this manner 3 until both sides have no more questions.

If either side is in the middle of a specific line of questions, they may choose to
end a few minutes past an hour to ensure completion of that specific line of questioning,
including any pertinent follow-ups.

7 In this interview, while one member of the staff for each side may lead the
8 questioning, additional staff may ask questions.

9 There is a court reporter taking down everything I say and everything you say to 10 make a written record of the interview. For the record to be clear, please wait until the 11 staffer questioning you finishes each question before you begin your answer, and the 12 staffer will wait until you finish your response before proceeding to the next question. 13 Further, to ensure the court reporter can properly record this interview, please 14 speak clearly, concisely, and slowly.

Also, the court reporter cannot record nonverbal answers, such as nodding or shaking your head, so it is important that you answer each question with an audible, verbal answer.

18 Exhibits may be entered into the record. Majority exhibits will be identified
 19 numerically. Minority exhibits will be identified alphabetically.

20 Do you understand?

21 Dr. <u>Andersen.</u> I understand.

22 Mr. <u>Benzine.</u> We want you to answer our questions in the most complete and 23 truthful manner possible, so we will take our time. If you have any questions or do not 24 fully understand the question, please let us know. We will attempt to clarify, add 25 context to, or rephrase our questions.

6

1 Do you understand?

2 Dr. <u>Andersen.</u> I do.

- 3 Mr. <u>Benzine.</u> If we ask about specific conversations or events in the past and you
- 4 are unable to recall the exact words or details, you should testify to the substance of
- 5 those conversations or events to the best of your recollection.
- 6 If you recall only a part of a conversation or event, you should give us your best
- 7 recollection of those events or parts of conversations that you do recall.
- 8 Do you understand?
- 9 Dr. <u>Andersen.</u> I do.
- 10 Mr. <u>Benzine.</u> Although you are here voluntarily and we will not swear you in,

11 you are required, pursuant to Title 18, Section 1001 of the United States Code, to answer

- 12 questions from Congress truthfully. This also applies to questions posed by
- 13 congressional staff in this interview.
- 14 Do you understand?
- 15 Dr. <u>Andersen.</u> I do.
- 16 Mr. <u>Benzine.</u> If at any time you knowingly make false statements you could be 17 subject to criminal prosecution.
- 18 Do you understand?
- 19 Dr. <u>Andersen.</u> I do.

20 Mr. <u>Benzine.</u> Is there any reason that you are unable to provide truthful

- 21 testimony in today's interview?
- 22 Dr. <u>Andersen.</u> No.

23 Mr. <u>Benzine.</u> The select subcommittee follows the rules of the Committee on

- 24 Oversight and Accountability. Please note that if you wish to assert a privilege over any
- statement today, that assertion must comply with the rules of the Committee on

1 Oversight and Accountability.

2 Pursuant to that, Committee Rule 16(c)(1) states: "For the Chair to consider assertions of privilege over testimony or statements, witnesses or entities must clearly 3 4 state the specific privilege being asserted and the reason for the assertion on or before the scheduled date of testimony or appearance." 5 6 Do you understand? 7 Dr. Andersen. I do. 8 Mr. Benzine. Ordinarily we take a 5-minute break at the end of each hour of 9 questioning, but if you need a longer break or a break before that, please let us know and 10 we will be happy to accommodate. However, to the extent that there is a pending question, we would ask that you 11 finish answering the question before we take the break. 12 13 Do you understand? Dr. Andersen. I do. 14 Mr. Benzine. Do you have any other questions before we begin? 15 Dr. Andersen. I don't. 16 Mr. <u>Rowley.</u> Counsel, before we begin I have a couple of questions. 17 18 Should I feel the need to do so as counsel for Dr. Andersen, will I have an 19 opportunity to ask him questions to clear the record? 20 Mr. <u>Benzine.</u> Yes. 21 Mr. Rowley. And then, secondly, you referred to issues of privilege. I assume 22 that the committee has no objection to assertions of attorney-client privilege to the 23 extent that that's applicable or necessary during the course of the interview. 24 Mr. <u>Benzine.</u> Correct. 25 Mr. Rowley. Thank you.

1	Mr. <u>Benzine.</u>	I want to thank you again for being here voluntarily and taking part
2	in this interview.	
3		EXAMINATION

-		
4		BY MR. BENZINE:
5	Q	I want to start by discussing your education and experience.
6	Whe	ere did you attend undergraduate school?
7	А	Aarhus University in Denmark and the University of Canterbury in the U.K.
8	Q	What degree or degrees did you graduate with?
9	А	Bachelor's degree in molecular biology and biotechnology.
10	Q	And where did you get your doctorate?
11	А	Cambridge University in the U.K.
12	Q	What is your doctorate in?
13	А	It's in immunology.
14	Q	Who is your current employer?
15	А	Scripps Research.
16	Q	And your current job title?
17	А	Professor.
18	Q	Can you elaborate more on what your current day-to-day looks like?
19	А	I study infectious diseases. I have a lab of about 20, 25 people. And some
20	of those joi	nt, so that's why the exact number is a little bit up in the air. We study
21	infectious d	iseases, understanding the emergence of infectious diseases, the evolution of
22	infectious d	iseases.
23	And	my day-to-day is checking in, doing research, talking to people, emailing
24	people, ove	rseeing research being done. And that's, yeah, that's pretty much it.
25	Q	In addition to overseeing, your title being professor, do you teach, or is that

1 just a --

A I teach, but very infrequently. I will teach, do a lecture, for example, but I
don't teach any full courses.

- 4 Q Okay. Can you go through your career up until joining Scripps?
- 5 A Sure.
- 6 Q Briefly.
- 7 A Briefly, just my scientific career?
- 8 Q Yeah.

9

A Okay. My scientific career, yeah.

10 I did my undergraduate in Aarhus in Denmark, studying, again, biotechnology and

11 molecular biology. And then I went on to do exchange here and at the University of

12 Kent in Canterbury, focused on medical biochemistry mostly.

Came back to Denmark and finished my bachelor's degree there before heading to Cambridge in the U.K. where I worked at the MRC Laboratory of Molecular Biology, which

15 is under the University of Cambridge, studying cellular and molecular immunology

16 focused mostly on tolerance mechanisms.

17 And then I changed from there 2009, headed to Boston, joined the lab of

18 Pardis Sabeti at Harvard and the Broad Institute and studied infectious diseases and

19 computational genomics. So switched fields there.

20 Mostly focused on endemic diseases in Africa, Lassa fever, for example, which is a 21 viral hemorrhagic fever, Ebola, which is also a viral hemorrhagic fever, and studied mostly

22 focused on understanding the emergence and evolution and spread of these viruses.

And then in 2015, I joined Scripps Research as an assistant professor mostly taking
 over similar research, focused on infectious diseases. I was an assistant professor for 3

or 4 years and then an associate professor maybe for a year or so, and I became a full

1 professor in 2020, I believe. And that work then continues to this day.

2 Q Thank you.

3 Do you currently hold or have you previously held any honorary positions?

4 A I do not.

5 Q Do you currently hold or have you previously held any positions on boards of 6 companies or nonprofits?

A I have. I am the vice president of the Viral Hemorrhagic Fever Consortium,
which is a nonprofit. I am on the scientific advisory board on Invivyd, which is a
company that develops monoclonal antibodies. And then I consult for various entities,

10 including Invivyd, but other companies too.

11 Q Okay. Thank you.

12 You touched on it briefly, and I'm going to ask you to do it again.

13 A Sure.

14 Q But briefly explain your experiences with emerging disease outbreaks and 15 which ones that you've been directly involved with or directly studied?

16 A Yeah. So, again, I have a very cross-disciplinary background, so our 17 research covers quite a lot of bases. But our primary research and my main expertise is 18 in understanding the emergence of pathogens, whether well known or novel.

Prior to the pandemic, we had started out with Lassa fever, describing the
emergence over thousands of years in West Africa and the spread of Lassa virus across
West Africa over the past thousand to hundreds of years.

22 Moved from understanding Lassa to Ebola virus when that epidemic started in 23 West Africa in 2014. Described the emergence of Ebola, described a single spillover we 24 saw of Ebola from Guinea and then the spread of that virus across West Africa, including 25 Liberia, Nigeria, and Sierra Leone, of course. Moving on from Ebola and our Ebola-focused research, when I came to Scripps Research, the Zika epidemic in the Americas started. We looked at the emergence of that virus with a particular focus on understanding the emergence here in the United States which we linked to multiple introductions, in fact hundreds of introductions from the Caribbean islands into Florida, and then onward spread of the virus in Florida.

We also have done work on West Nile virus, for example, which is endemic here in
the United States. We have a big program focused on understanding the emergence
and evolution and spread of that particular virus.

10 And of course with the emergence of the pandemic, we started focusing on SARS 11 2 and understanding, on one side, the emergence of the virus, the origin of the pandemic, 12 but also the onward evolution and the spread of the virus, including early emergence in 13 the United States.

We have several papers on that and the emergence of novel variants like B117,
the Alpha variant, Omicron, and others. And a lot of the focus, in fact main focus, is on
understanding that. So our portfolio extends to multiple different viruses.

17 Q Thank you.

Over the course of your career that you just outlined, have you received grants orcontracts from the Federal Government?

A I have, yes. We have several grants from the National Institute of Health.
And we also have contracts from the CDC.

22 Q Can you, just the highlights on the NIH ones?

23 A Sure.

24 Q And then the highlights on the CDC ones?

25 A Sure, yeah. We have two main grants from the NIH, one dating back to

2017, which is what's called a U19 Center grant, that is focused on systems biology of
 infectious diseases. That's specifically focused on understanding the evolution of these
 viruses and how that associates with human disease.

And this is focused -- initially it was focused on Lassa and Ebola, now also focused
on COVID-19. That is, again, a systems biology grant, so it has a lot of different
components. Most of it is focused on hematological studies, but also on viral evolution
studies as part of that. That's called a Center for Viral Systems Biology, or CVSB.
And, again, this is an effort I've been leading since 2017 and that was recently

9 renewed for another 5 years.

And then the other major grant we have is what's called a Euro 1. It's also a
 Center grant under the NIH, which is called WARN-ID, or the West African Research
 Network for Infectious Diseases. It's under the CREID portfolio, the CREID centers at the
 NIH, focused on understanding emerging infectious diseases.

And this is a joint grant with what's called a contact PI, my colleague Bob Garry is what's called an MPI, a multiple PI, and then my former adviser, Pardis Sabeti at the Broad Institute, is also a PI on that grant.

17 And then we have four African partners on that grant. And, in fact, all the 18 research is focused in Africa, from Liberia, Sierra Leone, Nigeria, and Senegal.

And this is a grant that was awarded in 2020, written just before the pandemic in
 June of 2019, I believe reviewed in 2019, and, of course, was initially focused on just
 emerging infectious diseases, RNA viruses specifically.

Of course, the pandemic happened following the award of that grant, so much of
 that research has been focused on COVID-19, but also on malaria and many other
 infectious diseases.

25 Q For the record, can you say what the acronym CREID stands for?

1 A I cannot. I think it's --

2 Q Would Center for Research on Infectious Diseases make sense?

3 A It has emerging infectious diseases, so the EID is that.

4 Q Okay.

A Honestly, I don't know what the CR is for, but it's got to be center-something
for emerging infectious diseases. Yeah, it's colloquially known as the CREID Network,
which is also what they call it within the NIH portfolio.

- 8 Q And the CDC ones that you mentioned?
- 9 A The CDC ones are contracts to -- which is focused here in San Diego, and

10 that's specifically focused on understanding the evolution and the spread of SARS-CoV-2.

11 One is focused on especially understanding cross-border transmission of the virus.

- 12 We have partners in Mexico on this. But also a big wastewater surveillance program
- here to understand how can we use wastewater to surveil for, for example, SARS-CoV-2
- 14 variants.

And those are funded by two CDC contracts, one that I am the PI on and one that my colleague at UCSD, Rob Knight, is the PI on. And the one I'm the PI on was initially for a 2-year period, and we've just been extended for another 2 years.

18 Q Okay. Thank you.

19 I'm going to go down a long list of people and just a yes or no for now --

20 A Sure.

21 Q -- of whether you've had discussions with them regarding origins of

- 22 COVID-19 --
- A Sure.
- 24 Q -- between end of December 2019 and now.
- 25 A Yeah.

Q	Dr. Francis Collins?
А	Yes.
Q	Dr. Anthony Fauci?
А	Yes.
Q	Dr. Lawrence Tabak?
А	Not directly, but he has been on calls, yes.
Q	Dr. Hugh Auchincloss?
А	I think he has been on calls too, but yeah, no.
Q	Dr. Cliff Lane?
А	I think on calls as well, yeah.
Q	Dr. David Morens?
А	Via email, he is, yes.
Q	Dr. Ping Chen?
А	No.
Q	Dr. lan Watson?
А	No.
Q	Dr. Andrew Pope?
А	Yes.
Q	Dr. Victor Dzau?
А	Not directly, but here's the thing. I believe he has been leading, he is
NASEM, yea	h. So he has been involved, but I haven't discussed with him directly.
Q	Dr. Robert Redfield?
А	No.
Q	Dr. Michael Lauer?
А	No.
	Q A Q A Q A Q A Q A Q A Q A Q A Q A Q A

1	Q	Dr. David Christian Hassell?
2	А	Again, he has been on some of these National Academy of Science calls.
3	But yeah, n	o direct conversations.
4	Q	Dr. Jeremy Farrar?
5	А	Yes.
6	Q	Dr. Bob Garry?
7	А	Yes.
8	Q	Dr. Michael Farzan?
9	А	Yes.
10	Q	Dr. Eddie Holmes?
11	А	Yes.
12	Q	Dr. lan Lipkin?
13	А	Yes, as part of yes.
14	Q	Dr. Andrew Rambaut?
15	А	Yes.
16	Q	Dr. Christian Drosten?
17	А	Yes.
18	Q	Dr. Ron Fouchier?
19	А	Yes.
20	Q	Dr. Marion Koopmans?
21	А	Yes.
22	Q	Dr. Peter Daszak?
23	А	Yes.
24	Q	Dr. Aleksei Chmura?
25	А	I don't know who that is.

1	Q	So no?
2	А	Yeah, I guess that's a no. But, again, I get a lot of emails, so
3	Q	Yeah. To the best of your recollection.
4	А	Yeah, no.
5	Q	Dr. Kevin Olival?
6	А	No.
7	Q	Dr. Michael Worobey?
8	А	Yes.
9	Q	Dr. Jonathan Pekar?
10	А	Yes.
11	Q	Dr. Florence Debarre?
12	А	Yes.
13	Q	Dr. James LeDuc?
14	А	I think we were on a panel together really early on in 2020. I think it was
15	him, but I'n	n not totally sure. But probably, yes.
16	Q	Dr. Shi Zhengli?
17	А	No.
18	Q	Dr. George Gao?
19	А	Yes.
20	Q	Dr. Ralph Baric?
21	А	Yes.
22	Q	Can you go a little bit into more detail on when and how you spoke to
23	Dr. Gao?	
24	А	Dr. Gao I have reached out to via email a few times, and then we
25	have dati	ng back to probably 2021, again when we investigated, we had these two

1 science papers looking at the early distribution of cases and the distribution of positive

2 sampling from the Huanan Seafood Market.

We realized at the time that there was sequencing data from the market that had 3 4 not been released into the public domain dating back to 2020, early 2020, January, February, and I reached out to George Gao as part of that. Had a few back-and-forth 5 6 conversations but never actually talked in any detail about any of it. Then we have been on one conference call under the WHO SAGO, where Dr. Gao 7 8 was on, and there was conversations back and forth about the origin, relating to this 9 particular data I mentioned which was recently released and which we wrote a report on 10 and which they wrote a paper on. So the conversations with Dr. Gao weren't like the early 2020 conversations? 11 Q 12 А No. I did not have any direct, and I don't think I was on any emails with 13 Dr. Gao in 2020, no. 0 Last person. Dr. Ben Hu? 14 Yeah. I'm not even sure who that is. I think he was a researcher at the 15 А Wuhan Institute of Virology? 16 Q Uh-huh. 17 А Yeah, no. 18 19 Q For this same time period, did Dr. Holmes ever mention speaking with 20 Dr. Ben Hu? А No. I don't believe he has spoken to him. I don't believe he knows who 21 he is. 22 23 Q I'm going to ask you the same kind of questions, if you've had any 24 interactions with the following institutions for the same time period. 25 А Sure.

- 1
- Q The Wuhan Institute of Virology?

A I've had contact with the Wuhan Institute of Virology, the former director, about SARS 1, nothing about SARS 2. But we reached out because they had some early sequence data on SARS 1, and we were interested in understanding the origin of SARS 1 more fully.

- So I had a back-and-forth initiated by Ling Parwan (ph) to basically get some
 clarifying questions I had on the naming and the data early, but focused on SARS 1. I've
- 8 not had any conversations about SARS 2 with anybody at the Wuhan Institute of Virology.
- 9 Q The Wuhan Centers for Disease Control and Prevention?
- 10 A No.

15

11 Q The Chinese Centers for Disease Control and Prevention?

- 12 A Nothing direct, but as I mentioned, of course, Dr. Gao and Dr. Liu, who has 13 been on these WHO calls, so a part of those conversations, yes. But nothing directly 14 with the Centers for Disease Control and Prevention.
 - Q Wuhan University?

16 A I believe we reached out about some of the early data. I don't believe that 17 we had any conversations with them about any of the data. I don't think they got back 18 to us.

19 Q The Chinese Academy of Sciences?

20 A Same thing. Dr. Gao is a member. But no, no direct.

21 Q The Academy of Military Medical Sciences?

A I don't -- no. I mean, unless like Liu, for example, is affiliated with them, which I don't know. But, no, I haven't had any conversations.

24 Q The Fifth Institute under the National Defense Ministry of China?

25 A I don't even know what that is, so, no.

1	Q I want to introduce what we'll mark as majority exhibit 1.
2	[Andersen Majority Exhibit No. 1
3	Was marked for identification.]
4	Mr. <u>Benzine.</u> This is
5	Mr. <u>Rowley.</u> I'm sorry. These are multiple copies of just one page?
6	Mr. <u>Benzine.</u> Yes, just one page.
7	Dr. <u>Andersen.</u> Yep.
8	BY MR. BENZINE:
9	Q This is an email from Dave Morens
10	A Yeah.
11	Q to Dr. Daszak, Dr. Garry, yourself, Dr. Holmes, Jason Gale, a reporter at
12	Bloomberg, Dr. Rasmussen, Robert Kessler who I'm not sure if he's a doctor, so I
13	apologize
14	A Sure.
15	Q and Dr. Goldstein. And for the record, it is Bates-numbered
16	GARRY0001774.
17	Do you remember this email?
18	A I remember this email, yes, I do.
19	Q We've talked about it, we just talked about your contacts with him.
20	A Yeah.
21	Q But who is David Morens?
22	A So I don't know David directly. Again, I got looped in as I think he has
23	been he has known Peter Daszak for many years, and I believe he might have been
24	involved in some of the grants from Peter. So I did not know David. I don't know if this
25	is the first email I received from him, I can't remember that. But that was the first time I

1 was introduced to him.

2	I believe he is he's at the NIH, but what role he has at the NIH, I don't actually		
3	know. He has done, I believe so we worked a lot in Sierra Leone at the Kenema		
4	Government Hospital. I believe David has actually done some of the very early research		
5	on Lassa fever back in maybe even the '70s and '80s there. And, of course, that's a site		
6	we are working at, so he has been doing some of the foundational work there.		
7	But I have not had any direct contact with David. I don't know David. I just met		
8	him 3 days ago, 2 days ago when I was at the CREID annual CREID meeting at the NIH,		
9	was the first time I actually met David in person.		
10	Q All right. Thank you.		
11	I want to read two parts of this email.		
12	A Sure.		
13	Q The first line says: "As you know, I try to always communicate on Gmail		
14	because my NIH email is FOIA'd constantly."		
15	And then the last line says: "Don't worry, just send to any of my addresses, and I		
16	will delete anything I don't want to see in The New York Times."		
17	And for the record, this email is originating from Dr. Morens' personal email		
18	account, his Gmail account, not his NIH email account.		
19	A Yes.		
20	Q Was it understanding that you hadn't really communicated with him		
21	before this time frame was it common to communicate with him via personal email		
22	instead of NIH email?		
23	A Again, I've not communicated with David. I have been on a few email		
24	chains like this one, which is an email to from David to Peter Daszak that I am cc'd on,		
25	and I have probably responded back to some comments that they would have made on a		

1 few occasions. But I've had no direct email contact with David.

2 Q I'm going to run through some of the same names that we did before.

3 A Sure.

4 Q But this time, to the best of your recollection, whether you've ever 5 communicated with these people via a personal email or a personal cell phone instead of 6 a work email.

7 A Well --

8 Mr. <u>Rowley.</u> At any time?

9 BY MR. BENZINE:

10 Q Over -- regarding COVID.

A Yeah. So just one clarifying thing, because I have been -- up until recently, I have always used my Gmail for work-related communication, and I have my official Scripps email was forwarding to my Gmail for the simple reason that I preferred using the Gmail interface to Outlook, but also because of my previous work at the Broad Institute and the Harvard, for example, where some people are still emailing me to those emails, and all of those forward to my personal Gmail, and everybody knows that I'm using my Gmail.

So I consider my Gmail to be, up until recently again, has been the one that I haveprimarily communicated with.

20 Q So I appreciate that. For clarity of the questions, we're more concerned if 21 you're communicating with government officials over their Gmail --

- A Okay, yeah.
- 23 Q -- versus your Gmail.
- A Sure, sure, sure.

25 Q So if you've ever communicated with these people and noticed, to the best

- 1 of your recollection, that it was on a personal email account from them.
- 2 A Okay, yeah.

3	Q	Dr. Collins?
4	А	No.
5	Q	Dr. Fauci?
6	А	No.
7	Q	Dr. Tabak?
8	А	No.
9	Q	Dr. Auchincloss?
10	А	No.
11	Q	Dr. Lane?
12	А	No.
13	Q	Dr. Morens?
14	А	No well, this one, yes, but yeah.
15	Q	Dr. Chen?
16	А	Who's that?
17	Q	Ping Chen.
18	А	No.
19	Q	You said you didn't
20	А	No.
21	Q	Dr. lan Watson?
22	А	No.
23	Q	Dr. Andrew Pope?
24	А	No.
25	Q	Dr. Victor Dzau.

1	А	No.	
2	Q	Dr. Robert Redfield?	
3	А	No.	
4	Q	Dr. Michael Lauer?	
5	А	No.	
6	Q	And Dr. David Christian Hassell?	
7	А	No.	
8	Q	All right. Thank you.	
9	So I	want to shift gears from kind of the housekeeping questions to the origins of	
10	both COVID	0-19 and emerging pathogens generally.	
11	А	Sure.	
12	Q	Some baseline questions about the COVID-19 pandemic.	
13	А	Yeah.	
14	Q	Is investigating the origins of COVID-19 important?	
15	А	Yes.	
16	Q	Is discovering the origins of COVID-19 important?	
17	А	Yes.	
18	Q	Can you elaborate as to why?	
19	А	It's the first major pandemic in a lifetime over a hundred years ago, so	
20	logically understanding what caused this is an important question, both to get a better		
21	sense of jus	t this pandemic itself, but also, more importantly, to understand the risk	
22	factors that led to this particular pandemic so we can prepare better for future		
23	pandemics.		
24	Q	How does the knowing the origins, to the best of our ability of finding the	
25	origins, help prepare for future pandemics?		

A For example, this current pandemic clearly appears to be linked to the illegal wildlife trade in China which we have for some time known to be a risk factor -- it has happened previously, not just in China but elsewhere -- and understanding those risk factors so we can go and mitigate -- for example, either regulating or banning wildlife trade -- is important.

We have known, again for a while, that this is a risk factor. We have not known
for a while that coronaviruses could, in fact -- let me rephrase that.

8 We knew that there was a risk to coronaviruses that could potentially cause novel 9 pandemics because we have seen the emergence of multiple different coronaviruses,

10 most importantly SARS 1 in 2002, and then with multiple separates below as later on too.

11 But that never led to pandemics. It was mainly somewhat contained epidemics.

12 What we have learned with COVID-19 is that the coronaviruses, and specifically 13 the sarbecoviruses that we are dealing with here, have the potential to cause pandemics 14 that can really disrupt just our daily lives.

15 So understanding again the risk factors leading up to those events is exceptionally 16 important so we can -- so, again, we can help mitigate future risk of this happening again.

17 Q Do you think we will ever know for sure where COVID-19 originated?

18 A I think it depends on what the question is. I think if you look at the 19 scientific evidence, it points very clearly to the illegal wildlife trade in China. So from 20 that perspective, I think what we know is how this particular virus emerged.

21 We don't know the upstream events of that exactly. We don't know exactly 22 which animals we are talking about. We don't know if they were farmed or if they were 23 wild animals. I think there's a lot of questions that we still need to clarify to get a more 24 broad view of exactly how this pandemic emerged and originated.

25 But I will say, the level of details that we have linking this particular pandemic to

1 the illegal wildlife trade in China is at a level of accuracy that we typically don't get for the 2 emergence of novel virus and even emergence of known viruses, like Ebola, for example. Being able to identify individual intermediate hosts, especially when those animals 3 4 have been removed prior to sampling, is virtually impossible, and it's not something we typically have for pandemics. 5 I will say on the origin of this particular pandemic, we have more clarity on the 6 7 origin than we would typically do on epidemics because pandemics don't happen very 8 often. 9 Q You might've hinted at the answer to the next question. 10 А Sure. 11 Q Do you believe the origin of COVID-19 is still unsettled? 12 А I still believe that there are many missing pieces in understanding more fully 13 the origin of the pandemic. To the question of, do I believe it came -- it's linked to the illegal wildlife trade in 14 China, and specifically Huanan Seafood Market, versus, for example, an accidental lab 15 16 accident, in whichever form that might take, absolutely. I think that the signs very clearly point to this being linked to the illegal wildlife trade in China. 17 18 Q Do you believe the intelligence community plays an important role in 19 investigating the origins of COVID-19 or other emerging diseases? 20 А I think -- primarily I think it's a scientific question. Of course I believe that 21 when things like this occur, we should use everything we have at our disposal to more fully investigate the origin, including the intelligence community. I have been very 22 23 supportive of those investigations. So from that perspective, yes. But ultimately, I see it as a scientific question. 24

25 Q And you just kind of touched on this, but for clarity of the record, where do

1 you believe the origin of COVID-19 is?

A It's certainly in China. And I believe that, again, the scientific evidence clearly points to this being emergence from the illegal wildlife trade at the Huanan Seafood Market in Wuhan.

5 Q So not -- the market is not an epicenter or early superspreader, but the 6 actual origin?

A The actual origin, yes. I believe that, again, the consilience of evidence,
some of which is our own research but also other research, clearly points to the Huanan
Seafood Market not just being the early epicenter but, in fact, also being site of the early
emergence of the virus.

11 Q We'll spend some more time on it later. So we don't need to go too in 12 depth right now. But can you briefly run through the why?

13 A Absolutely. I think primarily the risk factors up front is that we know that 14 the sarbecoviruses, we know that a lot of them, we know they're highly diverse. We 15 know they live in many animals in which we get into contact with frequently.

A very big risk factor here is the illegal wildlife trade, or wildlife trade in general,
not just in China but across the world.

18 And we know that we, as humans, are very frequently exposed to these particular19 viruses.

20 Of course, the vast majority of these viruses do not lead to pandemics. They 21 might lead to initial infections but don't actually further transmit in the human

22 population.

That, in itself, is a big risk factor, and it means that we get into contact with thesetypes of viruses frequently.

25 Compare that, for example, to a handful of scientists sampling bat caves in which

1 they can hope to sample maybe a few thousand samples on a single trip. We have

- orders of magnitude many more encounters with these types of viruses on a daily basis all
 across the world.
- So that, in itself, is a really important data point here because it shows what is the
 prior risk of this happening, given no other evidence.
- 6 Now, we do have evidence?
- So one key thing we can do is to look at where are the early cases, and the early
 cases clearly cluster around the Huanan Seafood Market.
- 9 We can look at how did we even realize there was a pandemic, or how did we 10 realize there was an early outbreak of a novel coronavirus. That was made at the level 11 of the hospitals in Wuhan, doctors there realizing that they had patients that had novel 12 pneumonias, and they linked back to the Huanan Seafood Market.
- So not only do we have the early cases clustering around the market, the early
 hospitalizations themselves also linked to the market.
- 15 Separate data point here is early excess deaths, which now take longer, but that
- also points to where were the majority of the early cases. And, again, while our
- 17 resolution here is less, it's exactly the region of Wuhan, north of the Yangtze River, where
- 18 the Huanan Seafood Market is located.
- 19 So the early epidemiological data clearly points to this particular market.
- 20 If we go inside the market itself, what we also know is that they sampled from the
- 21 market itself. And when we look at where do we find the virus, the virus very
- specifically clusters in the areas of the market where we now know that they were selling
- 23 illegal wildlife.

And the density, again, of the clustering here is very clearly located in places in which we now know too that they were selling things like raccoon dogs, civets, bamboo 1 rats, and other live animals, none of which were sampled from the market.

We know too that when we're looking at where do we have the majority of this clustering, we also find molecular evidence of these animals being present, like raccoon dogs, like civets, like bamboo rats, which means that in a situation in which we expect there to be, if it had come in via infected animals, we would expect to see evidence of the animal commingling with the evidence of the virus itself, and that's, in fact, exactly what we see based on some of this recently released data from the China CDC, but the data itself is from January, February 2020.

9 So you take all of those together and it paints a very clear picture of just the early
10 epidemic, very specifically, by pointing to that market.

11 Then what we also see is that if we just look at the number of spillovers that we 12 believe have happened here -- and this is based on early genetic data both on China but 13 also from elsewhere outside China -- what you're sampling, the same sort of viruses that 14 you see early in the epidemic, points to at least two spillovers, both of which are 15 associated with the Huanan Seafood Market.

16 So you take all of that combined -- and I should say that Huanan Seafood Market is 17 the only place in which we see this picture. There is no other area of Wuhan in which 18 we can show the same association, it very clearly is the market.

We know the market itself based on, for example, social media check-ins, social
media traffic, is that the Huanan Seafood Market is not a well-visited place in Wuhan

21 compared to many other supermarkets and concert venues and whatever else we have.

22 So the Huanan Seafood Market itself is an extremely unlikely place for a

23 superspreading event, for example, but is, in fact, exactly the place we would expect for a

zoonosis because, again, we've seen it occur with SARS, with SARS 1.

25 We know the data from early case counts within the market is not supportive of

1 this merely being a superspreading event, that it is -- in fact, there is nothing suggesting 2 that it is a superspreading event. Again, this is based on just early epidemiological data. And then I think the final thing probably is just the timing of all of this where our 3 4 own studies, as well as other studies, sort of time this in about mid-November or so of 2019 -- mid- to late November, a little bit of uncertainty in this -- which is exactly the time 5 6 of the SARS 1. SARS 1 also initially started in November of 2002. And I think this timing aspect is really important because I don't fully understand 7 8 the mechanisms of why it would be those months, but it probably has to do with bats, bat 9 reproductive cycle, how the bats are roosting and how they get into contact with animals, 10 other than farm them, and is funneled through to the -- via the wildlife trade. 11 Q Thank you. That's very helpful. 12 I want to talk a little bit about the early case definition, particularly like as they 13 were testing people. А Sure. 14 15 Q So my understanding, and please correct me if I'm wrong, is the early case definition was people with ties to the market. Is that --16 А That is not correct, no. When we're looking at the way in which it is looked 17 at, the WHO report, which is a retrospective analysis of this, tied to the market, was not 18 19 required, and we know this for certain because there are several cases that are not 20 directly tied to the market. 21

In fact, when we do this clustering analyses, and we see the cluster around the market, we can do that during all the early cases in December 2019, or we can just say, like, well, let's look at the ones that don't actually have a link to the market, so they reported no specific link to the market, they cluster even closer to the market itself, and they are closer to the market then if we just consider all the cases.

30

2 It's not to say that all the cases are clustering around the market. There are multiple cases that are detected elsewhere in Wuhan, including very far from the market itself. 3 4 Q Thank you. I want to shift away from COVID specifically to generally how novel viruses may 5 6 appear and emerging pathogens may appear. We talked about this a little bit, so you can be brief. 7 8 What do origins of emerging viruses tell us to help prepare? How does the origin 9 question specifically help prepare for future pandemics? 10 Α I mean, it's a question of, if airplanes fall out of the sky, we want to know 11 why, so the next time hopefully we can fix the wing and say, like, it wasn't glued on 12 properly, so let's get it glued on properly.

We also have several of the early cases are, in fact, not in this area of Wuhan.

1

Pandemic origin research is the same, where we have the emergence of a novel
pathogen. It's extremely disruptive. We have had probably close to 20 million deaths
from this virus. The economic disruption is in the trillions.

16 We want to understand what led to this particular pandemic so we can

17 understand the risk factors, so hopefully we can go in and mitigate.

We can't prevent them, and we have to realize that the emergence of novel
pathogens in the face of climate change, deforestation, and changes in land use, as well
as just population expansion, is that what we have observed over the last several years,
with the emergence of COVID-19, is going to be a more recurring, frequent event in the
future.

23 So we have to do everything we can to mitigate. And if we can

24 understand -- again, we know the wildlife trade is a risk factor. This is not novel. We

25 have now proven -- or not proven -- but we have very strong evidence indicating, here is

1 the biggest disruptive pandemic, links exactly to the wildlife trade.

2 We knew that was a risk factor, but there are specific sub risk factors within that. 3 For example, are we talking about farm animals versus live-caught wild animals? Are we 4 talking about risk factors around co-housing these animals prior to going down the chain 5 of being sold at wet markets, for example. Is, again, that understanding these little risk 6 factors will really help mitigate, because we can't -- a lot of this can't be banned, but it 7 can be better controlled, and it can be better monitored.

8 For example, you can imagine that we say we will allow a certain trade of wild 9 animals, but they have to be tested prior to going into the markets. So we set testing 10 protocols in place. We have bigger regulation of where exactly are these animals 11 coming from. We don't want them to be wild-caught, we want them to be farmed, and 12 we want to have biosecurity on these farms.

These are the kinds of things that we can do based on just better understanding ofwhat actually lead to these events.

15 Q Thank you.

16 So there are kind of -- let me know if this is correct -- two viable pathways for an 17 emerging virus, at least two ways that a virus has gotten into human population, zoonotic

18 or a laboratory research-related incident. Is that correct?

19 A That is correct, yes.

20 Q In, like, a sentence, what is a zoonotic event?

A A zoonotic event is a virus that moves directly from an animal into the

- human population.
- 23 Q Okay. And they have happened before?

A They have certainly happened before. And I think what's an important thing to understand here is that they happen extremely frequently, but we don't notice most of them because these viruses don't go on to causing outbreaks, they don't go on to
causing epidemics, they certainly don't go on to causing pandemics.

Luckily, these major public health emergencies are still relatively rare. So, again,
they happen all the time, but they happen under the radar. We simply just do not
notice them.

6 Q So are two of the big ones before SARS 1 and MERS, is that fair to say, two of 7 the big zoonotic events before?

8 A No. All prior epidemics and pandemics have been zoonotic. And when 9 we are talking about small clusters of outbreaks too are also, in the vast majority of cases, 10 linked to zoonotic events. So, again, the zoonotic events are extremely frequent.

Q But pandemic, like, pandemic-wise, SARS 1 and MERS were zoonotic events?
 A So they're not -- sure, but they're not pandemics.

13 Q Epidemics?

A We're looking at -- epidemics, yeah -- MERS, again, isolated clusters. If we talk about just -- I mean, these are just -- now you're just mentioning the coronaviruses. We have Ebolas and the Zikas and many others that we have to consider.

But we just focus in on the coronaviruses, then, yes, those are some of the major ones, but we also have the common cold coronaviruses which have been with us for a long time, which would have been a novel emergence from an animal host too, to cause potentially wide-scale and significant pandemics that have not been recorded in history.

21 Q Were some of the spillover prevention strategies that you mentioned 22 regarding the wildlife trade instituted in China after SARS 1?

A I do not know the details of exactly what was instituted. But, yes, China had several efforts, including their national surveillance program for emerging pathogens that was set up as a result of SARS 1, which failed to detect SARS 2, because, again, this was actually done at the level of the hospitals and not, like the China CDC would like to
report, as part of the system.

There were regulations to the wildlife trade certainly immediately following the
epidemic of SARS 1, similar to what was instituted almost immediately following the
emergence of SARS 2, where wildlife trade, to a large extent, was banned.
The same thing happened, and I think in general this was not supposed to happen.
SARS 1 was something that China certainly covered up for a long time and was, I believe,
embarrassing, because, again, clearly linked to the illegal wildlife trade. And I think they

9 did a lot of things to try and at least make it appear as if this was not going to happen

- 10 again, except of course it did.
- 11 Q Do you think China covered up the emergence of SARS 2 at all?
- 12 A Covered up is a strong word. I will say, have they given us all the
- 13 information on the emergence of this virus? No. Have they been obfuscating? Yes.
- 14 Q In your experience, what would you consider a laboratory or a
- 15 research-related accident?
- 16 A There are multiple versions of laboratory accidents that could lead to human 17 infections.
- 18 Q Would it be better if I just ask, like, four scenarios, and you can just say yes?
 19 A Sure, yeah.
- Q Okay. A researcher manipulating viruses in the lab and getting infected?
 A That's a -- yes. That's a, quote/unquote, lab leak, yes.
- 22 Q A researcher conducting serial passage on a naturally occurring virus and 23 getting infected?
- 24 A Yes.

25 Q A researcher simply working with a naturally occurring virus in the lab and

1 getting infected?

2 A Yes.

3 Q A researcher getting infected during field work and bringing it back to the 4 lab?

5 A Well, that is where there is a gray zone, because if a researcher goes into a 6 bat cave, versus if a farmer goes into a bat cave, if the researcher gets infected, that's not 7 a lab leak. That's a natural occurring into an individual who is engaged in research 8 activity.

9 But these kinds of encounters, again, happen frequently because people enter
10 caves all the time. Cave tourism go into caves a lot more than researchers do.

11 So I will say this is a gray zone. It depends on where the infection happens.

12 I'll say in our papers on this, we have not specifically considered this particular one
13 as a lab-associated accident because, again, it would be something that would happen in
14 the field.

15 Q You discussed a little bit zoonotic spillover prevention strategies. What 16 would laboratory- or research-related prevention strategies look like?

17 A Yeah. I think there is -- I'll say, a few things we have learned during the 18 pandemic is that we have learned a lot about the diversity of coronaviruses, specifically 19 SARS 1-like and SARS 2-like coronaviruses.

20 Prior to the pandemic, we didn't really know a lot -- we knew a lot about SARS 21 1-like viruses, but we didn't really know a lot about SARS 2-like viruses. Multiple other 22 very similar viruses, the BANAL viruses, for example, we have found these in pangolins, 23 and we have found these in bats, and they're very like SARS 2.

We also know they can infect human cells. They utilize the ACE-2 receptors,
similar to SARS 2. And I think that, in itself, raises some questions about how we survey

for these viruses, how we manipulate them in the labs, how we try to culture them in thelabs.

Where previously this was typically done in a BSL-2 environment, biosafety level 2
environment, maybe a biosafety 2+, as it's called, which is respiratory precautions, would
probably be the way to do it.

And this is not just in China, to be clear. This is generally the standard for
culturing novel bat viruses, not just coronaviruses. In fact, my own lab is approved to do
this, although we have never done it successfully, but the approval of this is typically at
biosafety level 2.

10 I think we need to reconsider that. I don't think we need to stop that work,
11 because I think that work is what led to our antivirals, our vaccines. I think the work
12 itself, I think, is very important. But I think we need to reconsider whether this should
13 be at BSL-2 versus, for example, BSL-3.

And my own view on this is that given what we have learned during the pandemic, I believe that our biosafety levels around these viruses in general needs to be upgraded and better regulated internationally. The issue here is that there are no international standards for any of this, and I, frankly, think that it's time that we get those.

18 Q Thank you.

And the premise of this question, I'm not saying it hasn't been investigated
 thoroughly, but is it important to investigate both possible pathways thoroughly?

A I think that what's important to understand here is that there isn't one specific pathway that's being studied. Like, our own studies were not focused on understanding specifically a zoonosis versus a lab leak.

Our initial hypothesis -- my initial hypothesis -- was a lab leak. But the studies
are agnostic to the potential emergence whether that be lab or the zoonosis.

36

1 Had all the early cases clustered around the Wuhan Institute of Virology would 2 clearly point to the lab leak. Had any evidence of this particular virus or parts of this virus having been at, for example, the Wuhan Institute of Virology prior to the pandemic 3 4 would very clearly point to a lab leak. So the question itself is agnostic to where might it come from. And I think it's 5 6 important to understand that our studies is just looking at what is the evidence and what 7 does the evidence point to, and clearly it points to a natural emergence via the illegal 8 wildlife trade in China. 9 Had it been a lab leak, had it been associated with the Wuhan Institute of 10 Virology, I suspect that that's where the data itself would've led us to. 11 Q I have about 10 or so minutes left in this hour. I'm going to run 12 through -- and we can do this quickly -- kind of like when you first heard of what became 13 COVID-19, what the process was. А Sure. 14 15 Q So it was first reported December 30th, 2019, on ProMED, which I've now gotten wrong and right like three different times. There's PubMed and ProMED. I'm 16 learning all kinds of things. 17 18 Α Sure. 19 Q And then China first officially reported it December 31st. Is that around 20 when you first learned? I think I probably didn't. Like, maybe the 1st or the 2nd of January or so. I 21 А didn't get it directly from ProMED. I think I probably got it from colleagues, Twitter or 22 23 something like that, yeah. But early new year. Do you recall when the genomic sequence was first publicly available? 24 Q 25 А January 10th, yeah.

1 Q Do you recall who made it publicly available? 2 А My colleague, Eddie Holmes, Wyatt Biological, which is now hosted by Yes. my lab actually, but my colleague, Andrew Rambaut. 3 4 Q Did he -- do you recall who he made it publicly available on behalf of? Fong Shun (ph), so, yeah, who is an independent researcher in China. 5 Α 6 Q And the next day his lab was shut down for recertification. Do you know what that means? 7 8 А I have no idea what that means. I do know that the retaliation against 9 Fong, in general, has been significant, yes. 10 0 Can you elaborate a little bit more on what the retaliation that you know of 11 was? 12 А That I know of is that his career paths, his ability to be -- to do research, was 13 severely hampered following that release of that genome. Q So with that, and you said before China hid -- "hid" may be a strong 14 word -- but SARS 1 data, has obfuscated some of the research into SARS 2. 15 Is that common? Do you believe that's common in Chinese practice to gag or --16 This is not specific to China. We can look at our own response to SARS 2. А 17 Did we cover that up? To an extent, because we weren't really testing for it. I have 18 19 seen the same sort of practices early. It's important that we understand early during an 20 outbreak. We don't yet know it's going to be a pandemic. It's, generally speaking, 21 chaos on the ground. I've seen this personally. I was in West Africa during the Ebola outbreak, for 22 example, just as that was emerging, during; after too. And it was the same sort of things 23 where, I think, what will later be seen as obfuscation is common practice. And there's a 24 25 few reasons why, which is that maybe it'll just go away. I mean, China closed down the

1 Huanan Seafood Market.

And if you look at the experience with SARS 1, in which they reacted very slowly, markets remained open during the early phase, and they were obfuscating. They did not -- I mean, they didn't notify the WHO, for example. That took months. Is that they acted much faster here. They shut down the market. Later on they shut down the wildlife trade. They also shut down Wuhan, the city itself.

And I think maybe they thought that while that should have been sufficient -- and
had it been SARS 1, it would be, have been sufficient. We would never have had a
pandemic. I think it would just have been stopped there, and then, well no big deal.
Except this wasn't SARS 1, this was SARS 2.

So from that perspective I think is, again, is not just common practice. And I will say, a lot of the early communication and evidence that came out of China from Chinese researchers, as you say, these are Chinese researchers, it's not China the state we're talking about, it's not the CCP I'm talking about, I'm talking about Chinese researchers, early in January and to an extent in February too, via their own China CDC Weekly, for example, publishing really raw accounts just on what was going on, on the ground, the disease itself, the virus, identification of the virus.

So I will say, from that perspective, I think a lot of that was actually fast, and it was open. But, yes, there was obfuscation. There was probably the hope that maybe this won't go anywhere. And that has certainly continued and only massively increased to this day, has gotten much, much worse, of course, I would say, post that January,

February of 2020.

1

- 2 [8:29 a.m.]
- 3 BY MR. BENZINE:

Q What has changed? What have you seen that's like post January,
February 2020 that's gotten worse?

A I think the restrictions on the flow of communication and data where I think the -- I mean, just genome sequences from viruses, for example, stopped. Nothing else came out of China for quite a while. And while I don't know the details, there were regulations on what you can publish, who can publish, it needs to be approved at the ministerial level. I think things like that, which happened post January and February, and it really stifled, I think, a lot of the research that would then come.

12 Q Can you explain the importance of the sequence of the virus and what the 13 sequence can tell us?

A The sequence of the virus, first of all, most importantly, tells us what this virus is. That just requires one genome. Once we have that first genome, we can start to develop diagnostics, therapeutics, and vaccines. That's really critical. That just requires one genome.

Once we start to get additional genomes, especially as they are associated with early cases, is that we can start to get an understanding of the timing of these events. And the reason for that is that while genomes mutate at a pretty constant rate, and it allows us to do what's called a molecular clock, and then we can use that to figure out what do we think is the most likely timing of the outbreak itself.

And I did -- in fact, I think I probably did the earliest. And Aleksei was on this, as well as my colleague Andrew Rambaut, and the very earliest analyses we did pointed to mid-November or so, late November, maybe even early December to the timing of this, 1 and that's only held up as we have gotten additional genomes.

Then we can start using these genomes, too, to understand how does this connect
to the wider diversity of viruses out there; i.e., where is this most likely coming from?
For example, identifying bats as the very likely reservoir of this.

5 It's easy, right? We can start to just look at is there anything unusual around this 6 virus, but with the understanding that because this is a pandemic virus, it has to be 7 unusual, quote, unquote, unusual. If it wasn't, it wouldn't lead to a pandemic.

8 We can look at novel features of this virus and try and get an understanding of 9 what are some of the specific features of this virus that might make it more transmissible, 10 for example, than SARS-1. The furin cleavage site is an example that's been talked 11 about, which I certainly think is important. The receptor binding domain of a virus is 12 also another critical importance.

But I think what's really important to understand here, though, is that all of these features come together to create a whole virus. It's not a single feature that makes this a pandemic virus. It's the whole virus that makes it a pandemic virus.

16 Importantly, what we know from previous lab leaks, for example, of SARS-1, flu of 17 1978 -- '76 -- is a good example, too, is that because we already knew that this virus 18 actually existed, we knew that it was in labs, we knew that if it was a natural emergence,

19 it must have evolved over time with flu, for example, and it didn't.

20 So then it can't really be a natural emergence. It has to be associated with some 21 sort of -- most likely associated with another activity. And probably the flu is associated 22 with vaccine trials, probably with a challenged virus that then led to an epidemic. Not, 23 quote, unquote, a lab leak, I would say, but associated with human activity.

SARS-1 is a good example where you have what's initially a novel virus but then
we see it because it causes an epidemic and it gets cultured in labs and that can then lead

1 to lab leaks.

2	What's really important to understand here is that that virus has already, quote,			
3	unquote, been preselected from its human infectivity. That's why we're studying it.			
4	Most viruses, as I said, the vast, vast, vast majority of viruses we don't notice. So			
5	we don't study them in labs because we don't know about them. And that's why the lab			
6	leak of a novel virus versus the lab leak of a known virus is probabilistically very, very			
7	different.			
8	But very importantly is that if we had had any evidence of SARS-2, for example, of			
9	parts of SARS-2 having been used for research before, we could have seen that directly			
10	from the sequence itself, and we could have, based on that, could, for example, have			
11	associated it with research activity. It's just SARS-2 doesn't actually have any of those			
12	features.			
13	Mr. <u>Benzine.</u> Thank you.			
14	My time is coming to a close, but if you will absolve me of a couple extra minutes,			
15	I will take them.			
16	I'm going to introduce majority exhibit 2.			
17	[Andersen Majority Exhibit No. 2			
18	Was marked for identification.]			
19	BY MR. BENZINE:			
20	Q This is a page from Dr. Farrar's book called "Spike." Have you read his			
21	book?			
22	A I have not read it in detail, but, of course, I have been involved in the I was			
23	interviewed. Not by Jeremy himself, I should say, but by the author.			
24	Q Okay.			
25	In the middle of the page on the right-hand side			

1 A Yep.

2 Q -- underneath the little coronavirus dots, it says, "Eddie" -- I'm presuming 3 that's Eddie Holmes --

4 A Yes.

Q -- "has screenshots taken from social media in China about the coronavirus
sequence. They suggest the full genome was known by a genomics company in China by
27 December 2019."

8 A Correct.

9

Q Were you aware of that?

10 A Not at the time, no. But, yes -- no. At the time, no, I was not aware of 11 that. But I believe this -- Eddie -- I think Eddie has showed me some of the text 12 messages of whatever he had. So I believe this information to be accurate, but I did not 13 know it at the time.

14 Q That was going to be my next question. Did Dr. Holmes share any 15 information suggesting this to be true?

16 A He did, yeah. Not -- I can't remember when he did that. It certainly 17 wasn't in January and February, for example, it was later, especially because there's been 18 so much focus on who released the first genome and all for around that time.

19 Q Why -- and I'm now asking you to speculate -- but would there be a reason 20 to hold off on the sequence between the 27th of December and January 10th?

21 Mr. <u>Rowley.</u> By who?

22 Mr. <u>Benzine.</u> By China.

Dr. <u>Andersen.</u> Yeah. I think -- well, the thing -- again, so this is not specific to
China.

25 Now, I'll say Eddie and I, for example, disagree on this, because I think Eddie

believes that because they had it on the 27th of December, they should have released it
 on the 27th of December.

And I can see the argument for that, but what's really important is that we didn't know. I don't think they knew at that time. They just have a wild genome, but we find novel wild genomes when we start looking for wild genomes. It doesn't mean they caused of disease.

I don't think they knew how good the quality of the genome was. So that
requires additional sequencing. So I think there is a quality step that needs to go
through to say, like, look, we need to make sure that this evidence is actually accurate.

10 And also, importantly, we need to show that actually, yes, this is probably what's 11 causing a novel cluster of outbreaks, and that takes time. And, also, all of this needs to 12 go through all kinds of approval levels.

Again, none of this is specific to China. The same would be true here in the United States. We do this work. We have sequenced probably close to 100,000 genomes now of SARS-2. And when we see a novel variant emerging, for example, like the alpha variant, is that there were delays between us detecting that and us being able to release the genome for the simple reason that we have to alert the public health authorities. They need to talk to their bosses. They need to get the sign-off on the San Diego County Public Health, going to the California Public Health, going to the CDC.

20 These things take time.

So I think that's why one needs to understand that, look, there is going to be some
delays built into this process.

Do I think that China could have released the evidence earlier? Yes, absolutely.
But how much earlier? They did on, again, the 10th, basically, because Eddie forced
their hand, which I deeply appreciate.

1	Could they have released it, say, a week earlier? Yeah, probably. Would that
2	have made a big difference? Probably not. But early data and open data is always
3	important.
4	Mr. <u>Benzine.</u> Thank you.
5	We can go off the record.
6	[Recess.]
7	Mr. <u>Pellegrini.</u> We can go on the record.
8	EXAMINATION
9	BY MR. PELLEGRINI:
10	Q Dr. Andersen, my name is Giancarlo Pellegrini. I'm minority chief counsel.
11	Thank you for coming in and talking to us today.
12	I'm going to ask you a number of questions. All the same guidelines that you
13	discussed with my colleague also apply to our conversation.
14	I would like to fast-forward a little bit. There was a February 1st, 2020,
15	conference call that I think you were on. You're familiar with that call?
16	A I am familiar with that call.
17	Q I imagine you would be.
18	Subsequent to that, there was the proximal origin paper, which you were
19	co-author of. You're familiar with that paper as well?
20	A Absolutely.
21	Q Great.
22	So there's been a substantial amount of time and attention devoted to the
23	question of who organized that call and who subsequently was sort of driving or leading
24	the proximal origin paper. And I'd like to talk a little bit about that.
25	It has been, I think, suggested that Dr. Tony Fauci and/or Dr. Francis Collins were

1 the leaders and the drivers of the call and of the paper as well?

2	I will say, from our point of view here in the minority, when we look at the
3	documents that we have received from yourself and elsewhere, it does not really look to
4	us like that is the case. It seems like Dr. Jeremy Farrar was pretty central to the call
5	coming together and to the idea that there would be logic in writing a paper on the
6	origins of SARS-CoV-2, and then subsequently in the process of the paper being written
7	and where is it going to be published and what would the communication be.
8	So that's our perception, and that Drs. Fauci and Collins were on the call, asked,
9	perhaps, a couple questions, are on some of these emails, but were not driving the events
10	in the same way.
11	So let me just ask as a threshold matter, is that generally your recollection as well?
12	A That's generally correct, yes.
13	Q Okay.
14	So what I'd like to do is go through some documents and just explore the extent to
15	which they are consistent with that understanding.
16	A Sure.
17	Q So I'm going to introduce what will be marked as minority exhibit A.
18	[Andersen Minority Exhibit A
19	Was marked for identification.]
20	BY MR. PELLEGRINI:
21	Q I'll give you a second to just glance at it.
22	A Yep.
23	Q I will say the documents that I will be showing you will be marked in the
24	bottom right-hand corner with "Garry" and then a particular number. You can likely
25	deduce that we received them from Dr. Garry. So they will be documents that are, in

- 1 most cases, identical to documents that we also received from you.
- 2 A Yep.
- 3 Q It was just easier, the format in which he gave them to us, for us to print.
- 4 A Sure.

Q And so in this case we have the document Bates stamped Garry 6, and this is from February 1st of 2020. These emails run in reverse chronological order. So the back of the page is the earliest communication. And I just kind of want to direct you to some of this email. This is from Dr. Farrar, and it's to a relatively large group, which I take to be the attendees on the subsequent conference call. I don't know if you have a similar impression.

11 A Yeah, I do. Of course, what's important here is that I can't actually 12 remember everybody who was on that conference call because not everybody chimed in

- 13 on the conference call itself.
 - Q That makes sense.

And in Dr. Farrar's email, which you can see on the back page here marked Garry 7, it looks like he's sort of setting the scene for the call. And I think I want to start at the bottom of that email. It looks like Dr. Farrar is providing an agenda for the call.

18 A Yes.

14

Q And he's assigning different tasks to different people, which we can deduce
from the initials there.

21 A Yep.

Q And it looks like he's assigning himself a few jobs. He is going to give the introduction to the call. He's going to lay out the focus of the call, the desired outcomes of the call. And then at the end he's going to summarize the call and give next steps from the call.

1	Do you agree with my reading of that?				
2	A That is correct, yes.				
3	Q Great.				
4	And then a little bit above that in bold he says, towards the top of that page: "I				
5	will be on email throughout. Email Paul or I, Paul if any problems."				
6	The only Paul I see in the cc line is Paul Schreier, who has a Wellcome email				
7	address. Is it fair to assume that that is Paul?				
8	A I don't know of any other Paul.				
9	Q Sure.				
10	A So I assume so, yes.				
11	Q And so being at Wellcome, I would take it that that's a colleague of				
12	Dr. Farrar's at the Wellcome Trust?				
13	A Correct. Yeah, Dr. Farrar is the head of the Wellcome Trust.				
14	Q And then Dr. Farrar says: "If you cannot make it, I will phone you				
15	afterwards to update." And then his very top email there on the first page, Dr. Farrar is				
16	sending dial-in details.				
17	So just sort of putting together the wholeness of that email, Dr. Farrar is laying out				
18	the agenda, making an introduction, giving the focus and the outcomes, the summary,				
19	the next steps.				
20	A Yes.				
21	Q He's serving as tech support for anybody who has trouble on the call. He's				
22	going to call anybody who misses the call afterward. And he's giving the dial-in, which,				
23	from the +44, I take to be a British number.				
24	A Right.				
25	Q Does it sounds from all of that as if it was Dr. Farrar's call?				

1	A This was Dr. Farrar's call, yes.
2	Q Okay, great.
3	I'm going to introduce what I will mark as minority exhibit B.
4	[Andersen Minority Exhibit B
5	Was marked for identification.]
6	Mr. <u>Rowley.</u> Did you say B?
7	Mr. <u>Pellegrini.</u> B, as in boy. We're going by letters here on our side.
8	BY MR. PELLEGRINI:
9	Q I'll give you a moment to look that one over.
10	A Uh-huh.
11	Q So this is the same day, and it looks as if maybe this is just an email
12	discussion occurring right after the call.
13	A Correct.
14	Q Okay. And the document, which is Bates numbered Garry 25, at the
15	bottom of the first page, we have an email from Dr. Farrar, and there's a paragraph in the
16	middle of his email that starts with: "We on this call."
17	Do you see that paragraph?
18	A Uh-huh.
19	Q I'm just going to read out loud the second half of that paragraph, which says:
20	"In order to stay ahead of the conspiracy theories and social media, I do think there is an
21	urgency for a body to convene such a group and commission some work to draft, quote,
22	'to understand the evolutionary origins of 2019-nCoV, important for this epidemic and for
23	future risk assessment and understanding of animal/human coronaviruses.'"
24	And then his next sentence talks about, in other words, a completely
25	open-minded and neutral question.

1 So it sounds to me, but I would appreciate your point of view, as if this is the 2 beginning of sort of a process in Dr. Farrar's mind where he's thinking, hey, there would be value in somebody examining from a scientific point of view the evolutionary origins of 3 4 this virus. Does that sound fair? 5 6 А With the caveat that I don't know because Jeremy Farrar would be the only one to know. 7 8 I don't actually think he's talking -- so just to give a little bit more background 9 here, I think it's important, because the conference call is separate from the paper 10 proximal origin. 11 The conference call was called because Jeremy Farrar had reached -- and, actually, 12 let's -- let me give this -- because we all outlined this in an email to John Cohen in July of 13 2020, the details of exactly what led to this particular conference call. Mr. Pellegrini. Can we go off the record for one moment? 14 Dr. Andersen. Sure. 15 [Discussion off the record.] 16 Mr. <u>Pellegrini.</u> Let's go back on the record. 17 Mr. Rowley. Well, can we stay off for just a second? 18 19 Mr. <u>Pellegrini.</u> We'll go back off the record, please. 20 [Discussion off the record.] 21 Mr. Pellegrini. We can go back on the record. 22 Dr. Andersen. So you have this in your own exhibits where we outline all of this 23 in July of 2020. So Jeremy Farrar had heard rumors about a potential association with the Wuhan 24 25 Institute of Virology. I don't know the details about that, but he had heard these rumors

1 in early January 2020.

And he reaches out to his old colleague and friend Eddie Holmes, who is also a
colleague, a friend of myself, asks Eddie about these rumors because Eddie Holmes is one
of the most respected scientists, has written books on -- the primary books on viral
emergence.

Jeremy asks Eddie: Is there anything to these rumors? Eddie takes a quick look
at the data and basically says to Jeremy: No, I don't think so. And then leaves it there.
And I think this appeared, like based on -- I'm, obviously, not privy to those
conversations, but this happens on January 27th, according to what Eddie and Jeremy
later said.

I get prompted -- I have been looking at just the genome in general but mostly
focused on just understanding the virus. I hadn't really consider the origin question yet.
I get prompted to do that a little bit more specifically by my chairman on the 30th of
January.

15 I take a quick look at it, and I'm aware of some of the work at the Wuhan Institute
16 of Virology, but I don't really see anything sort of that concerns me. But I decide to take
17 a closer look after being prompted by, again, my chairman at the institution.

I do so and I start seeing things that concerns me, the furin cleavage site, for
example, but also just a few things that to me looked unusual at the time.

20 What's really important to understand here is that I'm an expert on viral evolution 21 and emergence. I study the emergence of viruses. But I'm not a coronaviruses expert. 22 I certainly wasn't at the time. I had not studied coronaviruses previously in any great 23 detail. So there were many things around just the diversity of coronaviruses that was 24 unfamiliar to me at the time.

25 When I see these things, it's late at night, I think probably on the 31st, so a day

after. Because it's late at night and because I know Eddie is in Australia, he's going to be
 up, he's awake, and he's a trusted colleague. So I reach out to him and I explain to him
 in some detail what my very, very early findings were and why I was concerned about it.

And Eddie's expertise and my expertise, while it certainly overlaps a lot, and
understanding viral emergence, I have a little bit of a broader background, having done a
lot of wet lab work, including manipulating viruses as part of my Ph.D., for example, and
understand the wet lab side of things a fair bit better than Eddie does. But then he
understands the ecology side better than I do.

9 And I sort of explained to Eddie that, look, we can't just dismiss this possibility out 10 of hand because of the type of work that was ongoing at the Wuhan Institute of Virology 11 and knew about biosafety levels. And I talked to Eddie here, and he sort of realizes that 12 his early dismissal of this was probably not the correct thing to do.

He does not name -- he doesn't mention Jeremy's name on this initial phone call I have with Eddie. In fact, I think he calls him his handler. And I think this comes from the fact that, again, I think when Jeremy heard these, quote, unquote, rumors, I believe it's the intelligence community in the United Kingdom, he's talked about burner phones in his book. So I believe Jeremy was working at a level in which he didn't want interference, potential interference.

So Eddie contacts Jeremy. Jeremy then contacts me the next day, and that's
when I realize it's Jeremy Farrar, because, again, I didn't know that based on the
conversation with Eddie. We talk about my concerns and talk about, like, look, we need
to get a group together to discuss these concerns that I have, and we need to select
people that are unconflicted. So we did.

Ralph Baric, for example, is a name that came up. We all know Ralph. Ralph is
a very important coronavirus biologist. But we also knew that Ralph had very close

associations and collaborations with the Wuhan Institute of Virology, for example. So if
this did, in fact, originate from a lab, then, of course, he would not be a person to have on
a call like this.

Peter Daszak is another person that, of course, came up for the same reasons,
because they had been co-publishing.

I should say that I'm not at that time, I'm not familiar with grants going to the
Wuhan Institute of Virology or anything about that. But we're talking about just
publications they co-published.

9 So we get a group of international scientists. These are primarily from Europe.

10 I actually think maybe all of them are from Europe -- Christian Drosten, Ron Fouchier,

11 Marion Koopsman -- that have different perspectives on coronaviruses and

12 gain-of-function research from Ron Fouchier, for example. And we decide to set up this

13 conversation for me to essentially walk through my concerns and then we discuss it.

14 And Jeremy gets all of this set up. He, I'm sure, has been in touch with Tony

15 Fauci at the time, reaches out to Dr. Fauci, asks him to call me.

I have a quick phone call with Dr. Fauci. I sort of relay what I have found and
what the early views of this is, round receptor-binding domain, the furin cleavage site, a
few other features.

And he, Dr. Fauci, specifically mentions the furin cleavage site in his email to me
following that telephone call, but he also makes it clear that he will contact the

21 intelligence community and sort of run it up the chain in the United States Government.

I don't know the result and outcomes of any of those other than the White House Office

of Science and Technology Policy did establish a meeting on February 3rd to look into this
as well.

25 But following that call, essentially what I recall Dr. Fauci saying is to the effect

of -- and I'm paraphrasing him here -- but basically saying that, look, if you think this came
from a lab, then you should really consider writing a paper on it so it can be peer
reviewed and judged by the community.

And I relayed back to Dr. Fauci that I feel that it's too premature. We need to
look at this more closely. We can't just publish a paper just based on no analysis at the
time. And, of course, he understands that and looks forward to the conference call in
which scientists can get a chance to discuss my findings.

And that's the purpose of the conference call, which, again, is completely organized by Jeremy. Jeremy runs the call. I honestly don't remember Drs. Fauci or Collins even chiming in on the call itself. I'm sure they probably had questions. But this is not their area of expertise and they were just there to hear the scientists discuss what I had brought up. And the discussion is primarily between myself and Andy Rambaut, Eddie Holmes, Christian Drosten, Ron Fouchier, and Marion Koopsman. Those are the main factors I remember here.

At that time, as I had relayed, was that this was not for the purpose of drafting a paper. I think Eddie was probably more focused on a paper already at that stage. I was more like, look, I just want to talk about this, and then I want to do some more analyses, and I want to look into this closer.

I think what -- if I have to -- and, again, I can't because I don't know exactly what
Jeremy means, of course. But I actually think he's likely talking about his later efforts to
have the WHO look into this because he wanted to -- he thought this was an important
question, and he thought it important that it would be something that an international
body would take up as a conference and have a group of experts discuss this.

And I know that later on he does put some pressure on the WHO to, in fact,
organize this, and I actually think that's what he's referring to in this particular email.

1 We keep in touch with him as part of our what later becomes the publication, and 2 I will say this sort of like role to like, no, we really should do a publication on this because we have found -- again, first of all, realizing that the very early idea about an engineered 3 4 virus here just does not make sense based upon the evidence available to us. But there are more legitimate reasons, for example, to consider a potential 5 6 cultured virus here. It is I think probably a week after or so is when we get to the stage in which, like, okay, we should actually prepare this for peer review publication, a process 7 8 mostly driven by Eddie as part of the author group here, but, of course, with all our 9 involvements. 10 Mr. Pellegrini. Okay. Well, that's helpful. That's helpful context. I 11 appreciate it. I think what we'll do is continue to look at a few emails on that general topic. 12 13 Dr. <u>Andersen.</u> Sure. Mr. Pellegrini. And so what I'd like to do is introduce what I will label as minority 14 exhibit C. 15 [Andersen Minority Exhibit C 16 Was marked for identification.] 17 18 **BY MR. PELLEGRINI:** 19 Q And so this document, Bates labeled Garry 59, I'll give you a second to glance 20 at it. I'm really only going to focus on the first page of this chain. 21 Up towards the top of this first page, we have an email from Dr. Holmes, and it looks like he's talking to you, but it's to the whole group. It's yourself and your 22 23 subsequent co-authors. 24 А Yep. 25 Q "Kristian, I think you are right that a careful report is the way to go. It says:

No need to super rush. Pass to Jeremy and let decide where to share it. Perhaps to
 the WHO group."

So as a reader, that seems to us as if this is a conversation about -- it's phrased
here as a careful report, but it seems like what will subsequently become the proximal
origin paper. Is that fair?

A Yeah, that's fair. But as you can see, here it's talked about as a report.
Again, I think this is -- and he's even mentioning the WHO group. So I guess what I just
said about this actually being for the idea of convening a WHO group to consider this
particular question, clearly that's what this refers to, yes.

10 Q For sure. But it does sound like it's a report that would at this point be 11 thought of as being written by yourself --

12 A Correct.

13 Q -- and your three co-authors.

14 A A report but not a paper.

15 Q Got it.

16 And it is at this point framed as, to the extent we do write that, let's give it to

17 Dr. Farrar and let him decide where to share it.

18 A Yeah, yep.

19 Q And he may share it with the WHO for the reasons you described?

- 20 A Correct, yes.
- 21 Q Okay.
- A But, again, the important aspect here is that -- have a report.
- 23 Q Yep.
- A So something that we consider to be internal to get the conversation going,
- 25 yes, as he was working on it.

1	Q	As distinguished from a fully published peer-reviewed paper?
2	А	Correct.
3	Q	Great.
4	А	Correct.
5	Q	All right.
6	I'd li	ke to introduce what will be marked as minority exhibit D.
7		[Andersen Minority Exhibit D
8		Was marked for identification.]

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BY MR. PELLEGRINI: And so this document labeled Garry 146 is from a few days later. This is Q now February 7th at the top of the chain, and it's still yourself and your co-authors. The chain itself is a little bit long. I'm really only going to focus on the third page, which is labeled Garry 148. You're welcome to glance at the whole thing if you'd like to. There's an email towards the bottom of page 148 that -- again, it's from Dr. Holmes -- and it looks like what he's doing is copying and pasting an email that he's received from Dr. Farrar. And I'll just read it out loud. It's from Jeremy. А Yep. Q And then in guotations: "Do you think in the report possible to dampen down further the conspiracy idea and make totally neutral? Talking with Marion last night and with the WHO meeting next week, both wondering whether actually publishing this sooner, but ruthlessly on the science, is worthwhile to put that flag down." End quote. So my read of that is Dr. Farrar is communicating with Dr. Holmes and sort of doing two things. You tell me if you have a similar impression. It seems like first he is offering a big picture strategic thought as to where the paper's emphasis might lie. In other words, is it possible in the report to dampen down further the conspiracy idea? And then separately he's talking to Marion -- that's Marion Koopsman. Is that right? А That's Marion Koopsman, yeah. And she is associated with WHO? Q Is that --А She is. She is. I mean, Marion is a big figure in the emerging infectious

1 disease field. She is a professor in Amsterdam, I believe, and is actually the boss of Ron 2 Fouchier. But she also has a WHO hat on and is often involved at the WHO level, yes. 0 Got it. Great. 3 4 And the report being referred to here, as we discussed, the word report is still what is being used, but it is what would subsequently become the proximal origin paper? 5 Correct, yes. But -- yes. Yes. 6 А And so is it fair to say that Dr. Farrar at this point is weighing in with 7 Q 8 directional substantive opinions about where the paper should ultimately head? 9 А Not a paper. Again, he's talking about a report here. 10 I think what this -- and, again, with the caveat that I don't know what Jeremy 11 himself means. But my recollection, at the time of the events, this is February 6th, is 12 that there were a lot of conspiracy theories that were associated with a lab leak, things 13 like bioweapons, for example. And the problem that we saw with that is that there are legitimate reasons for why we need to consider a lab origin as a plausible way in which 14 this pandemic could have started. 15 And it's important to have that discussion and to frame that in scientific language 16 of here's why we need to consider it and here's how we could investigate it further and 17 18 have a scientific conversation about that devoid of the conspiracy theories around 19 bioweapons and things that I believe, as a scientist, could be dismissed at the time. 20 But the issue around the lab leak, for example, or the, quote, unquote, lab leak, 21 which is -- I don't know why we started calling it lab leak -- but a research-associated incident, is that there are multiple different versions of that, as we talked about already, 22 23 and some of them are more reasonable than others. 24 Some of them I considered very early on to be unreasonable and, in fact,

25 problematic, because if I brought up the possibility of like, look, I think this could have

1 been a cultured virus because of the work that they were doing at the Wuhan Institute of

2 Virology, it should not be lumped into the same bin as, for example, I believe this is a

3 bioweapon and it's spreading across the world to kill Americans.

We need to separate out those two. And I think that is what he's referring to
here is to basically, from a trusted set of scientists with strong expertise in understanding
viral emergence, to lay down some scientific thoughts on exactly that discussion. And I
think that's what he's referring to here as part of the report.

8 Q Sure. To be clear, I'm not opining at all on whatever it is he's saying.

9 A Right.

10 Q I'm just saying in terms of characterizing what he's saying, is it fair to say, as

a reader here, that he is weighing in with what he would like to see from the paper, or the

12 report, as you say?

13 A Sure. Yes.

14 Q Okay.

15 Do we see anything in here where Dr. Fauci or Dr. Collins is weighing in with

16 similar points of view?

17 A Dr. Fauci and Dr. Collins did not at any time weigh in on what they saw or 18 preferred to see, no.

19 Q Okay. Thank you.

A Other than, again, to weigh in that they thought that whatever we did, we should consider a peer-reviewed publication, as is the scientific method.

- 22 Q I'd like to introduce minority exhibit E.
- 23 [Andersen Minority Exhibit E
- 24 Was marked for identification.]
- 25 BY MR. PELLEGRINI:

1	Q	This document is Bates stamped Garry 194. It's another instance of a
2	relatively le	ngthy email chain where I'm only going to focus on a very small piece of it.
3	It's a conve	rsation amongst yourself and your co-authors.
4	А	I remember this email.
5	Q	And a few pieces I do want to focus on starting on the very back page.
6	That's num	ber 201.
7	So ir	n the middle of that page, we have an email from Dr. Farrar, and it says:
8	"When can	you update? Lancet, Nature, NEJM. Will all review immediately after quick
9	QC. Will s	hare with WHO."
10	Wha	at is your understanding of what he's saying there? In other words, Lancet.
11	What is Lan	icet?
12	А	Well, these are journals. So I think basically what he is suggesting there is
13	that we sho	ould consider publication as opposed to the idea of a report, which is what has
14	been talked	l about up until then.
15	Q	And these are particular publications that are well-known, I assume, in the
16	field?	
17	А	Correct, yes.
18	Q	Okay.
19	А	These are some of the top publications. Lancet and the New England
20	Journal of N	Medicine are top medical journals, and Nature is a top general science journal.
21	Q	Okay.
22	And	if we sort of keep flipping through the document here, on page 199, at the
23	bottom of t	hat page, Dr. Holmes writes and I'm just going to read the first sentence of
24	the email:	"Jeremy wants us to publish our report somewhere. Thoughts?"
25	А	Yes.

Q Is it fair to say you wouldn't know personally, but just reading it, from your own recollection, that at this point Dr. Farrar wanted you all to publish your report somewhere?

A He would like to -- yes. I get the sense that from this, Jeremy Farrar -- and,
again, Eddie and Jeremy know each other. They were communicating at the time. At
this point, Jeremy is probably talking to Eddie and saying like, look, guys, I really think you
should consider publishing this, not just an internal report but actually as a peer-reviewed
scientific paper, yes.

Q I gotcha. Okay, great.

10 And then on page 195, the last piece of this conversation for our purposes, at the 11 top of the page Dr. Rambaut has an email, and this is consistent with what we just talked 12 about. The first line of that email: "Jeremy is pushing us to get a paper ready to go. 13 Probably Nature."

14 A Correct.

9

15 Q Does that sound consistent with, essentially, what you just said?

- 16 A Yes.
- 17 Q Okay.

18 A Then I have some comments on that, though.

19 Q Sorry?

A I mean, because my reply to that is: Sounds good. At this stage, seems a little more like a school project than a full-on scientific paper to me, but we can definitely get it into shape.

23 Q Yeah, could you talk a little bit about what you meant by that?

A I basically -- so I think of the group of the authors here, I had raised an

original hypothesis that -- well, the original, original hypothesis was engineering. But

1 basically I raised the hypothesis that this could possibly be a lab leak.

And I think we -- I felt at the time that the drafts that we had were not substantial
enough to be considered a scientific paper. That's because I'm probably a little more
detail oriented than most people. I probably felt that -- I mean, I was working around
the clock at the time.

But I probably also felt that, look, I want to spend some more time on this just
doodling around more, to do additional analyses. I was pretty convinced at that time of
a cultured version of a lab leak, a cultured virus, to be a very plausible hypothesis. So I
think that's probably what I'm referring to.

And, again, I'm the one holding back a little bit at this stage. And that continues.
I had several other comments to that effect.

12 Q Okay.

13 And so in terms of trying to figure out who is where, at least from this

14 conversation, it's Dr. Farrar sort of affirmatively pushing to get a paper published.

15 Yourself, a little more hesitant to go down that path.

A Correct. I mean, I'll say -- like, so what's important to understand here is that Jeremy is -- when Andrew is saying he's pushing, it's that I'm sure he's putting pressure on us to, like, look, guys, you really should consider getting a paper on this, but on whatever we do, on the science of this. There is no push to, like, you must publish a paper or you have to whatever, right, because these are -- again, these are -- both Andrew and Eddie are personal friends and have been for decades.

So his focus is on -- because he has a bigger picture view, he knows what's going on at the WHO, he also knows what's going on in the U.K. Government, probably in the U.S. Government, too, which we don't have any insights to, and he feels that, like, look, having a strong, good, scientific paper that has really looked at these particular hypotheses, added some evidence to it, added our expertise to saying, like: What do
 you guys think? You should think of that as a paper, not as a report.

I just think it's a little premature at this stage, which it is. It's 10 days prior to us
actually -- almost 10 days prior to us having a draft, a paper that we then submit to
Nature for consideration and, in fact, also put online at the time.

6 Q And so that whole back and forth that you just described is where Dr. Farrar 7 thinks X, you personally think Y.

8 A Yeah.

20

9 Q It does not appear from the email traffic -- I'm curious about your 10 recollection -- that at this point I see nothing in here saying: And Dr. Fauci is pushing us 11 to get another paper out as well, or Dr. Collins. It does not seem that way.

12 A Again, there is no involvement at any stage of the production of the paper 13 from Drs. Collins or Fauci.

Ibelieve, though, that because Jeremy was trying -- putting some pressure on the
WHO to get this conference where this could be considered, I think he might have
communicated with Collins on this separately. Because I remember that we talked at
the time that Collins was helping Jeremy basically to get a conference set up with the
WHO, and probably from that side Jeremy from the U.K. side, maybe Collins from the U.S.
side, putting some pressure on the WHO to consider the origin questions in general.

Q But you would not have personal knowledge of --

A I would not have personal knowledge. I did not have any personal communication with Fauci or Collins as part of this other than the email chain that is going on with the participants of the call in which I think Dr. Fauci has a single question, which relates to potential passage in animals, yes.

25 Q I would like to introduce minority exhibit F.

1		[Andersen Minority Exhibit F
2		Was marked for identification.]
3		BY MR. PELLEGRINI:
4	Q	There will be a certain amount of repetition here. So I appreciate your
5	patience.	
6	А	Sure.
7	Q	So this is a shorter chain. It's Bates numbered Garry 265. Still amongst
8	yourself and	l co-authors. And only really going to talk about the very first email here on
9	the front pa	ge at the top.
10	А	Yep.
11	Q	You got an email from Dr. Holmes, and I will just read out loud his second
12	paragraph,	which simply says, the first sentence: "Jeremy still wants us to write
13	something.'	
14	Jerei	my, being Dr. Farrar. Is that fair?
15	А	That is correct, yes.
16	Q	And I know that you did not write this email, but do you read it as suggesting
17	that at this _l	point, Dr. Farrar still wanted you all to write something?
18	А	Correct.
19	Q	All right.
20	And	it does not say: "Tony and Francis still wants us to write something." Is
21	that right?	
22	А	That is correct, yes.
23	Q	And I think, as you just explained, you do not have a recollection of Drs.
24	Fauci or Col	lins being involved in the paper at this point?
25	А	Again, Drs. Fauci or Drs. Collins, other than suggesting that we should

consider writing a scientific peer-reviewed paper as part of the conversations on
February 1st, and the phone call I had with Dr. Fauci the day before, had no involvement
in the drafting of this particular paper.
Q Okay. Thank you.
I'm going to introduce minority exhibit G.
[Andersen Minority Exhibit G
Was marked for identification.]

2 BY MR. PELLEGRINI:

Q So this is now February 14th, this document, which is Bates numbered Garry
283.

Yourself and your co-authors. Again, sort of a long conversation. I can just sort
of pick us up on -- well, let's see if you recall this conversation. There is a general
discussion on -- really throughout the whole chain. I'll try to characterize it. You tell
me if I'm sort of getting it right.

9 There was a paper released I think by an author in China suggesting that a lab 10 origin is -- was possible or plausible, something to that effect. Do you have a

11 recollection of that?

12 A I think it's this -- so I was actually in the desert at the time. So I was in my 13 Jeep driving the Mojave Road. So my access to -- I did not have access to a computer or 14 to -- and very limited cell phone reception in and out every once in a while. So I was on 15 my phone at the time.

If I remember correctly, this was a Chinese researcher who put a preprint online
and, essentially, had noticed the same thing that we did, which was that the Wuhan
Institute of Virology is there and there are cases. I do believe he got the location of the
lab itself wrong, though.

20 But, yes, he, essentially outlined what we had outlined, which is that there is a lab 21 there, and that Wuhan is the site of the emergence.

22 Q And I think there was some discussion in here about particular types of work 23 that are done at the Wuhan CDC but not the Wuhan Institute of Virology.

24 A Right.

25 Q And there is discussion about whether or not to include a mention of that in

your own report or paper, and it looks like Dr. Holmes feels like if you do include that 1 2 information, he'll need to recuse himself because he's an author on whatever it is you're discussing. 3 That's at the bottom of page 284. 4 А 5 Okay. Yes. And the reason I bring up all of that is further up on that page, Dr. Holmes 6 Q "I'll check with Jeremy. I'm now about as tarnished as Ian." 7 says: 8 А Right. 9 Q My read of that exchange, but I would appreciate your point of view, is that 10 that question Dr. Holmes raised about whether or not to include this information and 11 subsequently whether or not to recuse himself, he is saying let me go check with Dr. Farrar about all of that. 12 13 Α Yeah. So I don't know what Eddie is referring to. Q Okay. 14 I suspect that it's referring to whether he would think that Eddie's previous 15 А publications with the Wuhan Institute of Virology would mean that he has conflicts of 16 interest to publish the paper. 17 18 Q That's similar to how we read it as well. 19 А Okay, yeah. It does not have to do -- we had conversations about the 20 Wuhan CDC, but the Wuhan CDC is just any, like any regional lab, and it wasn't even clear 21 that it was actually operational at the time. So ultimately that's why we ended up just 22 not mentioning -- just not talking about the Wuhan CDC, because it just doesn't make any 23 sense. And so then if I could, at the bottom of the first page, there is an email from 24 Q "Maybe I missed it. Did Jeremy nix Ian or is he still on?" 25 Dr. Garry saying:

- I read that as the question of whether or not Dr. Ian Lipkin, who joined your paper
 late -- is that right?
 A Yeah, that's what -- yes. That's a typical Bob comment, though, because
- Jeremy was not the one who was deciding who was an author and who was not an
 author. We decided that.
- So I don't -- I honestly don't know what -- I can't remember when Ian became part
 of the paper. Maybe around probably a few days earlier than this.
- 8 Q As a reader, that email sure sounds like Dr. Farrar is exercising some degree 9 of control over who is or is not a co-author.

10 A He's absolutely not. That was at the authors, yeah, unless Bob has a 11 different recollection. Again, I was in the desert at the time. But I very much doubt so. 12 I think that Bob has -- sometimes he says things that to me doesn't really make any sense. 13 There's also no comment back. There's no reply as far as I can see to that particular 14 question.

Q Well, it looks like above Dr. Holmes says: "He's on."

16 A Okay, yeah.

15

Q Which seems as if it refers to the question of whether or not Dr. Lipkin -A Is part of the paper, but not whether Jeremy nixed him or not. Because,
again, Jeremy is not the one who -- he had heard separately from Ian Lipkin that he was
working on this question, too, and that's why he suggested to Andrew -- to Eddie that,
you know what, Ian is working on this, too. Maybe he can be part of your efforts.

And then Eddie sends around an email asking: Are you all okay with Ian being part of this because he's also working on it? And then we all chimed in and said: Yes, that's fine. I think I maybe said so in not so nice terms. But Eddie was fine to have him on as a co-author.

1	And, again, the decision on who is a co-author on a paper is, obviously, made
2	among the authors, not somebody like Jeremy Farrar.
3	Q Okay, great.
4	I'd like to introduce minority exhibit H.
5	[Andersen Minority Exhibit H
6	Was marked for identification.]
7	BY MR. PELLEGRINI:
8	Q So it's yourself and your co-authors. It's Bates labeled Garry 288,
9	February 15th. Dr. Lipkin is on this chain.
10	A Yes.
11	Q So he is at this point a co-author. And it looks like you all are almost
12	finished with the paper at this point.
13	A Correct.
14	Q An email from Dr. Holmes at the top of the first page says, in the middle of
15	that email: "I'll pass to Jeremy to see if he has any final comments and wants to be
16	acknowledged."
17	So is it fair to read that as any reader would, which is Dr. Holmes, it sounds like,
18	will pass the paper to Dr. Farrar for any comments and possible acknowledgment?
19	A Correct. Yes.
20	Q Okay.
21	And it appears at this point, at least from Dr. Holmes' point of view, that only
22	Dr. Farrar is being included in that way. Does that seem like a fair reading of the email?
23	A That is correct, yeah. I mean, obviously, we acknowledge Dr. Farzan is
24	acknowledged in the paper based on early conversations that I had with him in which I
25	felt like his thoughts helped me direct my thinking on this particular question.

1	But, yes, the only other one that would, I will say, be at a level of which you could
2	consider acknowledging because he has helped advise us through the process, although
3	he has not been involved in any of the scientific discussions around the paper, the
4	structure of the paper, the paper itself, it was just general leadership and, essentially,
5	helping us think more clearly about, for example, suggesting that you should consider this
6	as a paper and not a report.
7	Q Okay.
8	I'm going to introduce minority exhibit I.
9	[Andersen Minority Exhibit I
10	Was marked for identification.]
11	BY MR. PELLEGRINI:
12	Q So this document, Bates numbered Garry 306, is dated February 16th.
13	Yourself and your co-authors.
14	A Yep.
15	Q Another long-ish conversation, and I will focus on the top of page 307. It's
16	an email from Dr. Garry, and the second sentence of that email is: "Jeremy has been
17	amazing leader. Should be author."
18	Is that consistent with the idea that Dr. Farrar was a leader, an amazing leader of
19	the paper? Without suggesting there's anything wrong with that, but to the extent of
20	who is or is not a leader.
21	A Yes. Again, I mean, to the extent of a father figure helping us sort of
22	navigate the process itself, yes. Typically, would that justify authorship? Probably not.
23	So I disagree with Bob Garry that he should be an author. But, yes, he did play that role
24	model, leadership model, if you want.
25	Q And it's not your email. So I know speculation can be tricky, but at least at

1	this point, Dr. Garry is in a state of mind of identifying folks who have been leaders and
2	mentions Jeremy. Does not appear to mention Drs. Fauci or Collins. Do you agree
3	with that?
4	A I agree with that, and, again, there would be no grounds for even
5	acknowledging Drs. Collins or Fauci because they had played no role in the paper itself.
6	Q Okay.
7	I'm going to introduce minority exhibit J.
8	[Andersen Minority Exhibit J
9	Was marked for identification.]
10	BY MR. PELLEGRINI:
11	Q This document is Bates numbered Garry 492, February 17th. Yourself and
12	co-authors, as well as Dr. Farrar.
13	My questions will be about the first page of this document.
14	A Sure.
15	Q The email there at the top is from Dr. Farrar, and I'll read it.
16	"Sorry to micro-manage, micro-edit, but would you be willing to change one
17	sentence from, 'It is unlikely that SARS-CoV-2 emerged through laboratory manipulation
18	of an existing SARS-related coronavirus,' to, 'It is improbable that SARS-CoV-2 emerged
19	through laboratory manipulation of an existing SARS-related coronavirus'?"
20	So that seems to me as if Dr. Farrar is asking for a essentially, a line edit to the
21	paper. Is that fair?
22	A That is correct, yes.
23	Q Okay.
24	And ultimately, you all, as co-authors, agreed to make that change, presumably on
25	a scientific basis?

A The difference between unlikely and improbable, to me that doesn't mean the same, which is why I remember introducing it, sending him an email back and said, sure. But, again, he's also asking for our permission. And that, to be clear, is his only contribution to the content of the paper itself. Q Do you have any recollection of Drs. Fauci or Collins requesting line edits to

- 6 the paper?
- 7 A Absolutely not.

1	
2	[9:41 a.m.]
3	Mr. <u>Pellegrini.</u> I'd like to introduce minority exhibit K.
4	[Andersen Minority Exhibit K
5	Was marked for identification.]
6	BY MR. PELLEGRINI:
7	Q So this is a conversation document Bates-numbered GARRY 541. We're on
8	February 17th here. Again, yourself and your co-authors, as well as Dr. Farrar. I think
9	it's an extension of the same chain that we just looked at.
10	A Uh-huh.
11	Q I just would like to take a look at the bottom of the second page. That's
12	page 542. Starting with an email from Dr. Garry, it says, "Ian" and that's Dr. Lipkin,
13	right?
14	A That's Dr. Lipkin, yes.
15	Q Okay.
16	"Ian suggested a press release" because, if I could, at this point the paper is
17	essentially done. We're talking about the release of the paper. Is that correct?
18	A Preprint.
19	Q The preprint?
20	A Preprint, yes.
21	Q Okay.
22	So Dr. Garry says, "Ian suggested a press release. It's very appropriate under the
23	circumstances. Who will draft?"
24	And in the email above that, Dr. Farrar says, "I can get our Wellcome team in it
25	now, not in Wellcome's name, but to get done ASAP."

So, just in terms of reading the email and understanding who's saying what, is it 1 2 right that Dr. Farrar here is offering to have his folks at the Wellcome Trust write the press release for the paper? 3 Probably not to write it, but to help write it, correct. Although that didn't 4 А happen. But, yes --5 6 Q Okay. Α 7 -- that's the suggestion. 8 Q Okay. 9 And then at the top of the first page, 541, Dr. Farrar says in his second paragraph, 10 "As soon as the institutions or other that have to approve a press statement/release are back, would be very important to coordinate the lay messages from the piece, preferably 11 before the narrative gets written and broadcast by others." 12 So my only question is, is it right to infer that, at this point, Dr. Farrar is helping to 13 coordinate and be thoughtful about the messaging of the paper's release? 14 А Correct, I think the conclusions of the paper, yes. He is, again, knowing his 15 16 area of expertise, being outward-facing, that he is offering to help us essentially with what we conclude in the scientific paper and help with the lay language around that. 17 18 Which, again, didn't actually happen. 19 Q Right. 20 Α But that's the offer here, yes. Do you have any recollection of Drs. Fauci or Collins helping you to 21 Q coordinate the messaging of the paper? 22 23 А They did not. 24 Q Okay. 25 А Dr. Collins did ultimately end up writing a blog post after the paper was

75

1	published a	s a peer-reviewed paper.
2	Q	I'd like to introduce minority exhibit L.
3		[Andersen Minority Exhibit L
4		Was marked for identification.]
5		BY MR. PELLEGRINI:
6	Q	And this is another excerpt from Dr. Farrar's book. That's twice today.
7	And I will ju	st focus on a little bit of the paragraph underneath the three coronavirus
8	images.	
9	А	Yes.
10	Q	And I'm just going to read a portion of that paragraph. And this is
11	Dr. Farrar s	peaking.
12	"My	overriding concern was to get to the bottom of the origins of the virus as
13	quickly and	calmly and scientifically as possible. The first task was to discreetly gather a
14	panel of top	o-class scientists to ponder aloud about what we were dealing with. I set up
15	a conferenc	ce call."
16	ls th	at consistent with the idea that Dr. Farrar set up the conference call?
17	А	That is correct. That is, in fact, what happened.
18	Q	Okay. Great.
19	So, a	almost done with my, sort of, round of questions here. I would just like to
20	ask a couple	e of yes/no questions that
21	А	Sure.
22	Q	should go relatively quickly.
23	So d	id Dr. Farrar organize the conference call?
24	А	He did not. Oh, sorry. Dr. Farrar. He did.
25	Q	He did. Okay.

1	А	Yes.
2	Q	Did Dr. Fauci or Dr. Collins organize the conference call?
3	А	They did not.
4	Q	Did Dr. Farrar play a substantive role as you and your co-authors drafted the
5	paper?	
6	А	Not in the drafting of the paper itself, but as a leadership in helping us think
7	about how	we should think of this as a paper, yes.
8	Q	Did Drs. Fauci or Collins play a similar leadership role in the paper?
9	А	They played no role in the paper.
10	Q	Okay.
11	Did	Tony Fauci or Francis Collins ever threaten you or bully you or intimidate you
12	into concea	ling or altering the findings of your paper or in any other way?
13	А	They did not.
14	Q	Did Drs. Fauci or Collins try to suppress scientific inquiry into the origins of
15	COVID in th	e context of this paper or other contexts?
16	А	Not only did they not do that, they encouraged scientific inquiry into the
17	origins of th	e COVID-19 pandemic.
18	Q	Did Drs. Fauci or Collins ever threaten to revoke or withhold Federal funding
19	from you in	any way?
20	А	They did not.
21	Q	To be really clear, did Dr. Jeremy Farrar I know he played a leadership role
22	in the pape	r, organized the call. But did he at any point threaten you or bully you into
23	taking a par	ticular point of view on the virus's origins?
24	А	Not only did he not do that, he was highly encouraging in a scientific
25	approach to	address the origin of the COVID-19 pandemic.

1	Q Okay.
2	Well, with that, we can go off the record.
3	[Recess.]
4	Mr. <u>Benzine.</u> We can go back on the record.
5	BY MR. BENZINE:
6	Q I want to ask a few questions based off the last hour of questioning.
7	You were asked a lot about Dr. Farrar's role in the report and then the paper and
8	the process leading up to, including: He suggested journals; he coordinated with
9	Dr. Holmes on certain things; he suggested direction and scope, one drafting change,
10	suggested press and messaging strategy.
11	Why wasn't he credited?
12	A Again, Jeremy Farrar was not substantially involved in the paper itself, the
13	science of the paper, the writing of the paper. He suggested one specific change, which
14	we incorporated.
15	Typically, acknowledgements are for when you're acknowledging people that have
16	been involved in discussions around the paper, discussions around scientific concepts in
17	the paper, which is the reason why Dr. Mike Farzan is acknowledged.
18	I believe that Eddie Holmes asked Dr. Farrar himself if he felt like he should be
19	acknowledged in the paper, and I believe that Dr. Farrar himself suggested that he should
20	not.
21	Q Thank you.
22	You were also asked about if Dr. Fauci or Dr. Collins had a similar role to Dr. Farrar,
23	as I think you put it as like a father figure to the paper.
24	A Right.
25	Q And you said they didn't have that kind of similar role.

1	А	They did not. The only role, again, that they had was that they were
2	participants	s on the initial conference call, were interested in the question of the origin,
3	and had su	ggested that we consider drafting a peer-reviewed publication on the topic.
4	Q	Were you on every communication Dr. Farrar had with Dr. Fauci and
5	Dr. Collins?	
6	А	I wouldn't know. I don't believe so, no.
7	Q	Every phone call Dr. Fauci had with
8	А	No. I was only on that one phone call.
9	Q	Okay. So you don't know the extent that Dr. Farrar could've been
10	coordinatin	g with Dr. Fauci and Dr. Collins behind the scenes?
11	А	I would assume that, given that Eddie Holmes was in frequent contact with
12	Dr. Farrar, t	that would have been known. And, to the best of my knowledge, and given
13	the process	here, I have no reason to believe that either would've been involved in that.
14	But do I kno	ow for certain? No, of course not.
15	Q	Okay.
16	A co	ouple others on my first round. I asked if you had spoken to some people.
17	Have you s	ooken to Dr. Ian Lipkin since April 6th?
18	А	Since April 6, 2020?
19	Q	2023.
20	А	Oh, 2023? I sent him an email because he has recently had surgery. I did
21	not hear ba	ick from lan.
22	Q	Okay.
23	А	So, no.
24	Q	Have you spoken to Dr. Farzan since April 21, 2023?
25	А	No.

1 Q Have you spoken to Dr. Garry since June 9 --

2 A I have.

3 Q About what?

A Our research. We are close collaborators, so we communicate frequently
on ongoing research. We had an annual meeting last week. So, yes, we are talking
about --

7 Q Did you have any communications with Dr. Garry regarding this interview?

8 A This interview directly? He is aware that I had this interview today, yes.

9 Q Have you spoken to him about the answers that he gave during his

10 interview?

11 A I have not.

12 Q Okay. Thank you.

13 I want to move to the Wuhan Institute of Virology and some questions

14 surrounding the research that was going on there.

15 The Wuhan Institute has a biosafety level 4 laboratory within it. Can you explain 16 really briefly just the various levels of biosafety?

. ...

17 A Sure.

There's biosafety level 1, which is a general lab. No special precautions required
other than sterile techniques and things like that.

20 And then there's biosafety level 2, which requires hoods. And there's a version

of this which is biosafety level 2-plus, in which respiratory precautions likes masks, for

22 example, are worn. Things like gloves need to be worn in BSL-2, for example.

Then there is biosafety level 3, which is -- I'm not entirely familiar with what the

24 specific requirements are, but that's the next level up, in which you can start handling

25 what would be considered dangerous pathogens.

1	And then you have and I should say that biosafety level 3, there is a number of
2	those labs. They are quite abundant. For example, here at Scripps Research, we have
3	those facilities. So many institutions have those.
4	Then there's the final biosafety level, which is biosafety level 4, which is dedicated
5	to just handling the most dangerous pathogens, like Ebola, Lassa. And Wuhan Institute
6	of Virology, I believe, is the only such facility in China.
7	Q Have you ever collaborated professionally with the Wuhan Institute of
8	Virology?
9	A I have not.
10	Q Have you ever been to the Wuhan Institute of Virology?
11	A I have not.
12	Q All right.
13	I want to go ahead and introduce majority exhibit 3.
14	[Andersen Majority Exhibit No. 3
15	Was marked for identification.]
16	BY MR. BENZINE:
17	Q This is a fact sheet produced by the U.S. Department of State and discusses
18	activity at the Wuhan Institute of Virology. It was published January 15, 2021.
19	Are you aware of this document before I just showed it to you?
20	A I am aware of this document, although I do not recollect the exact content of
21	the document. Only in broad terms.
22	Q On page 2, there's a line that starts with the number 1, "Illnesses inside the
23	Wuhan Institute of Virology." And the first bullet reads, "The U.S. government has
24	reason to believe that several researchers inside the WIV became sick in autumn 2019,
25	before the first identified case of the outbreak, with symptoms consistent with both

1 COVID-19 and common seasonal illnesses."

2 Would an outbreak inside a lab be a data point suggesting to a laboratory 3 incident? А Illness within a laboratory in the middle of one of the most severe flu 4 seasons in 10 years would not specifically suggest COVID-19 inside that laboratory, no. 5 If those three researchers had what became COVID-19, would that suggest a 6 Q laboratory accident? 7 8 А That would certainly be a very strong data point towards a lab-associated 9 accident, should there be three researchers in November of 2019 that have confirmed 10 COVID-19, absolutely. 11 Q Are you aware of recent reports regarding sick researchers at the Wuhan Institute of Virology? 12 13 А I am aware of recent speculation to that extent, yes. I am not aware of any verifiable evidence or credible reports to that effect, no. 14 15 Q All right. Thank you. I want to introduce majority exhibit 4. 16 [Andersen Majority Exhibit No. 4 17 18 Was marked for identification.] 19 BY MR. BENZINE: 20 Q This is a cable from the U.S. Department of State that discusses the Wuhan 21 Institute of Virology. Are you aware of this document? 22 23 А I am aware of this document, yes. The summary on the first page, the last line says that the Wuhan Institute of 24 Q 25 Virology "had a shortage of highly trained technicians and investigators required to safely

- 1 operate a BSL-4 laboratory and a lack of clarity in related Chinese government policies
- 2 and guidelines."
- 3 What is your interpretation of that statement?
- 4 A Sorry. Where is this?
- 5 Q At the bottom of the paragraph marked no. 1, "Summary and Comment."
- 6 A I'm reading this that, as the date on which this is published, there is a lack of 7 trained staff to do some of the BSL-4 operations that they want to do.
- 8 Q Is it important to have technicians that are properly trained on BSL-4
- 9 conditions?
- 10 A If you're doing BSL-4 work, then, yes, that requires trained technicians.
- 11 Q To your own knowledge and recollection, was the Wuhan Institute of
- 12 Virology working with novel SARS-like coronaviruses?
- 13 A The Wuhan Institute of Virology was working with novel SARS-like
- 14 coronavirus in the sense that they were sequencing sampling from bats and had managed
- to successfully culture three of them, yes.
- 16 Q Were they doing any chimeric or recombinant work?
- 17 A They were, yes.
- 18 Q Under what biosafety level would you do chimeric or recombinant work on
- 19 SARS-like coronaviruses?
- A Typically, I believe this would -- the work itself was done on a BSL-2. And I believe that the animal work related to that, which large quantities of viruses could be produced, would've been done at BSL level 3.
- Q Is that what, to your knowledge, the Wuhan Institute was -- the level it wasdoing at?
- 25 A That is specifically what I'm referring to, yes --

1	Q	Okay.
2	А	based on the published record from the lab itself.
3	Q	Okay.
4	A	Yeah.
5	Q	I want to move on and introduce majority exhibit 5 and talk a little bit about
6	gain-or-rund	ction research and EcoHealth.
7		[Andersen Majority Exhibit No. 5
8		Was marked for identification.]
9		BY MR. BENZINE:
10	Q	So this is the NIH website for gain-of-function research involving potential
11	pandemic p	athogens. And just for clarity of the record, this is the version that was last
12	reviewed Ju	ıly 12, 2021.
13	Und	er the heading "Gain-of-Function Research," it says, "The term
14	'gain-of-fun	ction research' describes a type of research that modifies a biological agent so
15	that it confe	ers new or enhanced activity to that agent."
16	Do y	ou agree with that definition?
17	А	I have very little thoughts on what definitions on gain-of-function research
18	really mean	s. I don't engage in gain-of-function research. That is not my area of
19	expertise.	
20	Q	Okay. You don't engage in it at all?
21	А	Gain-of-function research?
22	Q	Yeah.
23	А	No.
24	Q	Okay.
25	The	n we can skip ahead a little bit and move on to questions about EcoHealth.

1	Prior to the pandemic, were you aware of EcoHealth Alliance?
2	A Prior to the pandemic? Yes.
3	Q Have you ever worked collaboratively with EcoHealth before?
4	A I have not.
5	Q Have you met EcoHealth president Peter Daszak?
6	A I have.
7	Q When did you meet him?
8	A Probably must have been the first meeting of these CREID centers, so NIH
9	annual meetings, which is probably the first one was maybe in 2021.
10	Q Does Dr. Daszak also have a CREID center?
11	A Yes, he does.
12	Q Do you have any personal feelings about Dr. Daszak?
13	Mr. <u>Rowley.</u> "Personal feelings," what do you mean?
14	Mr. <u>Benzine.</u> Like, how do you feel about him personally, not as a scientist?
15	Mr. <u>Rowley.</u> You mean, does he like him?
16	Mr. <u>Benzine.</u> Yeah.
17	Dr. Andersen. I have no comments to that.
18	BY MR. BENZINE:
19	Q What about his EcoHealth generally?
20	A I have been critical in general of some of the statements and claims they
21	have made in pandemic preparedness and have written a paper to that effect with my
22	co-authors Eddie Holmes and Andy Rambaut, published, I believe, in 2018 in the journal
23	Nature, which outlined, while we found that the type of work that EcoHealth Alliance
24	engages in, which is understanding the diversity of viruses in the biosphere, primarily in
25	bats, while that is important, is not a direct way to prevent pandemics. They can help us

inform. They can help inform policy. They're important for basic research reasons. But we felt that it's much more important to focus directly on the animal-human interface in terms of surveillance -- more surveillance, less prediction. And we wrote a paper on that, which was primarily because of some of the global virome projects that were led by Dr. Daszak and the EcoHealth Alliance that we were critical about. And that continues to this day. In some of your emails, you refer to them as "EgoHealth"? Can you explain that a little bit? I believe you said Dr. Holmes coined the term, but can you explain that a little bit more? Dr. Holmes coined the term, and I think it's a term of endearment. You know, Peter has a bubbling personality, I guess, and I guess that's why Dr. Holmes

13 referred to it first as that, and then we all took that up.

Q 14 Okay.

Q

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Α

Correct.

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I'll say, we don't do that today. This is in private conversations. 15 А

Q You testified earlier that you received Federal grants before. Did any of 16 these grants involve collecting any sequences in viruses? 17

18 А They do.

19 Q Do you publish the viral sequences that you collect?

20 А We do.

21 Q Have you ever not published a viral sequence that you've collected?

I don't think so. I think all of -- again, my lab engages in open science. 22 А We 23 publish our data as publicly available via our website and via publicly available resources like NCBI. 24

25 Of course, some of the raw data may contain viruses that we haven't yet assembled. But of any, like, particular viral genome, no, we release those in real-time,
 open access.

Q In your knowledge and experience over the course of your career, is that 3 4 routine? Do most researchers publish every virus they sequence? Typically researchers do that, although the timeframes of this is different. 5 А 6 Many do so -- well, I'll say, actually, most people do so associated with publications. So, once they have a publication, they at the same time release the sequences we are talking 7 8 about. We do it differently, because, as I'm saying, we do this prior to publication and 9 typically in real-time. But that's not necessarily the standard in the field. 10 Q What is the, kind of -- understanding that publication timelines can change --Yeah. А 11 -- what's the, kind of, standard operating procedure on how long it takes 12 Q 13 from conducting the experiment to published? А Oh, it varies wildly. It can be in a matter of weeks to years. 14 So it would be possible that someone has sequenced a virus in 2019 and still 15 Q not released the sequence? 16 Sure. Of course. А 17 Q 18 Okay. 19 Do researchers routinely publish every experiment they conduct? 20 А They do not, no. 21 Q In your experience, if a grant application is denied by the Federal 22 Government, are there other avenues to receive funding? 23 А Yes. Private foundations, other ways in which to obtain funding. Yes. And, in your experience, is it common to begin some of the work you're 24 Q 25 proposing prior to submission of the proposal?

1	A It varies. At times, sure.
2	Q Okay.
3	A For very ambitious work, typically not.
4	Q Okay.
5	I want to shift, and some of my questions will be the same as what minority
6	counsel has asked, but shift to the February 1st conference call and introduce majority
7	exhibit 6.
8	[Andersen Majority Exhibit No. 6
9	Was marked for identification.]
10	BY MR. BENZINE:
11	Q Again, there will be some similar questions, but just
12	A Sure.
13	Q answer them how you already have.
14	So this is an email chain with Dr. Farrar. It has Dr. Fauci, the other members of
15	the conference call on it, and Bates-marked REV0000756 through 758. And on the page
16	marked 757 is the agenda and roster that we've already kind of talked about.
17	When did you first learn of this call? Was it when the roster was sent out,
18	February 1st?
19	A No. I knew that the call was going to happen, because Eddie, myself had
20	talked about it, and I talked to Jeremy Farrar. When I initially talked to him, we talked
21	about setting up that he was setting up the conference call. So that's when I became
22	aware. This is where I became aware of all the details surrounding the conference call.
23	Q Was it this email with the details and participants was sent by Dr. Farrar.
24	Was it Dr. Farrar who invited you to the call?
25	A Officially Dr. Farrar was the one who invited me to the call, correct, yes.

1	Q	"Officially" is a qualifier. Were there unofficial conversations?
2	А	Again, we were separately talking Eddie Holmes, myself talking about
3	the need to	have a conference call to discuss the findings that I've had
4	Q	Okay.
5	А	which included Jeremy Farrar. So, yes, that, you can call it unofficial.
6	But this is w	hen I get the email about the call itself.
7	Q	And, for clarity, you were on the call?
8	А	I was on the call.
9	Q	Were there any subsequent calls with this group regarding origins of
10	COVID-19?	
11	А	With this particular group?
12	Q	Uh-huh.
13	А	No.
14	Q	Okay. So there were
15	А	Not to my recollection.
16	Q	there were no subsequent calls that included Dr. Collins or Dr. Fauci?
17	А	Not related to this. There was a later call that included those, which had to
18	do with a pr	reprint from Dr. Jesse Bloom which was unrelated to any of this.
19	Q	Uh-huh.
20	А	But on this one, no, there were no further teleconference calls that they
21	were part o	f.
22	Q	All right.
23	And	what, to the best of your recollection, and briefly, what did you present on
24	the call?	
25	А	I presented the main findings I had, which was some of the features that I

found to be unusual in the viral genome, including the receptor binding domain, the furin
cleavage site, the damage, one site which is a restriction site, and also just outlining some
of the research that have been ongoing at the Wuhan Institute of Virology. And I had a
presentation, which you have as part of your exhibits too.

Regarding the Wuhan Institute of Virology, what did you present? 5 Q 6 А Just in broad terms, the fact that they were culturing viruses from bats, or 7 attempting to culture viruses from bats, isolate viruses from bat samples, which is not 8 easy, in BSL-2; and, also, some of their chimeric work using BWIV-1, for example, which is 9 a common backbone that they are using; as well as just the general strategies around 10 creating chimeric viruses, much of which I believe was done in BSL-2 and, as I mentioned, animal work in BSL-3. 11

But those were my, sort of, concerns around the research and the reason, of
course, for why we need to consider a potential lab leak as a scientific hypothesis, yes.

Q Do you do any chimeric work in your lab?

A We don't do chimeric work, but we have done -- I've done so in the past, as part of my Ph.D. We have done work on Zika virus, for example, where we have introduced naturally occurring mutations into what's called clones of the virus and then cultured those. That's completed work, but that's what we have done in the past.

19 Q Does chimeric work come with more risks than just culturing?

20 A Chimeric work requires culturing.

21 Q Okay.

14

A Chimeric work is -- you know, "risk" is a nebulous term, but in chimeric work you can work with high amounts of the virus, versus when you culture or attempt to -- I'm saying "culture." Really, what I should say is "attempt to isolate" a virus.

25 So, for example, if you have a bat sample from which you have sequenced the

viral genome -- so you know that this virus was in that sample. You don't know whether 1 2 the virus is active, whether it can actually be cultured, right? But you know that at least there's some evidence to suggest that that virus is in that sample. 3 4 And what you then try to do is, you take that very sample and you put it on top of cells, typically Vero cells, and then you attempt to isolate the virus -- so, basically, getting 5 the virus from that sample to actively replicate in the cell culture itself such that you can 6 isolate the virus. 7 8 Q Okay. 9 I want to introduce majority exhibit 7. 10 [Andersen Majority Exhibit No. 7 11 Was marked for identification.] BY MR. BENZINE: 12 This is an email chain between yourself, Dr. Fauci, and Dr. Farrar from 13 Q January 31st of 2020 and Bates-numbered REV0000750 through 753. 14 On the page marked 751, Dr. Farrar tells Dr. Fauci, "You can phone 15 Kristian Andersen," gives him your number. 16 А Yeah. 17 Q "He is expecting your call now." 18 19 Was this the first time that you had ever spoken to Dr. Fauci, like, personally? 20 А Probably. Yeah. I wonder if I've talked to him on, like -- I mean, as 21 opposed to --Q Outside of conferences or --22 23 А Sure. Yes. Yes. Yes. Absolutely, yes. After you speak, on the page marked 750 --24 Q 25 А Yeah.

1	Q Dr. Fauci sends an email back, where he kind of outlines that you both
2	talked about your concerns that you've discussed here today and that, if they are
3	validated, he would have to talk to the FBI and Jeremy Farrar would have to talk to MI5.
4	Did that surprise you?
5	A No, it did not surprise me because, in fact, I was when I initially was
6	concerned with, you know, the early findings that I had, I was, myself, considering, what
7	do I need to do here? Right? If I believe this could've come from the lab, who am I
8	supposed to contact?
9	And I thought about I have some brochures and pamphlets from, like, the FBI, I
10	believe. So I was like, am I supposed to call the FBI? Am I supposed to call the CIA?
11	What am I even supposed to do here?
12	All of that sort of I didn't go through with any of that because then, ultimately,
13	Jeremy Farrar I talked to Eddie, right? And Jeremy Farrar then set up the conference
14	call, and then it was sort of moving from that direction.
15	But none of this surprises me, no. Whether he did it, of course I don't know.
16	But, as I've previously mentioned, the White House Office of Science and Technology
17	Policy set up a conference call on February 3
18	Q Uh-huh.
19	A where I raised many of the same concerns that I had, although the idea of
20	engineering at that stage was largely I had largely dismissed that at that point.
21	Q The next email up, you say, "Thanks, Tony. In addition to Eddie and Bob,
22	we have Mike Farzan onboard."
23	A Yeah.
24	Q Is this discussing setting up the larger conference call?
25	A This is the conference call that Jeremy is organizing that I'm talking about,

1 yes.

2	Q To your knowledge, was Dr. Farzan invited to the conference call?
3	A I don't believe he was invited to the conference call. I think Jeremy just did
4	not get his email, something like that. So I don't believe he was invited, and he wasn't
5	on the conference call.
6	Again, I had separate conversations with Mike Farzan, which is why I mentioned
7	that we have them onboard, because I probably asked him when I talked to Mike, I said,
8	look, Jeremy is organizing this conference call, would you be willing to be on that, and he
9	probably said yes, although I don't recollect that conversation.
10	Q Okay.
11	We can move to majority exhibit 8, an email chain that has you and Dr. Fauci and
12	Dr. Farrar on it that I'm sure is burned into your mind at this point.
13	A Yeah.
14	[Andersen Majority Exhibit No. 8
14 15	[Andersen Majority Exhibit No. 8 Was marked for identification.]
15	Was marked for identification.]
15 16	Was marked for identification.] BY MR. BENZINE:
15 16 17	Was marked for identification.] BY MR. BENZINE: Q So I won't ask you if you remember the email because
15 16 17 18	Was marked for identification.] BY MR. BENZINE: Q So I won't ask you if you remember the email because A I do, yes.
15 16 17 18 19	Was marked for identification.] BY MR. BENZINE: Q So I won't ask you if you remember the email because A I do, yes. Q Yep. It's Bates-numbered REV0000797 through 803.
15 16 17 18 19 20	Was marked for identification.] BY MR. BENZINE: Q So I won't ask you if you remember the email because A I do, yes. Q Yep. It's Bates-numbered REV0000797 through 803. On 797, in the middle there, you state, "The unusual features of the virus make up
15 16 17 18 19 20 21	Was marked for identification.] BY MR. BENZINE: Q So I won't ask you if you remember the email because A I do, yes. Q Yep. It's Bates-numbered REV0000797 through 803. On 797, in the middle there, you state, "The unusual features of the virus make up a very small part of the genome, so one has to look really closely at all the sequences to
15 16 17 18 19 20 21 22	Was marked for identification.] BY MR. BENZINE: Q So I won't ask you if you remember the email because A I do, yes. Q Yep. It's Bates-numbered REV0000797 through 803. On 797, in the middle there, you state, "The unusual features of the virus make up a very small part of the genome, so one has to look really closely at all the sequences to see that some of the features potentially look engineered."

1 mentioned, as well as some features associated with that -- basically, what I then end up 2 presenting the next day at that conference call. And a little further down, in the second paragraph, you write, "I should 3 Q 4 mention that after discussions earlier today, Eddie, Bob, Mike, and myself all find the genome inconsistent with expectations from evolutionary theory." 5 Who are Eddie, Bob, and Mike? 6 7 Α Eddie Holmes, Bob Garry, and Mike Farzan. Q Okay. 8 9 What --10 Α For the record, let me just read out the full paragraph, if that's okay? Q Yeah. 11 А "We have a good team lined up to look very critically at this, so we should 12 know much more at the end of the weekend. I should mention that after discussions 13 earlier today, Eddie, Bob, Mike, and myself all find the genome inconsistent with 14 expectations from evolutionary theory. But we have to look at this much more closely, 15 and there are still further analyses to be done, so those opinions could still change." 16 Q Thank you. So, sticking with it, was it the furin cleavage site and the RBD 17 that looked inconsistent from evolutionary theory? 18 19 А Yeah, so it's important -- a little bit of academia with this, right? I don't say 20 it's inconsistent with evolutionary theory. 21 Q Expectations from evolutionary theory. Excuse me. 22 А Expectations from evolutionary theory, which is different. 23 The reason why, just to be clear, the things that had stood up -- let's just talk about the receptor binding domain and the furin cleavage site. 24 25 This particular receptor binding domain, at the time, we had not seen a version of

94

that. But early analyses on that, our own as well as those of Ralph Baric and others,
 suggested that it was a good binder to human ACE-2, as well as multiple other species,
 but definitely human ACE-2.

And, again, it was a novel site. We had not -- especially the contact residues.
This is part of the virus, right, the contacts, that ACE-2 receptor on the human cells. And
the contact residues specifically were different in SARS 2 than they were, for example,
SARS 1, yet they appeared to be a good binder.

So I was perplexed by that. We had not seen that site before. Of course, the
furin cleavage site itself, which we had not seen in sarbecoviruses before.

10But it's important, again, to understand that that's the extent of the early11considerations, because, of course, furin cleavage sites are broadly, you know, seen in

12 coronaviruses, including many betacoronaviruses, which I didn't know at the time.

But that's basically my comments. And when I'm saying the genome is inconsistent with expectations from evolutionary theory, it's a bit of a fancy way of basically saying, like, look, guys, I think this could be engineered.

16 Q All right.

17 And then Dr. Fauci says, "Thanks, Kristian. Talk soon on the call" --

18 A Correct.

19 Q -- I'm assuming, referencing the conference call where you presented --

20 A Yes.

21 Q -- these thoughts.

A He's talking about the conference call that Jeremy Farrar organized

23 for the -- that same day or the day after?

24 Q I think it's the same day.

A Yeah, maybe it's the same. It's confusing because there's Australian emails

- 1 in our -- yeah, this may be the same, yeah.
- 2 Q The timestamps are --
- 3 A Yeah.
- 4 Q -- hard to follow.
- 5 A Confusing. Yes.

Q On the conference call -- we talked a little bit about it -- what do you recall
Dr. Fauci saying, if he said anything?

- 8 A I honestly don't remember Dr. Fauci, Collins -- I believe there might've been 9 other NIH contingents on the call too. They probably had some questions, but I don't 10 recollect that they -- they certainly didn't add anything of substance to the scientific
- 11 discussion.

Again, the discussions were: Jeremy said a few things to sort of set up the call and "here's what we're going to do," but, otherwise, the conversation was just between myself, Eddie Holmes, Andy Rambaut, Christian Drosten, Ron Fouchier in particular, so among the experts present on the call.

- 16 Q Okay.
- 17 I want to introduce majority exhibit 9.
- 18 [Andersen Majority Exhibit No. 9
- 19 Was marked for identification.]
- 20 BY MR. BENZINE:
- 21 Q This is a letter from the law firm Hogan Lovells to Congressman James Comer 22 and Congressman Jim Jordan, dated August 18, 2021. And it was in response to a letter
- 23 from those two Congressmen to you --
- 24 A Yes.
- 25 Q -- on July 29, 2021.

1	Moving to page 3 of the annex is when they start discussing the February 1st	
2	conference call.	
3	A Correct.	
4	Q Primarily, without delving into attorney-client privilege, were you consulted	
5	in the drafting of this letter?	
6	A Yes. I mean, with the institution, yes.	
7	Q Okay.	
8	A Yes.	
9	Q In let me find it.	
10	Can we go off the record for just a second?	
11	[Discussion off the record.]	
12	Mr. <u>Benzine.</u> Okay. We can go back on the record.	
13	BY MR. BENZINE:	
14	Q At the end of the first paragraph, the last sentence, it says	
15	A On page 3?	
16	Q On page 3, yes.	
17	A Yes.	
18	Q Or, the end of the first paragraph under the February 1st conference call	
19	section.	
20	It says, "Dr. Farrar moderated the discussion while Dr. Fauci asked questions of	
21	the other participants about plausible origins of the virus, raising the possibility that the	
22	genomic features of SARS-CoV-2 could've been engineered."	
23	Do you recall what questions Dr. Fauci asked?	
24	A I do not, no.	
25	Q Okay. Do you recall Dr. Collins saying anything on the conference call?	

1 A I do not, no.

2 Q Was there --

A But, again, I assumed he probably had questions. But I don't recall what
those questions would be or if he even did have questions.

5 Q Can you describe what happened on the call after you presented your 6 preliminary findings?

A It was a scientific discussion between, again, myself and my colleagues.
On this side, Eddie Holmes, Andrew Rambaut, probably Bob Garry as well,
although I don't actually remember Bob's specific involvement in that, but certainly with
Eddie Holmes and Andrew Rambaut, discussing our findings, discussing what we were
looking at.

12 And then on the other side, especially Ron Fouchier, Christian Drosten, and 13 Marion Koopmans, as well as Stefan Pohlmann, I think, essentially giving their view on the 14 evidence that I had presented. And they were mostly of the opinion that they just did 15 not find a lab leak to even be plausible at that stage.

But I will say that, certainly, they seemed to be unfamiliar with a significant proportion of the type of research ongoing at the Wuhan Institute of Virology which I mentioned several times on this particular conference call.

19QWhat were their rationales when they said that a lab accident wasn't20plausible?

A I think -- so, again, they are coronavirus specialists and experts at the time that worked on those viruses a lot. That's not to say that my colleagues, in particular Andrew Rambaut and Bob Garry, had not; they had worked on coronaviruses prior. But I think their views on it was mostly that, on the potential tissue culture

25 passage, for example, of an attempt to isolate a novel virus is incredibly difficult. They

1 didn't think that that was very plausible, that that could actually be done.

And then, on the, sort of, more engineering side were Ron, especially, who's an expert of gain-of-function research and has done a lot of this type of research, was -- just did not think that, like, anybody just wouldn't do it this way. And he had very detailed notes in which he sort of puts down his thoughts on why he really doesn't think that this could've been a lab leak, which we certainly took into consideration, but much of that we had discussed previously. We still felt that it was necessary to take a much closer look and do a scientific approach to this, as opposed to dismissing it out of hand.

9

Q At the end of the call, was there any direction on a path forward?

10 A Probably, maybe, there was a talk about Jeremy Farrar would later, as I've 11 already mentioned, try and get a group convened under the WHO. There may have 12 been some talk about those as next steps.

I don't remember whether there was any specific discussion around, look, Kristian,
 you guys should go write a paper, for example. We ultimately ended up doing that, and,
 of course, you know, some of that was informed by some of the conversations from the
 conference call but is a separate product to the conference call. The purpose of the
 conference call was not to write a paper. It was to discuss the findings that I had raised.
 Q Understanding that, do you recall any mention of writing a report, any

19 written product, on the conference call?

A Yes. I think we agreed that probably I would take the lead on basically writing down some summary points from the conference call itself, which I believe I did. And I think maybe the earliest version of this is probably the day after, maybe. Yeah.

23 Q But not a discussion of whether or not those notes or report would turn into 24 a paper?

25 A No. Those conversations -- I mean, at least from my perspective. I think

1	probably Ec	ddie Holmes early on saw this as being important to write a paper on it. But
2	as is clear f	rom my documentation, I was more skeptical of that path.
3	Q	Uh-huh.
4	I'm	going to go in chronological order of some events.
5	А	Sure.
6	Q	So, moving to the February 3rd meeting with the OSTP
7	А	Yeah.
8	Q	and National Academies, I want to introduce majority exhibit 10.
9		[Andersen Majority Exhibit No. 10
10		Was marked for identification.]
11		BY MR. BENZINE:
12	Q	This is the agenda for a February 3, 2020, meeting hosted by the National
13	Academies	and the White House Office of Science and Technology Policy, and
14	Bates-numl	pered REV0000809 through 810.
15	Doy	you remember receiving this agenda?
16	А	l do, yes.
17	Q	Were you invited to this meeting?
18	А	I was, by Andrew Pope, I believe.
19	Q	And did you attend the meeting?
20	А	I did.
21	Q	It says on here that there's a Zoom link. Was it virtual or in person?
22	А	It was virtual.
23	Q	Going down the agenda, I just want to ask if, like, you remember the
24	different st	ages and what happened.
25	Was	s Andrew Pope present, or present on the Zoom, and did he do the welcome

1 and introduction?

2 A Lassume so. I don't recall.

- 3 Q All right. Were Dr. Kelvin Droegemeier and Dr. Christian Hassell present?
- 4 A I don't remember Chris Hassell, but I certainly remember Dr. Droegemeier.
- 5 Q To the best of your recollection, what did he say?
- A I think we mostly -- I think it was mostly just related to just the emerging
 situation around the coronavirus. But I don't remember -- none of these were specific
 to any one point, including Dr. Fauci, who then spoke afterwards.
 - Q So that was my next question. Was Dr. Fauci present at this?

10 A I believe so, but I don't recall. I'll say, the only thing that I really do 11 remember -- actually, I don't, to be perfectly honest. Because I think I've been on later 12 calls with the National Academy of Sciences where Kelvin has definitely been present and 13 said a lot. I might be mixing those up.

14 Q Right.

9

15 A So, in all honesty, I can't remember any of the comments made by any of 16 these individuals here. But I believe they were all present, yes.

17 Q Okay.

18 On the back, it says, "Determine next steps." Do you remember what the next 19 steps were of this meeting?

A I think it was to produce a letter to the sponsor. So, again, the request came from the White House Office of Science and Technology Policy. I believe this was in response to -- specifically in response to a preprint that had talked about uncanny similarities between COVID-19 and HIV -- a preprint that was clearly wrong and also

24 withdrawn.

25 But then I do believe that, based on the considerations that I had raised with the

1	conference call organized by Jeremy Farrar, I think that Dr. Fauci probably had talked to		
2	Dr. Pope as well, because I got an opportunity to present some of the same findings		
3	that I had done on the February 1 conference call I also presented on this February 3		
4	conference call.		
5	Q Okay.		
6	A Yeah.		
7	Q Did you have any conversations with Dr. Fauci after this meeting regarding		
8	the February 3rd meeting?		
9	A About these meetings?		
10	Q Uh-huh.		
11	A No. I've had a I've had, I believe following all of these meetings, I		
12	believe I have had a conference call about Dr. Bloom's preprint, on which Dr. Fauci was		
13	present.		
14	Q Uh-huh.		
15	A And then I have had two phone calls with Dr. Fauci, I believe 2021 and 2022,		
16	one of which was it was mostly to understand the emerging evidence on just the virus		
17	itself, including the origin but certainly not specific to. And that also included		
18	some you know, basically talking about, you know, what did we actually find in terms of		
19	these early discussions on February 1.		
20	Q Do you remember the dates of the phone calls that you had with Dr. Fauci in		
21	2021 and 2022?		
22	A I do not.		
23	Alanna, do we have those?		
24	I do not. I believe they were in 2021 and maybe in 2022.		
25	Q And you gave it briefly, but do you recall the substance of either of those		

1 phone calls?

2	A	Again, it was to discuss the emerging evidence that we had on better
3	understandin	g the origin of the pandemic, but also understanding just the virus itself and
4	its evolution,	which has been most of what my work has been focused on.
5	Q	To the best of your recollection, did you discuss the subsequent papers to
6	"Proximal Ori	igin" that you had been working on with Dr. Fauci?
7	A	No. I mean, I submitted them to because we are funded by the NIH, so
8	when we hav	e preprints and papers going out, we submit them to our program officers.
9	And I believe	our program officers had discussed the preprints and papers with Dr. Fauci.
10	Q	Okay.
11	I now	want to introduce majority exhibit 11.
12		[Andersen Majority Exhibit No. 11
13		Was marked for identification.]
14		BY MR. BENZINE:
14		
15	QS	So this is going back to the February 3rd meeting.
15	A	So this is going back to the February 3rd meeting.
15 16	A Y Q A	So this is going back to the February 3rd meeting. Yeah.
15 16 17	A Q A	So this is going back to the February 3rd meeting. Yeah. An email from Dr. Pope to a whole bunch of people
15 16 17 18	A 2 Q 4 A 2 Q -	So this is going back to the February 3rd meeting. Yeah. An email from Dr. Pope to a whole bunch of people Yeah.
15 16 17 18 19	A A Q A Q - Q - I want	So this is going back to the February 3rd meeting. Yeah. An email from Dr. Pope to a whole bunch of people Yeah. and Bates-numbered REV0000807 through 808.
15 16 17 18 19 20	A Q A Q I want ask you if you	So this is going back to the February 3rd meeting. Yeah. An email from Dr. Pope to a whole bunch of people Yeah. and Bates-numbered REV0000807 through 808. t to, as best as we can, draw your attention to the "To" line of the email and
15 16 17 18 19 20 21	A Q A Q I want ask you if you	So this is going back to the February 3rd meeting. Yeah. An email from Dr. Pope to a whole bunch of people Yeah. and Bates-numbered REV0000807 through 808. t to, as best as we can, draw your attention to the "To" line of the email and a recall certain people on this meeting.
15 16 17 18 19 20 21 22	A Q A Q I want ask you if you A Ralph Baric.	So this is going back to the February 3rd meeting. Yeah. An email from Dr. Pope to a whole bunch of people Yeah. and Bates-numbered REV0000807 through 808. t to, as best as we can, draw your attention to the "To" line of the email and a recall certain people on this meeting.

1	Q	Do you recall if Dr. Tom Inglesby attended this?
2	А	I do not. Is he on the list?
3	Q	He is. The middle of the fourth line.
4	А	Yeah. I don't I don't recall.
5	Q	What about Dr. Ian Watson?
6	А	Who is Ian Watson?
7	Q	He worked at Health and Human Services.
8	А	Oh. No.
9	Q	What about Dr. Robert Kadlec?
10	А	l l l don't.
11	Q	On, it's about in the middle of the block, five lines down, there's an all-caps
12	email, KATł	IRYBR?
13	А	Uh-huh.
14	Q	And the end of that email address is @dni.gov. Do you remember anyone
15	from the O	ffice of the Director of National Intelligence attending this meeting?
16	А	l do not.
17	Q	Then, on two lines further down, kind of in the middle of the line, there's an
18	email, rlbul	l@fbi.gov. Do you remember anyone from the Federal Bureau of
19	Investigatic	on at this meeting?
20	А	I do not. I think, if I remember correctly, there was a big conference room
21	at the Natio	onal Academy of Sciences where I think there were a lot of different people.
22	So maybe t	hey and maybe there's a separate one with Dr. Droegemeier. So maybe
23	they're a pa	art of that. I don't remember any of them being part of this.
24	Q	Okay.
25	l wa	nt to introduce what we'll use them together, but they will be exhibits 12

1	and 13.
2	[Andersen Majority Exhibit No. 12
3	was marked for identification.]
4	[Andersen Majority Exhibit No. 13
5	Was marked for identification.]
6	BY MR. BENZINE:
7	Q So exhibit 12 is it's labeled "Confidential Draft," and it is a draft of the
8	letter from the National Academies to OSTP in response to the February 3rd meeting that
9	we've been talking about.
10	A Uh-huh.
11	Q The second is the final, published version of that letter
12	A That's right.
13	Q exhibit 13.
14	A Yeah.
15	Q Does that seem accurate to you, that exhibit 12 is the draft of exhibit 13?
16	Mr. <u>Rowley.</u> Well, he can't say that without examining them.
17	Mr. <u>Benzine.</u> We can give him a minute.
18	Dr. Andersen. I think I commented on a draft at some point, which I believe is
19	probably this one.
20	Mr. <u>Benzine.</u> Uh-huh.
21	Dr. Andersen. But then the final paper, or the final letter, then happened
22	without I don't think I saw any of those drafts. So I don't know if there's been other
23	drafts to this one
24	Mr. <u>Benzine.</u> Okay.
25	Dr. <u>Andersen.</u> specifically, but I assume that at least part of this is based on

1 that one over here.

2 Mr. <u>Benzine.</u> So --

3 Mr. <u>Rowley.</u> You know, Counsel, I'll just point out that the drafts are not

4 identical. I don't know whether --

5 Mr. <u>Benzine.</u> No, I know. There were clearly changes made between the draft 6 and the final.

7 Dr. <u>Andersen.</u> Yeah. Exactly.

8 Mr. <u>Benzine.</u> The final was February 6th. The first draft was February 4th.

9 The committee is not in possession of all the drafts in between. So we will stipulate --

10 Dr. <u>Andersen.</u> And neither was I.

11 Mr. <u>Benzine.</u> Yeah.

- 12 Dr. <u>Andersen.</u> I don't -- yeah.
- 13 BY MR. BENZINE:

14 Q On -- so you just kind of said it. Do you remember, as a result of the

February 3rd meeting, working on a letter like this? Maybe not this exact draft, but aletter like this?

17 A I was not working on a letter. I had a few comments on a draft that I saw, 18 but I didn't have -- I don't think I added anything to the draft specifically. I think I just 19 saw a draft and had some email comments.

20 Q Do you remember what the comments were?

- 21 A That I had?
- 22 Q Uh-huh.

A Yes. I think we had to -- because, again, the meeting was called specifically about the HIV uncanny similarities. And I remember that I thought it would be helpful to add some language around, look, there are versions of this which are inconsistent with

1	all evidence	e that we have and can essentially be dismissed. But, of course, there's more	
2	reasonable versions of the lab leak that one should consider. But I thought that it was		
3	important to have some comments around, like, let's you know, bioweapons, for		
4	example, right?		
5	I believed that that should be part of the letter. I don't believe it became part of		
6	the letter, though.		
7	Q	I don't think so.	
8	А	I think the letter is very open-ended in general.	
9	Q	The draft of the letter includes a Footnote 5 that states, "Possibly add brief	
10	explanation that this does not preclude an unintentional release from a		
11	laboratory studying the evolution		
12	А	Correct, yes.	
13	Q	of related coronaviruses."	
14	Doy	you think that footnote is maybe or that draft of a footnote, potential	
15	footnote, might've been the result of your comment?		
16	А	I think so. And I actually think there's because there's I do believe that	
17	to be the ca	ase.	
18	The	re's also an in-line comment that says, "Ask experts to add specifics about	
19	binding sites."		
20	Q	Uh-huh.	
21	А	I believe that refers to the fact that I brought up the important aspect	
22	around the receptor binding domain and how that appeared to be a good binder of		
23	human ACE-2.		
24	So I think there are several things in here which probably relates back to some of		
25	the things that I raised during the meeting, specifically that Footnote 5 that you		

1 mentioned. Yeah.

Q Between the February 1st call, the February 3rd meeting, and the February
4th draft, how active were you with that group of participants in further studying
COVID-19?
A What participants?

Q Of the February 1st call. So you mentioned Dr. Drosten, Dr. Farzan,
Dr. Holmes.

A Oh. We had emails going back and forth, but, other than that, no further -- I think maybe I had conversations with Mike Farzan. But we were working around the clock, between Bob Garry, Andrew Rambaut, Eddie Holmes, and myself, so very frequent contact with them just in terms of getting stuff done. But nothing further with any of the other participants on the phone call.

13 Q So, in -- I'll use the draft -- the line right before which you said: "The initial 14 views of the experts is that the available genomic data are consistent with natural 15 evolution."

16 A Yeah.

17 Q So that would be consistent with, you've had conversations between 18 February 1st and February 4th where you changed your mind?

A So the initial views of the experts is that the available -- oh, because you mean their -- my "the expectations from evolutionary theory"?

21 Q Uh-huh.

A Yes, the idea that what I had observed early on was inconsistent with the expectations from evolutionary theory was put in the ground by then, because, in fact, it wasn't.

25 There were several things about the furin cleavage site I had realized. For

example, initially, I thought it was pretty much a perfect furin cleavage site. It's not.
 It's actually a pretty bad one. So I realized it was out of frame, for example, which an
 engineer would basically never do.

So, yes, my opinion on, like, being inconsistent with evolutionary theory had been
put in the ground. And the engineering aspect of that too, I basically said, okay, that
doesn't make sense.

But, of course, there is the version of the lab leak which has to do with a cultured
virus, which I was definitely still considering and probably at the time found the most
likely explanation.

1 [10:51 a.m.]

	•	-
2		BY MR. BENZINE:
3	Q	So, just for clarity, between February 1st and February 3rd/4th
4	А	Yeah.
5	Q	inconsistencies you had discussed away, and
6	А	Exactly. There are, in fact, no inconsistencies with the expectations on
7	evolutionar	y theory. That was simply just me needing to look more carefully at the
8	body of evi	dence that I didn't have at the time I wrote that.
9	Q	And, by then, genetic engineering, in your view, was off the table?
10	А	Was basically off the table, yes, correct.
11	Q	But other versions of a lab-related incident were still possible?
12	А	Not just that. I think I probably at the time still found it to be the most
13	likely expla	nation, yes.
14	Q	Thank you.
15	I hav	ve a few minutes left. So we'll do one more line of questions and
16	then well	, actually, I have 5 minutes left. So we're going to go off the record to avoid
17	having to st	op in the middle of a line of questioning.
18	А	Okay.
19	Q	And we can take a short break, and then we can off the record.
20	[Rec	cess.]
21	Mr.	<u>Pellegrini.</u> We can go back on the record.
22		BY MR. PELLEGRINI:
23	Q	Dr. Andersen, I'd like to talk a little bit about the "Proximal Origin" paper
24	itself	
25	А	Sure.

1	Q and the different arguments inside of that paper. And so I think what I'd
2	like to do is just introduce the paper as an exhibit so that we have it in front of us. And
3	so that will be minority exhibit M.
4	[Andersen Minority Exhibit M
5	Was marked for identification.]
6	BY MR. PELLEGRINI:
7	Q Not that you need to see it, I wouldn't think, at this point.
8	A Uh-huh.
9	Q Okay. So, first, before we even get into the nitty-gritty of the paper, I'd like
10	to just talk a little bit about what was going on at the time. And you've touched on this
11	in a few different ways. But there were various theories floating around that ended up
12	not panning out, and some of them, I think it's fair to say, were a little bit out there.
13	I think one of them had to do with HIV. Could you just explain, for example,
14	what that theory was?
15	A Yes. I think we need to talk about hypotheses, right? And I think there
16	are two main hypotheses which were predominant at the time, and that continues to this
17	day.
18	One is zoonosis, which is the natural origin in which a virus moves from an animal
19	into the human population, likely by an intermediate host.
20	Then there is the lab-leak hypothesis, which is a multitude of different ideas,
21	hypotheses, speculations, theories around how a virus may have been associated with
22	research-based activity and, from there, emerged in the human population.
23	Because it's not a single theory, there are multiple different versions of this, which
24	you can sort of say range from the idea of a bioweapon, which was quite predominant at
25	the time there was a lot of talk about that, not just in the United States, you know,

talking about this as part of what was happening in China, but also in China as part of this
was a U.S. bioweapon that came in via military games, for example.

So there was a lot of what I would consider to be conspiracy theories around how
the pandemic -- and, at the time, it was clear that this would become a pandemic, but,
you know, again, early on, it wasn't clear yet.

And then there was just a lot of speculation on that, I think most of which is just
not helpful because it's not science-based. And a science-based hypothesis needs to be
testable, it needs to be supported by evidence, it needs to be supported by data. And
most of these were pure speculations that, you know, in my opinion, are unhelpful.

10So I think our, you know, job as researchers is to separate out all these different11ideas that are floating around and then getting them set into a group of different

12 categories in which we can scientifically consider each and every one of them.

And, based on our own work, we basically separated it into four different hypotheses: the idea that it could've been a bioweapon, which -- and I'm just reading from our notes, the earliest, earliest notes we have on what would then -- later, some of those thoughts would go into the "Proximal Origin" paper.

Q Could I ask, could you describe a little bit the concept of a bioweapon -A Yep.

19 Q -- and the underlying scientific theory of what that would entail?

A Sure. Yeah. So a bioweapon would be a way in which a scientist would specifically create a virus for the purpose of doing harm. In other words, somebody would have created SARS-CoV-2 for the purpose of creating SARS-CoV-2 and causing the COVID-19 pandemic.

That can be dismissed, because it doesn't make any sense. First of all, scientists are not even close to being able to just conjure up viruses. We can't just say, well, if you

put these features in, then you're going to get that virus on the other hand. That's not
 how science works.

And, specifically, too, if you look at bioweapons of the past and bioweapons research, it's on viruses that we know are dangerous. Like, SARS-1 would probably be a starting virus somebody would use at most. Ebola, other viruses that have been used in research in the past, as well as many bacteria like anthrax, for example. So that would be the starting point if you're talking about a bioweapon.

8 I think that's a -- can we definitely prove that that's exactly how any bioweapons, 9 you know, evil scientist would do it? No, of course not, because I don't do that, and, you 10 know, there's many things that are possible. It's just it is not plausible, right? They just 11 don't make any sense.

And really importantly here is this idea of somebody creating SARS-CoV-2 with the intent of creating SARS-CoV-2, which I believe, based on any of the early evidence, could be dismissed, and I never considered that a likely possibility, right?

Q Great. Okay. So, understanding that --

16 A Yeah.

15

Q -- if we then shift a little bit to -- we talked earlier about zoonosis and natural
 origins as compared to research-related incidents.

19 A Yep.

Q When we think about zoonosis, could you -- and I think you've already done some of this -- give us a little bit of context about past viruses and their emergence and the extent to which those have occurred in nature and how that might affect the way that we approach the SARS-CoV-2 question?

A Yeah. I think, again, if we go back to what is the body of evidence that lets us conclude that something is a zoonosis, we're already there with SARS-2, right? So the evidence base for these previous emergence events would be less detailed, I would say,
 primarily, than what we've seen for SARS-2.

But specifically what we mean here is that a virus, for example, Ebola virus, we don't actually know what the host of Ebola virus is, where it lives out in nature, but we believe it's bats. And I think we can reasonably say, because we know other related viruses, fetal viruses like Marburg, for example, we do know that they come from bats. So the hypothesis that Ebola very likely is coming from bats is a reasonable one, but it's by no means proven, right?

9 And what then happens is that you have an infected bat; maybe you come in with 10 a specific variant of Ebola which can, in fact, infect humans; and then that moves directly 11 from the bat into a first human case and, from there, then from that human case into 12 additional cases, and then from there, then goes on to cause outbreaks, epidemics, 13 pandemics, depending on the virus itself.

That's how pandemics of the past, epidemics of the past happened. How do we really know? Well, we make that judgment based on available evidence. Have we proven any one of these to definitely being zoonosis? No, I don't believe we have. In fact, I can't think of a single one in which we have proven it beyond any reasonable doubt.

SARS-1, for example, we know that animals that were in fact sold at the markets had very similar, although not identical, viruses to what we observe in humans. So the idea is that probably civets, raccoon dogs, badgers probably served as that intermediate host that acted as a conduit from they got into contacts with bats that had the virus, the bats gave it to those animals, it now gets probably to grow to higher titers, larger amounts in these animals, and now all of a sudden can probably also spread via the

respiratory route as opposed to just the oral-fecal route, likely in bats. And then they

co-house these animals via holding centers, via transports into wild markets, for example,
 and then they get sold there. And then people get infected as a result of that.

But, again, if you're looking at SARS-1 as the example, for example, is that, again, the viruses we found in animals are actually not exactly the same we find in humans. And there's even speculation that maybe humans infected some of these animals. I personally don't really believe in any of that. I don't think it's the most parsimonious explanation, given the evidence that we do have, but this is an active area of research that we are certainly looking into.

9 And, also really importantly, just figuring out what is the location of where we 10 think these animals come from. Because, for example, if you're looking at the viruses 11 that are most similar to SARS-1, if you look at the viruses that are most similar to what we 12 ultimately end up finding in humans, are, in fact, from civets in Hubei province, which, of 13 course, is where Wuhan is, and not in Guangdong, which is where we saw the first human 14 cases.

So these are the kind of examples of zoonosis. And, again, what's really important to understand here is that these are the ones we know about, because this is a continuous process -- evolution of viruses, recombination of viruses in huge animal reservoirs. You know, you can count the number of bats in the entire world and the number of rats in the entire world; it's a lot. And these viruses infect these animals, they recombine, they evolve, and we get into contact with them.

And as we certainly funnel them through our food chain via wildlife trade, for example, fur farming, factory farming, we get into contact with these. And these viruses try to jump into us all the time and are successful at times but maybe they can't lead to infections, so we merely brush them off. Maybe they'll lead to a single infection, but they don't lead to disease. Maybe they do lead to disease, but it's a mildly limiting 1 disease and, importantly, does not transmit onwards from there. Or maybe they cause

2 a small cluster of cases but then die out because it's not very transmissible in humans,

3 right? These things happen all the time, and they totally go under the radar.

So this is, again, a continuous process. It's a constant process. It's a process in
which these viruses are trying to get into the human population all the time. It's just
they're mostly unsuccessful -- until, of course, there is a virus that, just by happenstance,
happens to be that virus that has all the different components and is just good enough to
then, for example, cause the next pandemic.

9 Q And you've previously spoken about the seafood market and the role that 10 you feel like the evidence suggests it played --

11 A Right.

12 Q -- in the outbreak. So we don't, I think, need to go back over that.

With the way that the "Proximal Origin" paper tackles zoonotic situations, it seems
like that is generally broken out into little subcategories --

15 A Sure, yeah.

16 Q -- intermediate host or reservoir host. Can you talk about the difference 17 between those two scenarios and what you would look for to judge whether one or the 18 other might be more likely?

A Yeah. So we considered -- so, again, we considered three -- well, really, we considered four hypotheses in "Proximal Origin." One is specific engineering, which we up front say that we believe that today they can basically dismiss that possibility. And then we discussed three main theories, two of which are natural origin and the last of which is tissue culture passage or passage in a tissue culture of animals.

The natural origin we spread into two different theories. One is, essentially, this idea that it jumps from a bat into an intermediate host and then directly into humans from there, and by the time it jumps into human at that stage, you basically have
SARS-CoV-2 itself. There is very little evolution happening of the virus itself before it
takes off in the human population. For example, the furin cleavage site, right? It's not
something that is acquired in the human population. The virus already had that feature
acquired, either in the bat reservoir or, I think slightly more likely, in the intermediate
host.

7 Then there's a second version of this where the virus jumps into human via some, 8 you know, animal species, but then it has low-level transmission in the human population 9 and gets a chance to evolve as part of that process. And it goes undetected, either 10 because we just don't detect it or because it simply just doesn't cause disease -- of which 11 there are many viruses, right, that don't cause disease, so we just don't see it at all. And 12 then maybe at some point it acquires some of the new, you know, features of 13 SARS-CoV-2, like a furin cleavage site, for example, likely via recombination with host genes. It requires that, and then it takes off as a pandemic. And that requires this, 14 like, slow -- what we can basically think of as a slow fuse in the human population, ending 15 up in a take-off point in which it takes off in the human population. 16

17 I was -- I mean, my evolution on, sort of, just likely hypotheses are going from, you
18 know, engineering to culture to actually natural. And then I was in favor of this slow
19 fuse, where I thought maybe this could be linked to fur farming, mink farms, for example,
20 in China, and then sort of with a slow fuse in the human population.

I'll say, given the evidence we have today, we can basically dismiss that. I think
it's highly unlikely that that's the conduit. I think it's the one in which it moves from the
bat into an intermediate host and then, from there, into the human population. I think
that's the one that is consistent with all the data and is, in fact, very well supported by the
existing data.

1 Q If I could ask, the slow fuse being less likely now with data, what sort of data 2 gives that indication?

A I'll say data with, you know, much more pointing to the Huanan Seafood Market, for example, around the early cases. Serology studies done early in Wuhan, for example, that show, like, no, we don't actually see any evidence of that. The fact that, really, the sort of two main lineages that we see that are associated with the Huanan Seafood Market and that we believe to be separate spillover events really all points to, like, no, that's inconsistent with the idea in which it has the slow fuse, right?

9 And also just I think it's because then how did it actually slowly fuse into the 10 human population, given what we know, that most transmission chains of SARS-CoV-2 go 11 dead, right? So, if you had a setting on a farm, for example, and you might get an 12 infected worker, but the likelihood of that actually starting a transmission chain that then 13 goes on in the human population from there is highly unlikely. In fact, I think the 14 estimates are 90 to 95 percent of times they'll just die out, right? Until, of course, you 15 hit a big city. Then this changes, right?

16 So that's the epistemological data. It's genomic data. It's just, from my 17 perspective, too, it's just a better understanding of coronaviruses and their emergence 18 and just general emergence of viruses, what do we actually think is most likely. And 19 that's why it almost certainly has got to be number one.

20 Q Okay. Great.

21 So, then, spending a little bit of time on the paper's treatment of lab-focused --

22 A Right.

23 Q -- scenarios -- and you have said this, but for my own understanding. I 24 don't have a scientific background, so if I misstate any of this, you just correct me.

25 A Yeah.

1 Q But the lab scenarios can be, themselves, divided into subcategories --

2 A Correct, yeah.

Q -- and so there's I think what the paper refers to as laboratory construct or
purposefully manipulated virus. Now, I read that to be when you use the word
"engineered."

A Yeah. It's a little bit of an unfortunate language, I would say. When we're
talking about a laboratory construct, really what we're talking about is that, at a place like
the Wuhan Institute of Virology, we're pretty much always using the same backbones,
right, like WIV1, for example. That's a laboratory construct. It's something people
have published on. They're saying, look, we have this cloning system, for example.
That's a laboratory construct, right? And, clearly, this ain't that. So that's what we are
specifically referring to.

Purposefully manipulated virus goes back to this idea that you purposefully want to create SARS-CoV-2. We had discussions around should we say bioweapons in the paper, and we agreed, actually, let's not, because there's just no reason. But that's essentially what that's referring to, right? It's something that you purposefully create a virus for the purpose of creating a virus like SARS-CoV-2.

18 Q Where does the term "engineering" fit with those first two?

19 A I'll say it's -- I mean, the way in which the language is here, it would fit under 20 that language, right? But we could've added a line specifically about engineering, right, 21 which we don't. We call it a purposefully manipulated virus, which is a different 22 meaning. Engineering is part of that, right --

23 Q Okay.

24 A -- but it's a different meaning.

25 Q And is building a chimeric virus -- under which of these rubrics would that

1 fall under?

2	A I mean, it would fall under the purposefully manipulated virus, right? But,
3	again, that's not actually what we are referring to here.
4	I'll say, really early drafts of this paper well, my own notes on this, right,
5	following the conference call, talked about: bioweapon, highly unlikely; specific
6	engineering, unlikely; tissue culture passage, the data is consistent with; spillover from an
7	animal host, the data is consistent with. Right?
8	So that's sort of the way in which we have structured our thinking. And if you
9	look through the earlier drafts of our paper, as you can see, we use slightly different
10	language and slightly different words, right, to describe these different things.
11	Q All right. So and we can tackle passage next
12	A Sure.
13	Q but starting with engineering or construct or purposeful manipulation
14	A Yeah.
15	Q I'll try to define what I understand to be the paper's arguments against
16	that scenario, and then you can
17	A Right. Sure.
18	Q correct me.
19	A Yep. Yep.
20	Q But it seems as if there are two main arguments in that regard, the first
21	being, okay, the virus's receptor binding domain mutations at the key amino acid residue
22	sites would have been predicted by a computational model to be suboptimal in their
23	binding affinity.
24	A Right.
25	Q Okay.

1 And then, secondly, SARS-CoV-2 does not reflect the use of a known viral 2 backbone, which one would expect to be the case. А Right. So those are the main two arguments, right, but, of course -- and, 3 4 again, the importance is, this is a short paper, right? Yeah. 5 Q Specifically, it's a short paper that we were asked to shorten further. 6 А But I 7 think what's important, too, here is that you look at that furin cleavage site, for example, 8 right --9 Q If it's okay, I will head to --10 Α Oh, okay. Fine. -- furin cleavage site. No worries. 11 Q But, yeah, those are some of the main arguments, that if you just look at the 12 А architecture of the virus itself -- the furin cleavage site, receptor binding domain, all these 13 things -- it's that, is this one of that example, where it's just messy enough but it just 14 works well enough, that really points to nature? That's what evolution does all the time, 15 right? It never makes perfect, but it's these weird solutions that just work well enough. 16 And, of course, what we have since seen is huge evolution of the virus itself, right? 17 18 So, clearly, it wasn't well-adapted to the human population, right, once it got a chance to 19 take off. 20 Q So, to just drill down for a second on the receptor binding domain and the 21 predicted binding affinity of those mutations --Yeah. А 22 23 Q -- to test if I understand it correctly, the idea is, if somebody were to design a virus from scratch --24 25 А Yep.

1 Q -- they would never have rationally chosen this particular design --

2 A Right.

3 Q -- because they would have consulted the models, which would have told
4 them this was not an optimal binding.

5 A Yeah. We knew, based on, you know, much of the great research that 6 Dr. Baric did with SARS-1 is that based on that were predictions of here's the optimal way 7 in which a sarbecovirus will bind into the human ACE2 receptor. That is described in the 8 literature, right? So, if you were to design a new receptor binding domain, presumably 9 you would choose that, right? That would be the logical way to do it.

10 And SARS-2 doesn't have that at all. It has a completely different solution, right, 11 which we had never seen before. Yet it still appeared to bind well to the human ACE2 12 receptor -- which we now know, yes, it does bind well to the human ACE2 receptor, but it 13 binds well to a lot of other ACE2 receptors, right, not just human.

14 So, yeah, that's the idea behind, like, if you were to build this from scratch, you 15 would take the solution that you already know works well. Because that's how science 16 is done, molecular biology is being done.

17 Q Okay. Great.

And so what, if anything, does that argument tell us about the possibility of, for
example, chimeric work to test the emergence potential of natural viruses?

A Chimeric work -- I mean, if they took a totally novel virus, created a totally new cloning system, for example, and put in totally new things, of course that would be indistinguishable from a natural emergence, right? The thing is, though, that, again, it's just not how science is being done. I mean, if you look at the Wuhan Institute of Virology, you look at their papers, right, they always did it the same way, right? That's not to say that you couldn't possibly create a totally novel virus with no

scars (ph). Of course you can, right? I mean, but that's pure speculation. If you look
at what they've previously done -- which is what I was very focused on in the beginning,
because it was just like, I just have to find any piece of this virus or features of this virus
that have been used before -- cloning sites, you know, backbones, whatever, right, that
they've used previously. Because, again, they're always doing it -- pretty much always
doing it the same way, right? If I could just find that, it would nail the lab origin, right?
And I just couldn't because it doesn't exist, right? It's just not out there.

Q So I think that dovetails nicely into that second half of the engineering
argument, which is, the virus's backbone is not known, has not been previously described.

10 A

Right.

11 Q Can you talk a little bit about why you would expect that would be the case if 12 it were a laboratory engineering for purposes of this conversation?

A Yeah. Again, that's because that's how science is being done. If you have
stuff that works, you keep using stuff that works.

And the Wuhan Institute of Virology, for example, has been using WIV1 as a backbone, as well as a few other backbones, so WIV1 is sort of a workhorse there that they keep using for different papers to test, you know, receptor binding domains, for example, spike proteins. They subclone it into WIV1. And this is not WIV1, right?

There are other described systems out there from Ralph Baric and other authors
too, and this is not that either.

And that's the expectation based on the published work that you have based on
the way in which they do it.

But even so, too, is that they use a specific set of restriction enzymes, for example, in most of their work. And, again, this virus does not contain those restriction enzymes, right? So, even if they were to take a backbone that we haven't heard about and

1 haven't seen before, they are not even using the kind of system, BAC cloning system, as 2 they are called, B-A-C cloning systems, as they used previously. Q And restriction enzymes are a method by which to conduct genetic 3 4 engineering? А Yeah, these are basically molecular scissors. So you can precisely cut out 5 But --6 genetic material using restriction enzymes. 7 Q Is it correct --8 А -- restriction enzymes are not the only way to do this. There are seamless 9 cloning technologies that, for example, Ralph Baric has been pioneering and using a lot. 10 But, again, that's not -- the vast majority of work that you see at the Wuhan Institute of Virology is not using these types of technologies. 11 Is there an extent to which the Wuhan Institute of Virology possessed bat 12 Q 13 virus sequences that, themselves, were not published or known in their entirety by the 14 rest of us? For example, RaTG13 --15 А Sure. 16 -- was released by Wuhan Institute of Virology in January, I think, of 2021? Q 17 18 А Yeah. 19 Q And as I've learned recently, the "13" indicates the year in which they 20 collected the sample. А 21 Yes. So, at least in that case, there was an 8-year gap during which Wuhan 22 Q 23 Institute possessed that particular sequence and the rest of us didn't know that. No, so we did, right? Because they had released fragments of that 24 Α 25 sequence, right? The famous 4991 sample, which they released in 2016 I think, which,

1 you know, you could see that that's the most closely related, but it ain't SARS-2. That's

2 the fragment where, you know, initially they just got the fragment and then getting the

full genome of the virus, which I believe they probably got most of that in 2018.

4 Q Uh-huh.

5 A And, as we know from the work with Eddie Holmes, is that they actually put 6 it on NCBI, right? But it was on their embargo and then they forgot about it, and then it 7 was released together with the other things they had, right?

So is it possible that they could've had a novel virus that we don't know about? 9 Of course it is. We're not dismissing that possibility. We're just saying that the idea 10 that they would do that and that that would then somehow end up in, you know, the next 11 pandemic being associated with wildlife and things like that, in our opinion, given how 12 viral emergence works, it's just not possible.

13 Q That's a little bit of my question, or it's a version of it.

14 A Yeah.

15 Q Is there an extent to which that argument has a human component to it?

A Of course. It's our interpretation, right? It's our interpretation. People can agree or disagree. And there are certainly a lot of scientists that disagree with our interpretations of the data. That's a question of, how do you interpret the data?

And that's why we publish it in the scientific literature. It's peer-reviewed, which means that the expert that saw this paper -- which, I should say, because you have our earlier versions of the paper where you can see some of the wording, for example, is softer, one reason for that being that, by the time we published that first version, right, I was still thinking that certainly the tissue culture passage was quite plausible, right? -- is that the process of peer review means that you incorporate changes, you shorten it down, you make some of the language punchier because you don't have three sentences to write the same thing, you only have one. You only have so many references you can
put in, so you can't reference all the different work that has been ongoing, right -- is that
that's just part of peer review, scientific publishing.

And then we put that out there and saying, like, this is what we think. And some of it, we say, like, we feel that the evidence allows us to dismiss this. Others, we say, our opinion is that -- for example, we say that we do not believe that any type of a lab leak is plausible. But we also make it clear that the evidence does not allow us to prove or disprove any of the versions of the lab leak -- of the emergence events that we discuss in here, including the lab leak.

10 And we still -- to this day, that is still the case, except, though, that the evidence 11 has only gotten stronger for a natural emergence of this, because we didn't have all the 12 data available to us at the time. But additional evidence has only further strengthened 13 the conclusions that were made in this particular paper.

14 Q Okay.

So, then, pivoting to passage -- and that has a slightly different set of arguments
attached to it.

17 A Sure.

18 Q I'll try to lay those out, or my understanding of them --

- 19 A Yeah.
- 20 Q -- that the initial position is that passage seems awfully unlikely, it seems, to 21 the authors.
- 22 A Yes.

Q And I think I've tracked three distinct arguments in that regard, the first
being the significance of the pangolin receptor binding domain.

25 A Right.

- 2 A Sure, sure, sure.
- 3 Q -- in order.
- 4 A Yeah, yeah, yeah.

5 Q The second being the existence of furin cleavage site, and third being the 6 predicted O-linked glycans.

7 A Yep.

8 Q So, just chatting about each of those in order, the pangolin receptor binding 9 domain, can you talk just for a moment about what that was and the significance of it?

10 A Yeah. I think what's -- or, actually, let me talk about the evolution of the

11 thinking of this, which is why the pangolins are being brought up. Because, initially,

12 when I raised these concerns, remember that I said the main two things I picked up on

13 was the receptor binding domain and the furin cleavage site.

14 Now, the receptor binding domain, by the time we wrote this, they said,

15 well -- they existed on these pangolin viruses. So, clearly, that's natural, right? But --

16 Q And just for clarity for all of us, the pangolin receptor binding domain has six 17 identical --

- 18 A Correct.
- 19 Q -- key amino acid mutations.

A Yes, which have now also since been identified in bat viruses, right? So,
clearly, this is a natural feature.

The reason why we specifically bring up that argument here is that -- initially, again, I was concerned about engineering, but could, you know, pretty quickly dismiss that version of it. But I thought that, yeah, but, you know, this receptor binding domain, which has found its own way to bind pretty well to human ACE2 receptors, right -- is that,

1 could that have been a result as passage of the virus on, for example, human lung cells, 2 right, or cells expressing human ACE2? And you do a serial passage of that, and that will look like evolution out in the wild too, right? But now it's actually specifically for 3 4 humans. And that would fit, right? And I thought, well, this has a new receptor binding domain we have never seen. 5 6 These residues are unusual, in the sense that they look to bind well but they clearly are 7 not what we have predicted, so it's not engineering, right? But it could be this passage 8 idea. 9 That argument totally falls apart as soon as you see that pangolin virus, right? 10 Once you see that, actually, that pangolin virus has exactly the same binding residues 11 here, that argument just falls apart. And it took me a while to, first of all, realize that because realizing the importance 12 13 of the pangolin -- really incomplete data, so initially I didn't actually look at it, right? And it just took a while to go, sort of, like, oh, actually, that doesn't make any sense 14 because of this receptor binding domain. Right? 15 So that's one really key version of that. 16 Now, the other reason, right -- so that's why we talk about the pangolin receptor 17 binding domain, right? 18 19 Q Just a question --20 Α Yeah. 21 Q -- a question about the pangolin. 22 Α Yeah. 23 Q What, if anything, does the existence of the pangolin, in this case the pangolin receptor binding domain, the same mutations -- I know that later those same 24 25 mutations have been found in bat viruses.

1 A Yep.

2 Q Got it. What, if anything, does that indicate about the possibility of 3 passage work using a natural virus, hypothetically in this example the pangolin virus in 4 question, in humanized cells? If you did that work, would that not have the same --

5 A That would look -- yeah, that would look like this. And I'll come to that 6 argument, because that's an important one, right? I'll come to that. Because that's 7 actually not spelled out in the paper, right?

8 Q Okay.

9 A But, again, the importance of the pangolin really here is that this feature is 10 natural, right? So the idea that this is a result of a passage thing, it just doesn't hold 11 water. Does it directly disprove the passage? No, it does not. Right? And, again, as 12 we say, we can't prove or disprove anything here, right?

The other reason -- then we mentioned the furin cleavage site, right? The reason why we bring this up is that, in my earlier conversations with Mike Farzan, he had mentioned that, well, when you passage coronaviruses, they have a tendency to pick up furin cleavage sites. Or at least I thought that's what he said to me. And I was like, holy crap, right? This has a furin cleavage site; I think it could've been passage, right? And that totally fits with that.

19 The thing is, that just isn't true. In fact, the opposite is true. This virus loses the 20 furin cleavage site. We didn't know that at the time, right? We now know that. But 21 Mike had told me -- or I thought he told me this. Maybe he didn't, actually. Maybe I'm 22 just misremembering.

But I spent a long time trying to, like -- what was Mike talking about? What is the reference for him saying that that occurs? And not only could I not find it, the reference I did find showed that, well, if you already have the furin cleavage site and then

1	you passage it, then that virus can grow out. But that's completely different, right?
2	So this idea that I had, like, again, I had with the receptor binding domain, I had
3	with the furin cleavage site, I initially thought that actually these could be the result of
4	passage. But, specifically, what the data show is that, actually, it's not, right?
5	Q So there's a lot there to unpack. I'm going to try to divide it up.
6	A Yeah.
7	Q One is to pivot for a moment away from this. The more recent information
8	that postdated this paper but that we've heard about elsewhere and that you just
9	mentioned seems to indicate that the furin cleavage site in SARS-CoV-2, when passed in
10	culture in, I think, human or humanized cells
11	A Well, in provided Vero cells, which are actually green monkey cells.
12	Q Okay.
13	A They're primate cells. But this is what's typically used across the field,
14	including at the Wuhan Institute of Virology.
15	Q That, in that situation, the furin cleavage site goes away?
16	A Yes. It's lost.
17	Q Which is you mean which reflects sort of the opposite outcome
18	A Correct.
19	Q of the idea that it is a result of passage work.
20	A Exactly. Yep.
21	Q Okay.
22	A Yep. Yep.
23	Q Coming back to the paper's treatment of furin cleavage site, I just want to
24	briefly touch on it. There are two components of that.
25	There is this mention that furin cleavage sites have really only been observed after

1	prolonged passage with low pathogenicity avian flu viruses; and then, separately, the	
2	point that,	to develop furin cleavage site in passage, you would've had to isolate a very,
3	very similar	virus, which nobody has described doing.
4	А	Right. Right.
5	Q	For that first avian flu point and I know that different folks maybe had
6	emphases o	on different parts of this paper, so to the extent you can speak to it.
7	А	Sure.
8	Q	But is it that that avian flu situation is simply where the development of the
9	furin cleava	ge site has previously been observed in passage?
10	А	Yeah.
11	Q	Or is it that that is the only condition under which that can happen?
12	А	Has been observed.
13	Q	Okay.
14	А	And to be perfectly honest, we do mention well, I'm not actually sure
15	that I'm r	not actually sure that avian flu can pick it up in tissue culture passage. But it's
16	the hallmar	k of Highly Pathogenic Avian Influenza that they pick up this furin cleavage site
17	as a result o	of passage through chicken farms, right? I mean, they call it passage. It's
18	very rapid t	ransmission through chicken farms. There is this stepwise acquisition of a
19	furin cleava	ge site as part of that process, which happens, again, in chicken farms. And,
20	in fact, that	's why we mention that we find that, if this is acquired as part of an
21	intermedia	te host, it probably requires a dense animal population.
22	l wil	I say that, while I feel that our conclusions are fully justified, given additional
23	knowledge,	I actually we see, for example, that SARS-2 itself picks up parts of human
24	genes along	g the way, right, as part of these recombination events. I think it's very likely
25	that SARS-2	could just have picked up a host gene.

For example, this specific genetic sequence that creates the furin cleavage site is found in raccoon dogs, right? In fact, we even found it in these samples from the Huanan Seafood Market. There is that very same sequence that is identical to basically the, quote/unquote, insertion that creates the furin cleavage site in a raccoon dog gene, right?

So I think, like, some of our conclusions here, I think there are probably other
possibilities that could create the same that wouldn't require, for example, a dense
population of intermediate hosts.

9 Q Okay. Great.

And then the other -- it's almost mentioned in passing, but with respect to furin
 cleavage site, the idea that that would've required isolating a progenitor virus --

12 А This is the key argument, actually. We should obviously have spelled that 13 Because this goes back to what I've been talking about, the idea of these out, right? viruses trying to spill over into us all the time, versus scientists doing a field trip here and 14 15 a field trip there and then magically ending up picking up the next pandemic virus, is -- you know, it's like standing in front of a goal, and you have Peter Schmeichel there, 16 and you say, like, okay, I'll give you three tries to score against him, and you're me, not an 17 18 actual, you know, seasoned football player. You probably can't do it, right? But you're 19 saying, like, okay, let's take the city of San Diego, put them in front of Peter Schmeichel, 20 and then say, okay, you each have 10 tries. It's more likely you will score a goal in that 21 situation.

That's not to say that I couldn't score a goal. Maybe I can, right? But just in terms of prior probabilities, given no other evidence whatsoever, the idea that these scientists go out, picks up the next pandemic virus, takes it back to the lab, actually manages to isolate it -- because that's very hard, right -- accidentally infect themselves, 1 and all of this, as we also describe, being associated with early cases in a wet market, it

2 just doesn't make any sense to me scientifically. Right?

3	And that's why I think why, right because, again, it's a consensus sentence,
4	and the paper was written quickly, and did we spent a lot of time on, like, how do we
5	exactly do no, we didn't, right? But that's why we say, and we truly believed at the
6	time, is, like, we just don't believe that any of these lab-leak hypotheses are possible.
7	They're not impossible, right? And we make that clear. We said, we can't
8	prove or disprove anything here, right? Which is the case of all other epidemics and
9	pandemics too, right? But we just, we personally, as experts in this particular field, we
10	just don't find it to be possible.
11	People can agree and disagree on that, and people certainly have. And they're
12	entitled to, right? That's why you publish the papers.
13	Q The last major, sort of, topic here from the paper itself is the O-linked
14	glycans.
15	A Yeah.
16	Q And, first, just a threshold point. There's discussion about predicted
17	O-linked glycans.
18	A Yep.
19	Q If I understand correctly, at the time, they were predicted
20	A Yep.
21	Q which means exactly what it sounds like. It has since been, it sounds like,
22	confirmed that
23	A I think it's confirmed, yeah. I do think we actually predict them here,
24	yeah. Yeah, I think they're confirmed to indeed be O-linked glycans.
25	I don't think, though, they're mucin shield, as we describe here.

1 Q Well, that's -- so, if you could talk a little bit about the significance of the 2 glycans --

А Yeah. 3

4 I think the way in which we put significance to it here is that mucin shields are typical ways for viruses to basically shield features that would be recognized by the 5 human immune system, and by putting sugars, glycans, on there, then the immune 6 7 system can recognize it. HIV, for example, does this constantly, right? And viruses use 8 these mucin shields, so basically you have a lot of these glycans just covering a whole area 9 so it basically can't be recognized. And that's the idea of a mucin shield -- which Ebola, 10 for example, is a very big feature of Ebola.

11 This is just a few glycans. And this is mostly a comment that Bob introduced, the 12 idea of this mucin shield. And we discussed that, look, it's very few, but maybe it could 13 be. And it's one of Bob's expertise, right?

But I actually think -- I don't think it's a mucin shield. I don't really think that this 14 15 specifically suggests the presence of an immune system like we describe here. I think it's much more likely that those glycans actually regulate the cleavage of the furin 16 cleavage site itself. 17

18 And that's based on -- you know, somebody reached out to me after this paper 19 and saying, like, oh, you know what, that proline that you have in that furin cleavage site, 20 I have done work in, like, grisulphulate (ph) or something like that and have described 21 biochemically how that prolines leading to those glycans actually regulate the cleavage.

22 And I think that's -- we didn't know that at the time, of course. But I think that's 23 the more likely explanation for what do these glycans actually do.

24 Q Okay.

25

А And that's what we describe here. 1 Q All right.

So, now understanding and having walked through most of the paper, can you just
track for us a little bit the arc of your own thinking on this whole topic, from the very
beginning of the story that we discussed earlier --

- 5 A Yep.
- 6 Q -- through today?
- 7 A Sure.

8 I mean, initially, I didn't consider it, right, because early cases linked to the market,
9 you know, whatever. I knew about some of the work at the Wuhan Institute of Virology,
10 but, eh, whatever.

11 Then again, I started looking at it more closely. And this actually only happened, 12 I think, a day before I contact Eddie Holmes and then ended up with, you know, the 13 phone call with -- that initial phone call with Fauci and the teleconference. This is, like, 14 in a matter of maybe just 2 days, right?

But then I started looking at it close again, first of all, just to tie the research that they did, right, and these features that have been identified. And, initially, I was like -- the engineering idea saying, like, somebody basically plugged in these particular features -- although I should say that really early on I didn't really distinguish between engineering and tissue culture, for example. That only came later. But I was definitely, you know, engineering.

21 Bioweapon, I didn't consider these, right? That doesn't make sense with a novel 22 virus, so it's not that.

But then my evolution on that is that, again, realizing additional things around a furin cleavage site, for example, that it's, in fact, not perfect. It's pretty crappy, right? It appears to be out of frame -- exactly what an engineer wouldn't do. And, of course, some of the conversations we had on the conference call, as well, with Fouchier and
Drosten, for example, right, making some of these same points, but also conversations we
just had internally via some of the Zoom calls we had with the authors, right? That idea
of, like, engineering goes away within just, I don't know, a day or two, 3 days, I mean, you
know, I don't know, but quickly. So I saying, like, that's probably not the one.

6 But then, because, as I mentioned, with the furin cleavage site, I thought could be 7 gained -- and this was after these conversations with Mike Farzan -- this could be gained 8 in tissue culture, the receptor binding domain was unusual-looking but looked to be a 9 good binder, really locked me into, like, this tissue culture passage or animal culture 10 passage. We call it tissue culture passage, but really what we're also considering is 11 through animals here, right? But that requires a lot more work, so tissue culture is the 12 more likely one to me here.

13 And I hold on to that thought for quite some time, probably -- I mean, certainly through us submitting the paper for publication in Nature on February 17th, which is also 14 why the language around "we do not believe that any type of laboratory-based scenario 15 is plausible," that's not in that initial submission. And we are totally open to, like, 16 there's three different -- we don't make any decisions on "we think this is more likely than 17 that one." We just say, we can't prove or disprove anything, you know, and we should 18 19 get all this different data, but it's actually possible that no further data will help us clarify 20 that.

And I think that's because, like, I, in particular, was still, like, thinking about, again, that tissue culture version. I think Andrew Rambaut, for example, was like, "Kristian, that doesn't make any sense." I think Eddie was probably also at that point, "That doesn't make any sense." But I was holding on to that one.

25 And one reason for that was that I was a little slow on realizing the importance of

that receptor binding domain. I think even after I had seen like, oh, you basically have
the same in pangolins, I think even at that point I thought that, yeah, but it could still be
like result of tissue culture passage. And, of course, it can't, right? It just took me too
long to realize that, I'll say.

Again, I was also driving the Mojave Road right when this came out, right? So I
didn't think too much about some of this stuff.

But then, you know, my sort of understanding of just, you know, this would really
require them to have this virus. You don't have any evidence that they did. It's very
unlikely that they actually did, for all the reasons that I already discussed, right? Which
is sort of a, you know, increasing knowledge on my end.

As well as continuing to just do analyses, right? Is there anything unusual about the genome itself, in terms of the way in which it uses bases, codons, like, all these different things, right, that we are looking at? And we just come up empty every time. Right? And that is not what you would expect had it been linked to a lab. You would expect to see at least some evidence.

16 That doesn't mean that if you don't see any of that evidence, and all the evidence 17 is, in fact, fully consistent and even suggestive of a zoonosis, it doesn't mean that you 18 have proved or disproved anything, right? Which is the language we're using here and 19 which is the language I've continued to use, because I don't believe we are at the stage of 20 a proof. Right?

But just because you keep an open mind to saying, like, should additional evidence
occur -- confirmed COVID-19 in November of workers in Zhejiang GIS lab (ph)? Of
course, right? Which is why, as a scientist, that's the scientific process, right?
But given the mountain of evidence that points to that market in the middle of
Wuhan -- by the time we wrote this, we obviously knew more about the cases, right,

1 which we also describe here, but now we know even more about those cases, as well as 2 the clustering inside the market itself, right? -- is that the accumulation of evidence here just continues to further and further support these early conclusions that we put forward 3 4 here. 5 Q Great. 6 And one discrete question, and then one more, and then I'll wrap up. The 7 discrete question, which I forgot to ask about -- the BamHI restriction site. 8 А BamH1, yeah. 9 Q BamH1. That did not even, it seems, make it --10 Α Right. 11 Q -- past -- can you explain why that one was knocked out right away? 12 А Yeah. I think, you know, Eddie referred to us as loons if we even, you 13 know, mentioned that. And the BamH1 stood out -- so BamH1 is one of these molecular scissors I talked 14 about, right? And BamH1 is, in fact, one of the ones they have previously used at the 15 WIV, but -- we see it in SARS-CoV-2. But it's because of a single difference between 16 SARS-CoV-2 and RaTG13, for example. It's just because of a single difference between 17 18 those two that that creates a BamH1 site, which is present in SARS-2 but it's not present 19 in RaTG13. 20 I should say, actually, that -- because I do remember this, talking about this 21 now -- that I think especially Francis Collins was like, "But that nails it for a lab leak, doesn't it?" And it doesn't, because, again, the difference is so minor. And, in fact, 22 23 given, like, the binal viruses, for example, they have exactly that restriction enzyme too. 24 So we know it's just totally natural, right? 25 But it just didn't make sense as an argument, because there was also some

conservation around the site that initially had me concerned, which I just realized was -- it
 was just wrong. There wasn't actual conservation. But it was such a subtle difference,
 which I describe here, actually, in our early notes, that it just doesn't make any sense.
 And that's why it didn't make it into the paper.

Q Great. Thank you.

5

A But we certainly looked at it closely, I will say. It wasn't just BamH1 we
looked at, although -- because that's the type of work I've done in the past, is using these
molecular scissors to, you know, pluck things in and out of retroviruses, again, as part of
my Ph.D. work, right?

10 So I looked very, very closely at that, saying, like, they use all these different 11 things. Are there any patterns that would be consistent with that? And there just 12 isn't, despite what, you know, some authors have published on.

13 Q Okay. Great.

Our last question, I think, is: We want to stay forward-looking in terms of things
we can do to prevent the next pandemic.

16 A Sure.

17 Q You've touched a little bit on this, but, in both the wildlife stream as well as 18 lab safety stream, could you just briefly sketch what, in your mind, might be some useful 19 reforms?

A Well, I think the first thing we need to do is to rebuild trust, right? As a scientist, I can't work in the environment we are in right now. There is no -- I mean, if we can't trust -- if there's no trust among scientists, if there's no sharing of data, because there's no incentives to it -- and, in fact, the incentives are to hide evidence, to obscure evidence -- that ain't gonna work, right?

25 And that's why I think that, from our perspective, we really need to focus in on the

science here, not speculation. We can speculate all day long about what could lead to a
 pandemic, but if we don't have any evidence of any of it actually having happened, it's
 just speculation. It also happens, in this case, to be accusations, right?

That needs to change. And that's not specific to whether we are talking about laboratory safety or whether we're talking about wildlife trade. That just happens to be about trust, right? WHO, for example, international mediators, scientists being able to work with other scientists, no matter what country they come from. And that is not happening right now, right? We can't work with Chinese scientists, and Chinese scientists can't work with us. That's very unfortunate.

10 The other thing that needs to happen is that the wildlife trade needs to have some 11 checks and balances in place, which need to be realistic. A full ban on the trade is not 12 realistic, right? Because a lot of people depend on these sources either as an income 13 stream or as a way in which just to get food. I certainly see this a lot in my work in West 14 Africa, for example.

So we can't ban it, right? But we can put some checks and balances in place such that we can make it safer. For example, as I previously mentioned, maybe we can institute testing of animals that are going into wet markets, for example. And these need to be internationally agreed upon, and we all need to agree we want to do this.

We also need to agree on just sharing early data on anything that we suspect
could be an outbreak, which could, you know, at any time lead to a pandemic. We don't
know at the early stage, right? So having these things in place for early sharing of data,
open-minded discussions without blame, without, you know, disincentivizing the sharing
of that data, is critically important.

And then I think we need, you know, international frameworks for
gain-of-function research, for example. But I think "gain-of-function" is a very bad word

to use here. I think we need to have international regulation around, like, research with
 potential pandemic pathogens.

I think we need to have registration requirements in place -- for example,
bio-safety committees always requiring the review of this type of work. Maybe there
needs to be, on some of the ones that goes into a different level, and saying, actually, we
believe that this could include some more substantial risk that we need higher-level
approvals for. That needs to be in place and, I think, needs to be within the framework
of an international framework, right?

9 We're not even close to any of that.

10 I think there is a lot of talk about, you know, this idea that all virology research 11 must be stopped because it's dangerous. I think it's completely, you know, ignorant, to 12 be perfectly honest. The reason why we have vaccines and antivirals is because of that 13 research. You can't stop basic research for a process that will continue to happen as 14 part of, you know, wildlife.

15 So that idea, I don't think -- but that's not to say that we shouldn't have better 16 frameworks for doing it. Of course I think we should. But the idea that we can just 17 ban it and then the risk somehow goes away -- not only does the risk not go away, it 18 increases, because it limits our ability to actually do the required work.

19 Q Great. Thank you.

20 With that, we can go off the record.

21 [Recess.]

1	
2	[12:42 p.m.]
3	Mr. <u>Benzine.</u> All right. We can go on the record.
4	I want to introduce majority exhibit 14.
5	[Andersen Majority Exhibit No. 14
6	Was marked for identification.]
7	BY MR. BENZINE:
8	Q This is a March 26th, 2020 memo produced by the U.S. Department of State
9	Bureau of Intelligence and Research. Have you ever seen this memo before?
10	A I don't think so.
11	Q In the paragraph underneath the title, it says. "U.S. scientists say," and the
12	next paragraph, "U.S. scientists studying."
13	Were you consulted by the United States Department of State prior to March 26,
14	2020?
15	A I don't think so. I think this is probably based on our publication.
16	Q You were never on a phone call with anyone from the Department of State?
17	A There was a phone call which was there was a phone call which was I
18	don't know if that was State. There was, like, Senators on, and I can't even
19	remember I don't think it was before March 26th, though.
20	Q Okay.
21	A So, no, I don't again, I think they're probably referring to our to our
22	paper here. Seems most likely to me. I don't recall being on a call around that time.
23	Q Okay. Thank you.
24	So we've talked a lot about proximal origin and given very good answers on a lot
25	of the questions, so I'm going to skip over some of the stuff

1 A Sure.

2

Q -- and we can move this along.

Briefly can you explain how the idea of the paper came to be? So you've talked a 3 4 little bit about it, a report first and then some suggestions to peer review and publish it. Can you go into a little bit more detail? 5 А 6 Yeah. That, I mean -- so, again, this was among discussions of the 7 authors -- Eddie Holmes, Andrew Rambaut, Bob Garry, and myself -- that instead of 8 considering this as a report on internal document in which we summarized some of the 9 findings that we had, that we should really consider this to be a peer-reviewed 10 publication because it would help -- it would help sort of, you know, focus the 11 conversation about this, this important question. 12 We talked about this a little bit too and -- I'm going to rephrase the question. Q 13 Was the idea of an internal report regarding what you knew about the origins of COVID-19 floated on the February 1st conference call? 14 А I mean, I don't -- when we say "report," I think, you know, we send around a 15 draft, right, to the participants on the conference call, and then a report or some sort of 16 draft documents to help, I guess, direct the conversations that Jeremy Farrar, for 17 18 example, was hoping to have with the WHO. 19 Again, for me, I was more interested in just looking at it closer and having some 20 conversations among scientists initially. 21 Q Did the initial report -- would it be fair to characterize that the initial report 22 turned into proximal origin? 23 А Yeah. I think -- I mean, if you look at the early, like, if you look at our 24 summary immediately following the meeting, there are then, you know -- those 25 summaries then get filled in with additional information and discussions, right, and

1	ultimately all of this is what ends up morphing into a peer-reviewed scientific paper, yes.
2	Q While you were drafting proximal origin, did you use forms of
3	communication other than email to talk to your co-authors?
4	A Yes. We had most of this was Zoom calls, for example, and probably
5	comments in, like, Google Docs, Slack channel, which we have produced related to the
6	emails. So those would be the communication forms, yeah.
7	Q If you know, does Scripps Research own the Slack channel or
8	A They do not, no.
9	Q Who owns the Slack channel?
10	A Ido.
11	Q Okay. Is it your testimony that every Slack message revolving around
12	proximal origins has been produced to the committee?
13	A They've not been produced for the simple reason that I don't have the ability
14	to do so.
15	Q Why?
16	A Because it requires download of the full Slack channel, which requires
17	consent from everybody who is on that Slack channel, as well as a court order to do so.
18	Q Okay.
19	Mr. <u>Rowley.</u> And, Mitch, we've talked about that offline, you and I have. If you
20	need further information, I'm happy to provide it to you.
21	Mr. <u>Benzine.</u> Okay.
22	BY MR. BENZINE:
23	Q So I think you testified, and you can correct me if this isn't a fair
24	characterization, that Dr. Fauci suggested a peer-reviewed paper of some kind. When
25	did that suggestion happen?

A That happened -- again, the first phone call I had with him, which was immediately prior -- I think the day prior, right, to the conference call itself where I relayed my initial concerns and findings.

He specifically suggested considering writing a peer-reviewed publication on it,
and specifically I remember him saying that if you think it came from a lab, you should
write this up as a peer-reviewed paper, so it can be judged by the peer community
basically, yeah.

And then those were, you know, as far as I remember, was that their
encouragement of thinking about this in scientific term, which is the way, of course, a

10 publication write, were probably voiced on the conference call as well.

11 Q So that was my next question. In addition to the January 31st call that you 12 had with Dr. Fauci, did he make a suggestion for a peer-reviewed paper on the February 13 1st call as well?

A I think he -- I mean, I can't remember exactly, but I think, again, certainly encouraged to, you know, follow the scientific process on this which ultimately ends up in peer-reviewed publications.

17 Q Did his suggestion change your outlook on writing a paper?

18 A It did not, no.

Q To your recollection, did Dr. Collins make similar suggestions about drafting
a peer-reviewed paper?

A I think, again, encouragement to follow the scientific process which typically
ends up in a peer-reviewed paper.

23 Did he specifically mention that you should definitely write a peer-reviewed

24 paper? Probably not, but, again, I don't -- I don't recall exactly.

25 Q But Dr. Fauci did specifically mention a peer-reviewed paper?

1	А	He did when I had the first call with him, yes, and he specifically mentioned
2	that if I beli	eved this was a lab leak, I should consider writing a peer-reviewed paper on it.
3	Q	Did you ever feel so you've said today and your emails say too that you
4	had some h	esitation about publishing proximal origins at least?
5	А	The early versions yeah, early versions of it, early drafts of it, yes.
6	Q	Did you ever feel directed by Dr. Farrar to publish?
7	А	No. I think, you know, Eddie Holmes, who is a co-author, definitely put
8	pressure or	n me in saying, look, Kristian, we really need to, you know, get this into a
9	peer-reviev	ved paper right now. It was coming from Eddie Holmes, and Eddie was right.
10	lt's just ye	ou know, I think by the time it was published, I still felt it was a little bit too
11	fast, right?	Maybe used another week, for example.
12	But	in retrospect, Eddie was absolutely right. It was ready to go. It was ready
13	to to be s	ubmitted as a peer-reviewed publication at that time.
14	But,	yeah, especially early on in the conversations, the first week of February, for
15	example, th	nere were these talks about a potential pangolin genome which a pangolin
16	virus genon	ne, which was reported to basically be almost identical to SARS-CoV-2.
17	lt's l	ike, look, we got to wait for that evidence, but, of course, if that's there, that's
18	really impo	rtant, right? So we don't want to publish before we have that evidence, so
19	let's just giv	ve it a little bit of time, which is what we did. By the time we published, we
20	knew that a	actually those sequences were it was just incorrectly reported.
21	Q	So your hesitation was more that the paper just wasn't ready versus
22	А	Exactly, right.
23	Q	publishing something
24	А	Oh, not not
25	Q	Your hesitation was more that the paper wasn't ready, not that it wouldn't

1 stand up to a peer review?

2	A Absolutely, yeah. I think, you know, my group publishes papers, r	ight, we
3	have a lot of very good papers, and that's our focus on everything we do. So the	idea of
4	a peer-reviewed publication, absolutely. It's just, again, I felt, early February, c	ertainly I
5	was, like, look, we know that there's likely more data coming out which is releva	nt to this
6	question, so let's wait on that, which everybody was agree on was in agreeme	nt with,
7	right?	
8	But the idea of a peer-reviewed publication is not something I didn't war	t to do.
9	It's just I thought the state of the drafts of what eventually will become proxima	were not
10	ready at the time.	
11	Q I'm going to introduce majority exhibit 15.	
12	[Andersen Majority Exhibit No. 15	
13	Was marked for identification.]	
14	BY MR. BENZINE:	
15	Q It's the final version of the proximal origin paper, which has been p	reviously
16	introduced?	
17	A Yep.	
18	Q Not that you need to read it again, but	
19	A Sure.	
20	Q I want to go through briefly, because we've spent some time on i	t
21	A Yeah.	
22	Q some of the ideas in here and first start again to get a little bit mo	ore clarity
23	on the final sentence in the "To the Editor" block, that, Our analyses clearly show	v that
24	SARS-CoV-2 is not a laboratory construct or a purposefully manipulated virus.	
25	A Right.	

1 Q And I just want to like break it down a little bit, knowing that you've already 2 kind of talked about this --

3 A Yeah.

4 Q -- where, what you intended with "laboratory construct and purposefully 5 manipulated virus."

А "Laboratory construct," basically, what we are referring to there is 6 Yeah. the idea of a chimeric virus, right, like WIV-1 backbone, for example, or some of the 7 8 laboratory constructs, that we have to create these kinds of viruses from Ralph Baric's 9 work, for example. That's specifically the intended meaning of "laboratory construct." 10 "Purposefully manipulated virus," the intended meaning of that is the idea that somebody would create SARS 2, with the intent of creating SARS 2 bioweapons, for 11 example, would be that. That's what we mean with a "purposefully manipulated virus." 12 So -- and I'm not saying -- like, I'll get to furin cleavage sites, but -- so would, 13 Q as kind of Dr. Garry initially suggested, would dropping a furin cleavage site into a novel 14 coronavirus, which category would that fall into? 15 А Well, it would -- well, kind of both --16

17 Q Okay.

A -- right? Again, the language here is not very precise. I would say
 engineering could've been mentioned in here too, right? Well, we could just have said
 engineering.

21 But the meaning is a little different here because we don't actually specifically talk 22 about engineering, right? We talk about the idea of laboratory constructs and 23 purposefully manipulated viruses.

24 If you ask me today where would this fall in under, it would fall in under either.

25 Q Okay.

1 А It's not the intended meaning at the time, but it would fall in under either. 2 Q Was there a reason to not include engineering, or was it just that you thought it would encompass both of these? 3 Honestly, I don't know. I think it's -- I remember there's been, like, some of 4 А this language, I think laboratory construct, purposefully, I think probably 5 6 Andrew Rambaut brought this up at some point, and then that's what ends up in the 7 paper. 8 Q And how were you able to -- you said that the idea of genetic, of kind of 9 directed engineering --10 А Yeah. 11 Q -- either in whole or in part --А 12 Yeah. 13 Q -- you were able to move past pretty quickly. А Yeah. 14 What was the rationale for that? 15 Q А So I stated previously there are many reasons why that just does not make 16 sense. First of all, this virus contained no evidence of having been used or been present 17 18 prior to the pandemic. It doesn't use the kind of cloning systems or the technologies 19 that are most commonly used from the WIV. 20 The features themselves appear to be natural features and not engineered features. They're sub optimal. They're not inserted in a way in which you would 21 22 expect a designer would do. 23 And those are the basically the reasons why we felt that this is a justified argument, that it just doesn't make sense to think of this as an engineered virus. 24 25 Q I'd like to introduce majority exhibit 16.

1	[Andersen Majority Exhibit No. 16
2	Was marked for identification.]
3	BY MR. BENZINE:
4	Q It's an email chain amongst yourself and
5	A Yeah.
6	Q many of the other scientists and Bates-numbered REV0000735 through
7	738. And I just want to pay attention to the top of 735, the email from Dr. Fouchier.
8	A Yep.
9	Q I do not he states, I do not understand Andrew's argument meaning
10	Andrew Rambaut the sequence data in Andrew's words the sequence data clearly
11	and unambiguously rules out any form of lab construct or engineering of the virus.
12	Back to Dr. Fouchier, who says, molecular biologists like myself can generate
13	perfect copies of viruses without leaving a trace. The arguments for and against
14	passaging and engineering are the same if you ask me.
15	What does this mean?
16	A So this means that there are seamless cloning technologies which,
17	quote/unquote, do not leave scars in their in the viral genome, and molecular biologists
18	are capable of creating such things. Ralph Baric developed many of these technologies,
19	for example. He, himself, argues against this was being done here, right?
20	But the reason why we feel that it just doesn't make sense is for the same reason
21	that I just mentioned, right, is that it's not the technologies that they're very commonly
22	using, and the virus itself looks to be again, the features that are, quote/unquote,
23	special to this virus, look evolved, not engineered.
24	And so that's the reason, and that's the reason why I disagree with Ron on this
25	point because I actually do think it's important to distinguish them. And I think most

1	people would agree that we should distinguish engineering from passage, whether that in		
2	animals or tissue culture, yeah.		
3	Q I want to go to, along the same vein, majority exhibit 17.		
4	[Andersen Majority Exhibit No. 17		
5	Was marked for identification.]		
6	BY MR. BENZINE:		
7	Q This is a bit of the Slack conversation.		
8	A Yeah. Just read it. Yes.		
9	Q I just want to draw your attention to the bottom where Dr. Garry's second		
10	message from the bottom		
11	A Yep.		
12	Q you can also synthesize bits of the genes de novo with perfect precision		
13	and add them back in without a trace.		
14	A Yeah.		
15	Q So this is the same thing that Dr. Fouchier is arguing		
16	A Yes. Yes.		
17	Q and you agree that that's not what happened here, but disagree that it		
18	probably should've been mentioned in		
19	A No. I think, like I think that can that could be done, right? In fact, my		
20	own lab does it, like, we do not for viruses, but we use these seamless cloning		
21	technologies too, so I'm well aware of these technologies.		
22	It still does not to me, it's whether you use, again, whether you use a seamless		
23	cloning technology or whether you use the normal approach that they use with the WIV,		
24	they would both fall in under engineering.		
25	And, again, engineering, we feel, can be dismissed based on the arguments I've		

1 already put forward.

5

6

2 Q We've talked about it a lot so, again, I'll be brief. Three of the main reasons 3 that you and your co-authors put forth that COVID-19 didn't come from any laboratory 4 scenario --

A That we did not find it plausible, correct, yes --

Q That you didn't find it plausible?

7 A -- our personal opinion.

8 Q -- are the nonoptimal receptor binding domain, and that it was found in 9 naturally occurring viruses, the presence of a furin cleavage site in naturally occurring 10 coronaviruses, and that it was out of frame, and that any manipulation would've probably 11 used a preexisting backbone? Is that --

12 A Those are some of the key arguments, but as I've also described to you, to 13 your colleagues previously, is that there's a lot of underlying arguments around just the 14 emergence of viruses and how does that emergence happen, the early case data, for

15 example, pointing to the Wuhan Seafood Market, and so on and so forth.

You need to take -- there's no single piece of evidence that allows you to make a strong conclusion. You have to take all the evidence into consideration, including

18 evidence of how does viral emergence even work in the first place.

19 Q First I want to talk about the receptor binding domain a little bit --

20 A Sure.

21 Q -- and introduce majority exhibit 18.

22 [Andersen Majority Exhibit No. 18

23 Was marked for identification.]

24 BY MR. BENZINE:

25 Q These were produced by you to us and at least appear to be peer-reviewed

comments from either Nature or Nature Magazine. It's unclear. 1 Do vou --2 А This is from Nature, yeah. Q From Nature? 3 А Yeah. 4 Do you recall about the date frame of when this would've been occurring? 5 Q Like when this? 6 А Q Yeah. 7 8 А Probably early -- probably early March. 9 Q Okay. 10 А Like when did we submit the paper? Yeah, probably like the first week of March. 11 Mr. <u>Rowley.</u> We provided those dates to you. 12 Mr. <u>Benzine.</u> Where are the dates on these two? I just -- like, it's not dated on 13 14 the paper, so for the record ---Mr. Rowley. Not on the paper, but on the Word version, there was an indication 15 16 of the dates. Mr. <u>Benzine.</u> Okay. 17 18 Dr. Andersen. Yeah. 19 BY MR. BENZINE: 20 Q In referee number 1's comments, question number 6 at the bottom of the 21 first page says, There are two recent reports about coronaviruses in pangolins. The 22 authors might want to comment on these. 23 Is this the RBD pangolins, or is this the 99 percent pangolins? This is the 99 percent pangolin, yes. 24 А 25 Q Okay.

1 A lassume. lassume.

2 Q Because you said there's nothing in these reports that changes our 3 statements regarding the potential role of pangolins, so --

- 4 A Correct.
- 5 Q -- what did you mean by that?

A So there's -- there was speculation that pangolins could be the intermediate
host of the virus, and we felt that these sequences did not change that, right? So we
didn't mean -- we didn't think that those sequences specifically pointed to pangolins.
They didn't show that they were or even suggest that they could've been a likely
intermediate host. But, of course, it all didn't disprove that they weren't the

- 11 intermediate hosts.
- 12 Q I'll ask about the next one. On referee 2, page 3 --

13 A Yeah.

14 Q -- that referee again in the first block there -- second block there, asks about 15 the pangolin sequences. Once the authors publish their new pangolin sequences, a lab 16 origin will be extremely unlikely.

And then you write back in the second paragraph, unfortunately, the newly
available pangolin sequences do not elucidate the origin of SARS-CoV-2 or refute a lab
origin.

20 A Correct.

Q These are the same -- you're talking about the same pangolin sequences?
A We are talking about the same pangolins, yeah.

Q So this isn't -- these rebuttals from you aren't about the pangolin RBD, it's
about the pangolin as an intermediate host?

25 A This is about -- just to be clear, these are not from -- unlike what the

- 1 sub -- you know, the Select Committee stated in their recent conversation -- or
- 2 production, is that these are not my answers, right? These are answers from the
- 3 authors. So this is from all the authors that we worked together on --
- 4 Q Okay.

A -- on phrasing these. But, yes, this is referring to the fact that these new pangolin sequences, and we must've probably knew at the time that they were not, in fact, you know, identical to SARS-CoV-2 -- although I can't really remember, right -- is that they don't allow us to refute a potential lab origin, specifically tissue culture passage, right, as we describe in the paper.

10

Q Okay. So this is --

A Because the referee basically says that once we have these pangolin
sequences, then we don't even need to consider a lab origin anymore. And we disagree
with that. We think we do, as we described.

14 Q I want to move on and briefly talk about the furin site, and we've talked 15 about it a lot. What -- can you explain what a furin site -- furin cleavage site does? 16 Briefly.

A Sure. A furin cleavage site is just a string of amino acids that makes it a target for proteolytic cleavage proteins, proteins that if you have the spike protein of the virus, there's a long protein which allows the virus to bind to the human ACE-2 receptor but not to be internalized into the cell.

To be internalized into the cell, that spike protein needs to be cleaved into what's called S-1, spike 1, S-2, spike 2, and then it can fuse into the cell and infect the cell. And in something like SARS 2, this can be mediated by an enzyme that's called

- 24 furin. And that's why this is a furin cleavage site, because furin, as well as other
- 25 proteolytic enzymes -- it's not just furin -- can use this site to cleave that, and, in fact, can

do so when a new virus is being produced. Before furin leaves that cell, it's cleaved. 1 2 So once it then infects a new cell, it can bind to it immediately, internalize immediately, and infect the cell immediately. Something like SARS 2 -- SARS 1 can't do 3 4 that because it does not have that furin cleavage site. Is it fair to characterize as, the furin cleavage site makes it more infectious? 5 Q 6 А I don't think that's fair, no. I think the furin cleavage site, I think, is an important component of SARS 2 and a function of SARS 2. We know it's important for 7 8 its pathogenesis. We know that specifically it's required probably for transmission of 9 this virus too, but it's not a, quote/unquote, way to increase the transmission of a virus, 10 for example. 11 Q So there --А Yeah. 12 13 Q -- there could be a virus with a furin cleavage site that is not good at transmitting ---14 15 А Oh, absolutely. I'm sure there are many --Q Yeah. 16 -- many. And, in fact, there could be viruses without furin cleavage sites А 17 18 that also transmit very well. 19 Q Can you tell strictly from the sequence of COVID-19 that it has a furin 20 cleavage site, or does it require more --We can say it has a predicted furin cleavage site. Certainly in our early 21 А drafts, I think we talk about it as a predicted site, because we don't actually know if it's 22 23 functional. But I think probably by the time we published the final paper, I think it was broadly known at the time that, yes, it is also functional. 24 25 But, yeah, we could predict it, but we don't know until we've done experiments

1 that it's actually functional.

2 Q And we've touched on the next couple a little bit, but I want to ask again.

3 Is there a way to tell if a virus gains a furin site through natural evolution versus

4 laboratory passage?

5 A I mean, again, there would be certain -- again, if you insert the site into the 6 virus, which has been done for all the viruses, including coronaviruses, then typically 7 people use what we know to be a good furin cleavage site.

8 There are multiple versions of a furin cleavage site, right? There's optimal ones 9 and there's sub optimal ones. And when research have done this in the past, they do 10 with, like, we know that this is an optimal furin cleavage site. We know it will definitely 11 be cleaved by furin. That's what people insert.

12 This is not that, right? It's a sub optimal site. It is good enough to be cleaved 13 by furin, but it's not a great site and, in fact, has since evolved to become a beta site in 14 things like Omicron and alpha and other variants, right.

15 Q Beyond just inserting it --

16 A Yeah.

17 Q -- is it possible, or has it been done before -- two separate questions -- to 18 gain it in a coronavirus just through either culture or animal passage?

19AI don't believe so.So, again, this is where, you know, my early thinking was20this, that I thought it was possible, but I just think that -- because, again, I've looked, you

21 know, everywhere for a reference that would suggest that it can be gained during

22 passage, for example, and I just haven't found anything.

And, again, what's important here for SARS 2, specifically we know that it has a
tendency to actually lose it as its passage in tissue culture.

25 Q Can you explain the importance of that --

1 A Yeah.

2 Q -- how in, like, why continued passage and it loses a furin site means, like, is 3 a data point against it being gained in a laboratory?

A Yeah. So -- well, it's -- if it loses it in a laboratory, then it ain't going to gain it, right? It's one or the other.

6 The fact that it even loses it is interesting because -- and it probably has to do with 7 the fact that furin cleavage sites are probably important for respiratory transmission of a 8 virus, which is probably also why they're pretty rare in bats, right, because bats don't 9 transmit viruses between themselves in the respiratory route. They do this with the 10 good old fecal-oral route.

And that's different than that in mammals. That's why a lot of coronaviruses in rodents, for example, have furin cleavage sites because that's a respiratory route. In bats it's not a respiratory route, so there the furin cleavage sites are rarer, although still present.

When you're in tissue culture, that's a totally artificial selection environment,
right? It's nothing to do with a respiratory route, for example.

The furin cleavage site itself probably makes the virus less stable, and that's probably detrimental in tissue culture. And that means that if there is a version of the virus that emerges in this tissue culture experiment that doesn't have the furin cleavage site, then they'll out-compete all the other viruses in the same cell, and very quickly you'll end up with basically having viruses that -- viral particles that don't have that furin group, so --

23 Q Okay. I want to introduce majority exhibit 19.

24 [Andersen Majority Exhibit No. 1925 Was marked for identification.]

1

BY MR. BENZINE:

2 Q It's an email chain with yourself, Dr. Holmes, Dr. Rambaut, and Dr. Garry, and Bates-numbered GARRY0000098 through 104, and I want to go to the page marked 3 4 100. And right in the middle of the page is a long email from Dr. Garry, and at the bottom, he says, Bottom line, I think that if you put selection pressure on a coronavirus 5 6 without a furin cleavage site in cell culture, you could well generate a furin cleavage site after a number of passages. But let's see the data, Ron. It will infect a lot better if it 7 8 can effectively fuse at the cell surface and doesn't have to rely on endosomal cleavage 9 and receptor-mediated endocytosis? 10 А Yeah. 11 Q Do you agree with that statement, that you could put enough pressure on a 12 coronavirus to generate a furin cleavage site? 13 I think as a -- as a hypothesis, I think it's a good hypothesis. I mean, again, Α this is basically akin to what I was saying at the time, especially -- and I can't remember if I 14 15 put that in an email or just mentioned it in conversation, but typically these are passaged in the presence of trypsin, which is an enzyme that will cause that cleavage to happen in 16 the absence of a furin cleavage site. 17 It's, in fact, I think -- I believe it's required for, like, passaging SARS 1. And so my 18 19 idea, which is similar to what Bob is saying here, is that if you did that experiment in the 20 absence of trypsin, you would probably select for a virus that would have a furin cleavage 21 site.

The problem is just that it's just wrong, right. For SARS 2 specifically, it doesn't gain it, it loses it, right? And while it's a good hypothesis, as Bob says, but "Let's see the data, Ron" -- I actually think it's Mike that this -- this idea comes from. I don't think Ron said that it could -- that furin cleavage sites could happen in tissue culture.

1 It's just that, again, in fact, there is no evidence to suggest that if you passage a 2 coronavirus, you will gain a furin cleavage site. And specifically we know that if you passage SARS 2, it loses a furin cleavage site. 3 4 Q I'm curious how those two are related, like, if the argument is that you're taking a novel coronavirus, passaging it until it gains a furin cleavage site ---5 So I don't think you can do that. I think it's just the wrong hypothesis. 6 А 7 Q Okay. 8 А Yeah. I think it's -- I mean, it's an idea that Bob puts out there, right, as 9 something to consider. I think that if you put selection pressure, right -- I mean, we are 10 speculating here, and I just don't think you can do it. 11 Q And why? А Because there's no evidence to suggest that if you passage a virus -- a 12 13 coronavirus that doesn't have a furin cleavage site, that it gains a furin cleavage site. Q No published evidence? 14 No published evidence ---15 Α Q 16 Okay. -- yes, yes. And we have to go with published evidence, right, or at least А 17 18 have seen experiments or heard about or whatever, because, yes, I don't -- we thought 19 that at the time because, again, I believe that based on the conversation I had with 20 Mike Farzan -- he mentioned it -- maybe I was wrong because, again, as I'm saying, there 21 is just no evidence for that actually. But it's still operating under the assumption that people are publishing 22 Q 23 everything that they're doing? No. It's just like -- no. I mean, if -- if -- there's a lot of papers on 24 А 25 coronaviruses, right? So if -- if coronaviruses would gain furin cleavage sites based on

1	passage, w	hich experiments that happen all the time with all kinds of coronaviruses,
2	right, I wou	Id expect that something like that would be in the published literature, yeah.
3	Q	Okay. I want to go to kind of the third primary argument. I understand
4	you said the	ere were a lot of other things that went in that
5	А	Sure.
6	Q	maybe didn't make it past the cutting-room floor.
7	А	Yeah.
8	Q	That it didn't use a preexisting backbone?
9	А	Yeah.
10	Q	So in essence that means, and correct me if I'm wrong, that if it we'll use
11	the Wuhan Institute as an example if their preexisting backbone is WIV-1, this doesn't	
12	use that?	
13	А	And all the backbones, right? There's a lot of not just the one that the
14	Wuhan Institute of Virology was using commonly but all the backbones that we knew	
15	about out there, i.e., published, of course.	
16	Q	So it, again, rests on the assumption that there weren't unpublished
17	backbones?	
18	А	Of course, yes.
19	Q	Okay.
20	А	It rests on that, but, again, we scientific arguments are based on evidence
21	and data.	If you speculate that there might be an unpublished backbone out
22	there you	a shouldn't dismiss that possibility, right, but without any evidence to actually
23	support tha	at claim, it's just speculation, and in my opinion, that's not a scientific method,
24	right?	
25	And	especially because, again, the Wuhan Institute of Virology was really doing it

1	the same way pretty much all the time. So now we are talking about all of a sudden
2	they did it a completely different way.
3	That's not to say that they couldn't have. They could have. It's just we have
4	nothing to suggest that they actually did.
5	Q I want to introduce majority exhibit 20.
6	[Andersen Majority Exhibit No. 20
7	Was marked for identification.]
8	BY MR. BENZINE:
9	Q So this is an email chain between yourself, Dr. Holmes, Dr. Garry,
10	Dr. Rambaut, and Bates-numbered GARRY0000272 through 274.
11	Before we get to what's in the email chain, do you recall how Dr. Lipkin got added
12	as an author?
13	A I think Dr. Lipkin had talked to Dr. Farrar and had been, like, working on
14	similar things, and then Dr. Farrar mentioned that Eddie's working on something. So
15	Eddie talked to Jeremy, and then Eddie sent an email around and said, right, look, Ian is
16	working on some of this stuff, are you guys okay with him being an author? And then,
17	yes, we agreed to that.
18	Q Okay. On page 273
19	A Uh-huh.
20	Q Dr. Holmes, it's kind of the middle of the page email, it's got a big external
21	sender box over it. It says, From Ian about the February 7 summary.
22	I assume that's referencing maybe still the report at that point
23	A Yeah
24	Q not the final paper?
25	A that report, like whatever, yes

1		Q	Yeah.
2		А	yes, yes.
3		Q	Think we should add him as an author. Safety in numbers. In his own
4	mind,	he bri	ngs a lot of gravitas, plus because he is involved in the gain-of-function, I
5	think i	t adds	weight. Happy to be overruled though.
6		Is thi	s the email that you were just referencing where
7		А	The backbone site, yeah, that must be the I mean, I think we should have
8	add hi	m as a	an author, so there must be some other email, like, suggesting that we should
9	maybe	<u>e</u>	
10		Q	My guess would be Dr. Holmes just didn't put a question mark at the end of
11	that.	That'	's how I read that. Think we should add him as an author.
12		I don	ı't
13		А	I don't, yeah, but I mean, right where I mean, there must be an email prior
14	to this	wher	e we talked first about, like, Ian.
15		Q	The email prior is on the last page, and it's Dr. Lipkin to Dr. Holmes with
16	some t	thoug	hts about the paper.
17		А	Okay, okay, okay, yes, okay, yeah, okay, I get it, yeah. Yeah, Yeah. Yeah,
18	yeah.	So tl	hat's probably the email where we all agree, using different words, to include
19	lan as	an aut	thor, yes.
20		Q	Do you agree that Dr. Lipkin adds gravitas to the authorship?
21		А	I think he is an you know, he has done important work and including
22	collabo	orated	with Chinese authors. He's a well known individual within sort of the
23	emerg	ing in	fectious disease field. So from that perspective, adding Ian as an author,
24	yes, th	at hel	ps add to the weight of the paper and the authors, and, like, look, these are
25	really	expert	ts to have looked at this, yes.

1 Q At the top of the first page, 272, Dr. Garry, at the very top email, says, yes, 2 very interesting, publish. It's not quite clear what he's referring to. 3 I predict Kristian will soon have some better dN/dS data to add productively to the 4 5 mix as well. Stay agnostic. Hope Ian can as well. 6 What -- I'm asking you to speculate, but was there a fear that Dr. Lipkin wasn't 7 agnostic? 8 А I don't think so. I mean, there's another mention -- I think -- I think Eddie 9 initially mentioned that he thought that Ian probably believed -- believed it came from 10 the lab, similar to what I did at the time. 11 But was there any fear that Ian would somehow move it in a certain direction? 12 No, I don't think so. No. 13 Q Okay. Are you -- generally, are you aware of Dr. Lipkin and Dr. Holmes' relationship today? 14 15 А I think it's very poor. Q Do you know why? 16 I think -- honestly, I -- well, I think there's been, like, Ian has made some А 17 18 statements that I think Eddie disagrees with and prob- -- I mean, he certainly made the 19 statements around him knowing about the pandemic in mid December or something like 20 that, which I just don't believe for a second because it just doesn't make any sense. And 21 he is -- you know, his claims for that are basically just misstatements of dates in papers. 22 So I think that has led to some conflict between the two. And, again, I'm not 23 actually -- I, myself, you know, don't have a relationship with the doc- -- Dr. Lipkin. 24 Q Okay. Thank you. 25 I want to introduce majority exhibit 21.

1	[Andersen Majority Exhibit No. 21		
2	Was marked for identification.]		
3	BY MR. BENZINE:		
4	Q This is another email chain with yourself, Dr. Holmes, Dr. Rambaut, and		
5	Dr. Garry, Bates-numbered GARRY0000263 through 264.		
6	At the bottom of the last page so flip over to 264 Dr. Holmes writes, Ian Lipkin		
7	just called, very worried about the furin cleavage site and says the high ups are as well,		
8	including Intel. Also saw the restriction site. Actually, he was most vexed that he		
9	wasn't part of our discussion group. Classic. I think I'll send to (sic) the doc. I still		
10	have no power. Could be a week.		
11	Who do you think Dr. Holmes is referencing when he says "high ups"?		
12	A Very worried about the furin cleavage site, I mean, maybe it's because,		
13	again, I had brought up we had brought up the concerns to Dr. Fauci and Dr. Collins, so		
14	maybe Ian has had conversations with them around this time and that they were worried		
15	about it, saw that as a potential sign of lab origin.		
16	Mr. <u>Rowley.</u> The question is calling for speculation.		
17	Do you know who that refers to?		
18	Dr. <u>Andersen.</u> I do not know who that refers to.		
19	BY MR. BENZINE:		
20	Q Okay. Similarly, at the top of the first page, so 263, Dr. Garry says, but if		
21	Lipkin says higher ups are concerned and Intel involved, it's consistent with what we all		
22	know too.		
23	Does that help frame that it might've been Dr. Fauci and Dr. Collins that are the		
24	higher ups?		
25	A I I again, I wouldn't speculate on that. I don't know. I mean, it was		

clear that the White House Office of Science Technology Policy at that February 3rd 1 2 conference call, Dr. Fauci, in my initial email to me, talked about contacting the intelligence community both here and in United Kingdom. 3 4 So that's what my assumption is, that when we're talking the higher ups here, the White House was aware of this. They organized -- again, asked to organize that 5 February 3rd conference call. So this is all consistent with that being that. 6 Okay. At this point did you have any firsthand knowledge of the 7 Q 8 intelligence community being involved? 9 А I did not, no. 10 0 Okay. I want to shift back, and if you need to reference the paper itself, you can --11 12 А Sure. 13 Q -- but you may not have to. The paper says, we acknowledge M. Farzan for discussions. That's --14 Yeah. 15 Α Q -- Dr. Michael Farzan? 16 Α Correct. 17 Q Why was he acknowledged at the end of the paper? 18 19 А Because I had conver- -- I had a few conversations with Dr. Farzan just about my early thoughts on the virus. Mike Farzan was my co-chair. He was at the 20 21 Scripps Research Florida campus, and he was co-chair of the immunology department which I'm under. And he's also importantly discovered the ACE-2 receptor for SARS 1, 22 23 so he knew a lot about coronaviruses. So I had several conversation -- I say several -- maybe I had two or three 24 25 conversation with Mike about some of my early thoughts of a potential lab-related origin

1	as well as tl	he stable evolution of the virus. And Mike really helped me steer sort of just	
2	my own o	own thinking on this, and that's why he's he's acknowledged.	
3	Q	What were what did the conversations consist of briefly?	
4	А	Just scientific	
5	Q	Was it one conversation, two conversations?	
6	А	So I can't remember. I think it's I don't think it was just one. I think it	
7	was definit	ely two, two or three conversations, and it was just about, you know, the furin	
8	cleavage site we talked about, as I have already mentioned, that I think I got this potential		
9	idea that maybe it could gain it during tissue culture from Mike himself.		
10	We talked about the binding into the ACE-2 receptor because Mike is also a		
11	structural b	iologist as well as an immunologist. So this idea that, look, we think this is a	
12	good binde	r to human ACE-2, for example, and sort of got his insights on that.	
13	So it	t was just a scientific conversation to to further my own understanding of	
14	coronavirus	ses at the time.	
15	Q	During his transcribed interview, Dr. Farzan was asked if he was aware that	
16	you were going to acknowledge him in the paper.		
17	А	Right.	
18	Q	And he said, I was not aware of that prior to publication.	
19	А	Right.	
20	Q	Why didn't you tell him?	
21	А	I you know, you don't always tell people that they're acknowledged in a	
22	paper beca	use acknowledge obviously, if they had been authors, they would have	
23	been direct	ly involved. So maybe I did not reach out to Mike because I had told him	
24	that we are	going to be working on a paper, and it was obvious based on my	
25	conversatio	ons with him that I would thank him. It's something that you typically do with	

your colleagues that you feel like has helped -- helped you clarify your thoughts on -- on a
 scientific topic.

3	Q So i	t's not uncommon to acknowledge someone without their knowledge?
4	A Oh,	that's done all the time. You don't reach out to people and say, like, is
5	it okay that I ack	nowledge you for this? That's well, I typically don't do that, right,
6	because people l	know that if you're working on a paper and you talk to them, you actually
7	have insightful co	omments. Then from my experience, you don't you don't ask for
8	permission to do	that because acknowledgement is just an acknowledgement. It's not
9	an authorship wl	nich is obviously very different.
10	Q Oka	y. I want to introduce what will be majority exhibit 22.
11		[Andersen Majority Exhibit No. 22
12		Was marked for identification.]
13	B	/ MR. BENZINE:
14	Q This	is an email chain between yourself and Clare Thomas.
15	A Yea	h.
16	Q Firs	t, who is Clare Thomas?
17	A Clar	e Thomas is the editor at Nature Mag I mean, Nature.
18	Q Iwa	ant to go to the email, it starts at 266 but with spacing flows heavily on to
19	267	
20	A Yea	h.
21	Q ai	nd says briefly, Dear Clare, I wanted to reach out to see if there would be
22	any interest in re	ceiving a commentary hypothesis piece on the evolutionary origins of
23	SARS-CoV-2.	
24	Was this	you pitching proximal origin to Nature?
25	A Yea	h. But before we had a draft ready, right? I was asking if there would

1 be potential interest at the journal for such a piece, yeah.

2 Q Okay.

A But this is before we had -- this is 5 days before we actually are at final version of the --

5 Q In one of the previous emails that we've talked about introduced by the 6 minority staff, Dr. Farrar suggested Lancet, Nature, and the New England Journal of 7 Medicine?

8 A Correct, yes.

9 Q Did you reach out to Lancet and the New England Journal of --

10 A I did not, no. I think we all thought that Nature would be a good journal for 11 this.

12 Q Okay.

13 A Yeah.

Q On the only complete paragraph on 267 it says, you write, prompted by
Jeremy Farrar, Tony Fauci, and Francis Collins, Eddie Holmes, Andrew Rambaut,
Bob Garry, Ian Lipkin, and myself have been working through much of the primarily

17 genetic data to provide agnostic and scientifically informed hypotheses around the origins

18 of the virus.

We are not quite finished with the write-up, and we still have some loose ends,
but I wanted to reach out and see if this might potentially be of interest. We see this
more as a commentary/hypothesis as opposed to a more long-form letter or article.

What did you mean by "Prompted by Jeremy Farrar, Tony Fauci, and FrancisCollins"?

A I mean specifically that -- again, as I've already explained, is that they prompted us to the idea of seriously considering the origin of the virus and to consider 1 producing a paper on that.

2	And, again, as I even say here, that to make you know, provide agnostic and		
3	scientifically informed hypotheses around the origin of the virus. That's specifically		
4	what I mean by "prompted."		
5	And, again, remember my first conversation with Tony Fauci, where he specifically		
6	suggests that if I think this came from the lab, I should consider writing a scientific paper		
7	on it.		
8	Q So that's what the the prompt he was referencing that		
9	A That's the prompt		
10	Q that first conversation?		
11	A Correct. That that that is referring and as you can see from my		
12	emails, that Drs. Fauci and Collins, right, have no influence and no play in our played no		
13	role in the drafting of the paper itself.		
14	Q I would like to introduce majority exhibit 23.		
15	[Andersen Majority Exhibit No. 23		
16	Was marked for identification.]		
17	BY MR. BENZINE:		
18	Q Again an email, that email chain that you're probably very familiar with.		
19	A Yeah.		
20	Q It is notes after the call and with many of the participants from the call,		
21	and Bates-numbered REV0000812 through 817. I want to go to page 813, the large		
22	email. It's is an email from yourself.		
23	A Yep.		
24	Q And in the middle paragraph, you write, our main work over the last couple		
25	weeks has been focused on trying to disprove any type of lab theory, but we are at a		

1 crossroad where the scientific evidence isn't conclusive enough to say that we have high

2 confidence in any of the main three theories considered.

So the three theories, going back, are direct zoonosis, through an intermediary
host, and then some kind of laboratory passage? Is that correct?

5 A That is probably correct. Although -- yes, that is -- that is -- yes, I believe 6 that to be --

Q What did you mean by we've been focused on trying to disprove any type oflab theory?

9 A So specifically what I'm referring to here is just the scientific method, right? 10 Scientific method consists of raising a hypothesis and then testing that hypothesis. A 11 scientific hypotheses must be falsifiable in the sense that if you can falsify it, you have 12 disproved it, so you know it's not true.

My initial hypothesis, as I've already made clear, was that of a lab origin. So that's the hypothesis. And what I'm describing here -- I've used the word "disprove." Should more correctly have used the word falsify, which is that we have been trying to falsify, seeing like, here's our hypothesis, can we actually falsify it.

And as I correctly point out, and as we correctly point out in the paper too by
saying we cannot prove or disprove any version of any of these hypotheses, that's exactly
what I'm stating here, right? Focused on trying to disprove, i.e., test our hypothesis of a
potential lab origin.

And we are at a crossroad where the scientific evidence isn't conclusive enough to say that we have high confidence in any of them. And so in other words, we can't prove or disprove any of the hypotheses, as we also state in the paper.

24 Q Was it ever a goal of proximal origin to dismiss all lab leak theories?

25 A To dismiss all lab leak theories? No. I think -- I mean, that refers to the

1 same question, which is that it was our original hypothesis that we are testing. So is 2 there a way -- is there grounds for us to dismiss a lab origin based on available evidence, and the answer is no. 3 4 And just to be clear, as we mentioned in the emails, right, is that the purpose of proximal origin, as is clear from the emails, was to take an agnostic, scientific look at the 5 available evidence and see where that pointed to in terms of the origin as described. 6 7 Q Thank you. I want to introduce exhibit 24. 8 9 [Andersen Majority Exhibit No. 24 10 Was marked for identification.] BY MR. BENZINE: 11 12 Q Again, an email chain between yourself, Dr. Holmes, and Clare Thomas, 13 Bates-numbered REV0000258 through 265. And I want to draw our attention to page 261, and in your email to Clare Thomas in the middle of the page, you write, 14 Unfortunately, none of this helps refute a lab origin, and the possibility must be 15 considered as a serious scientific theory, which is what we do, and not dismissed out of 16 hand as another conspiracy theory. We all really, really wish that we could do that. 17 18 That's how this got started, but, unfortunately, it's just not possible given the data. 19 Is this the same situation? 20 That's exactly the same situation, right, that, again, obviously we all wished Α 21 that we could say it's definitely this, it's definitely not that, right? But as we describe, we can't. We describe that -- that's what I referred to here in the email. I say it 22 23 directly. It's in the paper too. Yeah. So it's not a wish that it didn't come from a lab. It's a wish that you could 24 Q 25 prove it one way or another?

1 А Yes, it -- yes. I mean, I have no -- personally I have no preference. You 2 know, I have no preconceived, like, I want it to be this, versus I want it to be that. Right? I'm talking about the scientific process here. 3 And is this the -- that's how this got started with your own hypothesis that it 4 Q might've come from a lab? 5 А 6 Correct. That's referring to exactly the email you just brought up where I say what we have been trying to disprove this, i.e., we have been trying to falsify our 7 8 original hypothesis, which was a lab leak, and we are unable to do so, as I mentioned in 9 my email to Clare, as I mentioned in the email you previously referenced, and as we 10 mention in our paper as well. 11 And I should state that that holds true to this day. I'm going to move forward to exhibit 25. 12 Q 13 [Andersen Majority Exhibit No. 25 Was marked for identification.] 14 BY MR. BENZINE: 15 Q This is an email chain, again with yourself, Dr. Holmes, Dr. Lipkin, 16 Dr. Rambaut, and Dr. Garry, and Bates-numbered GARRY0000306 through 310. 17 18 On 306, the middle email underneath Dr. Holmes' signature, from Dr. Garry, says, 19 So, as you know, when you submit, you'll need to suggest reviewers to include and 20 exclude. Seems easy. There are some natural choices for both lists. Nature commentaries are peer-reviewed -- what does "iirc" stand for? 21 А I don't know. I don't know. 22 23 Q But I'm guessing they'll push this as fast as possible. Dr. Holmes responds, Oh, yes, the reviewers are easy. I think this is a slam dunk. 24 25 Yeah, well ---А

Did you -- is it -- whose job is it to suggest reviewers for the peer review? 1 Q 2 А You suggest -- I mean, you can suggest reviewers when you submit a paper. I don't know if we did, but the reviewers are selected by the editor. And we don't know 3 4 who the reviewers are, they're anonymous. 5 Q Okay. So that whole -- and of course Eddie is wrong to say it's a slam dunk because 6 А the paper was, in fact, rejected. 7 8 Q And I'll have a few questions about that, but --9 А Sure. 10 Q -- we'll get there. Α Uh-huh. 11 Q 12 So to be clear, you don't recall whether or not reviewers were suggested or included ---13 А No, I don't know because that -- Eddie actually was the one who submitted 14 it, and, again, I was in the desert at the time, so I don't -- I don't actually -- or, no, I don't 15 actually know if he suggested that as part of the submission process. I don't think so 16 because I don't remember that we discussed potential reviewers. 17 18 Q Okay. 19 А I think we just sent it in. We didn't exclude any -- I don't think we -- we 20 selected any, but, again, Eddie would be the one to ask. 21 Q I want to finish this up for the most part on the proximal origin piece. As we're sitting here, to your knowledge and recollection, did Dr. Fauci ever provide 22 23 comments or edits to any draft of the proximal origin paper? He did not, other than the final version which he said congratulations or 24 А 25 good job, something like that.

1	Q And to your knowledge or recollection, did Dr. Francis Collins ever provide
2	comments or edits to any draft of the proximal origin paper?
3	A He did not. Same thing. After the final paper, said good job.
4	Q And then beyond the one edit that we've already talked about, did
5	Dr. Jeremy Farrar provide additional comments or edit to any draft of the proximal origin
6	paper?
7	A He did not, no.
8	Q All right. I want to introduce majority exhibit 26.
9	[Andersen Majority Exhibit No. 26
10	Was marked for identification.]
11	Ms. <u>Rutan.</u> Twenty-seven?
12	Mr. <u>Benzine.</u> Twenty-six, right?
13	BY MR. BENZINE:
14	Q Another email chain, yourself, Dr. Holmes, Dr. Rambaut, Dr. Garry, and
15	Bates-numbered REV0002866 through 2873.
16	A Yes.
17	Q On page 2872, right in the middle, there's an email from Dr. Holmes,
18	February 5th at 10:47. Do you see that one?
19	A Yeah.
20	Q And I believe the animals he is referencing are pangolins?
21	A Correct, yes.
22	Q And then he says, "Should I tell Jeremy" meaning Dr. Farrar "to hold on
23	sending the summary out to the group while we investigate more, or does that really
24	matter? He did say that more wildlife needed to be studied. He sent it to the
25	Bethesda boys."

1	Who	o is Dr. Holmes referencing when he says "Bethesda boys"?	
2	А	I don't know, but I assume he means the NIH folks and them, so that	
3	would be my best guess, yeah.		
4	Q	The next email up from Dr. Rambaut, his first line, perhaps say we are adding	
5	new inform	ation, see whether he wants to hold off. I suspect Bethesda will be sending	
6	it around already.		
7	ls it	your same presumption that he's referencing NIH?	
8	А	That's my assumption, yes.	
9	Q	I'd like to move on to majority exhibit 27 actually, let's go off the record	
10	here.		
11	[Dis	cussion off the record.]	

1	
2	[1:50 P.M.]
3	Mr. <u>Pellegrini.</u> Okay. So we can go back on the record.
4	Dr. Andersen, I just have some follow-up questions about things that we've
5	chatted about here today. The first thing I'd like to do is introduce minority exhibit N.
6	[Andersen Minority Exhibit No. N
7	Was marked for identification.]
8	BY MR. PELLEGRINI:
9	Q This is an ODNI declassified report on the origins of SARS-CoV-2. Are you
10	familiar with or have you previously seen this document?
11	A I have, yes.
12	Q Okay.
13	It's like 18 pages long. I'm just going to take you to one particular paragraph on
14	page 8 of the report. In the upper right-hand corner, there's a box, and I'll read it out
15	loud. The header is: WIV illnesses in fall 2019 not diagnostic. The intelligence
16	community assesses that information indicating that several WIV researchers reported
17	symptoms consistent with COVID-19 in autumn 2019 is not diagnostic of the pandemic's
18	origins. Even if confirmed, hospital admission alone would not be diagnostic of
19	COVID-19 infection.
20	So do you interpret that to mean that even if we knew that there were
21	researchers that had particular symptoms and even went to the hospital, without
22	knowing what exactly it is they had, you would not be able to ascertain the origins of the
23	virus?
24	A Correct.

25 Q Okay.

1	Cla	rifying something. There has been previous discussion of your early		
2	conversati	on with Dr. Fauci, and Dr. Fauci said something to the effect of, okay, if you,		
3	Dr. Anders	sen, think that this came from a lab, you should write about that. You should		
4	publish on	that.		
5	l ju	st want to be clear. That statement from Dr. Fauci, it sounds like, was		
6	predicated specifically on the idea that the virus may have come from a lab?			
7	А	That is correct, yes.		
8	Q	Okay.		
9	Thi	s is sort of a general coronavirus question. As I understand it, which is		
10	relatively l	ittle, there are a few endemic human coronaviruses, not SARS-CoV-2, but four		
11	others?			
12	А	Yep.		
13	Q	And some number of those, I'm not clear on the exact number, possess furin		
14	cleavage s	ites?		
15	А	Correct.		
16	Q	Is that right?		
17	А	Yes.		
18	Q	Perhaps not the exact		
19	А	A difference sequence but, in fact, those are more optimal furin cleavage		
20	sites, yeah	I.		
21	Q	Is there any what, if any, significance does that fact tell us about		
22	advantage	s or not advantages of having a furin cleavage site for coronavirus success in		
23	humans?	Does it tell us anything at all, or no?		
24	А	Not really because they don't all have it or they don't all not have it. I think		
25	it just tells	you that there's a variety of different coronavirus in humans, some of which		

1	have furin cleavage sites and some of which do not. So clearly, there's multiple				
2	strategies in which a virus can be quote, unquote, successful in the human population,				
3	which is unrelated to whether they have a furin cleavage site or not.				
4	Q Great.				
5	I'm going to ask some questions about a few specific exhibits that the majority				
6	6 showed you, and so you will need to refer back to those documents.				
7	I'd like to start with majority exhibit 21. And that's document Bates stamped				
8	Garry 263. I'll give you a minute to flip through.				
9	A Okay.				
10	Q So there was some discussion on both pages of this document about the				
11	phrase higher-ups or high-ups, the higher-ups are concerned, the high-ups are worried.				
12	A Right.				
13	Q I just want to be clear that you, yourself, have no idea who the higher-ups				
14	are.				
15	A We no. I mean, we referred to I think in some of my own emails even				
16	6 referred to the higher-ups, and it's a nebulous term, right.				
17	Q In this particular email because I think there may have been a little bit of				
18	guessing going on in the last round.				
19	A Yes.				
20	Q But that was guessing, right?				
21	A Correct. I do not know what we are talking about when we're saying, for				
22	22 example, Ian Lipkin, very worried about the furin cleavage site and says that the high-ups				
23	as well. I don't know specifically who we're referring to there.				
24	Q If you could go to majority's exhibit 22, and that document is labeled REV				
25	266. On the second page of that, number 267, you had a discussion about the first				

1 sentence on that page, prompted by Jeremy Farrar, Tony Fauci, and Francis Collins.

2 You've discussed this at length today, but I'm going to ask you to discuss it one more

3 time.

Those three individuals, this sentence notwithstanding, those three folks are not
on equal footing when it comes to their involvement with the proximal origin paper. Is
that right?

A That is correct. Yeah, again, they all prompted us in the sense that they all
suggested that consider writing a scientific paper on it. Of course, Jeremy was, you
know, was, as I'm saying, like a father figure in that process, which Drs. Fauci and Collins
were not, which is probably why I mentioned Jeremy first.

11 Q And I think you said Dr. Fauci and Collins had essentially no involvement in 12 the actual writing of the paper.

A They did not have any involvement in the writing. Again, their only
involvement was to suggest we consider writing a paper.

Q If you could go to majority exhibit 23, which is numbered REV 812. And on the second page of that document numbered 813, we've got this relatively long email from you.

18 A Yeah.

19 Q There was some focus on a particular sentence in that email, which I will 20 read part of that sentence: Our main work over the last couple of weeks has been 21 focused on trying to disprove any type of lab theory.

I thought your explanation was actually quite interesting in the sense that as a
reader, reading that for the first time, there's almost a sense of, whoa, they're singling
out the lab origin theory. But while that is, perhaps, literally true, the reason for that is
because that is the theory that you initially believed to be true.

A Correct, yes. Again, I'm writing to all the scientists here. I think any scientist reading this sentence I think will understand what I'm saying, which is that the scientific method is to pose a hypothesis, which needs to be falsifiable. If a hypothesis is not falsifiable, it's, in fact, not a scientific one and that falsification of that becomes that if you can falsify the hypothesis, you have disproven it. And that's what I'm referring to here. But as I correctly state, it's that we cannot do that based on available evidence,

and the reason why I single out the lab leak here is that that was, indeed, our initial
hypothesis.

10 Q If I could ask you to look at majority exhibit 25, which is numbered Garry 11 306.

12 There was some discussion of the suggestion of peer reviewers.

13 A Right.

Q And those of us who are not familiar with that process, to the extent that a paper's authors makes suggestions about an individual peer reviewer, what is the purpose of suggesting peer reviewers? I presume it is not to get an easier review for your own paper. Is that right?

A That's correct. I mean, it's to help their editor a little bit thinking about potential peer reviewers by saying, like, look, here are some people that we think are good, that we think have the necessary skill set to review this paper, because those reviewers remain anonymous. We don't know who they are, right.

So I, for example, have peer reviewed papers that come from people that I know, but I'm not particularly more favorable or critical because they don't know it's me peer reviewing it. It's just that I may have been suggested because they know I have the requisite skill set.

1	But, again, the peer reviewers themselves are selected by the editor who is then		
2	the only one who knows who they actually are.		
3	Q Great.		
4	And if I could ask you to look at the majority exhibit 26, which is numbered REV		
5	2866. And on page number 2872 towards the back		
6	A Oh, I'm sorry. I thought you said		
7	Q No, it starts with 2866.		
8	So at 2872, which I think is the last page, more or less, here, we previously		
9	9 discussed that in a few places on this page, there is the phrase Bethesda boys?		
10	A Right.		
11	Q Bethesda will send it around.		
12	Those emails that we discussed are from Dr. Holmes and Dr. Rambaut. I just		
13	want to be clear that you, yourself, would not have personal knowledge of the extent to		
14	which whoever the Bethesda boys are and we can just assume for this conversation		
15	that that's Dr. Fauci or Dr. Collins or somebody at NIH you, yourself, would not know if		
16	those folks sent the draft around or to whom or if at all. Is that right?		
17	A No, I don't know. But, again, we have a February 4 draft, which is the first		
18	sort of a little bit more mature draft of the original conclusions. So I assume that that's		
19	what this is referring to.		
20	Q Sure. And Dr. Rambaut says: I suspect Bethesda will be sending it round		
21	already.		
22	A Yeah.		
23	Q I just want to confirm that's Dr. Rambaut guessing, really just guessing.		
24	And you, yourself, two degrees removed, would not have any knowledge of any of that.		
25	A Correct. And I also don't know what sending it around sending it round		

1	even I don't know what that means.		
2	Mr. <u>Pellegrini.</u> We can go off the record.		
3	[Recess.]		
4	Mr. <u>Benzine.</u> All right. We can go on the record.		
5	I'd like to pick up a little bit where we left off and introduce majority exhibit 27.		
6	[Andersen Majority Exhibit No. 27		
7	Was marked for identification.]		
8	BY MR. BENZINE:		
9	Q It is an email chain with yourself, Dr. Holmes, Dr. Rambaut, Dr. Garry, and		
10	Bates numbered REV 823 through 826.		
11	Starting at the page		
12	A I don't think that the people you just mentioned on the email, that's not		
13	correct.		
14	Q Oh, you're right. It is Dr. Farrar, yourself, Dr. Fauci, and Dr. Holmes.		
15	A Correct.		
16	Q All right. Pardon me.		
17	Going to the page that ends in 824, it's an anonymous email on July 25, 2022, to		
18	Jon Cohen. Who is Jon Cohen?		
19	A Jon Cohen is a reporter at Science.		
20	Q He then appears to forward it to you?		
21	A Correct.		
22	Q Do you recall seeing this anonymous email?		
23	A I do.		
24	Q A brief summary is that the anonymous email alleges that you and the other		
25	co-authors took credit for ideas that weren't acknowledged in proximal origin. Would		

- 1 you agree to that? Not agree to that statement, agree to that summary.
- 2 A That is what --
- 3 Q They're alleging?
- 4 A -- the anonymous email is alleging, yes.
- 5 Q What is your response to that?
- 6 A It's simply false.
- Q On the page -- the first page, 823, you forward Jon Cohen's email, the anonymous email. And then at the very back is a draft of a response. You forwarded all of that to Dr. Fauci.
- 10 A Correct.
- 11 Q Why did you forward it to Dr. Fauci?
- A So this happened before any of my emails with Dr. Fauci, for example, had become publicly available. I don't think -- there was no public knowledge on that conference call on February 3rd -- or February 1st, which was a confidential conference call, a private conference call. We needed to reply back to Jon to say, actually, what this person is alleging is not correct for these reasons, and that required us to acknowledge that, yes, there was, indeed, a February 1st conference call.
- 18 And I wanted to give Dr. Fauci a heads-up such that he was aware that we were 19 going to tell a reporter that, yes, there was a conference phone call on February 1st.
- 20 I also, importantly, remind Dr. Fauci, as you know, we considered the theory that
- 21 SARS-CoV-2 could have been allowed to escape and, therefore, did what any good
- scientist should do, investigate likely hypotheses and let the data decide. As you know,
- the data strongly suggests that this is a natural virus, and clearly this person gets a lot of
- things wrong about how this all played out.
- 25 And then I mentioned that we need to get back to Jon, and we're doing so

1	tomorrow.	I invite him to see say that please let me know if you have any comments,
2	questions, o	r concerns, which he did not. I just got his auto reply.
3	Q	So that was going to be one of my questions. Did Dr. Fauci ever respond to
4	this letter?	
5	А	No. I just got his auto reply saying I'm busy.
6	Q	Why were there concerns about making the February 1st conference call
7	public?	
8	А	I typically don't make, you know, what I consider to be private conference
9	calls. I kee	p those to myself, and I think it's only right that to let people know that I
10	will that if I make that information publicly available, that they know I will do so.	
11	Q	l want to introduce majority exhibit 28.
12		[Andersen Majority Exhibit No. 28
13		Was marked for identification.]
14		BY MR. BENZINE:
15	Q	It's an email chain with Dr. Holmes, yourself, Dr. Garry and Dr. Rambaut and
16	Bates numb	ered Garry 600 through 607, and I want to go to page 602.
17	At th	e bottom of the page, Dr. Holmes, his second line says: Despite this, I'm
18	100 percent	sure it is Ron who leaked it. He was the most angry, and I still think it was,
19	like, Baric w	ho emailed Jon Cohen.
20	Is the	at Ron, as in Ron Fouchier?
21	А	I assume that's what Eddie is referring to, yes.
22	Q	Do you remember seeing getting this email?
23	А	Yeah. Yes, I do, yeah.
24	Q	Do you agree with Dr. Holmes that it could have been Ron Fouchier?
25	А	I think it's better to not speculate who. Again, it's an anonymous emailer.

1 I don't know who that would be.

2 Q Okay.

3 Did you ever get told why Nature originally rejected proximal origin?

A I did. They -- I mean, we have -- you have it in the exhibits, right? It's part of their -- they give us the reason.

- 6 Q Can you elaborate?
- 7 A Yeah.

15

8 Q I don't --

9 A We can find that because I would rather just use their words. Maybe I 10 don't have it.

11 They -- I think they rejected the paper because I think the reviewers felt that 12 probably -- I mean, reviewer two was pretty critical about our conclusions of the paper 13 and felt that they should have been stronger, and I think he had relayed those concerns 14 to the editor, and I think that that would have been the reason.

Q The conclusions that -- what do you mean?

A Basically that we -- because, again, we kept the possibilities of -- remember the submitted version to that was open-ended, agnostic as to whether it could have been a lab passage of the two versions of the natural origin that we discuss. And I think the editor probably felt that that was too open-ended. That was clearly what -- especially reviewer two pointed that out in their review, which we disagreed with.

21 Q You, and correct me if I'm wrong, said something along the lines earlier that 22 the line: We do not believe that any type of laboratory-based scenario is plausible was 23 added at some point?

A Correct. That was added to the final version of -- this was added after it went over to Nature Medicine, yes. 1 Q Did Nature Medicine add the line?

2 A No.

3 Q How did that process play out? How did that line get added?

A That's based on our edits to the paper. Again, as the editor at Nature
Medicine states, is that he thought that the paper had grown significantly since the one
he had seen from Nature. We had to shorten it. You need to trim this back down,
more or less, to the size of the Nature version while retaining the major changes in
response to the reviewers.

9 And some of the responses to the reviewers was that the reviewer felt that we 10 could be more specific on, for example, that lab origins were less likely than we initially 11 entertained, and I agreed with that. I think we all agree with that, and those were 12 changes that we incorporated.

So that includes that we don't believe that any type of lab origin is plausible. It's
something that was added in response to the reviewers, our own thinking of the topic,
and then getting it published in Nature Medicine, as opposed to Nature.

Q Proximal origin, we talked a lot about, had two kind of primary conclusions. I know I'm boiling it down but two primary conclusions. The first one: Our analysis clearly show that COVID-19 is not a laboratory construct or a purposefully manipulated virus.

20 Do you still stand by that statement?

A I do, yes.

22 Q And the second one: However, since we observed all notable COVID-19 23 features, including the optimized RBD and polybasic cleavage site in related coronaviruses 24 in nature, we do not believe that any type of laboratory-based scenario is plausible.

25 Do you still stand by that statement?

1	А	I do, yes.	
2	Q	You brought it up a little bit earlier, and I want to ask questions about the	
3	Jesse Bloon	۱	
4	А	Sure.	
5	Q	conference call. So we have it I want to introduce as exhibit 29	
6	Dr. Bloom's	paper	
7	А	Yes.	
8	Q	that this was about.	
9		[Andersen Majority Exhibit No. 29	
10		Was marked for identification.]	
11		BY MR. BENZINE:	
12	Q	This is a June 22, 2021, preprint paper by Dr. Jesse Bloom regarding early	
13	2020 COVID-19 sequences that had been removed from a sequence database. My		
14	understand	ing is that it had been it's been edited a few times or updated a few times	
15	since then,	but the meeting question was about the June 22nd version?	
16	А	Yeah, this is a yes, correct. Yes, it's about this one, yeah.	
17	Q	Can you what did Dr. Bloom's paper say, generally?	
18	А	It basically well, the main thing is that he had found these wall sequence	
19	data that w	ere requested to be removed from NCBI servers, submitted by Chinese	
20	scientists fr	om the Wuhan University. And despite them having requested it to be	
21	removed, which it was on the front end of NCBI as not findable, Dr. Bloom managed to		
22	still obtain the sequences by going directly to the cloud servers of NCBI.		
23	The	y stated, just to be clear, it had already been reported in table form in the	
24	original pap	per. Table 1 of Dr. Bloom's paper is nearly identical to Table 1 of the original	
25	paper that '	Wang had authored, I think it is, and then he did analyses on this. He makes	

1 several accusations of Chinese scientists, including stating that there's no scientific

2 reasons for why they might have requested a removal, which is just false. There are

- 3 many scientific reasons for why one would want to, need to, in fact, remove data,
- 4 including, as we still later know, is that it's a mundane reason for why they did so.

5 He makes accusations that they do that removal to obscure the evidence of the 6 data relevant to their origin. He makes accusations around this is basically abusing the 7 trusted structures of science and various other accusations and statements related to the 8 intent of why these authors may have requested the removal of the data.

9 He then makes several analyses, which I must say I find flawed. I did at the time.
10 I still do. And, in fact, the scientific statements that he makes, for example, around
11 there being evolutionary events upstream of the Huanan Seafood Market, now shown to
12 be wrong as I pointed out at the conference call.

And basically what the paper finds is that it just finds the frequency of early lineages, lineage A and lineage 2 in Wuhan in January and February because, in fact, this data is not actually early. It's from January and February, right. It's exactly as we already knew based on existing data.

- 17 Q So you hit on a couple of my questions already.
- 18 A Sure.
- 19 Q So I can skip over them.

He also writes -- he writes: Understanding the spread of COVID-19 in Wuhan is
crucial to tracing the origins of the virus.

- 22 A Correct.
- 23 Q Including identifying events that led to infection of patient zero.
- 24 Do you agree generally with that statement?
- 25 A Absolutely I agree with that, yes.

1 Q Does Dr. Bloom's paper provide any information regarding the origins of 2 COVID-19?

А It does not, and I want to point out that Dr. Bloom appears to agree with me 3 4 on that point. I just want to read for the record a tweet from Dr. Bloom June 24th. This is, obviously, a publicly available document. I'm getting lots of questions -- I'm 5 reading from Dr. Bloom's tweet. I'm getting lots of questions if my preprint about some 6 SARS-CoV-2 sequences that were removed from Sequence Read archive tell us anything 7 8 about lab accident versus natural zoonosis. 9 I posted summary of my preprint below -- and he links to a previous twitter 10 thread -- but did not directly address this point explicitly. Next tweet: The answer is no. Capital letter no. The people using it to 11 12 strongly support either argument are those that have become so emotionally invested in 13 their opinion that they have lost the ability to analyze anything objectively outside of the framework of that argument. 14 15 l agree with Dr. Bloom. His paper does, in fact, not tell us anything about whether this is a lab accident or a natural zoonosis. 16 I want to introduce exhibit 30. Q 17 18 [Andersen Majority Exhibit No. 30 19 Was marked for identification.] 20 BY MR. BENZINE: 21 Q This is an email chain. The top page has Dr. Bedford, Dr. Collins, Dr. Tabak, Dr. Fauci, Alan Embry, yourself, Dr. Bloom, Dr. Garry, Rasmus Nielsen, and Sergei Pond. 22 23 And at the very back, on the bottom of page 1152, is an email from Dr. Collins to yourself and Dr. Garry and those other people that I mentioned --24 25 А Yep.

1	Q	cc'ing Dr. Fauci, Dr. Bloom, Dr. Embry, and Dr. Tabak, and invites you to a
2	conference	call to discuss Dr. Bloom's paper. That's the paper that we just were
3	discussing.	
4	А	Right. Just to be clear, this states it's a preprint, right.
5	Q	Preprint.
6	А	Yeah.
7	Q	Did you end up attending this teleconference?
8	А	l did, yes.
9	Q	Can you well, I'll go ahead and introduce exhibit 31, and we can use that to
10	talk about v	vhat may or may not have happened at the teleconference.
11		[Andersen Exhibit No. 31
12		Was marked for identification.]
13		BY MR. BENZINE:
14	Q	So this is a memo written by Dr. Bloom, and as Dr. Bloom himself writes, it's
15	not contem	poraneous but, instead, written about six months later?
16	А	Yes.
17	Q	Have you seen this memo before?
18	А	I have.
19	Q	Have you read it?
20	А	I have.
21	Q	At the bottom of the first page, he starts talking about you a little bit?
22	А	He does.
23	Q	And writes: The meeting became extremely contentious. Kristian
24	Andersen st	crongly objected to my preprint, and he said he found it deeply troubling.
25	Can	you walk us through the conference call prior to when you started

1 making -- when you started stating your objections to his paper, if Dr. Bloom has that

2 correct? What happened prior to it getting contentious?

A Steve Sherry, for example, gave the reason for why they had requested these sequences be removed, and there was a conversation around -- which Dr. Bloom then later on here mixes up, but I talked about, for example, the need for data producers, such as myself -- to be clear, Dr. Bloom himself is not involved in work that -- where one would sequence a virus like SARS-CoV-2 from patients, for example. I made it clear that my lab does this, and we do this for multiple different viruses.

9 There are many reasons for why when we request data to be deleted is, in 10 fact -- or removed is, in fact, removed. The reason for that being that researchers might 11 realize that they don't have the right ethics approvals to release that data. They might 12 realize that the data is contaminated or is, in fact, the wrong data. There might be 13 IT-related reasons for why data needs to be removed but mostly to protect the, you know, patient confidentiality in human self-take research is the main reasons for why 14 15 when somebody like me or somebody else requests this kind of data to be removed, we need to trust that it is, in fact, removed. 16

And I brought up several points related to that where I made it clear that I was perplexed and kind of found it troubling that NCBI, when they had been requested to remove this data, had, in fact, not done that. And I talked to some length about whether a researcher trying to obtain data which had been removed from the front end, in other words, the end that you use of NCBI if you go on the database, trying to still recover the data despite the data not being available there could be considered a hacking attempt.

So all of these things were discussed up front. These were mostly comments
that I made directed against NCBI, and I made it clear that I found it troubling, again, that

their policies allowed for a data request of removal where the data was, in fact, not
 removed.

Q Do you remember the rationale the Chinese scientist gave for deleting or
having this data removed?

5 A They were trying to update the data, I assume, and then they mentioned 6 that because they wanted to move it to a different server, which they then later did. 7 This to me seemed like a standard -- like updating data on NCBI is, unfortunately, a lot 8 harder than it should be, and they wanted to move it, I assume, to a Chinese database.

9 Q You touched on it a little bit, but I want to go through at least the three 10 objections that Dr. Bloom said that you stated, and we can walk through each of them 11 and see if -- what happened here.

12 A Yep.

13 Q It's in -- he lays them out in the last paragraph on the first page --

14 A Yep.

15 Q -- that since the data was deleted, it would be unethical for anyone to 16 analyze it further. Was that kind of what you were just talking about?

А That was what I was talking about. So this is conflating things, right. 17 What I find -- there's two things here, right. First of all, I find it deeply troubling that, 18 19 again, if a data request is being requested for removal, that it is, in fact, not removed. 20 The deeply troubling aspect of this has to do with the fact that I find the paper itself to be 21 unethical. It's not the fact that he is analyzing sequences that are deleted, per se. It's that he is directly accusing Chinese scientists of -- well, you know, obscuring, you know, 22 23 removing data to obscure their existence even though that data was always available in a table form. 24

25 And he also, again, accused them of abusing the trust of science. This is not

scientific language, and I find it unethical to launch such accusations of scientists in peer
 review -- in scientific papers. That's the unethical part.

3 Q Okay.

The second objection Dr. Bloom says that you made was that you contended that
the phylogenetic analysis in the preprint were not interesting because there was nothing
unusual about the phylogenetics of early SARS-CoV-2 sequences in Wuhan.

7 Can you explain that one a little bit more?

8 A So, again, this is conflating things, right. What I pointed out was that their 9 phylogenetic analyses -- and just to be clear, phylogenetics is my area of expertise. It's 10 not Dr. Bloom's area of expertise. But the phylogenetic analyses that were performed in 11 the paper were, in my opinion, much too basic and did not support some of the 12 conclusions that were made in the paper.

For example, that because we had not seen lineage A at the market, there must be evolutionary events upstream of the market, which I pointed out that some of the technical analyses did on that, for example, rooting strategies were inappropriate to answer that question.

Further, giving sampling biases early on in a pandemic, it's very plausible that lineage A was, in fact, at the market. We had just missed it. When I say we, what I mean is early Chinese researchers going in. And, in fact, we know this to be the case. Lineage A has since been identified at the market, which completely invalidates the entire scientific argument put forward in the paper allowing for the upstream events.

And, again, these are the things that I pointed out to him, that his analysis just do not justify those conclusions.

24 Q And then it says -- Dr. Bloom says that that point was strongly disputed by 25 Dr. Nielsen? 1 A Yep.

2 Q And that you and Dr. Nielsen began yelling at each other over Zoom.

3 A Yes.

4

Q Can you explain that one a little bit more?

A I have no idea why he would say that. He says it's the strongest memory.
There was no yelling. I'm not a yeller. I don't yell. Was there robust scientific
disagreement? Absolutely. But that's not yelling.

- Q The third objection you state is in -- it starts in the middle of the top paragraph on the second page: Finally, Kristian objected to my preprint because he said that there was already intense criticism of scientists, such as himself. He needed security outside his house, and my preprint would fuel conspiratorial notions that China was hiding data and, thereby, lead to more criticism of scientists, such as himself. First, I want to state absolutely and unequivocally that there should not be any
- 14 threats made against you ever.

Second, can you explain this one more? You don't need to explain the security
around your house. I think that's pretty self-explanatory, but what you meant by this
statement.

A I think, again, this is going back to the unethical aspect of the preprint, right. I think stating that there is no scientific reason for why they would remove this data, for example. And just to be clear, the data was always available. Let me just read from one of Dr. Bloom's own tweets.

The data itself was always available in Table 1 of the original paper. Here's a tweet from Stephen Goldstein on June 22nd. He re-tweets a tweet from David Fisman, who says: Remember kids, and um regimes. The internet is forever.

25 And it's basically linking to Dr. Bloom's threat on his preprint.

- Stephen Goldstein states: Yes, this was so secretive all the information is
 available in Table 1 since August 2020.
- 3 And then he points to the original paper.

Dr. Bloom chimes in also on June 22nd. Says: Right, but it's not findable,
usable as a table of mutations in a paper on diagnostics. If the data were on the SRA,
people would have been analyzing it long ago in phylogenetic analyses. As it was, no
one noticed a table of some mutations buried in a paper on diagnostics.

8 So Dr. Bloom himself says that, yes, the data was always available in Table 1.

9 That's why Dr. Bloom's Table 1 is nearly identical to Table 1 in the original paper.

10 That means that if Chinese scientists would, in fact, remove data to obscure the 11 evidence, they probably wouldn't publish a paper on it. They certainly wouldn't put it in 12 Table 1. This was pointed out multiple times, not just by myself but also by my 13 colleague Dr. Garry, for example, that the accusations put forward in this particular paper 14 were problematic in the sense that, first of all, the data was, in fact, not obscured 15 because it was always available.

Again, from a personal level, I find many of the statements made here to be 16 deeply unethical and, frankly, have nothing to do in a and should not be in a scientific 17 paper. And my comments on this was that I was trying to tell him that, basically, look, 18 19 you need to understand that making these accusations against scientists is problematic 20 because if you want to keep working -- I talked to your colleague before about the 21 importance of trust and the importance of working together. To more deeply understand the origin of the pandemic, we need to work with Chinese scientists. If we 22 23 can't do that, we don't go anywhere.

And here, this paper is specifically accusing them. I found that deeply troubling,
and I tried to explain to him how I saw that and so did Dr. Garry.

To that response, Dr. Bloom basically leaned back in his chair, something like this, and said, well, if this is a problem, I'm just going to take it on me. And that's where I was -- frankly, I was shocked by that comment because -- and my comment to that was that I was trying to make him understand that this is not about you.

5 Mr. <u>Pellegrini.</u> I'm sorry. I didn't hear what you said Dr. Bloom said. Could
6 you just repeat that.

Mr. <u>Andersen.</u> He basically said that if there is going to be a problem with this
preprint, I'll just take it on me, on himself, Dr. Bloom. I'm paraphrasing here. I can't
remember his exact words. And he leaned back in his chair.

10 And I tried to explain to him, Dr. Garry tried to explain to him, and, in fact, at this 11 stage, Dr. Fauci also chimed in on this as to trying to explain to him that this is not about 12 him. It's not about a single scientist. It's about the scientists he's accusing here. It's 13 about other scientists working on the same questions as him.

And I told him in confidence that, for example, from my own perspective is that I have gotten several threats, harassments, even death threats that have gone to the level of my institutions early on, as part of this, had to have security outside my house, security outside my lab. To this day, my name is still not on the building, for example, because I continue to get threats.

And I used that as a way to try and make him understand that this is not about
you. This is about a bigger question, and it's not that we shouldn't publish the papers.
It's the aspect of this where we are accusing other scientists. Specifically accusing them
of obscuring evidence, which clearly they weren't, because the evidence was always
available. And for abusing this trusted structure of science.

24 BY MR. BENZINE:

25 Q Thank you. I appreciate the context.

- Going a little bit further still on this memo, and then we can move on from it, the third paragraph on the second page Dr. Bloom writes, Kristian Andersen then said that he
- 3 was a screener at bioRxiv.
- 4 A Bio archive.
- 5 Q Bio archive.

And so he could delete the preprint or revise it in a way that would leave no
record that this had been done.

8 Do you recall?

9 A Not only did that not happen, that is, in fact, not even possible. I want to 10 refer to the co-founder of the bio archive, Richard Sever here, chiming in on this very 11 specifically. So he has a tweet from Dr. Alina Chan referencing the Vanity Fair article in 12 which was based on Dr. Bloom's notes here, and Dr. Chan says: Is threatening other 13 scientists to delete or revise their preprints considered appropriate behavior for a bio 14 archive preprint screener?

15 Richard Sever here chimes in and says: There's no way a screener can delete or 16 revise a preprint. Affiliates can flag a paper if they have a concern, but the final decision 17 is ultimately made collectively by the in-house team.

18 All of this is publicly available, and he goes into further details around this.

19 Now, Dr. Bloom himself mentions -- so here is a tweet. Also, this is from

20 March 31st where Dr. Bloom states that -- again, paraphrasing here from his own notes:

21 The meeting became contentious. One NIH-invited outside scientist -- he's talking about

22 me here -- explicitly suggested that I withdraw or revise preprint. He said he could

23 implement this via his capacity as bio archives screener if I just sent him email giving

thumbs up to do so.

25 Now, Richard Sever here chimes in and says that, actually, he thinks that what

happened was that I had offered my help to help Jesse revise his preprint prior to that
going online if he wanted to.

Bloom says: This is correct. Summary I wrote, obtained by Vanity Affair,
written mostly in response to question of whether Fauci or Collins asked me withdraw
preprint, which, as I said in thread quoted above, did not happen. I regret paraphrasing
Kristian's statement in that summary.

So, as I mentioned, not only is it not possible for me to revise or delete a preprint,
however, it is correct that I was a screener at the bio archive. In fact, I was one of the
initiators of this initiative at the bio archive.

10 So what I -- yes?

11 Mr. <u>Rowley.</u> Counsel, are you certain that the rules will not allow you to accept 12 exhibits? I have -- I can represent to you that I participated in a congressional 13 investigation not long ago where, in fact, the committee members did accept a new 14 exhibit from me and made it part of the record.

Now, if you don't want that, then that's fine. It just seems to me that
Dr. Andersen has been reading from some various materials here, including tweets. We
offered to make it part of the record if you want it. If you don't want it, we'll withdraw
the offer, but it does seem to me that it would be simple to accept it as an exhibit.

Mr. <u>Benzine.</u> No. We definitely appreciate you reading it, but it's not our
practice to accept outside exhibits. It has nothing to do with this interview. It is our
standard practice.

22 Mr. <u>Andersen.</u> The accusations that have been leveled at me from the 23 committee as well, right, this directly disproves those.

24 So but, again, just to go back to what is being said here is that what I did do was to 25 offer that if, based on the comments from myself and many others, whether those having

to do with what we found to be unethical -- and I still find it to be unethical -- or whether 1 2 it was of technical concerns, which I brought up, which have been proven to be correct, if you wanted to revise it before it went online, I could help him in that process because I'm 3 4 a screener at the bio archive. However, if he wanted that help, he had to email me first. He did not want that 5 6 help. He did not want to revise it. He didn't email me. I played no role in the 7 screening of his preprint, as his preprint went online I think just a couple days later. 8 BY MR. BENZINE: 9 Q Have you and Dr. Bloom had any conversations about this since this memo became public? 10 11 Α Not about this. I've had multiple conversations with Dr. Bloom, but we have not discussed any of this. 12 13 Q All right. I appreciate that. А Other than -- I should say I did point out when he walked back his 14 15 statements here in tweets, I strongly pointed out that the accusations, for example, made in the Vanity Fair article, as well as in his own notes were, in fact, false. 16 Thank you. 17 Q I have a couple conclusory questions and then a couple on another topic and then 18 19 we can wrap up in the next probably 15 minutes. 20 Α Sounds good. 21 Q What -- in your opinion, what data would confirm a zoonotic origin? I don't think there's any data that could. At this stage, unfortunately, I 22 А 23 don't think there's any data that could confirm zoonotic origin. I think the data we have allows us to strongly conclude that the data points to a zoonotic origin with very, very 24 25 high confidence. But actual proof I, unfortunately, don't think at this stage.

Q Would a progenitor virus be as close to proof as possible?

1

A Of course, but I think we've already seen this based on some other -- the recent conversations around early data from the Wuhan Institute and also from the Huanan Seafood Market that if such a virus were to be found, people would probably just claim that either the animal got infected by humans or, in fact, it was the virus that was in the Wuhan Institute of Virology.

7 So I think in terms of constituting a complete proof, I don't think we'll ever get.

8 Q Are there any data that would, in your opinion, confirm a laboratory or 9 research-related --

10 A Absolutely. Any evidence of that virus being at the Wuhan Institute of 11 Virology prior to the pandemic would absolutely nail it for a lab leak. I think potential 12 evidence around confirmed COVID-19, early COVID-19 cases being at the Wuhan Institute 13 of Virology, importantly, that would have to be verifiable and confirmed evidence, not 14 pure speculation. Of course, that would be very strong evidence, too, for a lab-related 15 accident.

16 Q What about pieces of COVID-19? If like this exact furin site was found at 17 the Wuhan Institute of Virology, would that be enough for --

A It wouldn't constitute proof, but I would certainly take that into
 consideration as strong evidence of potential lab-associated origin, yes.

20 Q And then after all the testimony you have provided today, is it at all possible 21 that COVID-19 was the result of a laboratory or research-related incident?

A The possibility still exists. It's always been the possibility of a lab-associated accident. However, I don't find that plausible given the mountain of evidence that we have that points directly to the illegal wildlife trade in China.

25 Q I have a few more questions and then we can wrap up.

1	А	Sure.	
2	Q	The intelligence community has been investigating the origins of COVID-19	
3	since early 2020. Are you aware of these efforts?		
4	A		
5	Q	On May 26, 2021, President Biden announced that he directed the	
6	-	gence community to redouble their efforts to investigate the origins of COVID-19	
7	and deliver an assessment in 90 days. Are you aware of that announcement?		
, 8	A	Yes.	
9	Q	On August 27, 2021, the Office of the Director of National Intelligence	
10	released an unclassified summary of this assessment. Are you aware of that summary?		
11	А	I am.	
12	Q	Have you read that summary?	
13	А	I have.	
14	Q	And on October 29, 2021, the Office of the Director of National Intelligence	
15	released a full declassified assessment. Are you aware of that assessment? And have		
16	you read th	at assessment?	
17	А	That's that one, right?	
18	Q	Yes.	
19	А	Yes.	
20	Q	At any point in this process, were you contacted by anyone in the	
21	intelligence community to assist in these assessments or investigating origins of		
22	COVID-19?		
23	А	Yes.	
24	Q	Which agencies?	
25	А	CIA and FBI.	

1	Q What did the contact look like?		
2	Mr. <u>Andersen.</u> They contacted you, right?		
3	Ms. <u>Rutan.</u> Yes.		
4	Mr. <u>Andersen.</u> They reached out via counsel at my institution.		
5	BY MR. BENZINE:		
6	Q When did you what did the interaction look like? Was it a meeting?		
7	Phone call? What was it?		
8	A In-person meeting with several agents.		
9	Q When?		
10	A 2021. I don't know. I mean, prior to the report.		
11	Q Prior to the so was it during the 90-day period? Was it between		
12	A Yes.		
13	Q May 26th and October?		
14	A Yes.		
15	Q Okay.		
16	And just the CIA and FBI?		
17	A There was a local jurisdiction that reached out, too. I think it was local FBI.		
18	8 But once I told them that I've already been talking to the FBI and CIA, I didn't have any		
19	further contact. So, yes, I believe that only those two agencies.		
20	Q And just one meeting?		
21	A No. There has been there was one primary meeting with the CIA first		
22	and then one meeting with the FBI and then I have had several contacts with a single		
23	agent from the FBI sorry, from the CIA following that.		
24	Q Can you explain those contacts a little bit?		
25	A It is a CIA agent interested in questions related to just, you know, the origin		

of viruses, including SARS-CoV-2. Those contacts have not occurred since probably
 2021, maybe early 2022. None of those were productive. I have given them material.
 For example, following our proximal origin paper, a whistleblower from China reached
 out to me and said that they had data dating back to I believe 2018, and that they had
 found SARS-CoV-2 in it.

So if that had, in fact, been true, that would, obviously, be very important.
analyzed that data. There were, in fact, no SARS-CoV-2 in that dataset. It was just
misclassifications based on informatic analyses, but I shared that sequence data, for
example, with the CIA.

10 Q Do you remember where it alleged that -- the whistleblower alleged that 11 that data was housed? Was it the Wuhan Institute of Virology or was it the Wuhan 12 CDC?

A No, I don't believe that this was specifically the Wuhan Institute of Virology.
I remember it was from China, but I don't actually -- I think it was a company in China but
not that it was specifically linked to the Wuhan Institute of Virology.

And, again, this person thought that there was SARS-CoV-2 reads in this dataset from, I believe, 2018, but it turned out to just be misclassifications. There weren't actually any reads.

Q Did you tell the FBI and the CIA substantially what you told us here today?
A Correct. I mean, obviously, I have had less information at the time on
evidence we know today, but, yes, I gave my expert opinion on likely origin scenarios,

how we consider different origin scenario, what the evidence base is, yes.

Q Do you know anyone else that has been consulted by anyone in theintelligence community?

25 A I believe that my proximal origin authors. I know Dr. Garry has stated so

1 publicly, but I believe that most, if not all of them have talked to the intelligence 2 community but -- and I believe there was a recent conference in Switzerland, a Nitto 3 meeting or Nitto meeting, a meeting for coronaviruses. I know that the FBI was present there and had conversations with people about SARS-CoV-2. 4 5 I don't know whether it's specifically about the origin, but I know there was conversations there. 6 7 Q Other than these two direct interactions and then the one kind of 8 semi-recurring one, do you keep or maintain any other relationship with any component 9 of the intelligence community today? 10 Α I do not, no. All right. Thank you. 11 Q Mr. <u>Benzine.</u> We can go off the record. 12 [Recess.] 13

- 2 [2:57 p.m.]
- 3 Mr. <u>Pellegrini.</u> We can go back on the record.
- 4 Dr. Andersen, I just have one follow-up question. When we discussed the
- 5 Jesse Bloom preprint situation and the conference call around that, I just want to confirm,
- 6 neither Dr. Fauci, nor Dr. Collins at any point suggested that Dr. Bloom should need to
- 7 revise his preprint. Is that right?
- 8 Dr. Andersen. That is correct, and, in fact, Dr. Fauci went out of his way to state
- 9 that if Dr. Bloom chose to do so based on the comments from experts such as myself,
- 10 Dr. Fauci did not have any involvement in that. So, no, they did not offer any opinions
- 11 on what Dr. Bloom should or should not do.
- 12 Mr. <u>Pellegrini.</u> Okay. Thank you.
- 13 We can go off the record.
- 14 [Discussion off the record.]

15 Mr. <u>Rowley.</u> Dr. Andersen, there have been public allegations that you changed 16 your opinion about the source of the virus --

- 17 Mr. <u>Pellegrini.</u> I'm sorry. I just want to clarify, we are not on the record
- 18 currently? Is that right?
- 19 Okay, great.

20 Mr. <u>Benzine.</u> Is it a question addressed to Dr. Andersen or a question addressed

- 21 to me?
- 22 Mr. <u>Rowley.</u> Dr. Andersen.
- 23 Mr. <u>Benzine.</u> For what purposes?

24 Mr. <u>Rowley.</u> We -- I have a question for him. The ground rules we established,

25 I thought, at the beginning of this, was that if I had follow-up questions, I could ask

1 follow-up questions. Is there a problem with that?

2 Mr. <u>Benzine.</u> What I interpreted was clarifying questions during the rounds of 3 questioning, not just a unilateral question.

Mr. <u>Rowley.</u> Is there some rule against me asking a question?
Mr. <u>Benzine.</u> I mean, the rules are set by the chairman. What's your question?
Is it like --

7 Mr. <u>Rowley.</u> I was about to ask the question.

8 Dr. Andersen, there have been some public allegations that you have changed 9 your opinion about the source of the virus because of a \$9 million grant. Would you like 10 to respond to that?

Dr. <u>Andersen.</u> Yeah. I'll say those allegations are, of course, false. There's no connection between, for example, the drafting of proximal origin and the CREID grant that we received in 2020. That grant was written in June or submitted, applied for, in June of 2019, was reviewed and scored in November 2019, prior to the pandemic, with counsel at the NIH, in which they make funding decisions in January 2020, prior to any of the events leading, for example, to the February 1 conference call.

17And there is no way in which funding of a grant -- this particular grant, I'm the PI18on that, as we've already discussed, co-PIs, both Dr. Garry and Dr. Sabeti, with

19 collaborators in West Africa through four different countries. But, of course, there is no

20 connection between the publications of papers and the award of that grant.

It's simply an example of experts in emerging infectious diseases, studying
 emerging infectious diseases, get awarded a grant on emerging infectious diseases, and

23 to continue that work with West Africa.

24 Mr. <u>Rowley.</u> And prior to the award of the grant, have you had any

25 conversations with Dr. Fauci about the grant?

- 1 Mr. <u>Andersen.</u> I have not, no.
- 2 Mr. <u>Rowley.</u> Have you had any conversations with Dr. Collins about the grant?
- 3 Mr. <u>Andersen.</u> I have not, no.
- 4 Mr. <u>Benzine.</u> All right.
- 5 Mr. <u>Rowley.</u> No other questions.
- 6 Mr. <u>Benzine.</u> For the record to be clear, the committee never accused
- 7 Dr. Andersen of getting a grant in exchange for the paper.
- 8 We can go off now.
- 9 [Whereupon, at 3:02 p.m., the interview was concluded.]

1	Certificate of D	Deponent/Interviewee
2		
3		
4	I have read the foregoing	_ pages, which contain the correct transcript of the
5	answers made by me to the quest	tions therein recorded.
6		
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10		Witness Name
11		
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14		Date
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