- 1 ALDERSON COURT REPORTING
- 2 EILEEN MONTEAGUDO
- 3 HVC099550
- 4 INTERVIEW OF: DR. ELEANOR ADAMS
- 5 Monday, April 8, 2024
- 6 COMMITTEE ON OVERSIGHT AND ACCOUNTABILITY,
- 7 SELECT SUBCOMMITTEE ON THE CORONAVIRUS PANDEMIC,
- 8 U.S. HOUSE OF REPRESENTATIVES,
- 9 WASHINGTON, D.C.

- 10 The interview of the above matter was held
- at 1140, Avenue of the Americas, Floor 17,
- 12 New York, New York, commencing at 9:59 a.m.

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14	
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20	ALSO PRESENT:
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22	
23	ON BEHALF OF THE SELECT SUBCOMMITTEE ON THE
24	CORONAVIRUS PANDEMIC:
25	
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27	MITCHELL BENZINE, Staff Director
28	JACK EMMER, Counsel
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33	Senior Counsel
34	Counsel

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79	PROCEEDINGS
80	[9:59 A. m.]
81	MR. EMMER: We'll go on the record. This is a
82	transcribed interview of Dr. Eleanor Adams conducted by the
83	House Select Subcommittee on the Coronavirus Pandemic under
84	the authority granted to it by House Resolution 5 and the
85	rules on the Committee on oversight and accountability.
86	This interview was requested by Chairman Brad
87	Wenstrup as part of the select subcommittee's oversight of
88	the federal government's response to the coronavirus
89	pandemic.
90	Further, pursuant under House Resolution 5, the
91	select subcommittee has wide-ranging jurisdiction but
92	specifically to investigate the implementation or
93	effectiveness of any federal law or regulation applied,
94	enacted or under consideration to address the coronavirus
95	pandemic and prepare for future pandemics.
96	Can the witness please state her name and spell her
97	last name for the record?
98	THE WITNESS: My name is Eleanor Adams. And the
99	spelling of my last name is A-D-A-M-S.
100	MR. EMMER: Thank you, Dr. Adams. My name is Jack
101	Emmer, and I am a senior counsel for the majority staff of

the select subcommittee. I want to thank you for coming in

today for this interview. This select subcommittee

102

- 104 recognizes that you are here voluntarily and we appreciate
- 105 that.
- 106 Under the select subcommittee on oversight and
- 107 accountability's rules, you are allowed to have an attorney
- 108 present to advise you during this interview.
- 109 Do you have an attorney representing you in a
- 110 personal capacity present with you today?
- MR. BACH: This is Jonathan Bach.
- MS. KASSOTIS: Denae Kassotis.
- MR. EMMER: Thank you.
- 114 For the record, starting with the majority's staff,
- 115 can the additional staff members please introduce
- themselves with their name, title, and affiliation.
- MR. BENZINE: Mitch Benzine, the staff director for
- 118 the Republican side of the select subcommittee.
- 119 MR. OSTERHUES: Eric Osterhues, chief counsel for
- the Republican side of the select subcommittee.
- 121 , Democratic staff
- 122 director of this subcommittee.
- 123 , Democratic counsel,
- 124 select subcommittee.
- : Senior counsel, Democratic
- 126 staff, select subcommittee.
- MR. EMMER: Thank you all.
- Dr. Adams, before we begin I would like to go over

- 129 the ground rules for this interview. The way this interview will proceed is as follows: The majority and 130 minority staff will alternate -- per round until each side 131 is finished with their questioning. The majority staff 132 133 will begin and proceed for an hour and then the minority 134 staff will have an hour to ask questions. We'll then alternate back and forth in this manner until both sides 135 have no further questions.
- 137 If either side is in the middle of a specific line of questions, they may choose to end a few minutes past an 138 hour to ensure completion of that specific line of 139 questioning, including any pertinent follow-ups. 140

- 141 In this interview while one member of the staff for each side may lead the questioning, additional staff may 142 ask questions. 143
- 144 There is a court reporter taking down everything I 145 say and everything you say to make a written record of this interview. For the record to be clear, please wait until 146 the staffer questioning you finishes each question before 147 you begin your answer. And the staffer will wait until you 148 149 finish your response before proceeding to the next question. 150
- Further to ensure the court reporter can properly 151 record this interview, please speak clearly, concisely, and 152 153 slowly.

- 154 Also, the court reporter cannot record nonverbal
- answers such as nodding or shaking your head, so it is
- important that you answer each question with an audible
- verbal answer.
- 158 Exhibits may be entered into the record. Majority
- exhibits will be identified numerically. Minority exhibits
- will be identified alphabetically.
- Do you understand?
- THE WITNESS: I do understand.
- MR. EMMER: We want you to answer questions in the
- most complete and truthful manner possible, so we'll take
- our time. If you have any questions or do not fully
- understand the question, please let us know and we will
- 167 attempt to clarify, add context to or rephrase our
- 168 questions.
- Do you understand?
- 170 THE WITNESS: I do understand.
- MR. EMMER: If we ask about specific conversations
- or events in the past and you are unable to recall the
- 173 exact words or details, you should testify to the substance
- of those conversations or events to the best of your
- 175 recollection. If you recall only a part of a conversation
- or event, you should give us your best recollection of
- 177 those events or parts of conversations that you do recall.
- 178 Do you understand?

- 179 THE WITNESS: I understand.
- 180 MR. EMMER: Although you are here voluntarily and
- we will not swear you in, you are required pursuant to
- 182 Title 18, Section 1001 United States Code to answer
- questions from Congress truthfully. This also applies to
- questions posed by congressional staff in the interview.
- Do you understand?
- 186 THE WITNESS: I understand.
- 187 MR. EMMER: If at any time you knowingly make false
- 188 statements, you could be subject to criminal prosecution.
- Do you understand?
- 190 THE WITNESS: I understand.
- 191 MR. EMMER: Is there any reason you are unable to
- 192 provide truthful testimony in today's interview?
- 193 THE WITNESS: There is not any reason I cannot
- 194 provide truthful testimony.
- 195 MR. EMMER: The select subcommittee follows the
- 196 rules of the committee of oversight and accountability.
- 197 Please note that if you wish to assert a privilege over any
- 198 statement today, that assertion much comply with the rules
- 199 of the committee on oversight and accountability.
- 200 Pursuant to that committee Rule 16C1 states for the
- 201 chair to consider assertions or current privilege over
- 202 testimony or statements, witnesses or entities must clearly
- state the specific privilege being asserted and the reason

- for the assertion on or before the scheduled date of
- testimony or appearance.
- Do you understand?
- THE WITNESS: I understand.
- MR. EMMER: Ordinarily we take a five-minute break
- 209 at the end of each hour of questioning, but if you need a
- longer break let us know, we'll be happy to accommodate to
- the extent there is a pending question we ask that you
- 212 finish answering the question before we take the break.
- Do you understand?
- THE WITNESS: I understand.
- 215 MR. EMMER: Do you have any other questions before
- we begin?
- 217 THE WITNESS: I have no questions at this time.
- 218 EXAMINATION BY
- 219 MR. EMMER:
- 220 Q. So I want to thank you again for taking part
- in this interview voluntarily and for your work over the
- years.
- We'll start by discussing your education and
- 224 experience. Where did you attend undergraduate school?
- 225 A. I went to Harvard College.
- Q. And what degree did you graduate with?
- 227 A. I graduated with an AB.
- Q. And where did you get your doctorate?

- 229 A. I went to Harvard Medical School.
- Q. Who is your current employer and your
- current job title?
- 232 A. I work at the National Basketball
- Association and I'm the health and safety lead.
- Q. And how long have you been with the National
- 235 Basketball Association?
- 236 A. I have been with them for three years.
- Q. Thank you.
- So can you just briefly go through your
- professional career up until now?
- 240 A. I am -- after medical school, I was employed
- by New York Presbyterian Hospital for my internal
- 242 medicine residency for one year. Then I did preventive
- 243 medicine residency through Stony Brook University. Then
- I joined the New York State Department of
- 245 Health -- sorry. I worked for Health Research
- 246 Incorporated and was placed at the New York State
- Department of Health. Then I joined the National
- 248 Basketball Association.
- 249 Q. And you brought up the Health Research
- 250 Incorporated. Can you just explain the interplay
- between Health Research Incorporated and the New York
- 252 State Department of Health?
- 253 A. I'm probably not the best person to explain,

- but I think it's a 501C3 corporation.
- 255 O. Got it.
- 256 A. And I know they place people at the New York
 257 State Department of Health.
- Q. All right.
- So I would like to take a moment just to

 discuss the New York State Department of Health and your

 previous role within it, and you briefly already touched

 on it, but when did you begin your employment with the

 New York State Department of Health?
- A. Sorry. I want to get the year right. I believe it was 2010.
- Q. Okay. And what were your duties and responsibilities within your role at the New York State

 Department of Health?
- A. For about a decade, I was a supervisor for the health care epidemiology and infection control program in the metropolitan area regional office.
- Q. Did anything change with your duties and responsibilities once the pandemic started?
- 274 A. I was in that same role for really the first
 275 months of the pandemic. Then I switched to a different
 276 role in August after the pandemic had started.
- Q. Can you explain within the new role that you switched into, just a day-to-day, what your

- responsibilities were?
- 280 A. Um, the new role, I was an adviser. So, a
- different title.
- 282 O. Mm-hmm.
- 283 A. But we were still in incident command
- system, so I would say a lot of what I did was similar.
- But I also spoke with different people because the
- vaccine effort was -- we were starting to prepare for
- the vaccine effort.
- So that was something new. So, I got more
- involved in that and I hadn't been involved in any
- vaccine conversations previously.
- Q. Okay. And who did you report to?
- 292 A. I reported to the commissioner in my second
- 293 role.
- Q. Okay. And in your second role during the
- 295 pandemic, you are referring to Dr. Zucker, correct?
- 296 A. Yes.
- 297 Q. How often were you meeting with Dr. Zucker
- 298 during this period?
- 299 A. I mean this was after August.
- 300 Q. Mm-hmm.
- 301 A. I truly don't recall how much but -- yeah.
- I don't honestly remember.
- O. That's fine.

304	MR. BENZINE: What about before August?
305	How often would you meet with Dr. Zucker?
306	THE WITNESS: Over my 10 years, I would say
307	rarely but occasionally. That's a ballpark.
308	Q. How much interaction did you have again,
309	during the pandemic, with the Executive Chamber during
310	your day-to-day?
311	MR. BACH: Time period?
312	Q. During the pandemic. So excuse me. From
313	March 2020 through August of 2020.
314	A. Again, I don't know exactly, but on a
315	day-to-day basis, rarely or never. Then there would be
316	a few days where I might receive a phone call but it
317	was I would still characterize it as rare.
318	Q. And you said you would receive a phone call.
319	Were there any staffers in the Executive Chamber in
320	particular that you would be talking with more
321	frequently than others?
322	A. I'm just trying to remember. Um, again, it
323	was fairly rare to talk to anybody.
324	Q. Mm-hmm.
325	A. But there I recall being on some
326	conference calls that had groups of people, if that
327	makes sense. Then there might be individuals that would

call with a question. I'm just trying to think of the

- names. I recall talking to Linda Lacewell, Jim Malatras
- 330 Q. Okay. So I'm going to name or I'm going to
- read off a list of names and -- so to make it easier on
- you I'm just going to read off a list of names. If you
- recall talking to any of these individuals for the
- period between January 1, 2020 and the present, you can
- answer yes or no.
- 336 A. Okay.
- 337 Q. So starting first with Dr. Howard Zucker?
- 338 A. Yes.
- 339 Q. Ms. Sally Dreslin?
- A. I can't recall but may have.
- Q. Okay. Mr. Gary Holmes?
- A. Yes, I did speak with him.
- 343 O. Former Governor Andrew Cuomo?
- A. I do think I would say yes, to the best of
- my recollection.
- Q. Former Secretary to the Governor Melissa
- 347 DeRosa?
- A. Can I ask for clarification?
- MR. BACH: Do you want to talk to me?
- 350 THE WITNESS: Can they rephrase? I mean,
- 351 talk to.
- 352 Q. If you talked to or e-mailed with during the
- period of January 1st, 2020 and present?

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354
               Α.
                      Yes.
355
               Q.
                      Okay. Thank you.
                    Mr. Gareth Rhodes?
356
357
               Α.
                      Yes.
                      Mr. Rich Azzopardi?
358
               Q.
359
                       MR. BACH: Who?
360
                       MR. EMMER: Rich Azzopardi.
361
               Α.
                      To the best of my recollection, I can't
         recall.
362
               Q.
                      Mm-hmm.
363
                      But I -- I'm not certain about that answer.
364
               Α.
                      Ms. Danielle Lever?
               Q.
365
366
               Α.
                      I don't have a recollection of talking to
367
         her.
                      Ms. Beth Garvey?
368
               Q.
               Α.
369
                      Yes.
                      Ms. Judith Mogul?
370
               Q.
371
               Α.
                      Yes.
                      Ms. Megan Baldwin?
372
               Q.
373
               Α.
                      Yes.
                      Mr. Larry Schwartz?
374
               Q.
375
                      I can't recall, to the best of my
         recollection.
376
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Ms. Jill DesRosiers?

I can't, to the best of my recollection.

377

378

Q.

379	Q.	Ms. Linda Lacewell?
380	Α.	Yes.
381	Q.	Mr. Kenneth Raske?
382	Α.	I truly can't recall.
383	Q.	Mr. Lee Perlman?
384	Α.	I don't recall ever talking to him.
385	Q.	Mr. Michael Dowling?
386	Α.	I don't recall talking to Mr. Dowling.
387	Q.	Mr. Francis Collins?
388	Α.	No.
389	Q.	Dr. Anthony Fauci?
390	Α.	No.
391	Q.	Mr. Alex Azar?
392	Α.	No.
393	Q.	Ms. Seema Verma?
394	Α.	Not to my recollection.
395	Q.	Dr. Deborah Birx?
396	Α.	Not to my recollection.
397	Q.	Dr. Hugh Auchincloss?
398	Α.	Not to my recollection.
399	Q.	Dr. Robert Redfield?
400	Α.	Not to the best of my recollection.
401	Q.	So now I want to ask if you had any
402	interaction	s with any of the following institutions
403	between Jan	uary 1st, 2020 and you leaving your position

- at the New York State Health Department.
- 405 So first, the U.S. Centers for Medicare and
- 406 Medicaid Services?
- 407 A. No. Not to the best of my recollection.
- 408 Q. U.S. Department of Health and Human
- 409 Services?
- 410 A. I'm trying to remember if CDC falls under
- 411 health and human services.
- MR. BENZINE: They do.
- A. Then yes.
- THE WITNESS: Thank you.
- 415 Q. The New York State Attorney General's
- 416 Office?
- A. Not to my recollection.
- 418 Q. The New York State Comptroller?
- 419 A. I'm not recalling, but I'm not confident in
- 420 that answer.
- Q. New York State Assembly Judiciary Committee?
- 422 MR. BACH: Are you asking these questions
- in terms of who she interacted with in her role
- at the Department of Health or asking about
- 425 following her role in the New York Department of
- 426 Health?
- 427 MR. EMMER: In her role at the New York
- 428 State Health Department, but the interactions

429	with the Attorney General's Office, Comptroller,
430	Assembly, Judiciary Committee, those would be in
431	regards to subsequent investigations into
432	nursing homes.
433	MR. BACH: Okay. So all right. Did you
434	interact with the New York State Attorney
435	General's Office in connection with the
436	investigation?
437	MR. EMMER: (Nodding.)
438	MR. BACH: I will state for the record
439	THE WITNESS: I don't know who it was.
440	That's the problem. I don't know who reached
441	out sorry.
442	MR. BACH: I would say for the record that
443	there were interactions from the New York State
444	Attorney General's Office.
445	MR. EMMER: Okay.
446	Q. And finally, the New York State Department
447	of Justice?
448	A. If it is in connection with the
449	investigations, then yes.
450	MR. BACH: Did you answer for the New York
451	State Assembly?
452	THE WITNESS: Yeah. I believe that is yes
453	then too.

- 454 MR. EMMER: Okay.
- 455 THE WITNESS: I don't want to misstate.
- 456 Q. Okay. So --
- 457 EXAMINATION BY
- 458 MR. BENZINE:

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- 459 Q. The conversations that you had, I want to go
 460 through three or four of them and ask if they were
 461 specific to the March 25th nursing home order or
 462 subsequent issues that came with that July report from
 463 the department of health and various investigations.
- Did you have conversations with Dr. Zucker regarding that order?
- A. Can you clarify what you are talking about?
- Q. There was the New York Department of Health issued an order on March 25, 2020 allowing nursing homes to take patients that were COVID positive, if they were, and that was rescinded I think 56 days later, and that was the basis of much of the investigations from the AG Office, the Comptroller and partial of the Cuomo
 - So, I just want know about the specific conversations with Dr. Zucker, if you ever talked to him, about the basis of that order, the drafting of the order, the aftermath of the order?
- 478 A. I was not involved in this policy in my

impeachment led the Assembly.

- 479 supervisor role.
- Q. Did you have any conversations with
- Dr. Zucker regarding the I think July 6th or 7th report
- that the Department of Health published regarding that
- 483 order?
- 484 A. I had conversations with him about the
- report. I'm not sure -- I want to make sure it is the
- 486 right one because I'm not sure if it's about the
- order -- you know, I think it is the only one I knew
- about at that time, if that is the one you are referring
- 489 to.
- 490 Q. Yes. It was about, I guess, contextually
- about nursing home guests in COVID-19 and the work
- 492 unfolding?
- 493 A. Yes, I had conversations.
- 494 Q. Do you recall the substance of the
- 495 conversations with the former governor?
- 496 A. Yes.
- 497 Q. What were they?
- 498 A. They were later in the year about my
- 499 employment.
- 500 Q. Can you provide more specifics? What about
- 501 it?
- A. I think he recommended that Dr. Zucker let
- 503 me go.

- Q. And Dr. Zucker didn't let you go?
- 505 A. No.
- Q. Why -- what gives you that perception that
 Governor Cuomo recommended to Dr. Zucker to let you go?
- A. I was brought into a conference call where he said he would recommend my firing.
- Q. Do you know why?
- A. I don't truly know why, but I was on a conference call where I made a comment explaining some of the struggles of nursing homes and I think that was interpreted in some way that might have been unfavorable.
- 516 MR. EMMER: Do you have an idea of when that call would have taken place?
- 518 THE WITNESS: Late fall of 2020, early
 519 winter, sometime around then.
- Q. What were the struggles that you relayed to the governor?
- A. I just was on one conference call and don't truly remember the substance, but something that the nursing homes -- that -- you know, I had seen on the ground and why I thought something was happening. I don't remember the actual substance of it.
- Q. Okay. Were there any other conversations with Governor Cuomo or is that the only one that kind of

- stands out?
- A. At this time, I can't recall any other
- direct conversations, but I could be -- I could be
- forgetting, but I don't recall.
- 533 Q. All right.
- What about Ms. DeRosa, do you recall those
- conversations?
- A. I recall a direct phone call asking for
- 537 certain data.
- Data surrounding nursing homes?
- 539 A. Yes.
- 540 Q. Do you recall what kind of data it was?
- Death data, case data?
- A. I don't recall at this time what it was.
- 543 O. Do you recall about the timeframe?
- A. I would say it would have been June or early
- July of 2020.
- Q. Was it a question regarding the impending
- report?
- 548 A. I recall that it was during the time that we
- were having conversations, so I think it could have
- been, but I don't know for certain.
- Okay. Did you ever have any conversations
- with Georgio DeRosa or anybody affiliated with
- Bolton-St. John?

- A. Not to my recollection.
- 555 Q. Okay. And then the last name on the list
- that I want to ask to be more specific about is Ms.
- Garvey. Do you recall the substance of those
- conversations?
- A. I recall that there were general
- conversations that she was on about nursing home issues.
- And I think that's all I can actually
- remember. I don't feel like I'm remembering everything.
- 563 Q. That's okay. Hopefully our last kind of
- name questions.
- So we had a similar interview with Dr. Zucker
- last year -- last November, I believe. Since then, has
- anyone contacted you regarding being interviewed by the
- 568 committee? Have you had any conversations with anyone
- affiliated with former Governor Cuomo, Dr. Cuomo, Ms.
- 570 DeRosa, regarding this interview?
- A. I have not taken any phone calls. I don't
- know if anyone who is working with me has.
- Q. No. And I'm not going to ask about
- 574 attorney-client or any counsel conversations. I was
- just trying to understand if anyone reached out to you.
- MR. BACH: To you personally.
- 577 A. No.
- 578 Q. Okay.

- 579 EXAMINATION BY
- MR. EMMER:
- So, I know we already spoke through your
- day-to-day responsibilities at the Department of Health,
- but I just want to ask you generally how the Department
- of Health guidance was issued.
- So, we'll start from the beginning. How is
- Department of Health guidance initiated?
- A. I have no idea.
- 588 Q. Okay.
- 589 A. I wasn't --
- 590 Q. So, you were never involved in determining
- whether guidance was necessary?
- 592 A. I'm sure over 10 years there might have been
- a question, but I wasn't in a group that did policy.
- 594 Q. Mm-hmm.
- 595 A. I was more, what I would say, front lines.
- 596 Q. Okay. So, a few more just general
- questions.
- 598 Did you ever conduct official Health
- Department business via personal e-mail?
- A. We weren't allowed to do that.
- 601 Q. So, did you ever conduct official business
- via personal cell phone?
- A. I had my state cell phone and that's what I

- used for work.
- 605 Q. Are you aware of any internal New York
- 606 Health Department messaging app or service such as
- 607 Microsoft Teams?
- A. I wasn't aware of that, no.
- Q. That's perfectly fine.
- MR. BACH: What was the question?
- MR. EMMER: Whether there was any internal
- New York Health Department messaging apps to
- 613 communicate with other staffers such as
- Microsoft Teams.
- 615 Q. And to clarify, you didn't have any e-mail
- through the Health Research Incorporated nonprofit?
- 617 A. No.
- Okay. While at DOH, were you ever
- instructed to delete official e-mails?
- 620 A. No.
- Q. Okay. I'm now going to introduce what will
- be labeled as Majority Exhibit 1. And this is an e-mail
- thread, which you are not a part of, between Melissa
- DeRosa, senior Executive Chamber of staff and
- Dr. Zucker. It has bates marked NYDOH9253.
- And I want to direct your attention to the top
- of the e-mail or the top e-mail from Secretary DeRosa on
- Thursday, January 28th at 8:33 am where she says in all

- caps delete this chain. Don't respond to it.
- Again, I know you were not part of this e-mail
- chain, but were you aware of the request from the
- Executive Chamber to delete e-mails?
- A. (Perusing). Sorry. I'm just reading.
- Q. Take your time.
- A. I have no recollection of being aware of a
- message to delete.
- Okay. And to your recollection, no one ever
- instructed you to delete records?
- A. No. I don't recall ever being told to
- delete messages.
- Q. Thank you.
- So I would like to now just pivot to the
- beginning of the pandemic and New York. To the best of
- your recollection, when did you learn about COVID-19?
- 645 A. January, 2020.
- MR. BACH: January what?
- THE WITNESS: 2020.
- Q. And what did you know about it at that time?
- A. We generally follow infectious disease
- 650 reports from around the globe and I just recall it being
- initially one of many viruses. We watch for flu. We
- watch for everything. So, I think initially, it was one
- of many reported viruses.

- Q. Were you able to identify which populations
- were most at risk during this time?
- 656 A. Could you clarify the time you are talking
- about? January?
- 658 Q. January of 2020.
- A. I don't think we had much information at all
- at that time.
- 661 Q. So to the best of your recollection, when
- would you have learned that the elderly in particular
- were vulnerable to COVID-19?
- A. I can't recall exactly. We did not have a
- lot of information from China. We started to get a
- little more from Italy. Then we were learning on the
- ground in New York. So, I think it was an evolving
- situation.
- Q. Thank you.
- So, on March 1, 2020 Governor Cuomo announced
- the first confirmed case of COVID-19 in New York. Do
- you recall?
- A. I believe I do.
- Q. And can you generally describe the initial
- acts the administration took in response to COVID-19?
- A. I don't -- I just don't -- I wasn't involved
- in the policy.
- Q. And that was going to be my next question.

- Were you involved in any of the initial steps that the
- administration took during March of 2020?
- A. I was really an on-the-grounds person,
- clinically, I would say. Yeah.
- Q. And you already discussed this before, but
- as far as New York State Department of Health guidance,
- you were not involved in the issuance or the development
- or issuance of any guidance?
- A. Not that I can recall.
- 688 EXAMINATION BY
- 689 MR. BENZINE:
- 690 Q. Specifically, not involved in the drafting
- of the March 13, 2020 nursing home guidance. We have it
- if you need to look at it.
- 693 MR. BACH: March 25, 2020.
- MR. BENZINE: March 25. I'm sorry.
- 695 A. I have no recollection of being involved in
- the March 25th guidance.
- 697 Q. Do you have any recollection of any water
- 698 cooler talk about the drafting of the March 25, 2020
- guidance? Was anyone sitting around being like, oh,
- 700 this thing happened?
- 701 A. I don't even know if I was in the office
- 702 most days at that time. I was not -- I have no
- 703 recollection of water cooler talk about it.

- 704 Q. Not a lot of water coolers in March of 2020.

 705 A. I was downstate.
- 706 EXAMINATION BY
- 707 MR. EMMER:

710

708 Q. I apologize if you already answered this,
709 but did you ever talk to Dr. Zucker about where the

March 25th order originated from?

- 711 A. I don't recall having conversations about 712 that.
- Q. So, is it your testimony today that you do
 not have any -- or you weren't involved and you have no
 idea the background of the March 25th order, who drafted
 it and issued it?
- 717 MR. BACH: I'm going to object to the way

 718 you phrased that. You can ask her if she has

 719 any specific information relating to the

 720 March 25th guidance and I think she has answered

 721 no.
- 722 When you say you have no idea about the
 723 background, you are kind of casting very broadly
 724 in that.
- 725 MR. EMMER: I apologize for that.
- 726 MR. BENZINE: We are before the hour, but I
 727 think this is a decent point to take a break and
 728 come back and talk about the report. We can go

729	off the record.
730	(Whereupon, an off-the-record discussion
731	was held.)
732	We can go back on the record.
733	EXAMINATION BY
734	
735	Q. Good morning, Dr. Adams. My name is
736	and I'm senior counsel with the Democrats on the
737	select subcommittee, and I want to echo my Republicans
738	colleagues thanks for you agreeing to come in and speak
739	with us today. We do appreciate you taking the time.
740	As an initial matter, it seems that a lot of
741	what we'll be discussing today relates back to the
742	politicalization of science and public health. What are
743	some of the drawbacks to politicizing science and public
744	health?
745	A. That's a broad question.
746	Q. I can give you a little more specific.
747	MR. BACH: Well, let me ask you this. Are
748	you going to be asking her about her opinions
749	and views generally or are you going to be
750	asking her about her historical knowledge?
751	Mostly, we are going to be
752	asking about her historical knowledge. This is
753	just some framing.

754	MR. BACH: Maybe you should start with her
755	historical knowledge
756	Okay. We can do that.
757	Q. Have we seen a disregard for public health
758	safety measures in this country?
759	A. I think public health has been in the
760	spotlight more than it ever was and so people generally
761	have opinions about everything and so public health
762	before wasn't paid a lot of attention to. And so, I
763	think since it became something that everybody started
764	to learn about, then there started to be opinions.
765	Q. Great. Thank you.
766	I'm going to turn over some questions to my
767	colleague here,
768	EXAMINATION BY
769	
770	Q. Good morning, Dr. Adams. Thank you again
771	for your voluntary participation in today's interview.
772	My name is and I'm a counsel with the
773	minority.
774	On the prior administration's pandemic
775	response, there has been a lot of retrospective
776	conversation around the March 25th advisory and the
777	DOH's disclosures of that data. On that second piece,
778	the July 6th DOH report has drawn significant attention

- and kind of serves as a framing device.
- 780 But if we could, I would like to just zoom out
- 781 a little and talk about decision making around DOH's
- 782 public reporting. It might be helpful to somewhat set
- 783 the stage with what appears to be DOH's official
- 784 position on this point.
- 785 And for that, I will introduce a March 2022
- 786 report by the New York State Comptroller that assessed
- 787 the use, collection and reporting of infection control
- 788 data. This is Minority Exhibit A.
- 789 MR. BACH: What's the name? March 27th of
- 790 what year?
- 791 It was a March '22 report by
- 792 the New York State Comptroller on infection
- 793 control.
- 794 MR. BACH: What year?
- 795 March, 2022.
- 796 MR. BACH: 2022.
- 797 (Handing).
- 798 Q. Do you recognize this report?
- 799 A. I don't recognize this report.
- 800 Q. Sure. DOH had an opportunity to respond to
- 801 a draft of this report. On the issue of decision making
- around DOH's public reporting, we can turn to page 44.
- A. (Witness complies.)

- Q. The last paragraph, midway through, starting with "as the draft report acknowledges..."
- 806 Have you found it?
- A. (Perusing). I have found it, yes.
- Q. Okay. I'll just read DOH's response for the record.
- Their response is, "as the draft report

 acknowledges and the New York State Assembly concluded

 during its investigation concerning the state's public

 disclosures, the scope of health data that was released by

 the prior administration was determined by that executive

 chamber, not department personnel, and any department

 issued data was accurately described."
- Dr. Adams, do you agree with DOH's response here
 that there was an extent to which chamber determined the
 scope of health data that the DOH publicly released?
- A. I think it's hard for me to comment because

 I wasn't involved in upper-level conversations. So, to

 make a broad statement is a bit difficult for me here.
- 823 I just -- I just know my small work and my interaction.
- That's a big -- a general statement.
- Q. Have you ever been involved with members of chamber in conversations about the scope of public health data that DOH would publicly report?
- 828 A. Yes.

829	Q.		And t	hose co	conversations,			did tho	se me	e members	
830	of	chamber	either	attemp	t to	limit	or	expand	that	scope?	

- A. In general, I always espouse to follow what
 I was used to, which was the scientific process. And
 for that, for the process, you -- you know, pick your
 dataset, you describe the methods, the results,
 conclusion, and you need to say what the limitations
 are.
 - So, you know, I would always argue for an appropriate, but the appropriate, usually a large dataset with the limitations coming from a scientific paper part, and for folks that weren't from a scientific background, I would see sometimes would think about things in a different way in terms of, you know, what they might want to include.

844 Is that --

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- Q. Could you be more specific about the different approach that you saw?
- A. Um, I don't want to speak for everyone in the Health Department, but in my group we were used to writing papers that would be published in a scientific journal.
- So, we would follow, you know, the very

 prescribed set of rules and guidance of what you would

 do for a scientific paper, and that's, you know,

generally what I saw within the Department of Health.

I learned throughout the pandemic that people
would try to write things maybe for different audiences,
for lay audiences, and sometimes that process was just
different.

I don't know -- I can't comment on if it was standard or not because I wasn't really involved in other report writing, but it was different from -- in certain aspects -- from what we would say -- we would typically do to try to publish an article in a peer reviewed article.

O. Thanks.

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Different in what certain aspects?

Um, I think for a scientific article, you 867 Α. might have a hypothesis but you take whatever dataset is 868 869 best and then make sure you do analyses that are, I 870 would just, for lack of a better term, say mathematically appropriate. 871 Really, the biostatistical analyses that you would want to do would 872 depend on what type of analysis was needed. And you 873 874 would have to strictly follow that, and then you would prepare the results and make sure you had a limitation. 875

You wanted to present the data in a way that somebody else could replicate it. You were benchmarking it, saying this is what we did. And there may not be

- one way necessarily to do it, but by describing your
 methods, somebody else could try to replicate that in a
 different city or use the same methods for comparison.

 If not, they could say why it is different.
- People don't always have to do things the same
 way, but it is the transparency that is important for a
 scientific article.
- I don't -- having not written reports, I don't know the process for that, but my understanding is that it could be different.
- 889 Q. You mentioned that the transparency is 890 important when writing a scientific report.
 - In your conversations with Chamber, did the members of chamber that you were discussing this topic with also approach this issue of transparency in a scientific paper the same way that you just laid out?
- A. I don't know how they approached it because

 I wasn't involved in those conversations, but we would

 suggest certain edits to increase transparency.
 - Q. What certain edits, if you recall?
- A. Um, it's -- it's been almost four years.

 Um, I do recall wanting limitations to be mentioned.

 Um, like, what the limitations were, and just describing
- 902 the dataset that was used.
- 903 Q. Okay. Thank you.

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904	What I would like us to do is go through some
905	e-mails and documents and explore the idea, as I
906	understand it, page 44 that we just read, and use what I
907	understand to have been DOH's daily nursing home death
908	reports as our anchor.
909	Minority Exhibit B is a January 28, 2021
910	e-mail, and the attachments are describing nursing home
911	deaths. I'll give you some time to flip through them.
912	(Handing).
913	THE WITNESS: Thank you.
914	A. (Perusing).
915	Q. If you would like to go through them, feel
916	free.
917	A. Yes. (Perusing). Okay.
918	Q. Okay. Dr. Adams, you are on this e-mail and
919	you may have received dozens of e-mails like this one,
920	but in general, do you recall seeing documents like
921	these two attached here with a title NH_ACF_Summary_the
922	date_modified?
923	A. My recollection is more general just of
924	occasionally seeing data. I can't recall an exact
925	file or e-mail.

927 For the record, the subject line reads
928 "updated 1/27 NH ACF website report." As I understand

Q. That's fair.

- things, every day as early as April 2020 DOH posted to
- 930 their website a PDF showing deaths related to nursing
- homes; is that correct and is this such an example of
- 932 such a PDF?
- 933 A. I can't verify dates at all. I wasn't part
- of the groups that was posting this data.
- 935 Q. Sure.
- 936 A. So, I don't recall when that started or what
- 937 was posted on a specific time period.
- 938 Q. But do you recall that DOH posted PDFs for
- 939 public viewing related to nursing home deaths?
- 940 A. I know that there was a website where data
- 941 was posted.
- 942 Q. Okay. Do you know that this is an example
- of such a PDF?
- 944 A. I don't know the link. I wasn't commonly
- 945 looking at the public website. I feel like I can't
- 946 verify, but I know data was posted.
- 947 O. Okav. Just to make sure I understand what
- 948 we are looking at, the data attachments represents total
- 949 statewide COVID confirmed and presumed nursing home
- 950 deaths broken down at the county and facility level.
- Does that all sound right?
- 952 A. So, my interpretation, just looking at this
- in the three minutes we have been here, is that there's

- nursing home data, but there is also assisted living
 data because there is an ACH section.
- And I think it is also important to see that
 this is only for the confirmed and presumed deaths
 within nursing homes and it doesn't include data for
 those that occurred outside the facility.
- 960 So, I think people often use a broad term like 961 nursing home deaths. And again, this is the data part 962 of it, right? Is it in nursing home or is it nursing 963 home residents, right? There is a distinction.
- 964 So, I would say that's my understanding of
 965 this data looking through it right now, if that's
 966 helpful.
- 967 Q. That is helpful.
- 968 Are you familiar with the HERDS surveys that
 969 nursing homes submitted information through back to the
 970 DOH?
- 971 A. Yes. I was not part of the group that
 972 administered the HERD surveys, but I knew about them
 973 generally.
- 974 Q. Generally, is the HERDS survey how DOH got 975 the data that went into this PDF --
- 976 A. Oh --
- 977 Q. -- if you know?
- 978 A. Again, I wasn't part of that division so I

979	want to be clear to say, this might not be correct, but
980	that's my general feeling, was yes, there was a lot of
981	data that was captured via HERD surveys.
982	Q. As I understand things back in March and
983	April of 2020, the HERD survey underwent some
984	significant changes to ensure more comprehensive data
985	collection. Do you have a recollection of those
986	changes?
987	A. I generally do recall that there were
988	changes that were made. I don't recall if that was the
989	date, but yes, there were I can say generally, there
990	were some changes.
991	Q. Sure. Minority Exhibit C is an evolving set
992	of COVID-related questions DOH began asking nursing
993	homes early in the pandemic. You can look through them
994	as you would like. These are the HERDS related
995	questions.
996	(Handing).
997	A. (Perusing).
998	MR. BACH: What is the date at the bottom
999	of the page?
1000	Which page?
1001	MR. BACH: The page that says April 1,
1002	2020, but the time stamps are different for

every page?

1004	Yeah. There is essentially
1005	three different documents in here. First is a
1006	March 2020 version of the HERDS survey. That's
1007	the first two pages. The next document is a
1008	mid-April survey update. The third document is
1009	a supplemental questionnaire for nursing homes.
1010	A. Okay.
1011	Q. If you can, turn to Bates 68273?
1012	A. (Witness complies.)
1013	Q. That's the start of the mid-April survey
1014	update for the nursing homes. Can you confirm that for
1015	me?
1016	A. Could you please repeat where you want me to
1017	turn?
1018	Q. Yeah. 68273. It's the third page.
1019	A. Got it. Okay.
1020	Q. Do you understand this to be the mid-April
1021	survey update?
1022	A. I see the comment that says update 4/19/20.
1023	I don't have a recollection from back at the time if
1024	this was. So, I'm just seeing this. So, I'm from
1025	what it says, it would indicate it likely is, but I
1026	don't have a recollection of the exact documents that
1027	were sent out when they are

That's fine.

Q.

- 1029 A. Yeah.
- 1030 Q. If you could now turn to 68275?
- 1031 A. (Witness complies.) Okay.
- Q. And at the bottom there is a section of the daily survey where nursing homes would provide seemingly detailed information about COVID-19 resident deaths.
- 1035 The survey asked for gender --
- 1036 A. Mm-hmm.
- 1037 Q. -- COVID-19 confirmed or presumed status,

 1038 age, race, on the next page, comorbidities and place of

 1039 death. And place of death is further broken down for

 1040 nursing home, hospital, or other.
- There may have been issues with data

 clarification and data reconciliation, early issues, but

 is it fair to say from mid-April 2020 onwards, nursing

 homes submitted similar types of information to DOH as

 that purported in this exhibit, that is that nursing

 homes didn't stop reporting this information; is that

 fair?
- 1048 A. I'm not sure if I follow the question.
- 1049 Would you mind just stating it again?
- 1050 Q. From the time of this mid-April survey

 1051 update, did nursing homes continue to report these types

 1052 of information?
- 1053 A. Sorry. From what date?

- 1054 Q. Let's say April 2020, onwards.
- 1055 A. I'm not sure I understand the full question,
 1056 but the nursing homes reported data as instructed and my
 1057 understanding was that they kept reporting the data as
- Q. Are you aware of a version of this survey

 after April 2020 that stopped requiring nursing homes to

 submit these types of information?
- 1062 A. I don't recall -- I don't recall the details

 1063 of the surveys because I wasn't on --
- 1064 Q. Sure.

instructed.

1058

- 1065

 A. -- we had different teams that dealt with

 1066

 sending out the surveys and then collecting the data.

 1067

 We would look at it for, like, an individual facility,

 1068

 if that makes sense.
- So I don't -- I'm trying to remember, but I

 can't recall if there was a date that things changed per

 se. I have a general recollection that at times there

 was a desire -- you do things so fast at the beginning.

 I think we all learned along the way, how to ask

 questions to get information that was needed over time.

1075 And so I know that was something that the

1076 Department of Health, you know, worked on over time.

1077 And so there were different versions of surveys where

1078 questions changed over time.

1079 Q. Okay. Minority Exhibits D and E are the
1080 May 2nd and May 5th website PDFs.

1081 (Handing).

Q. That first one is the May 2, which is what on DOH's website on May 2. This next one is what was on DOH's website on May 5th.

1085 (Handing).

1086 A. (Perusing).

1087 MR. BACH: Of 2020?

1088 : Yes, 2020.

1089 A. Okay.

Based on the internal e-mails and documents 1090 1091 we reviewed, and public documents like the Comptroller report, our understanding is that early May of 2020 DOH 1092 stopped including hospital deaths in nursing home 1093 website PDFs. If you can look at Exhibit E, that's the 1094 May 2 version. It presents deaths up to April 30th. 1095 1096 And its county level data includes out-of-facility deaths; is that correct? 1097

1098 A. (Perusing).

1099 Q. Page 4.

1100 A. (Perusing). Could I verify that you mean 1101 this one that says -- the first one, data as of 4/30?

1102 Q. Yes, that was, from what I can tell, the

1103 most up-to-date version available on May 2, 2020.

- 1104 A. (Perusing). I mean, what I'm seeing is it
 1105 says resident deaths in the nursing home.
- 1106 Q. Right, but on page 4 there's data relating

 1107 to out-of-facility deaths at the county level.

MR. BACH: Where are you referring to?

In the orange, number includes
the residents that died either in a facility for
in a hospital.

1112

A. I can see on page 4 on that section in the

1113 county level data, that the grand total section has the

1114 asterisk that says include a resident that died in

1115 either a facility or a hospital.

I, myself, am not familiar with the dataset,

so I don't know if there was more information about it.

- 1118 O. Sure.
- 1119 A. Yeah. I can see what is here.
- 1120 O. Sure. Let's turn to Exhibit E now.
- 1121 A. (Witness complies.)
- 1122 Q. Do you see any data relating to
- out-of-facility deaths in this exhibit?
- 1124 A. I see a footnote that says that the data

 1125 captures COVID-19 confirmed and COVID-19 presumed deaths

 1126 within nursing homes and adult care facilities. This

 1127 data does not reflect COVID-19 confirmed or COVID-19

 1128 presumed positive deaths that occurred outside the

- facility.
- 1130 Q. Do you see a similar footnote on Exhibit D?
- 1131 A. (Perusing). D is the prior document?
- 1132 Q. Yes.
- 1133 A. I do not. I see a footnote but it's not
- 1134 worded the same. It makes a point -- I mean, there are
- 1135 multiple footnotes, I should say. I see two. And one
- 1136 speaks to presumed and confirmed deaths.
- 1137 Q. Right. So then nothing is saying that this
- dataset excludes out-of-facility deaths?
- 1139 A. (Perusing). So for the -- I think there are
- 1140 three different datasets here. Um, and I don't see the
- notes for -- I don't see a footnote. Sorry.
- 1142 Q. If it's not there, it's not there. It's
- 1143 fine.
- 1144 A. I just don't want to misspeak. (Perusing).
- 1145 I don't see the same wording on this one previously, but
- 1146 I don't personally know the datasets well enough on
- 1147 here.
- 1148 Q. Okay. Do you recall there being a DOH
- 1149 reporting switch in early May 2020 to exclude
- 1150 out-of-facility deaths from its publicly reported data
- related specifically to nursing homes?
- 1152 A. I don't recall at that time being involved
- in the day-to-day reporting on the public website. I

- was more doing my day-to-day work, which was working at individual facilities.
- So at the time, I was not looking, for
 example, at the public website daily, if at all. I was
 really focused on my job at the time.
- So I would maybe look at, like, an individual nursing home's numbers when they were calling me to see how I could help them with what they needed.
- 1162 Q. Thank you.
- Minority Exhibit F. I would now like us to

 look at some e-mails where DOH is sending around the

 daily PDFs that would be posted to the website. E-mails

 of this kind were sent daily. So, what we have here is

 hopefully a smaller, but representative, sent.
- You are only on the first e-mail, but maybe

 you can help us out with some of the language that is

 contained in all of the e-mails.
- 1171 This is Minority Exhibit F.
- 1172 (Handing).
- 1173 A. (Perusing).
- 1174 Q. They are very similar, aside from the

 1175 numbers, which you don't really need to pay attention

 1176 to.
- 1177 A. (Perusing) Okay.
- 1178 Q. So, what we have here are e-mails from

- 1179 February and January of 2021, then December, November,
- 1180 October, September, August, July and June of 2020, and a
- 1181 couple e-mails of the same kind within each month.
- If we can go to the first e-mail, the February
- 1183 13, 2020 e-mail that you are on, the top line
- reads, "Here are the 2/13/2021 NH, AL, and OACF reports
- as per chamber for posting to the website."
- 1186 A couple of questions about the per chamber
- piece, which you saw is also on all the other e-mails.
- 1188 What did you understand per chamber to mean?
- 1189 A. I don't know.
- 1190 Q. Did you ever have a sense that this process
- of posting website PDFs with website numbers was one
- that required chamber's approval?
- 1193 A. That was my overall general feeling, but I
- 1194 didn't -- wasn't involved in the mechanics, so I can't
- say for sure.
- 1196 Q. What evidence did you have to have that
- feeling?
- 1198 A. I generally recall folks that were in the
- 1199 daily unit saying that they were sharing the numbers
- 1200 with Chamber.
- 1201 Q. Why did they tell you that?
- 1202 A. I don't know. We would work, you know,
- 1203 closely together, and so sometimes we would talk about,

- you know, the current situation. Maybe they were
 working late, waiting. I -- I -- my memory is not
 probably what it would have been four years ago.
- 1207 Q. That's fair. I guess there may be a

 1208 theoretical difference between sharing information with

 1209 someone versus requiring that someone's permission to

 1210 post that information online.
- 1211 A. Mm-hmm.
- 1212 Q. Is there anything more that you recall that

 1213 leads you to believe that beyond sharing chamber

 1214 required DOH to seek for approval in this process?
- I think why I'm having difficulty giving you 1215 1216 an answer is because I wasn't involved in decisions to post or the daily information. I was more, you know, if 1217 there was thought that maybe one nursing home's numbers 1218 1219 didn't add up, or maybe we had been talking to them 1220 about the outbreak in their facility, and maybe, you know, maybe we had written down it was four, but they 1221 had said five, you know, we would want to just make sure 1222 what the difference was. And we could always resolve 1223 1224 it.
 - Sometimes it was just, you know, data being submitted by a certain time or something. So I'm feeling as though I'm not, you know, in the position --
- 1228 Q. Sure.

1226

- 1229 A. -- where I was involved. We had two other

 1230 groups, to my knowledge, that were dealing with the

 1231 mechanics of reporting. And you can see some of the
- names here.
- 1233 Q. Sure.
- 1234 A. I'm not on any of these e-mails, you will
- see, I think, until the latest one here. (Pointing).

 So going back, I wasn't -- I just wasn't part of the
- decisions of the process. I wasn't close to it.
- 1238 Q. I may test your patience with this next
- question, but do you know if DOH passed their reports to
- chamber for approval before posting?
- 1241 A. I was not part of that process. So I just
- don't know how that worked.
- 1243 Q. Sure. So Katarzyna Petronis, Gregory
- 1244 Schoonmaker, Shu-Kuang Tai, and Richard Rees are all in
- the sender lines of these e-mails.
- 1246 A. Mm-hmm.
- 1247 Q. Were they from the same department within
- **1248** DOH?
- 1249 A. I actually don't know. There were two
- different departments and I didn't get a chance to meet
- or interact with all those folks.
- 1252 Q. Sure.
- 1253 A. So I actually don't know between the

- departments which they were from.
- 1255 Q. What were the two departments that you are
- talking about?
- 1257 A. I'm going to get the names wrong but
- the -- the order might be wrong but we had
- 1259 O-P-C-H-S-M --
- 1260 Q. Okay.
- 1261 A. -- primary care health system
- 1262 management -- something like that. And then there was
- an office that dealt with patient safety and data.
- 1264 I'm forgetting the acronym after all these
- 1265 years, but -- I'm actually sure it is probably in one of
- 1266 these documents. O-Q-P-S -- something like that.
- 1267 Q. That sounds fair.
- 1268 A. And so those are the two departments that I
- think were probably -- I know were more involved.
- 1270 Q. Is it your understanding that the PDF
- originated with one of those departments that you just
- 1272 mentioned?
- 1273 A. I'm so sorry, but I just don't know how this
- 1274 originated.
- 1275 Q. Okay. Minority Exhibit G is a September 18,
- 1276 2020 e-mail chain and its attachments. I'll let you
- take a look.
- 1278 (Handing).

1279 A. (Perusing).

1288

- So Erin Silk asks Gregory Schoonmaker if he 1280 Ο. can send "grand totals" for daily nursing home 1281 1282 fatalities for September 2nd to September 18th, and that 1283 chamber is requesting. Schoonmaker responds that he has 1284 attached quote, "two flavors we sent," for September 2nd and as an example and asks which flavor Silk needs. 1285 1286 of the attached spreadsheets has a familiar title, 1287 NH ACF summary 090220 modified. The other spreadsheet
- So , what I would like us to do is just

 compare the county and facility level data between the

 two attachments. If it's easier for you, the summary

 county level data for the first spreadsheet is on

 page 4. And the summary county level data for the

 spreadsheet is on page 8.

is a little different. Its title is NH 09 02 2020.

- Just let me know when you see all that?
- 1296 A. (Perusing). Okay.
- 1297 Q. When just considering the summary county

 1298 level data between both spreadsheets, so ignoring the

 1299 ACF data, let's just look at the nursing home data, the

 1300 only significant difference between the two spreadsheets

 1301 is that the first spreadsheet has out-of-facility

 1302 deaths, and the second spreadsheet with modified in its

 1303 title does not.

1304	Do 3	you	have	a	simil	lar	or	different
1305	understanding	bet	ween	th	nese s	spre	ads	sheets?

I'm going to need one second. (Perusing). Α. So on the exhibits that you presented to me, I see a few differences. One, which you mentioned, was that ACFs are included in the -- sorry -- I guess it is the same exhibit, but in the second section I see that for the second part, they have adult care facilities. Um, there is a footnote that talks about the data, and says that it does not include -- reflect COVID-19 confirmed or COVID-19 presumed deaths that occurred outside the facility.

And it does not have the total deaths overall, a total deaths at facility column that was in the first one. In the first one, there is more data that is given about the time of the survey and notes that it is only for the deaths for which the patient demographic information was provided.

So those are the differences that I see.

- Q. If we could look at the COVID confirmed deaths at nursing homes in the second spreadsheet, so same page, page 8, and the COVID confirmed deaths at facility on the first spreadsheet, would you say that those numbers are more similar or more dissimilar?
- 1328 A. The fourth column of the first and the

- second column of the second chart provided look, at my
 quick glance, to have the same values. I could be wrong
 looking quickly but have the same values generally in
 that category.
- 1333 Q. I'm assuming that because DOH asked for all

 1334 of the information in these spreadsheets and made the

 1335 time to make daily records of it, DOH considered this

 1336 information valuable from a public health perspective;

 1337 is that true?
- 1338 A. I don't want to speak for the whole
 1339 department.
- 1340 Q. Sure.
- 1341

 A. I can speak from my point of view, that data

 1342 generally is considered valuable if it's collected in a

 1343 way that is valid or has good methodology for the

 1344 collection of data.
- 1345 Q. In your day-to-day, did you consider

 1346 out-of-facility death data at the times you had it when

 1347 thinking about how to help nursing homes on the ground

 1348 navigate the pandemic?
- 1349

 A. I was part of a group that was trying to

 1350 limit spread, limit control, look at infection control

 1351 methods within a facility. So, for us being able to

 1352 trace how transmission may have occurred within a

 1353 facility was one of the goals.

1354	Sc	o, we did use data for all individuals who
1355	passed away	in whatever time period we were looking at
1356	0.	Sure.

1357 If you go to page 3, do you recall ever seeing
1358 a spreadsheet or a tab within a spreadsheet that looked
1359 similar to what we are seeing on page 3 where it
1360 included information relating to the deaths of nursing
1361 home residents at a hospital or other locations?

- A. I remember seeing general data. I just can't recall at the time, you know, exactly what the source was, if it was in a PDF like this or not.
- Q. Do you ever recall there being a divide between two periods, the first period would be where you considered out-of-facility death data to be unreliable, the second period was a time when you considered that data to be reliable? Was there ever like a switch in your thinking?
 - A. I do recall that generally at the beginning, the deaths -- um, the first few cases were very controlled. The numbers were low, everybody was following the case, details were known about the case.

Then when cases started to pick up, it was at a time where nursing homes and hospitals were extremely busy with not only the confirmed cases, but with presumed cases. And with everything that came along

1379 with that.

1394

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And I recall that the reporting of data, and

1'm just going to say generally, was -- started to get

1382

more challenging. And that, for example, if somebody

1383

came into a hospital not breathing, the immediate

1384

concern clinically was helping that person, not figuring

out where they came from.

So I do recall that there -- that the initial 1386 1387 data -- I wouldn't even say the initial -- the very initial data was very well known. Then there came a 1388 period where, yes, I would say there was -- um, there 1389 was thought that the data didn't always reflect where 1390 1391 the person came from due to everybody rushing. And if there was an attempt to go back and look at that data 1392 more closely to make sure it was accurate. 1393

- Q. Do you recall when this period for the review of the data and seeing if it all checks out was?
- A. I recall that there was additional -- I mean, as we are going through this, I am being reminded that there were different surveys sent out at different points of time. I don't recall when those times were.

I think the folks that were in the other two divisions I talked about would know exactly.

Q. Based on your recollection, this period was associated with a changing of the surveys, just so I can

- 1404 understand what you are saying?
- 1405 A. I don't know what you mean by "this period."
- 1406 Q. This period of an increased effort to check
 1407 that the data was accurate and reflected where nursing
- home residents died?
- 1409 A. I'm just not sure that I understand your
 1410 question. Would you mind saying it again?
- Q. Did this period of DOH putting in some extra

 efforts to verify data and perhaps struggling but then

 learning how to do it better, is this period associated,

 in your recollection, with the HERDS survey being

 updated?
- 1416 A. I think the HERD survey was an attempt to get more data.
- Q. Okay. If you can recall, around what month and year did you feel comfortable with the reliability of the summed up out-of-facility death numbers?
- 1421 So that wasn't my focus. My focus was, like, working with each institution to see what their 1422 situation was and working with them. So, I wasn't 1423 looking on a daily basis. I wasn't using this to look 1424 at totals per se. What I was, okay, this is the data 1425 1426 we have. These are the limitations of the data. Do I 1427 need to do something when I'm talking to a facility to 1428 adjust my thoughts? You know, to say, okay, this is

```
1429
         what you are submitting, this is what you know.
1430
                    So, I just -- I think I wasn't in the role
1431
         that was sitting there looking. We had a whole data
1432
         quality unit. I was in a disease control unit. So, I
         just wasn't -- what you are posing was not what I even
1433
1434
         did on a daily basis.
1435
              Q.
                      Okay.
1436
                                    I think that is all for now.
1437
                  Thank you.
                               We can go off the record.
1438
                       (Whereupon, an off-the-record discussion
1439
                  was held.)
1440
1441
                       MR. EMMER: We can go back on the record.
                      Dr. Adams, I would like to start introducing
1442
              Q.
         what would be labeled as Majority Exhibit 2 (Handing).
1443
1444
              Α.
                      (Perusing).
1445
               0.
                      It's Bates numbers NYDOH2186 through 2191.
         This is an e-mail thread started by secretary DeRosa to
1446
         senior executive staff that was ultimately forwarded to
1447
         you and health department staff on May 17 of 2020.
1448
1449
                    The subject line reads, "Please give this a
         read. Send back any edits you have and we shall place
1450
```

Do you remember this e-mail chain?

1453

A. I don't off the top of my head.

1451

in the New York Post from Dr. Zucker tomorrow."

- 1454 Q. Okay.
- 1455 A. So, I'm looking at it now.
- 1456 Q. Take your time.
- 1457 A. Thank you.
- 1458 (Perusing). Okay.
- 1459 Q. Do you recall this e-mail chain?
- 1460 A. I don't honestly recall it in real time.
- There were a lot of e-mails that got sent. I would say
- 1462 thousands. So -- but I'm seeing what you put in front
- 1463 of me.
- 1464 Q. Well, I guess generally, do you recall the
- 1465 Cuomo administration, including Dr. Zucker, arguing that
- the March 25th guidance was consistent with CDC and CMS
- 1467 quidance?
- 1468 A. I have a general recollection of that.
- 1469 Q. So, directing your attention to the last
- page, the e-mail from Secretary DeRosa on May 17, 2020,
- are you aware whether the draft op-ed was drafted by
- Ms. DeRosa herself?
- 1473 A. I have no recollection.
- 1474 Q. So, I want to direct your attention to 2187.
- 1475 That is the second page, and we are looking at
- 1476 Meagan -- the e-mail sent from Meagan Baldwin to you and
- other health department officials saying, "that MDR is
- 1478 asking for the CMS guidance we referenced on

nondiscrimination policy and where the 27th state came from. Need this ASAP."

1481 MDR is referring to secretary DeRosa, correct?

1482 A. Those are her initials. That's all I can

1483 say.

1484 Q. Okay.

1485 A. But I don't know if there was someone else.

1486 Q. And the CMS guidance that Ms. Baldwin is

1487 referring to, she is specifically asking about the

1488 change that was made to the op-ed and we are looking at

1489 the fifth paragraph of the op-ed. And it looks like the

1490 third paragraph, and I'll read it out loud for the

1491 record.

It says, "Our department followed President
Trump's CDC guidance in saying nursing homes could not
discriminate against COVID patients. The CDC issued
that guidance at a time when the primary fear was that
hospital capacity would be overwhelmed and was premised
on having hospital beds for Urgent Care. We, along with
states across the country, agreed with President Trump's
CDC as the overriding operational mandate has always
been that a nursing home can only accept or retain a
patient if it can adequately and effectively care for
that patient."

Now in subsequent drafts, that paragraph was

- 1504 changed to BCMS and Ms. Baldwin asked why or relayed
- that MDR, Ms. DeRosa was asking why that change was
- 1506 made.
- 1507 I know it has been four years, but do you
- 1508 recall why that change was made from CDC to CMS in
- subsequent drafts?
- 1510 A. I have no recollection at all.
- 1511 Q. Okay.
- 1512 EXAMINATION BY
- 1513 MR. BENZINE:
- 1514 Q. Were you ever asked to do an analysis of the
- order of whether or not it actually followed CMS and CDC
- 1516 guidances?
- 1517 A. I have no recollection of being asked to do
- 1518 an analysis with regard to policy.
- 1519 Q. Any other analysis?
- 1520 You specified with regard to policy. Were you
- asked to do any other analysis centering on the order?
- 1522 A. I -- oh, about the order? So, I -- my group
- 1523 would sometimes be asked for specific data for
- 1524 something. We didn't always know what it was for or if
- 1525 somebody else had done something to look in on it.
- 1526 I think at my level we were -- I don't want to
- say low down because it was a very -- the people I
- 1528 worked with are amazing. We are amazing people. They

- were amazing people. And it was a good group, but we were not connected to a lot of the conversations about decision making or why.
- So just to characterize, I think sometimes,

 like, technical questions would come. Like, where would

 one find a reference, for example, right?
- 1535 Q. Mm-hmm?
- 1536

 A. Or you know, what have you looked at? And
 1537

 we, from our part, like, had looked at the cases
 1538

 ourselves because we wanted to see what we could do
 1539

 better to stop the spread.
- 1540 Q. Mm-hmm. In the Department of Health

 1541 structure, would your group have been the nursing home

 1542 communicable disease experts?
- 1543 So, the way -- my understanding of the 1544 department structures where we did have a regulatory 1545 department that dealt with the regulatory side of hospitals, nursing homes, assisted living, diagnostic 1546 treatment center, and they had experts that would go in 1547 to see with infectious control. We were in a 1548 1549 communicable disease department, and we did focus on 1550 facilities. So, we would look at multidrug resistant organisms over time and things like that. 1551
- So, we had individuals who had expertise in infection control methods as well, but I wouldn't say we

- were the only experts because there were some great experts in the regulatory unit.
- Q. So, it wouldn't have necessarily been odd or outside the standard course of business that a nursing home regulation dealing with infectious disease would get issued without going through your group first?
- 1560 A. Very frequently.
- 1561 Q. Okay?
- 1562 A. Very frequently, yeah.
- 1563 EXAMINATION BY
- 1564 MR. EMMER:
- 1565 Q. So, I would now like to introduce what would be marked as Majority Exhibit 3. (Handing).
- 1567 A. (Perusing).
- 1568 Q. This is an e-mail thread between senior
- 1569 Executive Chamber and Health Department staff on
- June 22, 2020 flagging an article entitled, "Verma,
- 1571 Cuomo contradicted federal nursing home guidance." And
- 1572 I'll give you a minute to review it.
- 1573 MR. BENZINE: Not that there is a whole lot
- to review.
- 1575 MR. EMMER: Yeah.
- 1576 A. (Perusing). Okay.
- 1577 Q. So I guess the first question would be, do
 1578 you remember when CMS administrator Verma saying that

- the March 25th guidance contradicted federal guidance?
- 1580 A. To be honest, I was just too into the
- nitty-gritty of my job that I was not really in the loop
- 1582 with things that were happening outside of my orbit.
- 1583 And that would probably include the federal level. I
- just was so busy.
- Okay. Well, as you can see, the e-mail
- thread, including your e-mail, is heavily redacted. Do
- 1587 you recall generally what the Executive Chamber was
- discussing in response to Verma's conclusion?
- 1589 A. I cannot recall four years ago what was in
- the redacted section.
- 1591 Q. And you wouldn't recall what your response
- would have been?
- 1593 A. I have no recollection looking at this, what
- the long e-mail thread was about.
- 1595 Q. Okay.
- 1596 EXAMINATION BY
- 1597 MR. BENZINE:
- 1598 Q. Do you recall ever being directed by anyone
- to defend or find a way that CMS and CDC guidance were
- applicable to that order?
- 1601 A. I don't have a recollection of ever being
- asked to defend. I just sometimes remember being asked
- about specific questions about data.

- That's my recollection. This was not at my
- 1605 level.
- 1606 Q. This is more of a blanket question. Do you
- 1607 ever recall being asked to do anything that you
- disagreed with?
- 1609 A. I think over 10 years, there were always
- 1610 things.
- 1611 Q. During the pandemic, were there any
- directions that you had material disagreements with?
- 1613 A. I think reasonable people can disagree with
- a lot of things. And there was not one way to go about
- 1615 the thing in the pandemic. So, I think I would
- 1616 certainly have to say, yes. Things that came to mind
- 1617 were whether we should tell clinicians to use steroids
- 1618 or not. The data coming out of China was really mixed.
- 1619 Then there were, you know, different questions too about
- subsequent things.
- So, I don't think it's unusual for there to be
- things that, you know, I -- the way I phrase it is was
- 1623 I, personally, would have taken one tact and I don't
- think it was unreasonable to take another. But I was
- 1625 approaching it from a very physician view.
- 1626 Q. Any nursing home specific ones that you
- disagreed with?
- 1628 A. Um --

- 1629 Q. And we'll --
- A. I think I remember feeling like we all
 didn't have the data to know what was right and that was
 the hardest thing in the moment, was that, you know,
 maybe I would have done something, but I didn't even
 know what was right.

And that was one of the hardest struggles, was

that you just didn't -- there's -- at the time, there

seemed to be all these cases popping up.

And you were putting in traditional infection

control measures. We were talking to CDC, they were

telling us what they were learning in the west coast

because they had initially more cases for us.

And we were still seeing cases, and at that point, we just didn't -- I won't speak for everyone, but I do think it is fair to say I and many others didn't understand the asymptomatic transmission component.

So, there was this feeling that we were trying different things, and we still weren't getting an outcome. And I didn't know even what I would necessarily argue to do differently. Too many variables you were trying to play with at the same time --

1651 Q. Yeah?

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1652 A. -- to control. And it was just really
1653 difficult watching everything unfold.

- Q. We'll get to it in a little bit more detail
 later, but it was why it was reported in the July 6th
 report that you expressed your disagreement to the
 Executive Chamber on how they expressed the death
 counts. We'll get to it in a little bit more detail,
 but is that accurate?
- 1660

 A. I argued for a more scientific type of paper

 1661 to go out that was, you know, transparent about methods

 1662 and that used a dataset with my colleagues at the DOH,

 1663 and therefore, I understood it and knew it. So that was

 1664 a personal comfort I had.

And I was, you know, willing to say why I

would have thought that was the method the DOH could be
using, but again, you know, I was in the position I was

in.

- Q. I should have just asked this specifically, but were you directed to take the Executive Chamber's numbers and not the Department of Health?
- A. I would just say that what we wrote was a draft of a scientific paper, and there was one time that I was asked to summarize other things that weren't taken, but I didn't write --
- 1676 Q. The final one.

1669

1670

1671

1677 A. -- another report in how I view it. There

1678 were aspects that I had written that were used.

- So, I don't want to mis you know, I want to to characterize that correctly.
- 1681 Q. Okay. Thank you. That's good on that one
 1682 for now. We'll come back to it.
- 1683 EXAMINATION BY
- 1684 MR. EMMER:
- Q. Generally, just because you mentioned

 earlier that you were really on the ground, did you work

 with nursing homes on how to cohort suspected and

 positive COVID-19 residents?
- 1689 A. Yes.
- Q. Can you just generally describe what type of mitigation measures you implemented to cohort these vulnerable populations?
- A. Um, so there are general principles in
 epidemiology and infection control. There's a wonderful
 document of CDC that is this thing (indicating). But it
 goes through respiratory, contacts, all the different
 categories of outbreaks and all the different infection
 control measures.
- And I'll just say, there are multiple tools
 that one can use. And that can include using personal
 protective equipment, it can involve physical movement
 or spacing, it can involve the number of interactions
 with other individuals in a facility.

1704	I'm not using the scientific terms here. We
1705	have scientific terms for all of these, but there were
1706	just a myriad. Also, you know, treatment actually can
1707	be an infection control measure.

As you know at the time, that was sort of a 1708 1709 struggle, trying to figure out what treatments would 1710 work, but certain things can cut down on infectivity.

1711 So, I'll just - there's hundreds of pages 1712 about this, so I'm not going to detail everything, but that maybe gives you a snapshot. 1713

1714

1715

1716

1725

- From your experience and what you saw on the ground, were nursing homes prepared to cohort COVID positive and suspected residents?
- 1717 I think it's hard to make a general Α. 1718 statement. I think that nursing homes had a lot of experience with respiratory diseases because of 1719 influenza every year and other types of diseases. So, 1720 1721 in terms of the basic principles, they knew.

I think a pandemic is a pandemic because of 1722 the magnitude, right? There are many components that go 1723 1724 into defining a pandemic and that is a whole other thing, but to have the number of individuals become so sick all at the same time, has been a challenge across 1726 the world. 1727

1728 Do you recall whether any nursing homes

- 1729 reached out and said that they didn't have the capacity
 1730 to cohort COVID positive or suspected patients?
- 1731 A. I mean, we were talking to nursing homes
- every day and they were dealing with their own
- 1733 challenges, like, their staff were getting sick
- themselves. So sometimes there wouldn't be enough
- 1735 staff. I think everybody was trying to obtain the
- 1736 limited personal protective equipment that was needed at
- 1737 the time.
- 1738 So, I recall as a general statement, that -- I
- 1739 mean, it was everybody. Hospitals and nursing homes
- 1740 trying to figure out how to implement something on a
- 1741 mass scale was -- you know, involved challenges that
- they had to work through.
- 1743 O. Do you recall whether any of those
- 1744 conversations involved questions of the March 25th
- 1745 guidance and admitting these patients?
- 1746 A. So, I don't have recollection of specific
- 1747 conversations.
- 1748 Q. Mm-hmm.
- 1749 A. Um, yeah.
- 1750 Q. And to the best of your recollection, are
- 1751 you aware of any nursing homes or hospitals transferring
- 1752 residents to nursing homes where the nursing home was
- not capable of adequately cohorting populations?

- 1754

 A. I don't recall my conversations at that

 1755

 time, so I don't -- you know, I do worry I'm not

 1756

 remembering everything.
- 1757 Generally, nursing homes, as well as everybody

 1758 else, were very careful, you know, to do things safely.

 1759 They wanted to do the right things because it affected

 1760 their other residents and their staff.
- So, I recall a lot of conversations about how
 to do X in a certain way and we would give our best
 recommendation. That's my general recollection.

1765

- Q. Did you ever work with any hospitals and nursing homes on readmissions and admissions of COVID patients back into the nursing homes?
- 1767 Α. Um, you know, we would be used as technical experts. So sometimes, especially I recall clinically, 1768 there was a lot of, you know, if somebody gets COVID, 1769 even if they are not infectious, they could still have 1770 1771 clinical issues that are ongoing. So still need breathing treatments, how do you give that safely, you 1772 know, there is a lot of talk about nebulizer safety 1773 during this. 1774
- 1775 So, I recall a lot of discussions that were

 1776 very clinical about readmission of patients and how best

 1777 to care for them. You know, what medications they were

 1778 on, and also, if somebody needed dialysis, that was

- 1779 always a logistical challenge.
- 1780 Everybody worked through it. It was actually
- 1781 amazing what was done to keep dialysis patients on
- 1782 dialysis. But a lot of that sort of -- that type of
- 1783 discussion.
- 1784 Q. Do you have an idea of who at the department
- of health would have been involved in overseeing
- 1786 admissions and readmissions?
- 1787 A. I don't even know if -- the answer is, I
- 1788 don't know. I don't know if there is anyone that
- 1789 oversees. I just know we have a regulatory -- had a
- 1790 regulatory unit that could look into things.
- I believe but could be wrong that the majority
- of admission and readmission discussions just happened
- between a hospital and a nursing home. It wasn't as if
- 1794 though every admission or readmission ever for every
- disease was necessarily -- okay, but that could be
- 1796 wrong. There could be certain diseases.
- 1797 MR. BENZINE: When we talked to Dr. Zucker,
- 1798 he said that the Department of Health did some
- of the transfers. Do you know anything about
- 1800 that?
- 1801 The WITNESS: I don't have a recollection,
- but I know everybody was trying to figure out
- 1803 how to help individual patients at some time.

- 1804 If there were tricky situations, I'm sure that,

 1805 you know, everyone was trying to do as much as

 1806 they could do for any specific person.
- 1807 Q. Do you recall the request to transfer
 1808 patients to the Javits Center and USS Comfort?
- A. Again, being in the communicable disease

 1810 group, we weren't really involved. I have a general

 1811 memory of the Javits Center situation, but that was not

 1812 handled by my group.
- 1813 Q. All right. I would like to introduce what

 1814 will be marked as Majority Exhibit 4. (Handing).
- 1815 A. (Perusing).
- 1816 Ο. This is an e-mail thread that was forwarded to you by Danielle Green from Stephanie Benton on 1817 June 7, 2020. Ms. Benton attaches an article, seemingly 1818 1819 critical of the March 25th order, and writes, and I'll 1820 read this into the record, "This is going to be the great debacle in history books. The longer it lasts, 1821 the harder to correct. We have a better argument than 1822 we made. Get a report on the facts because this legacy 1823 1824 will overwhelm any positive accomplishment. Also, how many COVID people were returned to the nursing homes in 1825 that period, how many nursing periods? Don't you see 1826 how bad this is or do we admit error and give up?" 1827
- 1828 First, who is Ms. Benton?

- 1829 A. I don't know her exact role, but I know she worked at the chamber.
- 1831 Q. And do you recall this e-mail thread?
- 1832 A. I can't explain to you the volume of e-mails
 1833 that came through and this is not one that I remember,
 1834 but I'm reading it now.
- 1835 Q. When Dr. Zucker testified in November, his

 1836 impression of this -- or his belief was that this e-mail

 1837 was actually drafted by the former governor. Did he

 1838 ever -- are you -- well, first, I guess, do you have

 1839 any reason to believe that is the case?
- 1840

 A. I'm looking at what you are looking at, so

 1841 the only thing I can say is that it was sent from

 1842 someone with an exec.ny.gov e-mail, the part that you

 1843 read, I should say. But I don't know enough about the

 1844 communication patterns to be able to say anything

 1845 definitively.
- 1846 Q. So further up the page secretary DeRosa

 1847 writes, "Linda, Tracy, please set a call with this group

 1848 for today after the press conference goes through."
- Do you recall a phone call taking place?
- 1850 A. There were so many calls. Um, so I don't
 1851 know if this was one that took place or not.
- 1852 Q. So the third sentence, "Get a report on the facts because this legacy will overwhelm any positive

1854 accomplishment."

I presume that that sentence is referring to
the July 6th report. Do you have any reason to believe

that's the case?

1858 MR. BACH: This is before?

1859 MR. EMMER: Yeah, June 7th.

1860 EXAMINATION BY

1861 MR. BENZINE:

1862 Q. I'm recalling Dr. Zucker's interview. He

1863 talked about that maybe at this point, you guys being

1864 the Department of Health, were thinking about writing a

1865 more scientific article about the nursing home

1866 situation. And you have kind of touched on the data use

1867 in a scientific article versus a more political one.

But at this point had you started drafting or putting together any kind of scientific publication on nursing home data?

1871

A. So, the -- I recall it was around June 11th

1872

or 12th, 2020 that we shared a draft of a scientific

1873

article. So that had to have been going on for a few

1874

days.

I don't remember what date we started, if it

was by June 7th, but in our minds we had been talking

for a while about different ways we could get things

out.

1879	I would presume at this time you can't
1880	throw together analyses overnight for a whole paper.
1881	So, in my mind, we were working but we had

So, in my mind, we were working but we had not shared because we wanted things to be right. So, if you are asking about June 7th, we likely hadn't shared with you our draft yet, but maybe had mentioned it. I do not recall the exact sequence.

Q. Dr. Zucker testified that it was around this point or maybe a little bit after that that scientific article changed into what became the July 6th report, a more layman's term report.

Do you recall or have any memory of kind of when the flip took place?

- A. So, I don't know what was going on in other groups. I believe it was not until around the 19-22nd that I saw anything that said sort of -- had the format of the report that got put out.
- 1896 Q. Had you done any drafting or editing in
 1897 between that or did you send things up --

1898 MR. BACH: I think you are making

1899 presumptions about the facts based on what

1900 Dr. Zucker said. I think you need to lay more

1901 foundation.

1902 MR. BENZINE: I was just going off of a scientific publication that had been started

1904	around this time, 10th, 11th, 12th, something
1905	like that, of June 2020. Four weeks after that,
1906	the DOH report came out and it was not a
1907	scientific publication.
1908	And I'm wondering when, from your
1909	perspective, when the change happened. When did
1910	it go from we are no longer going to publish
1911	MR. BACH: You are saying there is an it.
1912	A singular document that changed. And I think
1913	you should back up and pose more general
1914	questions because I think she is going to have a
1915	hard time accepting the premises of your
1916	questions.
1917	Q. DOH never published a scientific publication
1918	on nursing home data, correct?
1919	A. I mean it has been years and I don't
1920	actually know.
1921	Q. In your time there, what you were drafting
1922	as a theoretical scientific publication, that version
1923	was never published?
1924	A. I do not believe that was ever published.
1925	Q. And you sent that version to the Executive
1926	Chamber sometime early-mid June?

the paper. I believe it was around the 11th of June.

- 1929 Q. Okay. And when you then saw another version
- of a nursing home paper, not necessarily yours but
- 1931 another nursing home paper, was late June, and it was
- 1932 formatted like you just said, more on the lines of the
- 1933 July 6th report than your original publication?
- 1934 A. So, I only saw certain things.
- 1935 Q. Mm-hmm.
- 1936 A. There was an interim period where we were
- 1937 part of a working group where there were other analyses
- 1938 being done. So, there was a lot that was being sent
- **1939** around.
- 1940 So, this is where I'm not sure of the premise.
- 1941 It's -- there were processes and there were many
- 1942 different types of processes going on. I just know that
- the scientific paper that we wrote wasn't published as
- we drafted.
- 1945 Q. So, somewhere between after Dr. Zucker got
- it, it just kind of, from your perspective, just went
- **1947** away?
- 1948 A. If I recall, he was still pushing for that
- 1949 paper to be published. At one point, he said he had
- 1950 reached out to editors of two fairly notable journals.
- 1951 So, I don't think in our minds, it went away. Um, or we
- 1952 were hoping -- you know, we -- yeah.
- 1953 Q. Hopeful that it would still be published?

- 1954 A. Yes. Yeah, we were hopeful it would be 1955 published.
- 1956 Q. If the answer is you don't know, that's

 1957 entirely fine. I'm trying to figure out when the find

 1958 of, like, you first saw the beginnings of the July 6th

 1959 report versus a more scientific paper?
- 1960 A. So, at the beginning of June, I was asked to
 1961 listen in on a call that had a bigger group and that had
 1962 another analyses that were not being done by my
 1963 department of health colleagues.

1964 And so, there were just different processes

1965 and at one point, I was asked to summarize everything.

1966 My understanding was it was for talking points.

So, there was a time where I took some of the key findings from our report and just put basically all in one document, attributing to whoever did it, some of what the other findings were, making it clear who did the report.

So, there was that and sort of an interim time period. And then I think it was mid to end June that there was a document that had a, what I would -- I'm using the term report structure, but I want to be clear that sometimes there were scientific articles can use the title report. MMWR has the word report titled in the journal.

- 1979 But for the purposes of this discussion and
 1980 what was published, I'll say that something that was a
 1981 different format and had different -- some different
- 1982 content, but some of the same content.
- 1983 Q. And the other group during the analysis, was 1984 that McKinsey?
- 1985 A. That was my understanding, that was one of
 1986 the groups that was doing the analyses, but I don't know
 1987 if there were others being done internally.
- 1988 Q. Okay.
- 1989 EXAMINATION BY
- 1990 MR. EMMER:
- 1991 Q. So, I would like to introduce Majority
- 1992 Exhibit 5. (Handing).
- 1993 A. (Perusing).
- 1994 Q. This is an article entitled, "New York

 1995 didn't count nursing home coronavirus victims for weeks

 1996 then suddenly rushed for a death toll" published on May

 1997 19, 2020.
- 1998 On the bottom of the second page -- actually,

 1999 I'll give you a minute to review it.
- 2000 A. (Perusing). Okay.
- 2001 Q. So, on the bottom of the second page, it
 2002 states, "On Wednesday, April 15th, operators of New York
 2003 State's 613 nursing homes received an urgent e-mail from

- the state health department they were ordered to dial
- into a mandatory call with Dr. Howard Zucker."
- 2006 Were you on that call or do you recall?
- 2007 A. I don't remember at all.
- Q. Okay. So, this time period, April of 2020,
- were you involved in any decisions related to how
- 2010 nursing home fatalities would be counted?
- 2011 A. I don't recall that being part of what I
- 2012 did.
- 2013 Q. So, I would like to turn to Minority Exhibit
- B, the controller's report.
- 2015 A. (Witness complies.)
- MR. BENZINE: Yeah.
- 2017 Q. So, I would like to direct your attention to
- 2018 page 12 of that report -- excuse me, 13. We are looking
- at the first paragraph, first sentence, and I'll read it
- 2020 out loud for the record.
- 2021 It stays, "When the department first started
- 2022 collecting information about deaths in nursing homes,
- 2023 data accuracy was poor; however, even as data accuracy
- improved, the department consistently underreported the
- 2025 total number of nursing home deaths to the public until
- 2026 February 4, 2021. Throughout the pandemic, the
- department used alternating methodologies to account for
- 2028 nursing home deaths with varying levels of accuracy and

- 2029 completeness."
- 2030 Dr. Adams, do you agree with the controller's
- 2031 characterization that nursing home deaths were
- undercounted?
- 2033 A. I mean I'll say what I said before, which is
- 2034 I just wasn't really involved in the day-to-day counting
- and collection throughout. What I always thought was
- 2036 important because that you would say what the
- 2037 limitations of the data were being transparent about it.
- 2038 So I don't think I'm the best person to ask.
- You know, to agree, we had a whole regulatory
- 2040 unit and data quality unit. But I wasn't involved in
- that reporting.
- MR. BENZINE: Were you interviewed at all
- for the comptroller's investigation?
- 2044 THE WITNESS: I don't think I -- not that
- I can recall.
- 2046 Q. Dr. Adams, I want to direct your attention
- just quickly to that same page, and it would be the
- second paragraph, we are looking at the sentence, and
- I'll read it out loud for the record, the second
- sentence.
- 2051 "For the next 18-day period, April 15 to May
- 2052 2, 2020, the department added reporting of presumed
- 2053 deaths by county as well as both confirmed and presumed

deaths by individual facility, but only if the facility

had five or more deaths, thus over 50 percent of the

deaths that should have been reported at the end of that

period were not, an error rate of over 40 percent."

Dr. Adams, do you have any idea why death tolls at facilities like death totals at facilities with less than five deaths weren't included in those totals?

A. I do. It's a common practice in some types of reporting to be very careful about patient identifiable data and when you get to small numbers, if somebody knew that somebody died at a facility, but didn't know of what, and then a report comes out saying there was one person who died of this disease at this time, you are basically sharing with the public their cause of death. And that patient confidentiality is a big thing.

If you look at many articles that have CDC reportings, you'll see a lot of times what their minimum number is. They will only report -- you know, if you get down to a granular situation where you have other identifiable information such as where someone lives -- this is literally the address of where they are.

So, I think you should verify what I'm saying with another group, but I am fairly certain that that's

the reason.

2080 At the time, there was a lot of desire to know individual names of patients, and I think there were big issues with privacy that came out with some of the first cases in New York being identified publicly.

So, I would just say that I think there is a general practice that happens. And I would believe -- I can't verify -- but I believe that this was plated that five is a typical number that tends to be used.

2088 EXAMINATION BY

2089 MR. BENZINE:

2090 Q. They would still be counted, correct, just 2091 not reported?

2092 A. Yes.

2093 Q. Okay.

2094 A. The department --

2095 Q. The interim numbers would say five people died at this facility --

A. I'm talking generally collecting for any
disease, you generally would collect the data and then
have to be careful, especially if it is one person with
a rare disease or something that people are really
prying, you have to be really careful not to break
confidentiality.

Q. With some of the other county issues, it

comes across that you just didn't -- it looks like we
just didn't count those, but if five people died in a
facility, it just wouldn't be reported, is that --

A. I can't say to the process that was used here because I honestly wasn't a part of it, but I would say, yeah, general practice, you would either describe the limitations and that would be a limitation so people who would read would know that, hey, we are giving you this data so there is a limitation for a data, so that is one way to deal with it.

O. Mm-hmm?

And then another way to deal with it is to 2115 2116 aggregate it to a bigger level. Maybe you don't include it for a specific nursing home but maybe you have 2117 another chart where you talk about a whole region, 2118 right? And hope that nobody -- you then wouldn't have 2119 the home address associated with it. There's this 2120 general feeling that once you have a certain amount of 2121 data around, there is a certain number where people can 2122 figure out who it is. 2123

2124 EXAMINATION BY

2125 MR. EMMER:

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2114

Q. So still on the controller report, the very next sentence reads, "Subsequently for May 3, 2020 to February 3, 2021 the department excluded deaths that

- occurred at other locations and separated confirmed and presumed deaths failing to report about 30 percent of the COVID-19 nursing home deaths at the end of that period, a 35 percent error rate."
- Do you know what would have necessitated that change?
- 2135 A. Sorry, what change are you referring to?
- 2136 Q. To exclude deaths that would have occurred at other locations?
- 2138 MR. BACH: Outside of facilities?
- 2139 MR. EMMER: Outside of nursing homes,
- 2140 correct.
- 2141 I wasn't really involved in the verification 2142 of data, I think as I said before, generally that did turn out to be a harder -- it's just a harder thing to 2143 2144 collect, right? Because you need wherever the person 2145 died, you need that place to identify the person as a nursing home resident and to accurately report back. 2146 And so, you know, people -- they don't always stay at 2147 2148 one place.
- So, my general sense was that it was a challenge, but I don't know the answer to your specific question if that was a reason.
- Q. Do you know who at DOH would have been involved in those type of decisions?

- 2154 A. I don't know what I don't know or wasn't
- included in. Um, so, I think maybe other people could
- give you a better sense.
- 2157 Q. So, in August of 2020, Dr. Zucker testified
- 2158 to the U.S. state assembly. When asked about nursing
- 2159 home data, he remarked, "I know that you want that
- 2160 number and I wish I could give you that number today. I
- need to be sure it is absolutely accurate." He also
- 2162 declined to provide a ballpark figure.
- Do you recall Dr. Zucker testifying in August
- **2164** of 2020?
- 2165 A. I do.
- 2166 Q. And do you recall what reason the Department
- of Health would have had to not provide those numbers
- that the assembly was asking for?
- 2169 A. I don't recall what he said.
- 2170 EXAMINATION BY
- 2171 MR. BENZINE:
- Q. Did you help prepare Dr. Zucker's testimony?
- 2173 A. I answered whatever factual questions were
- 2174 needed for it.
- 2175 Q. Did he ask during the preparation about
- 2176 total death numbers in nursing homes?
- 2177 A. I truly don't remember the specifics of what
- 2178 he asked.

- 2179 EXAMINATION BY
- 2180 MR. EMMER:
- 2181 Q. So, I would like to introduce what will be
- 2182 marked as Majority Exhibit 6. (Handing).
- 2183 A. (Perusing).
- 2184 Q. So, this is the impeachment investigation
- 2185 report to judiciary committee chairs Charles Levine and
- the New York State Assembly Judiciary Committee.
- I believe we discussed this at the beginning
- of our questioning, but do you recall or were you
- investigated or were you interviewed for this or during
- the New York State Assembly Judiciary Committee's
- 2191 investigation?
- MR. BACH: Well, let's clarify the question
- so she can answer it cleanly. I believe you are
- 2194 simply asking when she was interviewed?
- 2195 MR. EMMER: Yes.
- 2196 MR. BACH: Yeah, I don't believe she was
- investigated.
- 2198 THE WITNESS: I was not.
- 2199 MR. BACH: You were not investigated but
- 2200 were you interviewed by Davis Polk?
- 2201 THE WITNESS: Can I consult with my
- 2202 attorney?
- 2203 MR. EMMER: Yes.

MR. BENZINE: We can go off the record.

2205 (Whereupon, an off-the-record discussion

was held.)

MR. BENZINE: We can go back on the record.

2208 THE WITNESS: I would like to say that I

was interviewed by Davis Polk.

2210 EXAMINATION BY

2211 MR. EMMER:

Q. So, I want to direct your attention now to

page 41. We are looking at subsection G, paragraph 3.

2214 And I'll read it into the record.

2215 It states, "Around August, 2020 the same

senior DOH official also prepared a letter to the

2217 members of the legislature reporting the full nursing

2218 home death total and provided it to the executive

chamber for approval. To the senior DOH official's

2220 knowledge, the executive chamber never authorized

releasing the letter. A task force also revised

releasing the full dataset at this time, but the

2223 executive chamber did not do so. The task member

2224 believed that it was because the Executive Chamber

2225 wanted to audit the data further."

Dr. Adams, were you the senior DOH official

that recommended releasing the numbers?

2228 A. I don't know if I'm the one they are

- referring to. I just -- without a name, I don't know
- who that is.
- 2231 Q. Yeah.
- 2232 EXAMINATION BY
- 2233 MR. BENZINE:
- Q. Did you ever prepare a letter to the
- legislator reporting the full numbers?
- 2236 A. I don't recall myself preparing a full
- letter.
- 2238 Q. Did you facilitate the preparation of the
- 2239 letter? It sounds like there is something that maybe --
- 2240 A. I just don't remember. I know if I was
- asked any technical specific questions, I'm sure I would
- have. I truly don't remember.
- Q. That's fine. I was just trying to jog it.
- It sounded like there was something there.
- 2245 A. Yeah, I don't remember.
- 2246 EXAMINATION BY
- 2247 MR. EMMER:
- 2248 Q. You said that you may have been referred to
- 2249 under this report as a senior DOH official. Is it --
- 2250 A. I just don't know.
- Q. Okay. But were you recommending that the
- numbers be released in August of 2020?
- 2253 A. My recollection is I always thought the data

- would be most useful, the more data that was released.
- 2255 I think that was an early position I took.
- 2256 And so, I don't know if specifically, if
- anything refers to anything I said, but I would say
- 2258 generally, as I just said, I thought that -- you know,
- 2259 different people had different views on what would be
- helpful, and I felt that it would be helpful to other
- physicians.
- 2262 Q. Do you recall the individuals who had views
- contrary to yours that the data should not be released?
- 2264 A. Um, I think as it says here, there were
- 2265 individuals that may have thought that more auditing
- 2266 needed to be done. It was a very difficult dataset, as
- I think we learned. So, I think there were a variety of
- views, but I was more technical.
- 2269 MR. BENZINE: Okay.
- 2270 Q. My final question for this hour is, do you
- 2271 know who the task force member that supported releasing
- the data, do you know who that is?
- 2273 A. I don't know who they are directly referring
- to. I mean, this is so vaque.
- 2275 MR. BENZINE: Yes. Are you good?
- MR. EMMER: (Nodding.)
- MR. BENZINE: We can go off the record.
- 2278 (Whereupon, an off-the-record discussion

```
2279
                  was held.)
2280
                                   We can go back on the record.
2281
       EXAMINATION BY
2282
2283
                      Dr. Adams, when we left off, we were talking
2284
          about the July 6th report that was released by DOH. So
          I'm just going to let us look at that and dive right in.
2285
2286
               Α.
                      Mm-hmm.
2287
               Q.
                      (Handing).
2288
               Α.
                      (Perusing).
                      So as I said, this is Minority Exhibit H.
2289
               Q.
          And this is the July 6th Department of Health report
2290
2291
          entitled "factors associated with nursing homes and
2292
          fatalities in New York State during the COVID-19 global
         health crisis."
2293
                    Are you familiar with this report?
2294
                      I am.
2295
               Α.
2296
                      And this was the first in-depth analysis of
          nursing home data publicly released by DOH, right?
2297
2298
               Α.
                      I don't know.
                      Okay. Well, we were talking with my
2299
          colleague, about PDFs that were data lists.
2300
          They were charts that just listed a bunch of data.
2301
                      Okay.
```

Is that correct?

2302

2303

Α.

Q.

- 2304 A. You know, I honestly don't know what was
- released.
- 2306 Q. Okay.
- 2307 A. Um --
- 2308 O. Prior to this?
- 2309 A. Yeah, I don't know.
- 2310 O. That's fine.
- You mentioned that you had been working on a
- 2312 scientific report or article. This is not that
- 2313 scientific report or article that you were working on,
- 2314 right?
- 2315 A. No.
- 2316 Q. But it's similar in the data that it's
- reporting, just not in as scientific of a way?
- 2318 A. I probably would characterize it
- 2319 differently.
- 2320 Q. Okay.
- 2321 A. For a scientific article, you have very
- specific sections. Do your analysis in a certain way
- and then you have to submit it for peer review and it
- has to be accepted and, you know, then published.
- 2325 Q. Sure.
- 2326 A. So, it's a whole process that involves an
- editorial board there. So, this, to my knowledge,
- 2328 didn't go through any journal -- scientific journal or

2329	editorial review board.
2330	Q. And I think
2331	MR. BACH: Can you repeat your question?
2332	(Whereupon, the referred to question was
2333	read back by the Reporter.)
2334	I believe she did answer that,
2335	but if there is additional information,
2336	please
2337	MR. BACH: Let me talk to her.
2338	We can go off the record.
2339	(Whereupon, an off-the-record discussion
2340	was held.)
2341	Back on the record.
2342	EXAMINATION BY
2343	
2344	A. This is not what was in the report that we
2345	said that so the draft scientific article
2346	contained certain information that we had put in and
2347	this contains different datasets.
2348	Q. Okay.
2349	A. That's probably the best way to say it, was
2350	that the dataset that we used for the analysis for the
2351	paper seemed to differ with the dataset that was used
2352	for this report.
2353	Q. Okay. And we'll take a look at some of the

- exact data that was included in the report, which may

 help you point to some of those differences.
- 2356

 But I do want to ask, the scientific report

 2357

 that you were working on, I assume multiple people at

 2358

 DOH were involved with that, not just you?
- A. Oh, definitely.
- Q. Okay. Can you explain just a little bit to us about the process that you all had working on that report?
- A. So first, there was a discussion about, you know, what would be helpful to put in a journal article in terms of sharing -- you know, trying to look at the data to see what we could learn so we could do things better and also help other jurisdictions who hadn't been hit with high numbers.
- 2370 And so then, we spoke with what dataset would
 2370 be best. And in doing that, looked at the limitations
 2371 of what would be included in that dataset. And then we
 2372 had statisticians who were able to help to determine the
 2373 best statistical methods.
- 2374 And then you get your results and then you
 2375 look to see if there are any specific items that might
 2376 not have been done correctly.
- There is an internal review process where you keep going over. And whatever the results are, you do

- 2379 that.
- So, there were drafts of this and I was in the
- field a lot so a lot of the colleagues were helping the
- 2382 drafting. This was a -- you know, there were multiple
- 2383 people working on it.
- Q. And just to be clear, those people you were
- working on that report with were all within DOH?
- 2386 A. Yes.
- 2387 Q. Okay. You mentioned that a draft was shared
- with Dr. Zucker on, I believe you thought, June 11th or
- **2389** 12th?
- 2390 A. Yes.
- Q. Do you know if that draft was shared with
- the Executive Chamber?
- 2393 A. I wasn't involved or didn't see e-mails, so
- 2394 I don't know what was or wasn't shared.
- 2395 Q. Okay. Looking at some of the data in this
- report, so looking at Exhibit H, let's turn to page 31.
- 2397 A. (Witness complies.)
- 2398 Q. So, this appears to be a chart of nursing
- 2399 home facilities, their cases and their deaths and their
- share of COVID deaths by state.
- 2401 A. (Perusing).
- 2402 Q. If you find New York alphabetically, it's on
- 2403 page -- actually, it's not alphabetically. It is in

- 2404 order of share of COVID deaths.
- So, New York ends up at number 46, which is on
- page 32, with a share of COVID deaths for nursing home
- 2407 facilities at 21 percent.
- 2408 Does that match with what you knew about the
- 2409 data at this time?
- 2410 A. I didn't know all the other state data
- 2411 because we aren't involved in that.
- Q. Just not even comparing to other states,
- just thinking about New York, was it accurate that
- 2414 21 percent of New York's COVID deaths were nursing home
- **2415** deaths?
- 2416 A. So, for any dataset, you have to know the
- time period that they are looking at the data that they
- 2418 have included and know how they are defining it.
- 2419 And I'm not -- I just don't remember what I
- 2420 thought and what this period of time is as you are
- 2421 putting it in front of me right now.
- Q. Okay. Would that be a number that would
- 2423 have been in the scientific report you were working on?
- 2424 A. Yes. In our draft -- well, I should
- rephrase. I'm not sure if this answers your question,
- but in our draft paper, we included the numbers of in
- and out of nursing home, deaths of nursing home
- 2428 residents.

2429	And described our limitations with that data,
2430	although I do think we did exclude a few nursing homes
2431	that were or made a note I don't recall because
2432	they were pediatric and there was a very
2433	different again, sometimes different age ranges can
2434	skew data.
2435	Q. Sure.

2436

A. For it to be meaningful, I think we did make

2437 some comment -- it has been a while since I have looked

2438 at the draft, multiple years -- but there was something

2439 where we had -- again, that limitation, but as always,

2440 we described that.

But again, I don't know this -- I can't recall the specifics of this data. And again, you choose what you present and then -- so I don't remember what the New York Times -- how they presented it.

Q. Sure.

MR. BACH: When you are asking her if she would include that number in her scientific report, are you asking her if she would have included the number 21 percent of her scientific report?

2451 Yes.

2452 MR. BACH: Or are you asking her whether she would focus on the question that's on the

- 2454 general?
- The question is about whether
- this 21 percent of deaths was an accurate
- reporting based on your understanding of the
- 2458 numbers at the time.
- 2459 A. I misunderstood your question, so I don't
- think I answered the part about the 21 percent.
- 2461 Q. Okay.
- 2462 A. I thought you were referring to another
- 2463 column.
- 2464 Q. I do -- I understand that remembering
- specific numbers four years later is difficult.
- 2466 A. Okay.
- Q. So, I'm not expecting you to respond with a
- 2468 specific number.
- 2469 A. I do -- if you are asking -- because again,
- 2470 I'm not sure I answered your -- the question I thought I
- 2471 answered is not I think the one you are now saying you
- 2472 posed.
- 2473 So, if you are asking if the share of COVID
- 2474 deaths, if that 21 percent was in our draft scientific
- 2475 article, I don't have a recollection of that, but I
- 2476 also -- of that data point, like the share -- but I have
- not read the draft in multiple years.
- Q. Okay. I'm going to introduce Minority

- 2479 Exhibit I.
- 2480 (Handing).
- Q. This is a New York Times article originally published March 4, 2021.
- I can give you a moment to look it over.
- 2484 A. (Perusing).
- 2485 Q. Are you familiar with either this specific article or what it is generally talking about?
- 2487 A. I am familiar with the article.
- Q. Okay. I just want to ask you about a couple of statements included in the article.
- The first, starting at the very beginning, 2490 2491 just a few words in, "A report written by state health officials had just landed and it had included a count of 2492 how many nursing home residents in New York had died in 2493 the pandemic. The number, more than 9,000 by that point 2494 2495 in June, was not public and the governor's most senior 2496 aides wanted to keep it that way. They rewrote the report to take it out according to interviews and 2497 documents reviewed by the New York Times." 2498
- Did you in the scientific report that you were writing, did you include that 9,000 number?
- A. Yes. We included a number of deaths that was more than 9,000.
- 2503 Q. On the second page of the article, the

2504	paragraph right above the picture block, it says, "The
2505	changes sought by the governor's aides fueled bitter
2506	exchanged with health officials working on the report.
2507	The conflict punctuated an already tense and devolving
2508	relationship between Mr. Cuomo and his health
2509	department."
2510	Based on your understanding, do you have any
2511	knowledge of what that statement is referring to?
2512	A. I don't know what part of the statement I
2513	mean, there are multiple clauses.
2514	Q. Okay. Can we take them one by one?
2515	A. Yes.
2516	Q. The first is that the governor sought
2517	changes to the report.
2518	Do you have knowledge of that?
2519	A. I wasn't involved with conversations at that
2520	time that would involved to my
2521	recollection that involved what he might have said.
2522	Q. Okay.
2523	MR. BACH: What he might have said?
2524	THE WITNESS: The governor.

2525 Q. And then the second that we can look at is
2526 "the conflict punctuated an already intense and
2527 devolving relationship between Mr. Cuomo and his health
2528 department.

- Did you have knowledge of a tense relationship between the governor and the health department?
- 2531 A. I generally wasn't involved in conversations
 2532 at that level. And I just know that there were frequent
 2533 conversations about many issues.
- Q. Okay. When the article says there is a tense relationship between Mr. Cuomo and the health department, I don't think you would have necessarily had to have been a part of conversations to know.
- 2538 Was there a general sense within the
 2539 department of health that there were tensions with the
 2540 executive chamber?
- 2541 A. I'm just trying to remember back to that
 2542 time. I guess the best I can say is, I don't remember
 2543 right now what exactly at this point was happening.
- 2544 Q. Okay.
- A. At that level.
- 2546 EXAMINATION BY
- 2547 MR. LICHTMAN:
- 2548 Q. Did the conference call that you mentioned
 2549 in the Majority's first hour where you were involved
 2550 with the governor where he suggested the possibility of
 2551 terminating you indicate or suggest potential tension
 2552 between the governor and the department of health?
- 2553 A. I don't know.

- Q. Would you describe that conference call as tense at any point?
- 2556 A. I know I was tense.
- Q. Would you say that the governor was tense during that call?
- 2559 A. I think the governor was not happy, would be 2560 how I would characterize it.
- 2561 Q. Do you have a sense for why he was not happy
 2562 and if that had anything to do with his sentiment toward
 2563 the department of health?
- 2564 A. I don't know what his motivations were.
- 2565 Q. Okay.
- 2566 A. It was not described.
- 2567 Q. Okay.
- 2568 EXAMINATION BY
- 2569
- 2570 0. Looking at the third page, the third full paragraph, this one says, "the aides who were involved 2571 in changing the report included Melissa DeRosa, the 2572 2573 governor's top aide, Linda Lacewell, the head of the state's department of financial services, and Jim 2574 2575 Malatras, a former top advisor to Cuomo brought back to work on the pandemic. None had public health 2576 expertise." 2577
- 2578 First of all, are you familiar with who

- 2579 Melissa DeRosa, Linda Lacewell and Jim Malatras are?
- 2580 A. Yes.
- Q. And is it accurate to say none had public health expertise, to your knowledge?
- A. I don't know all their backgrounds, but I know they weren't working in the department of health.
- Q. Okay. And this paragraph here refers to changing the report, which in the first paragraph we looked at talked about the 9,000 number of deaths from nursing homes.
- As you said, that was included in your draft
 of a report, or of a scientific article. But according
 to this article, it was not included in the Exhibit H,
 the Department of Health report from July 6th that we
 were discussing.
- To your knowledge, is that correct that that 9,000 number of deaths was not included in this report?
- 2596 A. (Perusing). I don't recall that it was 2597 included in this report.
- 2598 Q. Thank you.
- Do you know personally if DeRosa, Lacewell and
 Malatras worked on this July 6th report?
- A. So I wasn't involved in any process

 sessions. So I don't have firsthand knowledge. What I

 would see would be a document that would be sent back

- with track changes or an e-mail string where it was sent by somebody.
- 2606 So I don't want to infer what somebody did but 2607 there were -- you know, that was the only context I had.
- Q. Were those three names included in the
 e-mail strings in the track changes that you saw on
 documents?
- 2611 A. I -- um, I know that there were e-mails that

 2612 involved some of those folks. I can't remember if all

 2613 three, but again, it has been a while.
- Q. Which names do you recall?
- A. I believe that Jim's name was on some of the
 e-mails. There were so many e-mails that were sent,
 it's hard to recall which the others were on what. I
 would need to probably see the documents again.
- Q. On the last page of this article on page 6
 in the middle of the page, there is a paragraph that
 says, "Dr. Eleanor Adams was the Health Department's
 lead on the report but her draft was substantially
 rewritten by Mr. Malatras."
- Is that in line with what you were just saying?
- 2626 Q.So, my understanding was that there were folks in
 2627 the chamber, and I don't know exactly who
 2628 did certain edits, but there was a document

that was sent back from the chamber, and if

I recall correctly, Mr. Malatras's name was

one of them on it for rewriting.

2632 EXAMINATION BY

2633

Q. Will you turn to page 7 on the July 6th report?

- 2636 A. (Witness complies.)
- Q. Second paragraph midway through starting

 with the sentence beginning with "further examination of

 fatalities in our neighboring states," continuing down

 until the end of that paragraph, do you recall if that

 section was penned by Mr. Malatras?
- A. I so I don't know who wrote the different sections of the report that came out and differentiating that from a scientific paper. So, I don't -- this is not a dataset that I was familiar with, although I'm sure, like everybody else, I could see it refers to an appendix. So --
- Q. So, did you write that section?
- A. I don't recall writing that section. I

 would want to be a hundred percent certain by looking at

 the draft, but we generally, if I recall, were just

 looking at our nursing homes in New York State. We

 didn't -- I don't have any recollection of including

information of other states.

Sometimes I might have been asked in an

e-mail, can you see if you can find a specific piece of

data, and that's what I'm not sure if I was ever asked.

But I know for our -- I would want to refresh my memory, but for our draft, I think we were focusing on our dataset, which was New York State specific.

2661 Q. Okay.

EXAMINATION BY

- Q. I think looking at the data actually in this
 paragraph gives us a good example. Here, it reports New
 York's fatalities as 6,432. Which to me, it seems like
 it is a lower number than the 9,000 that you would have
 been including in your scientific report, correct?
 - A. So, I'm trying to parse out quickly as you are putting this in front of me, what this dataset was for the time, because again, time plays a role. I do know in our draft report we had a number that was more than 9,000 fatalities.

But again, I there's a time issue here, right?

So different datasets can still both be accurate. It's just what you describe, right? If I look at something from January only, it will have one number. If I look at something January to February and define it as a

- different variable, that number can be vastly different and also it can be correct.
- I'm just giving that as a thing. So, I think it is more of a question of deciding what to include.
- And again, reasonable people can disagree, but

 we in our scientific paper want probably the most

 inclusiveness and transparency and use -- I think we

 have multiple drafts of our draft scientific report.
- So, if it was a week later and we have better

 data, we would update it by the week. We were trying to

 keep it current.
- 2690 So, you know, this doesn't look familiar to
 2691 me, but I don't know -- I can't recall.
- Q. Okay. This article, public reporting in general, have talked about the fact, and we have the report, but the New York attorney general conducted an investigation and issued a report titled nursing home response to COVID-19 pandemic, and that was released on January 28, 2021.
- 2698 Are you familiar with that attorney general investigation and report?
- 2700 A. I do have a recollection in general of that report.
- Q. And just to be clear, to your knowledge in New York, the Attorney General does not report to the

- 2704 Governor, correct? It is a separately elected position?
- 2705 A. (No response.)
- 2706 Q. I mean it is correct but are you aware of 2707 that?
- 2708 A. That is my understanding, but I don't want to be -- I'm not an expert in that field.
- Q. But it seems logical that the position being separately elected gives that attorney general independence, correct?
- 2713 A. Again, that is my perception, but I am not a legal or political expert of how -- of that area.
- 2715 Q. Sure.
- And as I said, that report was issued on

 January 28, 2021. And one of its findings was that

 discrepancies were made over the number of the New York

 nursing home residents who died from COVID-19. Data

 obtained by OAG shows that DOH publicized data vastly

 undercounted these deaths.

The report recommended that DOH ensured public reporting by each nursing home and the number of COVID-19 deaths of residents occurring at the facility and those that occur during or after hospitalization of the residents in a manner that avoids creating a double counting of resident deaths at hospitals in reported state COVID-19 deaths statistics.

- 2730 On February 11, 2021, so a little less than

 2730 two weeks after this report, the New York Department of

 2731 Health released an updated version of the July 6, 2020

 2732 report.
- Do you know, was the DOH report update on February 11th released in response to this attorney general report?
- 2736 A. I don't know the sequence of events. That

 2737 was not my department, so I don't know the why.
- Q. Okay. Did that updated report on
 February 11, 2021 contain complete nursing home data,
 both in nursing home deaths, outside facility deaths, to
 be more accurate?
- 2742 A. I don't remember what was actually in it.
- 2744 recommendation for more comprehensive data to be more
 2745 accurate, that sounds to me to be in line with what you
 2746 wanted in your original report, to have the most
 2747 accurate data to be as transparent as possible. Was
 2748 that your goal when writing your report, to put out the
 2749 most data possible to give the public full information?
- 2750

 A. So, the goal of our group, and my goal

 2751 specifically, was to look at the data to see if we could

 2752 find any patterns that would be helpful to stop

 2753 transmission.

2754 So, were we missing something? You know, was
2755 transmission happening more in some way that we had
2756 overlooked and therefore we needed to intervene more?
2757 So, there was a very practical thought.

The other part was that we were the first very big city in the U.S. to get hit with a number of cases that we had, and so before other large cities in the U.S. dealt with it, we wanted to share what we could.

And so, in order to do that, you want -- we thought it would be most helpful to share the dataset we selected, which included individuals from a nursing home who might not have died there, thinking that, you know, that would be helpful for them to be able to see.

But of course, we wanted to explain even the limitation of that, right? We only had the data that was provided to us, and there was -- you know, there were notes to be made about the data being verified, right? You are just always transparent about what you had.

So, in the draft and, you know, in subsequent talks, I did consistently argue for the dataset to be used to be the one that had the number of deaths in and out of the nursing home of nursing home residents.

Q. And looking back at the report that was released on July 6th, did you see this version of the

2779	report before it was so in this final version, but
2780	not yet released, were you given that document?
2781	MR. BACH: Can you rephrase that?
2782	Yes.
2783	Q. I know you were working on drafts for a
2784	report, so I'm not talking about those.
2785	This final New York State Department of Health
2786	report that was released on July 6th, did you see this
2787	version before July 6th?
2788	MR. BACH: Did she see the final version
2789	before it went out?
2790	Correct.
2791	A. I don't know if I did. There were versions
2792	along the way that I saw. I truly don't know if I saw
2793	the last version that went out or if there were edits
2794	that were made in between.
2795	Q. Okay. But you were aware that there was a
2796	report being worked on that was different than the
2797	report you had been working on?
2798	A. Yes.
2799	Q. Okay.
2800	We can go off the record for a
2801	moment.
2802	(Whereupon, an off-the-record discussion
2803	was held.)

2804 Back on the record.

2805 EXAMINATION BY

- Q. Thinking about the role that others outside

 of DOH had in DOH reports -- so not this report

 specifically but other ones that were released, are you

 aware of whether Mr. Malatras was involved in other DOH

 reports that went out?
- A. I don't think I know of any other reports
 that went out, so I'm -- I think you would have to tell
 me what report you are referring to because off the top
 of my head, I'm not sure, given the premise, that I can
 answer that.
- Q. Understandable. My question really was, did
 it seem to you that there was out of the ordinary
 involvement of Executive Chamber in this DOH report?
- A. I will say that I just wasn't familiar with reports in general. I had only worked through the scientific process. So, for me, this was a new situation. So, I don't know what would normally have been done. I also know that it was a pandemic and there was a lot of different roles.
- 2826 So, this was my own -- this was, I think, the
 2827 first experience I had with a report.
- 2828 EXAMINATION BY

2829 MR. LICHTMAN:

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- Q. Is it reasonable to believe that in the

 course of your tenure with New York State, you were not

 just involved with response to the COVID-19 pandemic but

 you were also involved in efforts to address other types

 of public health crises or outbreaks or epidemics?
 - A. Part of my job responsibilities involved dealing with reportable diseases and that included clusters or outbreaks of those reportable diseases.
- Q. And when you were dealing with those types
 of outbreaks and interim reports that ensued from them,
 did you observe that individuals -- let's just say Jim
 Malatras. Was he as integrally involved in the process
 of those reports that were produced in response to those
 outbreaks?
 - A. I only know what I know, but we would draft a scientific paper of the sort and it would go up the chain. I didn't tend to get questions or responses back at the chamber level.
- Q. Okay. And so just to confirm for other

 outbreaks, for other reports that you would send up for

 other communicable diseases, Jim Malatras, individuals

 in the chamber, would not be providing you line edits in

 return; is that correct?
- 2853 A. So first of all, we didn't send reports up.

- Like, I only worked on what I would say would be scientific papers.
- 2856 Q. Okay.
- 2857 A. So, I just want to make that statement.
- 2858 Q. Fair.
- A. Again, it could be published in a journal and people could colloquially talk about them in reports, so I don't want to say that word was never used.
- 2863 Q. Okay.
- A. But it wasn't a frequent -- that frequent of
 an occurrence for us to publish. And when we did, the
 process was that we would send it and we likely did get
 edits back.
- I can't remember in general, but my
 recollection was that it was a smaller internal group.
- 2870 Q. To confirm, you said you did not receive it 2871 back?
- 2872 A. No. Sometimes, we would.
- 2873 MR. BACH: Did you get edits from the

 2874 chamber on scientific papers that you worked on

 2875 at the DOH?
- THE WITNESS: No, not usually.
- Q. Did it strike you as unusual that Jim
 Malatras, given his background, which I think we

established earlier was not particularly relevant to

public health or the practice of public health, was

providing you line level feedback on material that you

2883 MR. BACH: I think your question -- you are all assuming that he is long line editing her report.

2886 MR. LICHTMAN: Okay.

were sending up?

2882

MR. BACH: That is not what is happening.

2888 MR. LICHTMAN: Okay.

MR. BACH: That is not what is happening.

Q. Is receiving line edits from Jim Malatras on public health product that is being sent to the chamber, did that strike you as unusual or atypical based on the time that you had spent with New York State and your experience prior to the COVID-19 pandemic?

2895 A. So, I don't think we got feedback on the scientific report.

2897 Q. Right.

2898 A. We might have gotten internal feedback from the Department of Health.

2900 Q. Okay.

2901 A. I can't recall the exact back and forth.

2902 For the report, again, I wasn't familiar with what the

2903 usual report process was because it wasn't what we wrote

- 2904 typically. So, this was new to me.
- 2905 So, if you are asking me what was usually
- done, this, I would say, was a new process for me of how
- 2907 it went.
- 2908 Q. Okay.
- 2909 EXAMINATION BY
- 2910
- 2911 Q. I just want to clarify that you are saying
- this July 6, 2020 report and the scientific article you
- were working on are two separate documents?
- 2914 A. I view them as two parallel processes.
- There are sentences that are similar, but when you look
- 2916 at the total product, when you do a scientific paper,
- 2917 you pick your dataset, you define it and we did that in
- 2918 a way where I was familiar with the dataset.
- I knew what was going on. I described before
- 2920 there was an interim time where I was asked to put
- 2921 together information, which I thought were from talking
- **2922** points.
- 2923 So, I brought those and brought in
- 2924 some -- other analyses that were done outside the
- 2925 department of health so that -- because this is what I
- 2926 was asked to do -- so that there was a general summary
- of what different individuals and groups had put
- 2928 together. And then there was this report.

- 2929 So, I considered it to be a parallel process,

 2930 which, you know, in my mind was a different document

 2931 even though there could be some similarities in terms of
- the general concepts.

it's different.

- But when you are using a different dataset, in my mind, it's different, right? You use a dataset, you define it, and that's what it is. If you are using a different dataset for something, even if it structurally has some similarities or same or different conclusions,
- 2939 So, in my mind, I would characterize them as 2940 two separate processes that were cooccurring. So of
- course there was some general conversation about both.
- 2942 EXAMINATION BY

- 2943 MR. LICHTMAN:
- Q. But of course, recognizing you were working with different datasets, different datasets can reveal consistent trends; is that correct?
- 2947 MR. BACH: Just asking as a mathematical -2948 MR. LICHTMAN: Conceptually, yes.
- 2949 A. Conceptually, yes. You can have any
 2950 conclusion from any dataset be possible. So of course,
 2951 similar is one possibility.
- 2952 Q. So, then the caveat that you were working 2953 with two different datasets or the products for each

parallel process were derived from different datasets,

would you say that the conclusions that were drawn from

the parallel processes or the trends that were observed

in those parallel products consistent with one another

or inconsistent?

2960

A. I think that is a very general statement

2960

because if you read there is a lot of different

2961

conclusions that are drawn. Even, I should say,

2962

results, right? So, they are conclusions but we look at

2963

results and their different graphs.

The dataset that was used for this article was not shared with me. So, I, you know, don't know that I can say that much about the -- all the conclusions.

- Q. From an epidemiological perspective, from somebody trained in epidemiology, do you have a view from that lens about the findings in these products were epidemiologically consistent with each other?
- A. Would you be able to rephrase or say what you mean by epidemiologically consistent?
- Q. Sure. If we are looking at data points of
 an epidemiological background -- so prevalence,
 incidence, morbidity, mortality within that realm of
 data points, that school of thought, that school of
 scholarship, the practice of epidemiology, do you feel
 that the products that emerged from those parallel

- 2979 processes, with the caveat of course that these are from
- 2980 different datasets, ultimately arrived at
- 2981 epidemiological conclusions that were consistent with
- one another?
- 2983 And to the extent they were inconsistent, what
- 2984 would you attribute that to other than differences in
- the datasets?
- 2986 A. That is such a broad question. I think
- that's almost impossible to answer.
- 2988 Q. Why would you say it is impossible to
- 2989 answer?
- 2990 A. Because I don't have my report in front of
- me to go through and compare right now.
- 2992 Q. Okay.
- 2993 EXAMINATION BY
- 2994 :
- 2995 Q. What do you know about the dataset that was
- used in the July 6th report that was not the one that
- **2997** you used?
- 2998 A. I don't know, because I don't have the
- 2999 dataset.
- 3000 Q. How do you know it was a different dataset?
- 3001 Because it is just a different report or --
- 3002 A. Well, so I know the dataset we used and
- there was actually multiple because there were multiple

iterations of our draft.

So again, as time went by, we would add in the time that would go. And I knew our data scientists who pulled that for our group and who did the analysis. So I know that dataset.

The other datas that were presented and that

was used running some analysis that I thought were

similar got different numbers, so I made the assumption,

and also because they didn't ask for our dataset, I

don't know how it could be -- I should rephrase that.

I don't know if they asked for our dataset,

but I was told by our research scientist that they

hadn't seen the other dataset.

3017 EXAMINATION BY

3018 MR. LICHTMAN:

- 3019 Q. Did you at any point ask for their dataset?
- 3020 A. Our group did ask for their dataset.
- Q. Was it provided to you?
- A. My understanding was that as of some point in the beginning of July, it hadn't been shared with at least the folks I spoke with that were part of the group that was drafting our scientific paper.
- 3026 Q. Was a justification provided for not sharing the dataset with you and the team?
- 3028 A. I wasn't given a reason.

- Q. Did you ask for a reason?
- 3030 A. I don't recall.
- 3031 Q. Okay.
- 3032 EXAMINATION BY

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Q. Minority Exhibit J is an October 31, 2020

e-mail from the New York State Division of the budget to

an assortment of people from that division, chamber and

DOH, you among them. The e-mail was sent in advance of

a November 2, 2020 BCG COVID reports and analytics

steering committee meeting.

3040 I'll just let you take a look at it for a second.

- A. (Witness complies.)
- Q. We are only going to be looking at one or two pages, but if you would like, you can spend some time with it.
- 3046 A. (Perusing). Okay.
- 3047 Q. So, this is an invitation for meeting number 3048 2 in a series of three meetings and you are listed as 3049 one of the attendees.
- 3050 Do you generally recall attending these 3051 meetings?
- 3052 A. I have a vague recollection.
- Q. If you could turn to Bates 9367, there's a

3054 chart of sorts, details topic areas and the relevant

decision makers. And at the bottom, there is a box that

3056 lists individuals involved with "executive level

3057 decisions."

3058 Do you see that?

3059 A. I do.

3060 Q. First of all, in the context of what is at
3061 issue in these meetings, health data and reporting, what
3062 is an executive level decision?

A. I don't know.

Q. If you could now turn to page 9380.

A. (Witness complies.)

3066 Q. So, this page describes some external and
3067 internal dashboards and reports. At the bottom there is
3068 information about two separate daily reports related to
3069 nursing home fatalities or deaths.

3070 So, it's the second and third lines from the 3071 bottom. One is a nursing home and ACF fatality report.

Another is a nursing home and ACF death report.

3073 Do you generally recall that these reports existed?

3075 A. (Perusing). There was so much being sent
3076 around that I don't recall, like, the individual
3077 reports.

3078 Q. Okay.

I think that's all for me.

3080 Off the record.

3081 (Whereupon, an off-the-record discussion

3082 was held.)

3083 MR. EMMER: We'll go back on the record.

3084 EXAMINATION BY

3085 MR. EMMER:

3086 Q. So, Dr. Adams, we are going to stick with
3087 the July 6th report. I just want to ask you very
3088 quickly, do you recall the central conclusions of the
3089 report?

3090 A. I would have to remind myself of them.

Q. There's two that I want to focus on. The
first one being that the March 25th directive admitting
COVID positive patients was not the cause for nursing
home deaths.

Do you recall that one?

3096 A. That sounds familiar.

Q. Is the analysis that you and Dr. Zucker

worked on, did your analysis conclude the

same -- something similar to that?

3100 A. So, what I recall -- I would like to refresh
3101 my memory to be certain because I don't have the draft
3102 in front of me, but we were looking at the data in
3103 general and not a policy itself, if that makes sense.

It was more about the -- I would have to be reminded.

3105 Q. Okay.

3106 EXAMINATION BY

3107 MR. BENZINE:

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3108 Q. Would it have be more, like -- and I'm not a scientist, so excuse my going back to, like, eighth grade bio.

But like more of a bell curve of admissions

corresponding to deaths, or not looking at when a policy

was put into effect but looking at a -- you know, there

were this many deaths -- like the peak of deaths was

here versus valleys, that kind of analysis versus a

March 25th order came into place, there were X number of

deaths after and X number of deaths before?

- A. Yes, I recall it being more general and looking at trends over time and looking at possible factors, from staff being a part of it, and was also just a descriptive document. So, you would describe, here are the number of nursing homes we have, here are the number of deaths and here's the timeframe. And it did have graphs in it that showed the incidence over time, if I recall correctly.
- 3126 Q. And this isn't a question for you but more
 3127 for clarity of the record, and this is neither you nor
 3128 your counselor's fault. We do not have the draft. The

- 3129 governor's office has not given it to us, just for the
- 3130 record. So even if I wanted to give it to you, I could
- 3131 not give it to you.
- 3132 EXAMINATION BY
- 3133 MR. EMMER:
- 3134 Q. Another one of the conclusions of the
- July 6th report was that asymptomatic spread within the
- 3136 actual nursing home staff was a primary driver of
- 3137 transmission in the nursing homes.
- Is that another thing that you looked at when
- you were doing your own analysis?
- 3140 A. So, I wish I had our draft in front of me to
- see but that is -- what we did was, we took a dataset
- and we looked at different variables.
- 3143 Asymptomatic spread is not an input variable
- that you would have. I know this is getting technical,
- 3145 but you are then afterwards seeing the results. You do
- 3146 have a discussion section.
- 3147 So, I think we were looking at patterns of
- 3148 spread. I cannot recall specifically the details of
- 3149 what we had.
- 3150 Q. Thank you.
- 3151 So, I want to redirect your attention to
- Exhibit 6. This is the impeachment report, and I will
- read the specific section into the record. And I'm

looking at subsection 2, the first sentence.

3155 "As noted above --

MR. BACH: What page?

3157 MR. EMMER: 40.

3158 "As noted above, the evidence obtained in 3159 our investigation demonstrates that former Governor 3160 Cuomo directed officials from the Executive Chamber task 3161 force and DOH to prepare a report from DOH in order to 3162 combat criticism of the March 25th directive. report was initiated by the then governor and influenced 3163 by members of the executive chamber and task force, then 3164 released under the offices of DOH." 3165

I'm just looking at that last sentence. The

July 6th report is authored by the New York State

Department of Health, or at least that is how it was

portrayed to the public.

3170 Would you consider yourself an author of this 3171 report?

3172 A. No.

MR. BENZINE: Would you consider the

Department of Health an author of this report?

THE WITNESS: I would not. It was not the

dataset that we worked on and I consistently

voiced that I didn't think this should be a DOH

report. I provided edits as directed and asked,

but they were not all accepted. And I told

Dr. Zucker that I did not think this should be

labeled as a Department of Health report as

3182 presented.

3183 Q. In the last hour, you were directed to the

3184 appendix B, New York Times chart.

3185 A. (Perusing).

3186 Q. I'm just curious, did you use the New York

Times data in your analysis or would you have used this

3188 data in a scientific paper?

3189 A. I don't recall that we did.

3190 O. Mm-hmm.

3191 A. We tend to rely on other journal articles.

3192 Sometimes if the only evidence publicly comes from

another source, we can, but I don't recall that, because

again, we were focused on New York State data, which was

our data and our dataset.

3196 Q. Mm-hmm.

3197 A. So I don't recall. It would be nice to

refresh my memory, but I don't recall that.

3199 EXAMINATION BY

3200 MR. BENZINE:

3201 Q. It may be more of an observation with a

question attached, but it seems odd to me, like you just

3203 said, you were using -- this is a New York State

- problem. You had the data internally and then the governor's office would use reporter data instead of their own internal data. Do you recall any
- 3207 conversations about that?
- A. I do believe this was one of the sections
 that we, as the DOH, sent back comments on. And we were
 overall -- you know, I was pushing for it to be more
 similar to a scientific article, because that's what I
 was familiar with and was hoping that our journal
 article would get published.
- So, a lot of my edits were in line with that,

 trying to focus this on, you know, what our dataset

 showed internally.
- Q. And then I think, and Jack can probably

 correct me if I'm wrong, but the governor deemed this a

 peer reviewed paper at one point. Would you consider

 this a peer reviewed paper?
- A. From the science point of view for the peer review process, the reviewers are picked by the journal, so they are independently picked, and that process was not gone through here. So, it wouldn't meet the usual criteria for a peer reviewed paper.
- 3226 Q. Have you sat on peer review committees 3227 before?
- 3228 A. I have.

- Q. Would you approve this paper?
- 3230 A. So as a journal article, this doesn't meet
- the criteria for an academic journal article. You know,
- this was issued as a report, and as I said before, I am
- 3233 not familiar with general report structures in different
- 3234 worlds. I just have not personally worked on them.
- 3235 So I was approaching this and continued to
- 3236 push for the method I was familiar with, which was a
- 3237 transparent process, where methods are explained, where
- 3238 all the analysis methods are explained, what kind of
- 3239 tests are run, full limitation sections, and I think for
- 3240 every draft of this that we saw, the group I was working
- with at the DOH made edits to that effect, um, and not
- all were accepted in the final project.
- Q. Thank you.
- 3244 EXAMINATION BY
- **3245** MR. EMMER:
- 3246 Q. I want to return to Minority Exhibit I and I
- am looking at the third page, fourth paragraph down.
- A. (Indicating).
- 3249 Q. Yes.
- 3250 A. (Perusing).
- Q. And I'll just read it for the record.
- It says, "In response to a detailed list of
- questions from The Times, the governor's office

3254	responded with a statement Thursday night from Beth
3255	Garvey, a special counsel, who said the out-of-facility
3256	data was omitted after DOH could not confirm that it had
3257	been adequately verified." She added that, "The
3258	additional data did not change the conclusion of the
3259	report."

I guess my question here is, was it not

3261 possible for DOH to confirm the out-of-facility data?

Let me rephrase my question.

Is Beth Garvey, the special counsel,

misrepresenting DOH's position on out-of-facility data?

A. I don't know.

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- 3266 Q. Did you have any concerns that data couldn't 3267 be verified at this time?
- 3268

 A. I do think that verification was a very

 3269 difficult process. Going through each individual person

 3270 to make sure the data was inputted correctly, I recall

 3271 was a very long process.

But what I was pushing for was to use the

dataset we had with those limitations, understanding

there were limitations of that. So in our draft

scientific article, we did have the number that had been

reported to that time, understanding that there was so

much going on at this time, that verification is a

process, but feeling that it was good to get out what we

3279 did have on that matter with the limitations, again, so

someone else could replicate it and understand.

3281 So that was my understanding.

3282 EXAMINATION BY

3283 MR. BENZINE:

3284 Q. I am jumping ahead of Jack maybe, but

3285 without having to read the very long paragraph in the

impeachment report, the impeachment report said the

3287 former governor reviewed and edited the draft DOH

3288 report.

3289 Did you ever see any AC initials or anything

3290 that suggested the former governor was editing the

3291 report?

3292 A. I do not recall seeing AC initials. I often

3293 didn't know who was editing the report because you

3294 couldn't see from the track changes who it always was.

3295 Q. Did you ever hear anything that suggested

3296 the governor was editing the report?

3297 A. I can't recall anything specifically, but I

3298 don't know if I would have known.

MR. BENZINE: Okay. Thank you.

3300 EXAMINATION BY

3301 MR. EMMER:

3302 Q. So final question on the DOH July 6th

report, were you aware at the time of drafting that the

- governor was also writing a book?
- 3305 A. I don't believe I was. I can't recall when
- I was aware, though, and that time is a bit of a blur.
- 3307 Q. Okay. So, it was reported that members of
- 3308 Andrew Cuomo's family, as well as people in his inner
- 3309 circle, were provided -- we'll call it preferential
- 3310 testing, during the early stages of COVID. Are you
- aware of those reports?
- MR. BACH: Are you aware of the reports?
- 3313 A. I am aware of the reports.
- Okay. And were you involved in preferential
- 3315 testing for family members and people in his inner
- 3316 circle?
- 3317 A. I was involved in testing in general. I
- think I have a hard time feeling like I'm not going to
- 3319 break confidentiality. There is a patient-doctor
- 3320 confidentially that is a very important ethical tenet.
- So, confirming and even denying can sometimes
- break that confidentially. So, I feel very
- uncomfortable that I'm going to be breaking a
- 3324 confidentiality.
- 3325 EXAMINATION BY
- 3326 MR. BENZINE:
- 3327 Q. We are not going to ask about individuals or
- 3328 confirm or deny.

3329	The one question I do want to ask is, were you
3330	ever instructed to administer a test where you felt like
3331	it was not worthy?

MR. BACH: Not worthy?

- 3333 Like, the rationale that we have heard 3334 before and agree with, and it applies across 3335 governments, is that the governor is an important 3336 person. You don't want the governor to get sick. 3337 people who are meeting with him should be tested to ensure that the governor doesn't get sick, but were you 3338 3339 ever instructed to provide a test to anyone who did not meet that criteria? 3340
- A. I tested as I was directed to do and we always think about the population level. So, it's interesting when people ask questions because it is often focused on a person, but we think about the exposure.

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- So, you know, I was very concerned about residents of nursing homes, right, as an example of a group that was exposed. That was a big concern for me.
- Q. I guess what we are trying to figure out is, at this point -- and I don't know, I haven't seen the data -- but a lot of tests are federally provided.

 States went out and got some of their own too. And they were few and far between early on in the pandemic. I

think we would like them to go to nursing homes and hospitals and those kinds of places, the ones that we had.

We are trying to figure out whether or

not -- understanding the parameters of what is rational

and I think expected, whether or not the governor

directed supplies to go where they were not needed

because they were people that were close friends of the

governor.

So outside of the, like, you know, I'm not going to ask you to confirm or deny, but outside of the report of his brother getting a test, his brother is going to visit the executive mansion.

I understand his brother was going to get a test, but if you were directed at all to test people that were not in routine contact with the governor or had no reason to be in routine contact with the governor?

3372

A. To the best of my recollection, many years

later, I felt that when I tested, there was a

reason -- a valid reason for testing. And if there

wasn't, in general, we had many testing centers and

there were instances in general where for anybody that I

directed people there, and they went.

Q. Okay. That makes sense. Thank you. That

3379 was a good balancing act of trying to get the guestion 3380 and answer worded correctly. 3381 EXAMINATION BY MR. EMMER: 3382 3383 It has been reported that in some cases, 3384 tests were conducted at personal residences --3385 MR. BACH: Guys, this was not a topic. We had a conversation. You told me the topics that 3386 3387 you were going to cover. You did not include this. 3388 She has voiced confidentiality concerns. I 3389 let you ask her a few questions. I think you 3390 3391 should stop. 3392 MR. BENZINE: I'm comfortable stopping if 3393 that's okay. 3394 MR. OSTERHUES: Just to be clear, though, 3395 we told you we were going to discuss what her 3396 duties were during the pandemic. MR. BACH: You didn't --3397 3398 MR. OSTERHUES: No, no, no, no, no, no. Jonathan --3399 3400 MR. BACH: You said the March 25th and the 3401 July 6th report. MR. OSTERHUES: We covered what --3402

MR. BACH: You never said to me you were

3404	going to ask me about her role in testing any
3405	individuals, including any individuals linked to
3406	the governor's family. You never said that.
3407	MR. LICHTMAN: I would also note that this
3408	is a topic of interest to the Minority and we
3409	would be interested in pursuing this further.
3410	MR. BACH: Well, look, you can decide what
3411	you are going to do. I don't have a judge here.
3412	I don't know who in Congress I would be able
3413	to but I do know that you never told me you
3414	were going to ask about this. There are
3415	confidentially concerns.
3416	Do what you wish, but not how I would plan
3417	to proceed today.
3418	MR. BENZINE: We did try to word the
3419	questions carefully to not address any
3420	confidentially concern and not ask about
3421	specific individuals.
3422	MR. BACH: I know you did.
3423	MR. BENZINE: I'm comfortable moving on
3424	from this topic understanding I can't waive what
3425	they are going to ask, but I'm comfortable with
3426	moving on from the topic right now.
3427	EXAMINATION BY

MR. BENZINE:

- Q. I have a couple more questions and I hate to keep bringing it up about the conference call with the governor where he at least insinuated that he wanted you fired.
- Do you recall who else was on the call?
- A. Howard Zucker was there and I believe Beth

 Garvey was there. I do believe there were others. I

 can't recall right now.
- Q. I know you told me before. Do you recall the month timeframe it was in 2020?
- 3439 A. I'm worried I have the month wrong, plus or 3440 minus wrong, but I'm feeling it was November or around 3441 November or possibly December.
- Q. And then you had said it was because you had relayed some things to the governor on the call what you were hearing on the grounds from the nursing homes.

Do you remember any more specifics?

- A. I don't remember the specific topic of

 conversation. I remember thinking that I was trying to

 provide an explanation and I think it was taken as a

 defense of the nursing home when I was just trying to

 provide an explanation -- sort of notes from the field

 type of thing.
- Q. Do you recall if it was at all regarding the
 July 6th report or the order or anything you had been

3454 hearing from nursing homes regarding those things? 3455 I don't believe it was about a report. I 3456 think it was something more general. 3457 I'm afraid I'm going to misstate. As you can 3458 tell, my memory isn't great. 3459 Ο. No, no. Yeah. 3460 I don't know if it was PPE or something that Α. 3461 was more general. 3462 Q. I guess what I'm trying to figure out, and November, if it is plus or minus a month, it kind of 3463 takes it off the table a little bit, but I'm trying to 3464 see if the desire to fire you was at all related to 3465 3466 edits or comments made about the July 6th report? 3467 I do not know the answer to that and I of Α. 3468 course would be interested myself. I appreciate that. Thank you. 3469 Q. 3470 MR. EMMER: Thank you. 3471 We'll go off the record now. (Whereupon, an off-the-record discussion 3472 3473 was held.) 3474 Back on the record. 3475 EXAMINATION BY 3476 3477 Dr. Adams, we will try to make this as quick

as possible, but as we insinuated, we have a few

questions for you about COVID testing.

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You mentioned it was a part of your normal course of duties. How did doing COVID tests fit into your normal duties at the time?

A. At the metropolitan regional office we were
tasked with setting up testing. And we also were
allocating some tests to test in nursing homes to try to
figure out the spread.

I think initially
there was hope that maybe we could contain it to a few
nursing homes. Now looking back I think that we all
know that that was unrealistic.

But as a group we were trying to figure out transmission. And part of that, in order to figure out transmission, you have to test.

- Q. And not getting into the specifics of any individuals that you tested, did you test people outside of nursing homes?
- A. Oh, yes. We had a whole -- like early on,
 there was no place to test. I mean, there was nursing
 homes, but we were concerned in general also about staff
 bringing it in. There were also specific instances, you
 know, pregnancy -- I mean nobody knew what the effects
 were.

So, for all vulnerable populations, there was a heightened concern. So testing was done as needed.

- 3504 Q. In the normal course of your duties, were
 3505 these tests being conducted within the New York City
 3506 area?
- 3507 A. They were within the region that I covered.
- 3508 Q. Okay.
- 3509 A. Which was lower Hudson Valley, New York City
 3510 and Long Island.
- 3511 Q. Okay. And did you personally travel to administer tests?
- 3513 A. I think every tester traveled to administer a test.
- 3515 Q. Outside of a normal commuting distance?
- 3516

 A. We always, for any disease entity, would go
 3517 throughout our whole catchment area. That was -- I
 3518 mean, for measles, for anything, this -- we were in
 3519 charge of covering the whole -- our whole geographic
 3520 fourth of the state.
- Q. And you mentioned earlier, the primary

 purpose of this testing was to prevent spread and figure

 out how spread was traveling throughout nursing homes in

 particular?
- 3525 A. That was one of the goals. I mean, there
 3526 were other, you know -- there were many things that we
 3527 were all trying to do at the same time.
- One was prevent vulnerable populations from

- 3529 getting COVID and then within those vulnerable
- 3530 populations, stopping spread. And
- then you know, we also at the very beginning,
- 3532 clinically, were -- you know, testing was paramount to
- figure out the clinical course.
- 3534 Q. And do you feel that you administered any
- 3535 tests that were outside of those goals?
- 3536 A. I felt that I was -- I administered tests
- that were within those goals.
- 3538 Q. Okay.
- 3539 EXAMINATION BY
- 3540 MR. LICHTMAN:
- Q. Did you always feel that the tests you
- administered were provided to patients who were
- 3543 maximally in advancement of the goals you articulated or
- 3544 were there instances where individuals who received
- 3545 tests you administered were not the most high priority
- individuals for the objectives you described?
- 3547 A. Oh, gosh. That's a hard question.
- So, things happened so fast that I was not
- 3549 always in receipt of complete information -- none of us
- 3550 were. And so, there were other people sometimes
- directing the testing and you would get part of it, but
- I didn't know at the same time what all the other
- requests would be, right?

3554	In order to make a judgment like the one you
3555	are saying, I think one would need more complete
3556	information to be able to determine that.

- Q. As it relates to the goal of protecting

 population health, would you agree that administering a

 limited amount of tests to the most vulnerable

 individuals to COVID is one priority in how you would

 think about tests being administered and the regimen

 behind that?
- A. I think yes, of course, testing within a vulnerable population was important. I think the problem was, we didn't know what were the vulnerable populations at the time.

I mean, we had no idea -- look at the pediatrics story. It's fascinating. We were all so concerned. The data out of China -- I remember so many reports of younger people, younger health care workers.

Again, how it played out in the U.S. was not necessarily consistent with some of the earlier reports, which happens all the time. You learn as you go.

So, I think to make it an assumption about the vulnerable populations, we were learning what those were. And so, you know, testing -- we learned something from all types of testing initially and there were positives to that.

And then I think as time went on, you know, as always, then one could look again at the testing needs.

- Q. Would you agree with dispersing a limited amount of tests, that in an early outbreak tests should go to individuals with confirmed exposures, to individuals who were positive over individuals who suspected that they were exposed?
- 3586

 A. I don't think it is that easy. To me, this

 3587 is coming from the public health side of things. I

 3588 really thought that the introduction into new

 3589 populations sometimes was extremely important.

So, we had some nursing homes and hospitals that primarily had hospice population. So, I'm giving this as an example. To me, if that group -- again, I didn't have all the information. I didn't really know if that was a more vulnerable population, but it had shown to be for other diseases, so there was reason to think maybe that. So, I'm just giving this as a general example.

I probably would have erred on the side of even testing a suspected case that could have exposed that population.

Again, I'm thinking a population level more at times than just confirming somebody else that maybe had a higher probability of having it, but maybe only would

have exposed one people -- one person in their family.

3605 So, I just think it got very complicated and I
3606 don't know, even now, if there was one right away to
3607 test.

Q. One last question on this.

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Did you ever have any moral reservations about

administering a test to a patient to whom you had

administered a test?

A. If I had moral reservations, I did or would
have pushed back. That's who I am and how I am and
there were other testing methods. And as I said, there
were people who went and used those other testing
methods.

There is another difficult ethical ethos, I'll say for better lack, once you establish a patient-doctor relationship, this goes more with primary care, which is the world I come from.

But you know, you also don't abandon people, right? And so, you know, it was unclear -- things were evolving during the pandemic of what was being waived, what was allowed, and so, you know, the majority of us physicians would continue, you know, following as needed.

But if I had moral reservations, you know, I'm not the type of person that would not deal with that.

- Q. While I appreciate that, the question was,

 did you ever have moral reservations about anyone you

 had to administer a test?
- MR. BACH: That she actually administered?
- Q. Or to whom you were directed, advised, asked, to administer a test?
- 3635 A. I tested a lot of people. I'm trying to
 3636 remember the circumstances and the specifics and I don't
 3637 feel as though I can -- can you repeat the question?
- Q. To anyone that you administered a test, or
 were directed to administer a test, suggested to
 administer a test or advised to administer a test, did
 you have any moral reservations in actions you took
 pursuant to those requests, directives, procedures,
 protocols, et cetera?

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- A. I will say that I do -- well, I recall not testing some individuals and having them directed to other testing. I cannot recall why I did that, but that's what I'm remembering right now, was that there were some that I did not test and asked for other arrangements to be made.
- Q. I don't mean to belabor the point, but I

 will just note that that is also not the question that I

 asked.
- MR. BACH: Well, you are about done because we

3654 are a	ıbout	to	run	out	of	time.
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Frankly, I'm not sure what her morality has to do with it, but let's wrap it up.

3657 We'll move on.

3658 EXAMINATION BY

Q. There was discussion earlier about CMS or CDC guidance and how that affected New York guidance.

So, I wanted us to take a look at CMS guidance.

So, I'm going to admit this as Minority

Exhibit K. (Handing).

The date on this was March 4, 2020, and the guidance reads -- I'm looking at page 3 of 4 under the second bolded headline, the headline is, "when should a nursing home accept a resident who is diagnosed with COVID-19 from a hospital?"

Then the guidance continues, "A nursing home can accept a patient diagnosed with COVID-19, and still under transmission based precautions for COVID-19, as long as it can follow CDC guidance for transmission based precautions. If a nursing home cannot, it must wait until those cautions are discontinued."

Dr. Adams, do you agree that this federal guidance from the Trump Administration does not bar the readmission of COVID-19 positive patients to nursing

3679	homes?
3680	A. I haven't read through the whole thing.
3681	Q. Do you agree with what I just read, not
3682	barring the readmission of COVID-19 positive patients?
3683	A. I'm sorry. Can you point again
3684	MR. BACH: I'm sorry. We are going to end
3685	this.
3686	You are asking for her interpretation of
3687	something she may have never seen before, of a
3688	text which speaks for itself. Her
3689	interpretation of reading this is not important.
3690	Are we done with this interview?
3691	No, we are not done.
3692	MR. BACH: Okay. I'm going to hold you to
3693	the next two minutes.
3694	Okay.
3695	Q. Moving on from that, as stated, the plain
3696	reading of that is that it does not bar readmission, but
3697	we can move on from that.
3698	Dr. Adams, as somebody who was involved in New
3699	York State's early response to the COVID-19 pandemic, we
3700	do want to get your perspective on the working
3701	relationship between the federal government and state
3702	governments during this time.

As your understanding, what role does the

- federal government normally play in a public health crisis?
- 3706 A. That's very broad. Could you say like what areas you are referring to?
- Q. We can talk about a lot, but we know in the
 early weeks in the pandemic, as you said earlier, New
 York specifically was having issues getting PPE in
 adequate numbers for nursing homes and hospitals.

Was it your understanding that the federal
government would have a role in helping or coordinating
those efforts?

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- A. I wasn't involved in the PPE effort and so I recall general discussions about it. I just don't remember at that time what -- who did what.
- Q. During a select subcommittee hearing last

 May, we heard from Dr. David Grabowski, a professor of

 health care policy at Harvard, and he said that the

 community spread was a driving force of COVID-19

 entering nursing homes and other congregate facilities,

 not just in New York but across the country.
- Is that consistent with your understanding?

 MR. BACH: It's 3 o'clock.
- 3726 A. I do recall reading papers that came out
 3727 later describing the role of community, so I do feel
 3728 that it played a role.

- Q. And would increased PPE help in protecting patients in nursing homes?
- A. I think appropriately used PPE when needed would help. I think there were times when, you know, people put on three gowns for Ebola and that was not what was recommended. So, you just have to be careful to say more isn't always better, but the CDC guidelines outlining use of PPE were what people generally tried to adhere to at the time.
- 3738 MR. BACH: One more question. Last one.
- Q. Under President Biden, the National

 Vaccination Program started in early 2021. Did the use

 of vaccines lead to reduced instances of spread within

 nursing homes?
- 3743

 A. So, I believe the overall data after has
 3744 showed that immunity in general, including that from
 3745 vaccines, generally has reduced transmission. There is
 3746 a lot of caveats that have to do with type of new
 3747 strains coming, etc. So, one has to look at a point in
 3748 time. Yeah.
- MR. BACH: Thank you.
- 3750 Thank you very much. We can go off the record.
- 3752 (Whereupon, at 3:02 P.M., the Interview of this witness was concluded.)