- 1 ALDERSON
- 2 DESIRAE S. JURA
- **3** HVC173550
- 4 INTERVIEW OF: MELISSA DeROSA
- 5 FRIDAY, JUNE 21, 2024
- 6 COMMITTEE ON OVERSIGHT AND ACCOUNTABILITY,
- 7 SELECT SUBCOMMITTEE ON THE CORONAVIRUS PANDEMIC,
- 8 U.S. HOUSE OF REPRESENTATIVES,
- 9 WASHINGTON, D.C.

10 The Interview commenced at 10:02 a.m. at 5480 O'Neill11 House Office Building.

12	Appearances:
13	
14	For the SELECT SUBCOMMITTEE ON THE CORONAVIRUS CRISIS:
15	MITCH BENZINE, Majority Staff Director
16	JACK EMMER, Senior Majority Counsel
17	ERIC OSTERHUES, Majority Counsel
18	ANNA BLAKE LANGLEY, Majority Professional Staff
19	Member
20	LIZ LYONS, Majority Professional Staff Member
21	Minority Counsel
22	Minority Senior Counsel
23	Minority Staff Director
24	
25	For the WITNESS:
26	GREGORY MORVILLO, ESQ.
27	Morvillo PLLC
28	1740 Broad Street, 15th Floor
29	New York, New York 10019
30	(646) 831-1531
31	
32	Also Present:
33	SHARILEIGH GORDON
34	JACKSON MORVILLO

35 <u>Exhibits</u>:

	<u></u> ,	
36	Majority Exhibit No.	Page
37	1 - Email thread	97
38	2 - Nursing home guidance entitled	
39	Advisory: Hospital Discharges and	
40	Admissions to Nursing Homes	118
41	3 - Impeachment Investigation Report	125
42	4 - Executive Order Number 202.5	187
43	5 - Email thread	192
44	7 - Advisory: Possible Discharges and	
45	Admissions to ACFs	200
46	8 - Email thread	205
47	9 - Email thread	207
48	10 - Department of Health, Use,	
49	Collection, and Reporting of	
50	Infection Control Data	223
51	11 - Statement from Beth Garvey	252
52	12 - Email thread	274
53	13 - Email thread	274
54	14 - Email thread	278
55	15 - Email thread	286
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60	Minority Exhibit	Page
61	A - February 12, 2021 statement by	
62	DeRosa	55
63	B - Factors Associated with Nursing	
64	Home Infections and Fatalities in	
65	New York State During the	
66	COVID-19 Global Health Crisis	143
67	C - New York Times article	154
68	D - Email thread	159
69	E - Washington Post article	175

70	PROCEEDINGS
71	Mr. <u>Emmer.</u> We can go on the record.
72	This is a transcribed interview of Ms. Melissa DeRosa
73	conducted by the House Select Subcommittee on the
74	Coronavirus Pandemic, under the authority granted to
75	it by House Resolution 5 and the rules of the
76	Committee on Oversight and Accountability.
77	Further, pursuant to House Resolution 5, the Select
78	Subcommittee has wide-ranging jurisdiction, but
79	specifically to investigate the implementation or
80	effectiveness of any federal law or regulation
81	applied, enacted, or under consideration to address
82	the coronavirus pandemic and prepare for future
83	pandemics.
84	Can the witness please state her name and spell her
85	last name for the record?
86	The <u>Witness.</u> Sure. Melissa Dina DeRosa, D as in
87	David, E, capital R, O-S as in Sam, A, and there's no
88	space.
89	Mr. <u>Emmer.</u> Thank you, Ms. DeRosa. My name is Jack
90	Emmer and I am senior counsel for the Majority staff
91	of the Select Subcommittee. I want to thank you for
92	coming in today for this interview. The Select
93	Subcommittee recognizes that you are here voluntarily
94	and we appreciate that.

95	Under the Select Subcommittee and Committee on
96	Oversight and Accountability's rules, you are allowed
97	to have an attorney present to advise you during this
98	interview. Do you have an attorney representing you
99	in a personal capacity present with you today?
100	The <u>Witness.</u> I do.
101	Mr. <u>Emmer.</u> Will counsel please identify themselves
102	for the record?
103	Mr. <u>Morvillo.</u> My name is Gregory Morvillo from
104	Morvillo PLLC. I represent Ms. DeRosa. With me today
105	is Sharileigh Gordon and Jackson Morvillo.
106	Mr. <u>Emmer.</u> Thank you.
107	For the record, starting with the Majority staff, can
108	the additional staff members please introduce
109	themselves with their name, title, and affiliation?
110	Mr. <u>Benzine.</u> Mitch Benzine, staff director for the
111	Republican side.
112	Mr. <u>Osterhues.</u> Eric Osterhues, chief counsel for the
113	Republican side.
114	Ms. Langley. Anna Blake Langley, professional staff
115	member for the Republicans.
116	Ms. Lyons. Liz Lyons, Republican Majority staff
117	member.
118	senior counsel for the

119 Democratic staff.

120	Democratic counsel.
121	Democratic staff
122	director.
123	Mr. Emmer. Thank you, all.
124	BY MR. EMMER.
125	Q Ms. DeRosa, before we begin, I would like to go
126	over the ground rules for this interview.
127	The way this interview will proceed is as follows:
128	The Majority and Minority staff will alternate asking
129	questions, one hour per side per round, until each
130	side is finished with their questioning. The Majority
131	staff will begin and proceed for an hour, and then the
132	Minority staff will have an hour to ask questions. We
133	will then alternate back and forth in this manner
134	until both sides have no more questions.
135	If either side is in the middle of a specific line of
136	questions, they may choose to end a few minutes past
137	an hour to ensure completion of that specific line of
138	questioning, including any pertinent follow-ups. In
139	this interview, while one member of the staff for each
140	side may lead the questioning, additional staff may
141	ask questions.
142	There is a court reporter taking down everything I say
143	and everything you say to make a written record of the
144	interview. For the record to be clear, please wait

145	until the staffer questioning you finishes each
146	question before you begin your answer, and the staffer
147	will wait until you finish your response before
148	proceeding to the next question.
149	Further, to ensure the court reporter can properly
150	record this interview, please speak clearly,
151	concisely, and slowly. Also, the court reporter
152	cannot record non-verbal answers, such as nodding or
153	shaking your head, so it is important that you answer
154	each question with an audible verbal answer.
155	Exhibits may be entered into the record. Majority
156	exhibits will be identified numerically and Minority
157	exhibits will be alphabetically.
158	Do you understand?
159	A I do.
160	Q We want you to answer our questions in the most
161	complete and truthful manner possible, so we will take
162	our time. If you have any questions or do not fully
163	understand the question, please let us know and we
164	will attempt to clarify, add context to, or rephrase
165	our questions.
166	Do you understand?
167	A I do.
168	Q If we ask about specific conversations or events in
169	the past, and you are unable to recall the exact words

170	or details, you should testify to the substance of
171	those conversations or events to the best of your
172	recollection. If you recall only a part of the
173	conversation or event, you should give us your best
174	recollection of those events or parts of conversations
175	that you do recall.
176	Do you understand?
177	A I do.
178	Q Although you are here voluntarily and we will not
179	swear you in, you are required pursuant to Title 18,
180	Section 1001 of the United States Code to answer
181	questions from Congress truthfully. This also applies
182	to questions posed by congressional staff in this
183	interview.
184	Do you understand?
185	A I do.
186	Q If, at any time, you knowingly make false
187	statements, you could be subject to criminal
188	prosecution.
189	Do you understand?
190	A I do.
191	Q Is there any reason you are unable to provide
192	truthful testimony in today's interview?
193	A No.
10/	0 The Select Subcommittee follows the rules of the

194 Q The Select Subcommittee follows the rules of the

195	Committee on Oversight and Accountability. Please
196	note that if you wish to assert a privilege over any
197	statement today, that assertion must comply with the
198	rules of the Committee on Oversight and
199	Accountability.
200	Pursuant to that, Committee Rule 16(c)(1) states: For
201	the Chair to consider assertions of privilege over
202	testimony or statements, witnesses or entities must
203	clearly state the specific privilege being asserted
204	and the reason for the assertion on or before the
205	scheduled date of testimony or appearance.
206	Do you understand?
207	Mr. Morvillo. We understand that that's what you're
208	saying. We're not going to agree with that. We're
209	going to assert whatever privileges we need to assert,
210	and we can have some fun as we talk about it.
211	Mr. <u>Benzine.</u> Okay.
212	BY MR. EMMER.
213	Q Ordinarily, we take a five-minute break at the end
214	of each hour of questioning, but if you need a longer
215	break or a break before that, please let us know and
216	we will be happy to accommodate. However, to the
217	extent that there is a pending question, we would ask
218	that you finish answering the question before we take
219	the break.

220	Do you understand?
221	A I do.
222	Q Do you have any questions before we begin?
223	A Can you remind me of your name?
224	Q Jack Emmer.
225	A Jack, okay.
226	Q So let's get started by discussing your educational
227	experience. Where did you attend undergraduate
228	school?
229	A Cornell University.
230	Q And what degree did you graduate with?
231	A A bachelor's in industrial labor relations.
232	Q Who is your current employer and what is your
233	current job title?
234	A I have my own consulting firm. So I'm founder and
235	CEO.
236	Q Can you briefly go through your professional career
237	up until now?
238	A Sure. Graduated from Cornell University undergrad,
239	then worked briefly as a fashion publicist. Then went
240	and worked on a number of campaigns, a Bond Act
241	campaign as press secretary, then comms director, a
242	congressional campaign in Brooklyn as comms director.
243	This is all on the Democratic side, obviously.
244	

244 Then I worked for Nydia Velazquez as her press

245	secretary here in Washington, DC. Then I left and
246	worked for Organizing for America, which was the
247	subset of Obama for America set inside the DNC. I was
248	their New York state director, so I was like the New
249	York State political director during that period.
250	Then I left and I was deputy chief of staff, and then
251	subsequently chief of staff to the New York State
252	Attorney General under Eric Schneiderman.
253	Then I left and became communications director to
254	Governor Cuomo in 2013, subsequently communications
255	director and strategic adviser, subsequently chief of
256	staff, subsequently secretary to the governor. And
257	then I left, started my own firm. I'm also a
258	contributor for the Daily Beast and do commentary on
259	CNBC.
260	Q Let's discuss your role as secretary to the
261	governor. Was that an appointed position?
262	A Yes.
263	Q I believe you just said it, but when were you
264	appointed?
265	A 2017, sorry.
266	Q Thank you.
267	A 2017.
268	Q Can you briefly describe your duties and
269	responsibilities as secretary to the governor?

270	Mr. <u>Morvillo.</u> Briefly? Can you take that word out?
271	Mr. <u>Emmer.</u> I'll be happy to take "briefly" out of the
272	question.
273	BY MR. EMMER.
274	Q Can you please describe your duties and
275	responsibilities as secretary to the governor?
276	A You're number two to the governor. You oversee the
277	Executive Chamber chiefly. And within the Executive
278	Chamber, there are different levels.
279	So, for example, commissioners report up to deputy
280	secretaries, who report up to the operations director,
281	who reports up to the secretary. And so it's
282	just it's the top constitutional role under
283	governor. Well, I shouldn't say top. It's equal to
284	counsel, counsel and secretary.
285	And so also in my role, because I came out of the
286	communications world, I played a large part in the
287	communications, in intergovernmental affairs.
288	Whatever is important to the governor is in your
289	purview. Depending on the day, that changes.
290	Q Who did you report to?
291	A The governor.
292	Q And I might jump around a little bit here. What
293	was your day-to-day interaction with the governor as

294 secretary to the governor?

295 A I mean, I would wake up and talk to him first 296 thing. He would be first meeting, first thing in the 297 morning. And then just throughout the day constantly, 298 until the end of the day. 299 Q Who reported to you as secretary to the governor? 300 A I mean, if you had the org chart. It was the 301 communications director, it was the state operations 302 director. There were -- the chief of staff certainly. 303 There was a formal org chart, I'm sure we can get you 304 to enter for the record, but there is a formal org 305 chart of who reported up to me. 306 Q Thank you. Prior to the pandemic, how much 307 interaction would you have as secretary to the 308 governor with Dr. Zucker or the Department of Health? 309 A Intermittent. It depended on the issue or the day. 310 There was Legionnaires, for example, and so during 311 that period much more, because we were dealing with a 312 health crisis. There was an Ebola scare briefly, so 313 there was a lot more during that. 314 But then day-to-day, the commissioners and agencies 315 sort of run themselves and they report up to the state 316 operations director. But unless it's something 317 critical, either from a policy or operational 318 perspective, it wouldn't bubble up to me. 319 Q And I know you're not going to be able to answer

320 this briefly, but how did your day-to-day change as a 321 result of the pandemic?

322 A I'm sure you guys have copies of my book where I 323 write about this extensively. But it was literally, 324 it became an all-hands-on-deck, 20 hour a day, up at 325 3:30 in the morning working until midnight. And it 326 was putting out fires, dealing with evolving crises, 327 flying in and out of Washington to meet with President 328 Trump and Jared Kushner. It was dealing with Bill de 329 Blasio and New York City. It was PPE shortages. 330 In the month of March, which people forget, the last 331 ten days of that critical month of March, which was 332 the first month that we knew COVID was in New York, I actually was spending 80 percent of my time not on 333 334 COVID at all. We were trying to negotiate a budget 335 with the legislature.

336 So I was spending, I would say 80 percent of my time 337 from March 20th until the budget was completed at the 338 beginning of April, negotiating the budget with the 339 legislature, along with counsel and Robert -- counsel 340 Beth Garvey and Robert Mujica, who was the budget 341 director. And so it just -- it depended. It was ever-evolving. You know, it was a crisis-to-crisis 342 343 situation.

344 Q Thank you. Now, I would like to ask you if you

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345	communicated with any of the following people
346	regarding COVID-19 and nursing homes between January
347	1st, 2020 and when you left the Cuomo administration.
348	Mr. <u>Morvillo.</u> Do you want both COVID and nursing
349	homes, or do you want them separate in her answer?
350	Mr. <u>Emmer.</u> Both.
351	Mr. <u>Morvillo.</u> So it's either/or?
352	The <u>Witness.</u> So nursing homes in the context of
353	COVID.
354	BY MR. EMMER.
355	Q Yes. And right, now you can answer yes or no, and
356	we will come back and discuss each one.
357	A Okay.
358	Q So first, Governor Andrew Cuomo?
359	A Yes.
360	Q Ms. Linda Lacewell?
361	A Yes.
362	Q Mr. Gareth Rhodes?
363	A Yes.
364	Q Dr. Jim Malatras?
365	A Yes.
366	Q Mr. Rich Azzopardi?
367	A Yes.
368	Q Mr. Peter Ajemian?
369	A Yes.

- 370 Q Ms. Beth Garvey? 371 A Yes. 372 Q Ms. Judith Mogul? 373 A Yes. 374 Q Ms. Megan Baldwin? 375 A Yes. 376 Q Mr. Larry Schwartz? 377 A Yes. 378 Q Mr. Robert Mujica? 379 A Yes. 380 Q Ms. Jill DesRosiers? 381 A You know, I don't know. I don't have a specific 382 recollection of speaking to her about COVID and 383 nursing homes, but maybe. 384 Q Ms. Stephanie Benton? 385 A Yes. 386 Q Dr. Howard Zucker? 387 A Yes. 388 Q Dr. Eleanor Adams? 389 A Yes. 390 Q Ms. Sally Dreslin? 391 A Again, Sally was -- Sally had left sometime in the 392 spring, so I don't have, like -- which was so long ago 393 in the gist of things, I don't have a specific memory
- 394 of having a conversation with her, but I can't rule it

- 395 out.
- **396** Q Mr. Gary Holmes?
- 397 A Yes.
- 398 Q Mr. Kenneth Raske?
- 399 A Yes.
- 400 Q Mr. Lee Perlman?
- 401 A Yes.
- 402 Q Mr. Michael Dowling?
- 403 A Yes. Actually, Lee Perlman, I'm not sure. He
- 404 should go in the category of I'm not ruling it out,
- 405 but I don't have a specific recollection.
- 406 Q Thank you. To repeat myself, Mr. Michael Dowling?
- 407 A Yes.
- 408 Q President Donald Trump?
- 409 A I don't remember if I spoke to the President
- 410 specifically about nursing homes. Certainly COVID.
- 411 Q Mr. Jared Kushner?
- 412 A The same. I mean, I remember obviously distinctly
- 413 a lot of conversations about COVID, but I'm not sure
- 414 about nursing homes as well.
- 415 Q Dr. Anthony Fauci?
- 416 A The same.
- 417 Q Dr. Francis Collins?
- 418 A I'm not sure who that is.
- 419 Q Mr. Alex Azar?

- 420 A I don't think about nursing homes, just COVID. I
- 421 don't mean to say "just COVID."
- 422 Q Ms. Seema Verma?
- 423 A Can you remind me who that was?
- 424 Q She was the administrator to CMS.
- 425 A I don't remember having specific conversations with 426 her.
- 427 Q Dr. Deborah Birx?
- 428 A The same. I don't remember having specific
- 429 conversations with her.
- 430 Q Dr. Robert Redfield?
- 431 A The same.
- 432 Q Dr. Michael Osterholm?
- 433 A Can you remind me who that is?
- 434 Q He was an epidemiologist at the University of
- 435 Minnesota that I believe advised the governor.
- 436 A Not me, but others in the administration.
- 437 Q And, finally, David Grabowski?
- 438 A Yes.
- 439 Q So let's start first with the governor.
- 440 Do you recall having any discussions with him related
- 441 to the March 25th order prior to its issuance?
- 442 A No.
- 443 Q What were the nature of your conversations related
- 444 to the March 25th order with the governor?

445 A At what point? 446 Q Let's start with -- well, first, I guess when did 447 you learn about the March 25th order? 448 A The first time I remember learning about the March 449 25th order was at a press conference on April 20th. 450 Q Did you discuss the order with the governor after 451 that press conference? A I did. 452 453 Q And he did not know about that order, either? 454 A So it was nationally televised. You can pull up 455 the video. He was asked -- and the New York Post 456 wrote about this at this time. He was asked at the 457 press conference specifically, and it was the first 458 time it had come up in a press conference and he 459 clearly said, "I don't know. Dr. Zucker." 460 Dr. Zucker jumped in and answered the question. 461 The press conference concluded. We walked into his 462 inner office which was connected to that press 463 conference room, and the governor turned to Dr. Zucker 464 and said, what was that in there? This is not 465 verbatim, obviously. This is my recollection of that

466 conversation. What was that in there with the nursing 467 homes? And Dr. Zucker explained what the March 468 25th -- and it wasn't an order, it was guidance from 469 his perspective of what it was.

470 Q We'll return to more specifics regarding the order. 471 We'll move on from the governor right now. 472 Mr. Morvillo. You guys, you called it an order now 473 twice. It was not an executive order, right? It's an 474 advisory issued by DOH. So I don't want the record to 475 be anything but clear. This is not an order. We 476 don't agree that it's an order. 477 So if you could call it guidance or advisory, that's 478 going to make it easier because every time you say 479 order, I'm going to say it wasn't an order. So if we 480 can agree that that's a standing objection or that 481 you're acknowledging it was not an executive order, 482 that would be helpful. Mr. Emmer. We can agree to a standing objection. 483 I'm 484 probably going to call it a directive, guidance, and 485 order throughout today's questions. 486 Mr. Benzine. We can agree that it wasn't an executive 487 order, though. 488 Mr. Morvillo. An order from whoever. I order lunch 489 all the time, no one gets it right, so that's fine. 490 BY MR. BENZINE. 491 Q In that conversation after the press conference, 492 did Dr. Zucker tell you when he learned about the 493 order, directive, quidance, advisory, whatever we want 494 to call it today?

495	A He didn't act as if he didn't know, if that makes
496	sense. He just went into answer mode and he's I'll
497	stop there. You can ask your question.
498	BY MR. EMMER.
499	Q What were the nature of your discussions related to
500	the order with Ms. Lacewell?
501	A I mean, I don't I can't there were at what
502	point?
503	Q Did you have any discussions about where the order,
504	directive, order, guidance, originated from?
505	A After the Linda was sort of playing point with
506	DOH, and so I would often go to her to ask her to run
507	things down that were going down in DOH.
508	And after that press conference, it became clear
509	pretty quickly that this was going to become an issue
510	that continued to bubble up in the press, based on the
511	tone and tenor of the question we received on April
512	20th.
513	And so Dr. Zucker explained to us unless you guys
514	want me to go through it, I don't need to go through
515	how he explained it.
516	Mr. <u>Benzine.</u> We can later.
517	Mr. Morvillo. But you guys have questions about this?
518	The <u>Witness.</u> But so one of the people I spoke to
519	right afterwards was Linda Lacewell, and I want to say

520 Jim Malatras. It may have been the same 521 conversations, separate conversations, but I was sort 522 of, like, guys, we need to understand what this is, we 523 need to be able to explain it a lot more clearly than 524 he just did in that press conference, because it 525 sounds confusing to me. 526 So I need to be able to explain this, so could you 527 find out what this is, and how we can explain it, and 528 where it came from. And so we can be able to make 529 sure the public clearly understands it? And, to the 530 extent that there is confusion amongst the health care 531 community, more importantly, that they can understand 532 it. 533 BY MR. BENZINE. 534 Q Did she ever tell you where it came from? 535 A I don't recall if it were she or Malatras, but at 536 some point, one of them came back to me pretty 537 quickly -- this was happening in realtime -- pretty 538 quickly, and said the Department of Health says that 539 they put this out based on the March 23rd, I think it 540 was -- it was either CMS or CDC guidance that had come 541 out on March 23rd. And that that was the basis for 542 it. That the hospitals needed guidance on how and when it was appropriate to discharge nursing home 543 544 patients who were no longer infectious and medically

545 stable.

546	They kept repeating this term, medically stable, which
547	from what I understood, then, based on what they were
548	telling me and understand today, was medically stable
549	was a defined term of art that I believe either CMS or
550	CDC put in a guidance that they issued that was
551	essentially like sorry, I used the word, I hate
552	when I do that that essentially said, you know,
553	there's a couple of different definitions of medically
554	stable.
555	One is if you're not showing symptoms and you test
556	negative. Or in the absence of tests, because tests
557	at that point were very limited. There was almost no
558	testing at that point. It had to have been X number
559	of days since you demonstrated symptoms, Y number of
560	days, like, since that period was over. And
561	therefore, your viral load was so low that you were
562	not infectious.
563	And it was done and it was written in a way that it
564	empowered individual physicians to make individual
565	calls, based on what they knew about their patients
566	being medically stable, and it was, you know, mayhem
567	at that point.
568	No one knew what they were doing. Everyone was
569	concerned the health care system was going to

570	collapse. And there was obviously the fear around
571	nursing home patients who people knew because of what
572	was going on in Oregon were susceptible to illness
573	because their immune system is, by definition,
574	compromised and because they were older.
575	So it was either CMS or CDC, I apologize for not
576	remembering which one, issued this guidance on the
577	23rd, and that this came after that. This was based
578	on that at the request of hospitals and nursing homes
579	on, we need we need uniform guidance, so that our
580	doctors know how and when it's appropriate to
581	discharge.
582	Q Do you know who the drafter was at the Department
583	of Health?
584	A I don't. What I since have heard is that there was
585	somebody who was, like, a mid-level person who was in
586	the public health group, which I think was like,
587	there's different subsections within someone in,
588	like, the public health nursing home group.
589	And I don't know if they did it with Sally Dreslin,
590	but it was someone at that level who drafted it and
591	edited it with someone more senior. And I don't want
592	to say with 100 percent certainty it was Sally,
593	because I'm not sure, but it was, like, someone at
594	Sally's level who they worked on it with.

595	Mr. Morvillo. But you didn't know that at the time?
596	The <u>Witness.</u> No, this is all after the fact.
597	BY MR. EMMER.
598	Q So and I'll frame it as after you learned about
599	it, the March 25th order, what were the nature of your
600	discussions with Ms. Beth Garvey about the directive?
601	A At which point?
602	Q After you learned about it. Because I know
603	A You mean, like, in that exact moment?
604	Q Let's from the time that you learned about it to
605	May 10th, when the order was
606	A Superseded?
607	Q superseded.
608	Mr. <u>Morvillo.</u> Unless there is a privileged
609	communication.
610	The <u>Witness.</u> Well, this will be easy for you, because
611	I don't remember anything specifically.
612	BY MR. BENZINE.
613	Q What was Ms. Garvey's role in kind of, like,
614	checking the box or reviewing guidances prior to
615	issuance?
616	A So and this is something that's very important,
617	because I think people don't really understand it.
618	There were two buckets. There was executive orders
619	and then there was health guidance.

620 Executive orders, Beth Garvey went through with a fine 621 tooth comb. She obviously wasn't drafting everything 622 herself, no human being could. We basically rewrote 623 the entire law in a period of three months during the 624 height of COVID.

And then that would go through me, and she would go through them with me, you know, line by line, because my signature went on them, the governor's signature went on them. I would then have a recommendation to the governor based on what Beth presented to me, I think we should do this, I don't think we should do this.

632 The Health Department was issuing health guidance at a 633 clip that was, according to that Olson report that 634 came out last week, something like over 400 pieces of 635 health guidance came out during the height of the 636 COVID pandemic. So they were issuing them daily, if 637 not multiple times a day.

And it was constantly being done on ever-changing information coming from the federal government, because I'm sure everybody in this room remembers, maybe not as intimately as I do, how quickly that information was changing. And as a result, the advice that we were giving to people were changing. One day, it's scrub your groceries. Just, in retrospect, 645 insane things because we didn't know how it spread. 646 But in any event, so they were empowered to put out 647 their guidance. They didn't have to go through that 648 same process in the Executive Chamber. 649 Now, from what I understand after the fact, they I 650 think -- I believe they went through counsel's office, 651 but not necessarily Beth. Beth had under her, as I'm 652 sure it's the same case federally, there's counsel and 653 then there's health counsel and there's environmental 654 counsel, and there's this counsel. Health counsel has 655 a team. 656 So Beth had a team of people who were empowered under her to work with the Department of Health. So I don't 657 658 know if it came across Beth Garvey's desk 659 specifically, or if it was one of her deputies. 660 And, again, it's important when you put this in 661 context, because people forget, the last ten days of 662 March of 2020, Beth Garvey, Robert Mujica, and I were 663 essentially -- the governor essentially said to us, 664 we've got to focus on -- this side of the group has to 665 focus 100 percent on COVID, you guys need to go close 666 the budget. And so we were spending 80 percent of our 667 time during that pivotal ten days in the beginning of 668 April working on trying to close down the state's 669 budget.

670	So it wouldn't surprise me if it came across Beth's
671	desk. It would also not surprise me if it came across
672	one of her deputies' desks. But when it did,
673	counsel's office for the governor was not weighing in
674	on health policy. What do they know about what
675	doctors should and shouldn't be doing?
676	Clearly, they could pose questions if they saw
677	something that they thought looked off or didn't make
678	sense to them, but really their reviews, as I
679	understand it today and understood it after the fact,
680	was, does this conform with the four corners of the
681	law? Are you violating anyone's civil rights? We
682	just signed these executive orders. Does anything
683	you're doing conflict with what we just did? So that
684	was primarily their role.
685	Q And for much of this, it's been after the fact. I
686	assume after the April 20th press conference, you kind
687	of went through and was, like, where does this come
688	from, and asked a whole bunch of questions. Is that
689	accurate?
690	A That's essentially yes.
691	BY MR. EMMER.
692	Q Let's talk about Mr. Larry Schwartz. What was his
693	role in the response to the pandemic?
694	A Larry essentially played two roles. Early on, he

695	came in the middle of March, I want to say, and he was
696	sort of deputized to be the governor's point on surge
697	and flex, which was the hospital system in New York
698	with its fiefdoms, you know, 382 individual fiefdoms.
699	And the job of surge and flex was to unify that
700	hospital system.
701	It happened because of Elmhurst in Brooklyn. I don't
702	know if you remember that. It was a city-run hospital
703	in Brooklyn that essentially collapsed. And the
704	governor had this moment where he called a bunch of us
705	into his office and said, why am I reading about this
706	in the paper, that this hospital is collapsing in
707	Brooklyn? Because they don't talk to each other.
708	He's like, that ends today.
709	So Larry's job was essentially to get all of the
710	hospitals to start talking to each other. They came
711	up with a system whereby they reported daily how much
712	PPE they had, how many beds they had, how many
713	ventilators they had, what the intake numbers were.
714	And he made it's incredible what he did. He
715	basically made it one statewide hospital system, so
716	that if a call was coming in from Queens that said
717	I've got a 55-year-old woman showing symptoms, we
718	think she could have COVID, and that hospital next to
719	her didn't have the ability to take the patient, they

744

720 could say we can't, but go to this one. And they 721 would give that hospital a heads up that the patient 722 was being rerouted there, because they knew that they 723 had the staff and supplies to be able to deal with it. 724 So that was essentially what Larry was dealing with in 725 the first wave of COVID. He left at some point over 726 the summer, came back as we were preparing to do the 727 vaccine distribution, and he became sort of the 728 vaccine czar. And his job was to make sure that the 729 vaccines that we were getting provided from the 730 federal government were getting to the people, that 731 we've sort of prioritized how they should go, nursing 732 home patients first, health care workers, police, 733 fire, school teachers, on down, to be able to get 734 people vaccinated and get things reopened. So those 735 were his two roles. 736 Q Did you ever discuss the March 25th order with 737 Mr. Schwartz? 738 A Not that I recall. 739 Q And I believe you would have answered this in your 740 previous questions, but for the record, you never 741 discussed the origin of the order with Mr. Schwartz? A Not that I recall. 742 743 Q And just really quick, is it true that Mr. Schwartz

lived at the mansion during the pandemic?

- 745 A It is.
- 746 Q Did anyone else live at the mansion?
- 747 A Yes.
- 748 Q Who else?

749 A I lived at the mansion, Stephanie Benton lived at 750 the mansion, Matt Cuomo, who was the governor's 751 cousin, who is a brilliant lawyer who volunteered for 752 the pandemic lived at the mansion. The governor's 753 three children moved in, one of the daughter's 754 boyfriends moved in. It was like basically our pod of 755 people. 756 Q And was there a reason? Was that just quarantining 757 and making sure the governor wasn't --

758 A We tried to limit -- there was an instance, I 759 believe it was on March 20th, where Caitlin, and I'm 760 not going to use her last name for HIPAA purposes, but 761 a person in the office got COVID, and there was a big 762 scare.

And, like, overnight, we changed the protocols at the office, because the fear was that if the governor got COVID or any of us, the senior staff, got COVID, that it could simply hamper our state's response. And so only certain people were allowed to come in to see the governor in person. And you've got to remember, there was, like, no testing at this point.

770	Stephanie Benton had been living in Saratoga, which
771	was like a 50-minute drive from Albany. It didn't
772	make sense. Like, we were working around the clock.
773	Larry moved in because he basically resettled his life
774	from Westchester, where he lived full time, to be in
775	Albany full time, working 24/7.
776	I had been I lived in the city New York City,
777	primarily. Sorry, I know people who are not from New
778	York disdain when New York people say the city as if
779	it's the only city. I lived in New York City
780	primarily, and I had been I had moved up, literally
781	packed a bag for two weeks, and it sat in my apartment
782	for six months.
783	I had originally been staying with family until there
784	was a scare where my father had been in a meeting with
785	somebody who literally dropped dead three days later.
786	And there was this scare that, did my dad have COVID,
787	first and foremost? That was my personal fear. But
788	then did I have COVID and was I taking it to work with
789	me? It was clear I could no longer stay with family.
790	So it sort of evolved until, like, it became
791	essentially like a work forum, if that makes sense.
792	Q Do you recall having discussions related to the
793	March 25th order with Mr. Raske?
794	A I remember having conversations with Raske when we

795 were -- when the Department of Health was getting 796 ready to issue the July report. 797 Q Do you recall whether -- and Mr. Raske, what's his 798 background? 799 A He is the head of the trade association, the 800 Greater New York Hospital Association, which is an 801 umbrella group of all -- I don't want to say all, 802 because I'm not sure if they all opted in, but the 803 majority of the state's hospitals. 804 Q So did Mr. Raske, on behalf of the Greater New York 805 Hospital Association, ever express support for the 806 March 25th order throughout your conversations with 807 him? 808 A No, it wasn't support for the March 25th order. 809 Q So to be clear, your conversations were related 810 just to the July 6th report? A Sorry, I'm just trying to answer your questions as 811 812 specifically as you're asking them. 813 BY MR. BENZINE. 814 Q So not support, but what did Mr. Raske say about 815 the March 25th order? 816 A He, as well as a number of other health care 817 professionals, maintained from day one that it was the 818 staff that was bringing COVID into the nursing homes. 819 And he knew that we were working -- that the

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820 Department of Health was working on a report that was 821 going to be looking at this specific issue. 822 And I don't recall if he formally weighed in on the 823 report, but I know others who worked closely with him, 824 like Michael Dowling, I believe, was at the press 825 conference. 826 But it was others -- it was in the context of, this is 827 a red herring, it was the staff, everyone knows it was 828 the staff. You're seeing this in every state in the 829 country, and in every country on the globe. You know, 830 and so those were the conversations that I recall. 831 BY MR. EMMER. 832 Q You already partly answered my next question, but 833 besides the conversations about the July 6th report, 834 did you have any other conversations with Mr. Michael 835 Dowling related to the March 25th order? 836 A Very similar to what I just said with Ken. And you 837 would have to look, because my memory is failing me a 838 little bit here, but I think Michael Dowling was at 839 the press conference when Dr. Zucker released the 840 report. 841 Q And we'll discuss the report in more detail later. 842 Since January 2023, have you had any conversations 843 with any former members of the administration about 844 this Select Subcommittee's investigation?

845	Mr. <u>Morvillo.</u> What was the timeline? January 2023?
846	Mr. <u>Emmer.</u> Yes.
847	The <u>Witness.</u> Did I have conversations with whom?
848	BY MR. EMMER.
849	Q With any former members of the Cuomo administration
850	regarding our investigation.
851	A Yes.
852	Q Can you list the people you would have discussed
853	our investigation with?
854	Mr. Morvillo. Other than lawyers.
855	The <u>Witness.</u> Other than lawyers. Well
856	MR. BENZINE.
857	Q Well, other than your lawyer.
858	A Right. I would say Rich Azzopardi, the
859	governor former governor, Stephanie Benton. And
860	we're saying just the Cuomo administration? Was that
861	the question?
862	Q Yeah.
863	A I think that's it. I remember yeah, I
864	remember you guys have a tendency to tweet things
865	and to leak things to the media before people actually
866	get them formally.
867	And so there was a point when you guys tweeted out
868	that you were calling in Linda, Gareth, Jim, a group
869	of people And I nicked up the phone and called Linda

869 of people. And I picked up the phone and called Linda

870	Lacewell and just said, heads up, I don't know if you
871	saw this. Because she's in California, and in, like,
872	a totally different world than the rest of us at this
873	point, so I didn't want her to be blind-sided.
874	Q And I will say that emails with the letters go out
875	before any press goes out.
876	A Fair enough. Sometimes people don't get to their
877	inbox before they hit Twitter.
878	Q I understand.
879	BY MR. EMMER.
880	Q Have you discussed the substance of your testimony
881	today with any of them?
882	A No.
883	Q Have you had any conversations with scratch
884	that.
885	Have you reviewed notes of former Governor Cuomo's
886	
	testimony from his transcribed interview before the
887	testimony from his transcribed interview before the Select Subcommittee on June 11, 2024?
887 888	-
	Select Subcommittee on June 11, 2024?
888	Select Subcommittee on June 11, 2024? A Only the ones you guys issued.
888 889	Select Subcommittee on June 11, 2024? A Only the ones you guys issued. Q Has anyone discussed or described the substance of
888 889 890	Select Subcommittee on June 11, 2024? A Only the ones you guys issued. Q Has anyone discussed or described the substance of former Governor's Cuomo's testimony before the Select
888 889 890 891	Select Subcommittee on June 11, 2024? A Only the ones you guys issued. Q Has anyone discussed or described the substance of former Governor's Cuomo's testimony before the Select Subcommittee on June 11th, 2024 to you?

- 895 with you?
- 896 A No.
- 897 BY MR. EMMER.
- 898 Q Have you had any conversations with Ms. Benton
- 899 since June 11, 2024?
- 900 A In general?
- 901 Mr. Morvillo. You mean substantively about the
- 902 governor, or do you mean any?
- 903 Mr. Benzine. Substantively about this investigation.
- 904 The Witness. No.
- 905 BY MR. EMMER.
- 906 Q Now, similar to the first prompt, I want to ask you
- 907 if you had any interactions with any of the following
- 908 institutions related to COVID-19 and nursing homes
- 909 between January 1st, 2020 and the present.
- 910 Mr. Morvillo. January 1st, 2020 to present.
- 911 Mr. <u>Benzine.</u> Yes.
- 912 BY MR. EMMER.
- 913 Q First, U.S. Centers for Medicare and Medicaid
- 914 Services.
- 915 A I'm sorry, I'm not sure I understand the question.
- 916 Q The question is whether you had any conversations
- 917 related to nursing homes and COVID-19 between January
- **918** 1st, 2020 and present.
- 919 A But with these broad institutions?

- 920 BY MR. OSTERHUES.
- 921 Q With any official from these institutions.
- 922 A Okay.
- 923 BY MR. EMMER.
- 924 Q First, U.S. Centers for Medicare and Medicaid 925 Services.
- 926 A I don't recall.
- 927 Q U.S. Department of Health and Human Services.
- 928 A I don't recall.
- 929 Q U.S. Centers for Disease Control and Prevention.
- 930 A I don't recall.
- 931 Q The Office of the New York State Attorney General.
- 932 A Yes.
- 933 Q And to be clear, that was related to their
- 934 investigation into nursing homes in 2020?
- 935 A If you want to call it an investigation, sure.
- 936 BY MR. BENZINE.
- 937 Q That's a good segue into -- I think you had a phone
- 938 call with the former chief of staff to the former
- 939 Attorney General?
- 940 A Sure did.
- 941 Q The morning of their release?
- 942 A Many. We had many calls that morning.
- 943 Q Okay. I'm going to read one into the record.
- 944 A Okay.

- 945 Q And --
- 946 A This has been publicly reported, too.
- 947 Q Yes.
- 948 A Yeah.

949 Q So according to the public reporting --

950 Mr. Morvillo. You got this from the public reporting.

951 You haven't gotten it from the AG, is what you're

952 saying.

953 Mr. Benzine. Correct.

954 The <u>Witness.</u> Go ahead. Some of my finest work.

955 BY MR. BENZINE.

956 Q "How the fuck can you do this to us without a 957 conversation? Are you crazy? By the way, who the 958 fuck? If you actually gave a damn about the substance 959 and the facts, you would have these conversations and 960 you would sit with our commissioner and you would go 961 through the God damn numbers and you wouldn't fucking 962 blind side us with something where I don't even know 963 where the fuck you're getting your information. 964 "And, no, I don't trust your fucking pencil pushers 965 who did this, because I used to work with them, and 966 when I worked in the Attorney General's office. Don't 967 tell me that you can't do it right now and your hands 968 are fucking tied. You're a fucking liar and you 969 fucking think I'm not going to remember this, you and

970 Tish. Are you out of your fucking mind?"

971 Does that sound about right?

972 A That sounds about right.

973 Q Okay. Why did you have that phone call with the 974 Attorney General's office?

A So first of all, you have to remember, this is with 975 976 Ibrahim Khan, right, who since had to resign as being 977 sued for sexual assault and he's not the most standup character. But putting that aside for a moment. 978 979 They called that morning to tell us they were issuing 980 this report, where they were putting out numbers and 981 saying that we had undercounted nursing home deaths by 982 approximately 50 percent. And they said that 983 they -- that there was a certain number of nursing 984 homes. And I'm blanking on it, sitting here today, 985 but let's call it 300, it was a big number. Nursing homes had never had COVID positive patients until the 986 987 March 25th guidance was put into effect. 988 We had -- the DOH had spent -- New York State DOH had 989 spent months preparing to release the actual numbers 990 of the out-of-facility deaths, as well as looked at 991 the -- you know, the impact of the number of COVID-19 992 patients who had been discharged into nursing homes. 993 And the actual number was not 300, it was three. It

994 was astronomically wrong. And when you drilled down

995 even further, the more offensive thing was they hadn't 996 even done the homework to get the actual numbers of 997 each of the nursing homes. What they did was they 998 called, let's call it, 25 nursing homes and then they 999 extrapolated, based on those 25, and they said 1000 approximately. And this was all approximate. 1001 And they had gone that morning and handed this over to 1002 the New York Times, embargoed for let's called it 1003 10:00 a.m., and they called our office around 8:00 1004 a.m. They called our head of intergovernmental 1005 affairs. Heads up, this is coming out. It says March 1006 25th may -- may not have been impacted. 1007 And we were -- and they knew we were preparing the 1008 following week for Dr. Zucker to go appear before the 1009 legislature, where he was going to go through all of 1010 the numbers, present everything fully and 1011 transparently. It was something we had given our word 1012 to from the legislative leaders the September prior. 1013 And they knew we were doing it, and so they jumped in 1014 front of us. They were furious with us over unrelated 1015 political issues involving Bill de Blasio and the 1016 NYPD, which I am not going to bore you with. But it was a cheap political move. They were politicizing 1017 1018 something that had weaponized real people's pain, and 1019 all of their information was incorrect. So that was

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 $1020\,$ what prompted that call that morning.

1021	And then subsequently, they acknowledged that what
1022	they published was wrong, and they had to revise their
1023	report, and they just dropped a little footnote in,
1024	being like, oopsy, our bad, as the Attorney General of
1025	the State of New York, we released incorrect
1026	information.
1027	So I was very heated that morning. I know, like all
1028	of us sitting in this room, politics ain't beanbag.
1029	Sometimes we get heated. I read last week that Donald
1030	Trump was screaming at the Speaker of the House,
1031	dropping F bombs every other word. My good pal, Elise
1032	Stefanik, I witnessed personally on the street
1033	screaming at Kirsten Gillibrand's finance director,
1034	Ross Offinger, using F bombs every other word.
1035	Sometimes we do these things and we regret it, because
1036	we would all like to keep our cool in the moment. But
1037	I was not cool in that moment. And I was right, and
1038	they were wrong. And I would just find it ironic if
1039	Congress would say that Tish James is anything other
1040	than a political hack, but that's a story for another
1041	day.
1042	Q That's not what I said. I just read back the
1043	transcript.
1044	Mr. <u>Morvillo.</u> You read back what Rebecca Traister

1045 says is the transcript.

1046 The Witness. Look, I don't doubt it. Sometimes I get

1047 hot. You know, it is what it is.

1048 BY MR. BENZINE.

1049 Q And definitely appreciate the explanation.

1050 How did the Attorney General's office know to jump out 1051 in front?

1052 A They knew because the following week was supposed 1053 to be the hearing with -- Gottfried was his name. The 1054 Assembly Senate Health Committee joint meeting. And 1055 they knew that the day before, we were scheduled to go 1056 through all the numbers with them and then publicly 1057 release them. So it was a total cheap shot. 1058 And not only a total cheap shot, and this is the thing 1059 that I think gets lost in all this. They were wrong. 1060 They were indisputably wrong. And they were playing 1061 games with numbers that we had spent months making 1062 sure that were correct and air tight, that the public 1063 was demanding and the press was demanding. 1064 And it was on a topic where real people were rightly 1065 pained. It was about people's parents and 1066 grandparents who died. So to play games like that on 1067 something like that was especially egregious. 1068 And in the conversations I had with them that morning, 1069 I said I'm not asking you to never put out this

1070	report. I'm asking you to wait, like, 24 hours and
1071	sit with our people and make sure before you unleash
1072	numbers into the world that then get reported as fact,
1073	that they're actually factual.
1074	But what I didn't know in that particular moment, but
1075	came to learn very quickly after, was they had already
1076	given it out to the press.
1077	Q And I have no idea how New York State government
1078	works, but my understanding from having done a couple
1079	of these now is that obviously the Attorney General is
1080	independently elected, but also serves as the primary
1081	lawyer for the State of New York and needs the
1082	governor's permission, or like a commission in order
1083	to do an independent investigation. Is that close?
1084	A In what context? They don't need the governor's
1085	permission to do any kind of investigation unless it's
1086	a 63-8, where it's into a specific government entity.
1087	They were not given 63-8 authority.
1088	Q That's what I was asking, is that this was into,
1089	theoretically, a specific government entity's,
1090	Department of Health numbers.
1091	A Yeah.
1092	Q And they were not given the governor the legal
1093	permission in order to do it?
1094	A Correct, although I am not what they did doesn't

1095 even qualify as an investigation. They literally 1096 called, like, 20 nursing homes and asked them numbers. 1097 And then they extrapolated statistically, based on 1098 that and projected out. 1099 And so I'm not even sure that what they did could 1100 classify as any kind of investigation, so I'm not sure 1101 they would have needed 63-8 authority in order to do 1102 what they did there. 1103 What they had originally been charged with doing was, 1104 there had been all of these complaints from families 1105 that were saying, we're calling the nursing homes, the 1106 nursing homes are not getting back to us with 1107 information about our loved ones. We were told that my grandmother is still alive, but I've since heard 1108 1109 she died three days ago. Egregious, horrific things 1110 that I can only imagine what it would be like to be on 1111 the other end of that. 1112 We asked her to investigate that. She has MFCU under 1113 her, which is the Medicaid Control Unit -- Fraud 1114 Control Unit. So MFCU is empower to look at -- and 1115 obviously so much of nursing homes is done through 1116 Medicaid -- look at what was going on there, and if 1117 there were violations, she was empowered to hold them 1118 accountable. 1119 But instead of doing that work, she produced this

1144

BY MR. EMMER.

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1120 political report which was provably false, which then 1121 had to be updated. And that was that. 1122 Q Thank you. I appreciate it. 1123 BY MR. EMMER. 1124 Q Continuing on with the list of whether you had 1125 conversations with entities between January 1st, 2020 and the present. So moving on. 1126 1127 The next one, the Manhattan District Attorney's 1128 Office? 1129 A No. 1130 Q The New York State Comptroller? A No. 1131 Q The New York State Assembly Judiciary Committee? 1132 1133 A I've lost track. This is about this investigation? 1134 BY MR. BENZINE. 1135 Q Nursing homes and COVID. 1136 A Okay, no. 1137 BY MR. EMMER. 1138 Q Do I need to repeat the last? 1139 A No, no, no. I haven't been talking to people from 1140 those places since January 1 of this year. 1141 BY MR. BENZINE. 1142 Q No, this is going back to 2020. 1143 A I'm sorry.

1145	Q I'll repeat the prompt, and then we'll go through
1146	the last few.
1147	A Okay.
1148	Q So whether you had any interactions with any of the
1149	following institutions related to COVID-19 and nursing
1150	homes between January 1st, 2020 and present.
1151	A Okay.
1152	Q So the Manhattan District Attorney's Office?
1153	A No.
1154	Q The New York State Comptroller?
1155	A No.
1156	Q The New York State Assembly Judiciary Committee?
1157	A No.
1158	Q The U.S. Department of Justice?
1159	A No.
1160	Q Northwell Health?
1161	A Could I I didn't with the U.S. Department of
1162	Justice, but I know that they did an investigation and
1163	the documents were turned over, but I didn't have any.
1164	BY MR. BENZINE.
1165	Q We're just asking about you, personally.
1166	A Just to clarify for the record.
1167	BY MR. EMMER.
1168	Q Northwell Health.

1169 A Northwell Health. That would be Michael Dowling,

so yes.

- 1171 Q McKinsey & Company? 1172 A Not that I recall. 1173 Q And then finally, already sort of answered, but the 1174 Greater New York Hospital Association?
- 1175 A Yes.

1170

- 1176 Q And let's just focus on the Greater New York
- 1177 Hospital Association really quick before we move on.
- 1178 What was your relationship with the Greater New York
- 1179 Hospital Association?
- 1180 A I wouldn't say I had a relationship with them.
- 1181 They were an umbrella organization that represented
- 1182 private hospitals in New York. And so from time to
- 1183 time, we would -- I would interact with them, not
- 1184 really on anything that much, that I can even recall
- 1185 specifically until COVID. Most of their interactions
- 1186 would happen through the budget office.
- 1187 BY MR. BENZINE.
- 1188 Q Did you have a recusal agreement in place with
- 1189 Bolton-St. Johns?
- 1190 A Yes.
- 1191 Q What was it?
- 1192 A So in 2017, when I became secretary to the 1193 governor, rightly, there was a lot of scrutiny around 1194 the fact that my father was a lobbyist in Albany. And

1195 so we worked with JCOPE -- what was JCOPE at the time, 1196 which was the Ethics Committee. And essentially put a 1197 wall up between any of my father's clients and myself. 1198 And my father's firm took the extraordinary step of 1199 changing their profit-sharing structure, so that my 1200 father and his team, which included my brother and 1201 sister, could only financially benefit from clients 1202 they themselves served. So there was -- so they 1203 didn't touch anyone else's clients, and they couldn't 1204 make any money from anyone else's clients at the firm. 1205 And so I was recused from any of his specific clients. 1206 And in some instances, I took the extraordinary step 1207 of recusing on an entire issue area if I thought it 1208 could appear that there was a conflict. Casinos, for 1209 example, I would walk out of rooms during meetings 1210 with the legislative leadership. And so that's how the recusal worked. 1211 1212 Despite what has been reported in the media, my father 1213 did not represent the Greater New York Hospital 1214 Association. At one point, his name was listed on a 1215 lobbying -- lobbying thing, like, pre-2017, back when 1216 the firm would just list every person at the firm 1217 under every client. 1218 But in 2017, when I became secretary, that changed. 1219 My father's name never appeared under Greater New

- 1220 York. So in 2017, forward, he never had anything to1221 do with health care.
- 1222 Q And the recusal agreement was consistent throughout 1223 the pandemic?
- 1224 A Correct.
- 1225 BY MR. EMMER.
- 1226 Q And just for the record, one of -- something that's 1227 been publicly reported was Greater New York Hospital 1228 Association having a role in this immunity clause that 1229 was included in the budget. Was that something that 1230 you would have worked on?
- 1231 Mr. Morvillo. What immunity clause? Before you ask,
- 1232 I want to define.
- 1233 Mr. <u>Emmer.</u> And we can return to it later. I actually1234 don't have it in front of me.
- 1235 Mr. Morvillo. Can you just give us a general
- 1236 description?
- 1237 Mr. <u>Benzine.</u> It was, like, immunity for hospitals and
- 1238 nursing homes. It was pretty much an expansion of
- 1239 good Samaritan laws, is how I read it.
- 1240 The Witness. I had nothing to do with that. But,
- 1241 again, and I want to make sure it's super clear for
- 1242 the record, I interacted with Greater New York, but it
- 1243 wasn't my father's client. And the Ethics Committee
- 1244 was aware of this, and this was something we worked

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1245	out with them.
1246	Mr. <u>Emmer.</u> We can go off the record.
1247	(Recess.)
1248	We can go back on the record.
1249	BY
1250	Q Good morning, Ms. DeRosa.
1251	A Good morning.
1252	Q Thank you for your voluntary participation in
1253	today's interview. My name is series I am
1254	counsel with the Minority. We'll start with an
1255	exhibit.
1256	A Sure.
1257	(Minority Exhibit A was identified
1258	for the record.)
1259	BY
1260	Q Exhibit A is a February 12, 2021 statement you
1261	released regarding comments you made on a February 10
1262	Zoom call with Democratic members of the state
1263	legislature.
1264	Mr. <u>Morvillo.</u> What year was it, 2021 or 2020?
1265	The statement is February 12, 2021.
1266	Mr. <u>Morvillo.</u> I just didn't hear the last digit.
1267	Thank you.
1268	BY
1269	Q Do you recall the February 10th Zoom call?

A Yes.

1270

1271 Q What led to that Zoom call? 1272 A So we had -- as I previously explained, we had an 1273 agreement with the legislature going back to the 1274 previous fall that once they came back to session, 1275 they had a whole list of questions that 1276 out-of-facility numbers was just one of them, but that 1277 we would get them all those answers when we came back. 1278 And they had their first legislative hearing. And 1279 when Tish jumped out in front of us with her 1280 incorrect, factually wrong report, we had to change 1281 everything very quickly. 1282 And so we told them -- I called the legislature and 1283 said, I'm really sorry, we can't wait until our 1284 previously planned meeting next week. We've got to 1285 put our issues -- we've got to put the numbers out 1286 now, which were prepared and done, so that the public 1287 is getting the right numbers in the same media cycle 1288 that the wrong numbers are coming out. 1289 And so we did that, and they were annoyed because they 1290 were like, we had a plan, you guys had a commitment, 1291 you were going to brief us the day before, and then we 1292 were going to have this open hearing. And you told us 1293 this in the fall, and now you jumped out with these 1294 numbers and our members are unhappy.

1295	So we worked it out that we would do a closed door
1296	Zoom with the legislators pretty quickly there
1297	afterwards, totally candid, fluid conversation with
1298	the senior most staff, where they could ask questions,
1299	we could give answers in advance of Dr. Zucker going
1300	and testifying, because things had gone sideways with
1301	what Tish had done. So that was what prompted that
1302	meeting.
1303	Mr. <u>Morvillo.</u> Tish, meaning Tish James, the Attorney
1304	General.
1305	The <u>Witness.</u> Yeah.
1306	BY
1307	Q If you could go to page 2 of the exhibit.
1308	A Yeah.
1309	Q And the Chronology section.
1310	A Mm-hmm.
1311	Q You wrote that on August 3, Dr. Zucker testified
1312	before the state legislature regarding COVID-19 in
1313	nursing homes.
1314	We spoke to Gareth Rhodes, and he told us that at some
1315	point after that hearing, you had asked him to go to
1316	the Department of Health, which I'll call DOH, and
1317	review nursing home death data.
1318	A Yes.
1319	Q Did you do that?

A Yes.

1320

1321 Q Why? 1322 A Because one of the questions that the legislature 1323 had asked in that August 3rd hearing with Dr. Zucker 1324 was for these out-of-facility nursing home deaths. 1325 And the Department of Health, at some point in the 1326 late spring, had begun asking in their surveys for 1327 those numbers. 1328 But by a cursory review of those numbers, it was very 1329 clear that the numbers were wrong. It wasn't you 1330 thought they were wrong. They were wrong. Some 1331 nursing homes had reported every death in their 1332 facility since March 1st -- or every death out of 1333 their facility from March 1st forward. 1334 Some nursing homes had that a patient left their 1335 nursing home that day, and they predicted they were 1336 going to be dead four days later in the hospital 1337 before that date even came. Some of the nursing homes 1338 put every death outside of the facility from the prior December when we didn't even know COVID was here. 1339 1340 So a cursory review of their out-of-facility 1341 reporting, the one thing everyone agreed on was the numbers were wrong. So we had been honest in the 1342 1343 spring about the fact that, yes, we have asked for 1344 these numbers, but these numbers have to be audited.

1345	There was concerns around double count, there was
1346	concerns around accuracy. And the overall number was
1347	never in question.
1348	In that August 3rd hearing, the legislature really
1349	wanted that out-of-facility number. They followed up
1350	with this letter asking for the out-of-facility
1351	number. And so I asked Gareth Rhodes to go work with
1352	the Department of Health in a good-faith effort to get
1353	them the information that they were looking for, and
1354	to do a real audit and say, okay, guys, we've been
1355	under 1 percent positivity for two months, we have a
1356	lull, let's take the time to actually try to get to
1357	the bottom of this and answer these people's
1358	questions.
1359	That's what prompted it.
1360	${\tt Q}$ Those concerns about the accuracy of the data, how
1361	did you rule out those concerns?
1362	A What I just said. When they had done when DOH
1363	issued they issued over a dozen surveys in the
1364	spring of 2020. They were poorly worded in some
1365	instances, incomplete in other instances. It was no
1366	one's fault. Everyone was doing their best on very
1367	little sleep, but ultimately, there was a point I
1368	don't know if it was mid-May, end of May, early June,
1369	that period all sorts of runs together.

1370	But there was a point when they asked about the
1371	out-of-facility deaths. And like, overnight, there
1372	was this data dump. And people at DOH looked at the
1373	data, spoke to Linda Lacewell and Jim Malatras, and
1374	they reported up to me exactly what I just
1375	articulated. The numbers are wrong. We don't know
1376	how high the error rate is, but there's no way that
1377	they're correct.
1378	And what we had decided, at some point subsequent to
1379	that, was at some time, we're going to go over to DOH,
1380	we're going to actually audit these. What you're
1381	looking at is not what happened in your facility,
1382	which is how the state law requires you to report
1383	deaths.
1384	We're asking for what happened after a person left the
1385	facility, which really, in order to make sure the
1386	information is correct, requires you to find out where
1387	that person went, and then see how where the person
1388	went recorded that person's death.
1389	Does that make sense? Am I making sense to you guys?
1390	Q You're describing what the law was?
1391	A No. I'm saying what the process would be in order
1392	to audit. You would have to say Greg Morvillo was a
1393	patient in my facility, Greg Morvillo left, and went
1394	to Mount Sinai. I believe on this date, Greg Morvillo

1395 died, and I believe it was a COVID death. 1396 In order to confirm that, what you would have to do is 1397 somebody would have to call Mount Sinai, find out if 1398 Greg Morvillo was, in fact, admitted to Mount Sinai. 1399 If he did, in fact, die at Mount Sinai, and what Mount 1400 Sinai recorded the cause of death to be. 1401 And this was another issue that was a complete and 1402 total debacle, which was the nursing homes, this 1403 concept of probables came up -- and I don't need to 1404 get bogged down in this. 1405 But the concept of probables came up in the spring. 1406 One of the things the nursing homes did when we asked 1407 about the out-of-facility numbers was they listed 1408 almost everyone who left their facility as a probable 1409 death in the hospital, without knowing whether or not 1410 the person had COVID. 1411 Now, the hospitals were not counting probable deaths 1412 because the hospitals at that point had testing 1413 capacity. So they weren't guessing, they were 1414 actually testing to say, Greg Morvillo died? Did he 1415 die of COVID? Give him a test. No, he died of a 1416 heart attack. It wasn't COVID at all. 1417 And the concept of probables is not amorphous, I think 1418 you died from it and therefore we're going to call it 1419 a COVID death. It was actually what was listed on the

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1420 death certificate. So this is, like, real data that 1421 existed in the world, but it took legwork to try to 1422 run it all down. And so that's how we knew the 1423 information that we had been initially submitted was 1424 wrong, and that there was a need to do an audit at 1425 some point in the future. 1426 There was a lull in August, the legislature really 1427 wanted that information. And so in a good-faith 1428 effort to respond to them, I said to Gareth, who is a 1429 Harvard-trained lawyer and one of the smartest people 1430 I know, can you please go over and work with DOH, do a 1431 real audit, and come back, so that we can get these 1432 people this information. 1433 Q Back on the chronology. On August 20, you wrote 1434 about the Assembly and Senate each sent letters to 1435 DOH. 1436 A Yes. 1437 Q Did you ask Mr. Rhodes to conduct his audit before 1438 or after receiving those letters from the legislature? A I don't remember. It was all in that same time 1439 1440 period. But the prompt of the audit was in reaction 1441 to the legislature. I don't remember if it was right 1442 after the hearing or if it was right after that letter 1443 came in, but it was because the legislature was 1444 pushing for the information.

1445	Q And on August 26th, you wrote that the DOJ sent
1446	letters to Democratic governors about COVID and
1447	fatalities in nursing homes. Do you know if you asked
1448	Mr. Rhodes to conduct his audit before or after
1449	receiving that August 26th letter?
1450	A It was before.
1451	Q Is it your understanding that Mr. Rhodes reviewed
1452	data from all roughly 613 nursing homes?
1453	A Yes, that is my understanding.
1454	Q Was your request for Mr. Rhodes to review the data
1455	in order for the administration to determine if that
1456	data could be released to the legislature?
1457	A That is correct. Not if it could be released to
1458	the legislature. I would just tweak that. Get the
1459	correct information that then could be released to the
1460	legislature, because we knew that the current dataset
1461	sitting there was wrong.
1462	Q Mr. Rhodes told us that after he started his
1463	review, it took him about a couple of days to complete
1464	it. Is that the same as your understanding?
1465	A I don't doubt Gareth's memory.
1466	Q After his review, did you speak with Mr. Rhodes
1467	about what his review found?
1468	A Yes.
1469	Q What did he tell you?

1470 A So he was really looking at a subset of about -- I 1471 want to call it 3,000 out-of-facility deaths-ish. 1472 Don't hold me to that, maybe 2800, maybe 3200, but in 1473 that range. 1474 And he came back and told me that he had flagged 600 1475 that were obviously either wrong or in need of 1476 necessary additional investigation at first blush. 1477 And again, this was a very rushed, you know, I asked 1478 him to get this, he tried to move quickly. This is 1479 what they found in a very cursory level review. 1480 And so 600 on let's call it 3,000, as I wrote in my 1481 book, is like a 20 percent error rate, maybe even 1482 higher than that at the time. And he said, this is 1483 what I found, I think we would be on okay ground to 1484 release the other ones that I think are okay from the 1485 cursory review, and hold back these ones to do 1486 additional investigation or just determine that they 1487 are, in fact, wrong. And so that's what he said. 1488 Q His review only was over the out-of-facility 1489 deaths? 1490 A We felt confident, as confident as you could during 1491 COVID dealing with nursing homes, on the in-facility 1492 deaths, which we had been reporting on a daily basis 1493 going back to April, including the probables. And 1494 this was specifically the out-of-facility deaths that

1495	he was looking at, is my memory, if I'm that's my
1496	memory.
1497	Q Who else was involved in this conversation between
1498	you and Mr. Rhodes?
1499	A I don't recall. Maybe Beth at a point, maybe Judy
1500	at a point.
1501	Q And this conversation with Mr. Rhodes about what
1502	was found, was that before or after receiving the
1503	August 26th DOJ letter, if you can recall?
1504	A My memory is it was prior.
1505	Q And I think earlier you were talking about your
1506	view about whether the error rate suggested that the
1507	data could or could not be released, and I think you
1508	said that maybe perhaps it needed more review. Is
1509	that a fair characterization of your testimony?
1510	A Correct.
1511	Q Did Mr. Rhodes express a view about whether or not
1512	the data should be released?
1513	A Well, that's what I just said.
1514	He said, I feel okay about this group, but I don't
1515	feel okay about this 600. I think right now we could
1516	release let's call it 1800, whatever the number is,
1517	2,000, and then hold back these 600 and either
1518	conclusively rule them out as being wrong or do
1519	additional review to see if they are right. And then

1520 over time, we can add them back in.

1521 Q What happened next after that?

1522 A The governor gave a speech at the Democratic 1523 National Convention supporting President Biden's 1524 election. The centerpiece of his speech was around 1525 COVID and Trump's terrible, disastrous ability to lead 1526 the nation through the COVID pandemic, and that when 1527 Americans were casting their ballot, they needed to 1528 consider that.

1529 Jared -- the President was furious. That night he 1530 tweeted something like 12 times at the governor and 1531 into the following wee hours of the morning. I got a 1532 furious phone call from Jared Kushner that morning. 1533 Previously, we had flown down to Washington, DC to the 1534 White House in July of 2020 to meet the President and 1535 Jared. And basically, the President's attitude was, 1536 if you stop criticizing me, I'll give you these 1537 infrastructure projects. And it was a naked quid pro 1538 quo. And we had been working on trying to get the 1539 Second Avenue subway extension, the Gateway tunnel 1540 train into La Guardia. 1541 And essentially, an agreement was reached where the

1542 governor would stop criticizing the President for his 1543 COVID management. And in return, they would 1544 fast-track those projects. And they viewed the 1545 governor's convention speech in August of 2020 as a 1546 blatant violation of that agreement. Jared called, to 1547 the extent that he raises his voice, raising his 1548 voice, said the President was furious, said the deal 1549 was off.

1550 I said very clearly back to him, we don't mix politics 1551 and government in this building. Are you telling me 1552 because of the convention speech, you're pulling your 1553 support for these major infrastructure projects? And 1554 Jared essentially hung up on me.

1555 And then within 48 hours, we find out from the New 1556 York Post that the President and DOJ were doing an 1557 investigation into New York, Michigan, New Jersey, and 1558 Pennsylvania for their nursing home admission 1559 policies, this despite the fact that there were a 1560 dozen states in the country, including Republican 1561 states, that had very similar admissions guidance all 1562 issued around the same time. They were just going 1563 after these four. 1564 And in the press release issued by the Department of

1565 Justice, he specifically praised the response of1566 Florida and Texas.

1567 It was maybe one of the more bizarre press releases 1568 I've ever seen put out from something that is supposed 1569 to be a Department of Justice independent body that's

1570 doing things not on the politics, but on the facts. 1571 And so all of this sort of collided at once at the end 1572 of August of 2020. 1573 Q As for the -- let's say -- I think you used the 1574 number 1800 that Mr. Rhodes was comfortable with. Do 1575 you know if those numbers were ever released at that 1576 time? 1577 A So in that moment, we paused, and there was an 1578 acknowledgement amongst people that what the DOJ was 1579 doing was a highly politicized investigation, and that 1580 we had to put aside the legislature's request for this 1581 information and focus on getting back fully and 1582 accurately to the Department of Justice, which the 1583 legislature understood when we spoke to them and said 1584 this is now a different thing. We know your members 1585 want this information, we know the public wants this 1586 information, we want to get it out there, too. We 1587 have to turn our attention to dealing with this DOJ request from this highly politicized and weaponized 1588 1589 Department of Justice. 1590 And so we set aside the legislature's request and 1591 instead turned our attention to DOJ and responded 1592 fully, truthfully, and accurately to the Department of 1593 Justice. 1594 Q I believe earlier you testified that your

1595	conversation with Mr. Rhodes following his review was
1596	before you had received the August 26th DOJ letter; is
1597	that correct?
1598	A I don't remember specifically. It was all in that
1599	same, like, two-week period. So I don't want to say
1600	something incorrect.
1601	Q As for the 600 inconsistencies or so that
1602	Mr. Rhodes found, what happened next to those?
1603	A I believe the Department of Health continued to do
1604	additional work into the fall auditing the numbers.
1605	At one point, I know that they were looking at if
1606	someone went from the hospital and died in a nursing
1607	home, is that now a nursing home death that you
1608	contribute to the nursing home numbers and not the
1609	hospital numbers?
1610	They had continued to do auditing work on the numbers
1611	into the fall, is my understanding. But the request
1612	from the legislature was put on pause while we
1613	responded to DOJ.
1614	Q How did you come to the understanding that DOJ had,
1615	in some way, continued an audit of the numbers?
1616	A The
1617	Mr. Morvillo. You want to rephrase the question?
1618	BY
1619	Q How did you come to the understanding that DOH had

1620 continued an audit into those numbers? 1621 A I remember in October of that year, there was a 1622 discussion about rerunning the numbers to say, if 1623 you're going to properly attribute them to either 1624 hospitals or nursing homes, do you have to take the 1625 numbers out of the -- if they had been a nursing home 1626 person who went to the hospital, I'm like -- you're 1627 getting what I'm saying. 1628 There was more work that was done in October. That 1629 much, I am sure. I know that separately from 1630 that -- I'm not sure what additional work was done on 1631 the 600, or ultimately, if they were ever even 1632 reported or if they were just put aside. 1633 But I know that during that time in the fall, this was 1634 the Department of Justice, you couldn't get anything 1635 wrong, they would pounce on it if you did. This was 1636 all political. And so -- and the legislature was 1637 aware and understood, and we put it aside and 1638 responded to DOJ. 1639 And the one thing I want to make super clear for the 1640 record, which I think I'm sure you guys know at this 1641 point, but I need to say it anyway. The overall 1642 number was never in question. The overall -- this is 1643 like a subset of a number of hospital -- of people 1644 that died in hospitals.

1645 And from day one, they reported the way that they were 1646 reported because New York state law dictates that when 1647 you record a death, you record it by facility in which 1648 they expired. Not to sound crass, but that's their 1649 word.

1650 Just to follow up on that.

1651 BY

1652 Q So after Mr. Rhodes finished his review, had his 1653 600, then the Executive Chamber COVID Task Force got 1654 diverted. Did anyone from Executive Chamber COVID 1655 Task Force continue to look at those 600 numbers at 1656 that point in time?

1657 A I don't know.

1658 Q Okay.

1659 BY

1660 Q A couple minutes ago, you mentioned an October 1661 conversation. Can you tell us more about that? 1662 A So I actually wasn't part of the conversation, but 1663 I knew about it after the fact. That there was a 1664 conversation about, again, the numbers, and there was 1665 a group conversation about, if we are going to 1666 properly, really attribute these numbers, is it fair 1667 to say something is a hospital death if it was a 1668 person who came in off the street not from a nursing 1669 home, into a hospital, got COVID in the hospital, went HVC173550

1670	to a nursing hospital and died, should that person be
1671	a hospital death if you're using the theory
1672	consistently.
1673	And so I know that during October, they looked at
1674	those scenarios as well while they continued to audit
1675	the numbers.
1676	Q If you know, is this the Columbus Day meeting
1677	A Yes.
1678	Q that's been reported?
1679	A Yes, that's been reported in the press.
1680	Q Okay. If we could turn to the third page in the
1681	exhibit.
1682	A Mm-hmm.
1683	Q Which has a transcript of some of your comments on
1684	the February 10th Zoom call. If we could go to the
1685	third full paragraph.
1686	A Mm-hmm.
1687	Q Midway through to the sentence beginning with "We
1688	since have."
1689	A Yes.
1690	Q So in reference to a DOJ inquiry, on the February
1691	10 Zoom call you commented, "We since have come
1692	through that period. All signs point to, they are not
1693	looking at this. They dropped it. They never
1694	formally opened an investigation. They sent a letter

1695	asking a number of questions and then we satisfied
1696	those questions and it appears that they're gone. But
1697	that was how it was happening back in August."
1698	In those comments, is it correct that you are
1699	referring to the August 26th DOJ letter?
1700	A Correct. And I would just like to say all of the
1701	comments during this call were inartful at best. I
1702	wasn't testifying, I wasn't speaking to a member of
1703	the media. A lot of this is shorthand. I literally
1704	hadn't slept in a year. I was not nearly as
1705	articulate as I generally am and was nor was I as
1706	careful as I should have been in specific words that
1707	could later then be taken out of context. But that
1708	was the DOJ letter that I was referencing.
1709	Q Are there any specific words that you said on this
1710	call that may have been taken out of context?
1711	A Yes.
1712	Q What were those words?
1713	A The word "froze." It was I used it
1714	interchangeably with the word "pause." And if I could
1715	get in a time machine and do it all over again, I
1716	would have just used the word "pause," and said, we
1717	paused getting back to the legislature and set it
1718	aside.
1719	And if you look at the context of the dialogue, I

1720	actually used the words interchangeably. But froze
1721	suggested something more nefarious or like there was
1722	something that something other than what it was,
1723	which was that we paused the legislature's request and
1724	put it aside, so that we could deal with the hyper
1725	politicized DOJ inquiry, which we did, and which
1726	later, in July of 2021, was formally and publicly
1727	closed.
1728	Q I think that is consistent with the wording and the
1729	chronology of the statement. Does anything else come
1730	to mind about what could have been taken out of
1731	context?
1732	A That was the biggest one.
1733	Q And that August 26th letter, the requested data
1734	related to public nursing homes; is that correct?
1735	A Correct.
1736	Q And the letter requested an accounting of nursing
1737	home deaths that included out-of-facility deaths; is
1738	that correct?
1739	A Correct.
1740	Q And that letter referred to a
1741	potentially referred to a potential CRIPA
1742	investigation; is that correct?
1743	A Correct.
1744	Q So back to page 2 of the exhibit now. You wrote

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1745	that in September 2020, the governor's office asked
1746	legislative leaders to pause their response time to
1747	letters the legislature sent on August 20 requesting
1748	information about COVID-19 in nursing homes.
1749	What can you tell us about that ask at the time you
1750	made it back in September 2020?
1751	A So it was me and it was the Office of
1752	Intergovernmental Affairs. We spoke to our
1753	counterparts and just said, quite plainly, look, guys,
1754	I know that your members want this information. It's
1755	obviously important that we get them the information
1756	that they want. They have a role to play in all of
1757	this, too.
1758	We just received this letter from Trump's DOJ going to
1759	us and four other Democratic states, again, despite
1760	the fact that a dozen states had nearly the exact same
1761	or very similar guidance. The Republican states are
1762	being left out of this. This is clearly not a
1763	fact-finding mission. This is a farce. It's a
1764	political investigation. And we can't do anything, or
1765	we don't want to do anything that could potentially
1766	give them an opening to go after us for something
1767	benign.
1768	So we need to make sure that we get focus our
1769	efforts and resources on getting to the bottom of the

1770	numbers they asked for, answering them fully and
1771	truthfully, and then we will come back to you guys.
1772	And they said, okay, that's fine. We're back they
1773	were out of session at this point. We are back in
1774	January. We want your word that you will have answers
1775	to all these questions for that first health hearing
1776	that happens in January. And we said you have our
1777	word.
1778	Q And I may be asking you to repeat yourself here,
1779	but in your mind, at the time of the ask of the
1780	legislature, what specific actions or events needed to
1781	occur before you felt that DOJ's inquiry had been
1782	dealt with?
1783	A We needed to answer their request, but at that
1783 1784	A We needed to answer their request, but at that point, it wasn't as simple as answering their
1784	point, it wasn't as simple as answering their
1784 1785	point, it wasn't as simple as answering their question. And like those numbers were scrubbed,
1784 1785 1786	point, it wasn't as simple as answering their question. And like those numbers were scrubbed, scrutinized. We had to make sure that what we gave
1784 1785 1786 1787	point, it wasn't as simple as answering their question. And like those numbers were scrubbed, scrutinized. We had to make sure that what we gave them, we were 100 percent confident no one could flick
1784 1785 1786 1787 1788	point, it wasn't as simple as answering their question. And like those numbers were scrubbed, scrutinized. We had to make sure that what we gave them, we were 100 percent confident no one could flick anything at it. It had to be right.
1784 1785 1786 1787 1788 1789	point, it wasn't as simple as answering their question. And like those numbers were scrubbed, scrutinized. We had to make sure that what we gave them, we were 100 percent confident no one could flick anything at it. It had to be right. So they went through that process. DOH with counsel's
1784 1785 1786 1787 1788 1789 1790	<pre>point, it wasn't as simple as answering their question. And like those numbers were scrubbed, scrutinized. We had to make sure that what we gave them, we were 100 percent confident no one could flick anything at it. It had to be right. So they went through that process. DOH with counsel's office went through that process, answered the</pre>
1784 1785 1786 1787 1788 1789 1790 1791	point, it wasn't as simple as answering their question. And like those numbers were scrubbed, scrutinized. We had to make sure that what we gave them, we were 100 percent confident no one could flick anything at it. It had to be right. So they went through that process. DOH with counsel's office went through that process, answered the request. But it wasn't as simple as like, oh, that

1795 And then, boom, lo and behold, Jeff Clark who has 1796 since been indicted, I think lost his law license for 1797 his role in the January insurrection, put his name on 1798 a letter in October to us wanting the information on 1799 the out-of-facility deaths in the private hospitals. 1800 And, again, we learned of this from the New York Post. 1801 They called us, DOH didn't get the letter. Unlike the 1802 subcommittee who apparently does send it before they 1803 Tweet it, DOJ did not send that letter to the Health 1804 Department for four days. So we're talking October 1805 28th at, like, 8:00 at night, we get a phone call from 1806 the New York Post saying, Jeff Clark is going after 1807 you.

1808 He, by the way, had nothing to do with nursing homes, 1809 he had nothing to do with CRIPA. He was just a hack 1810 who was in there to do the political bidding of the 1811 White House. And this comes, and it was four days go 1812 by after that until they finally send the letter. 1813 Just so you guys can get an understanding of while 1814 trying to manage a once in a century pandemic, the 1815 politics that we were dealing with coming out of the 1816 Justice Department.

1817 And so, again, we get that letter and now we've got a 1818 new set of issues we've got to look at and respond to.

1819 BY

1820 Q I believe you just said October, the letter came?1821 A Mm-hmm.

1822 Q I just want to make sure.

1823 A Yeah, it was the end of October. And the reason I 1824 remember is because it was, like, six days before the 1825 election. It was -- we were, like, coming down to the 1826 wire, and the strategy, as we understood it, was that 1827 the White House was looking for a way to deflect from 1828 its poor management of COVID by going after Democrats. 1829 And Andrew Cuomo, at that point, was the poster child 1830 for good leadership during the pandemic. And so they 1831 were going -- targeting him specifically.

1832 Gretchen Whitmer and Pennsylvania were two swing 1833 states -- you have Michigan and Pennsylvania in the 1834 mix. And then they were also really angry at Murphy, 1835 because Murphy had gotten really vocally opposed to 1836 Trump, which he played the game for a little while, 1837 too, and tamped down his criticism of Trump early on, 1838 because it was communicated to us pretty clearly that 1839 if you were criticizing Trump, you weren't going to 1840 get any federal support in terms of any help with PPE 1841 or ventilators or anything else.

1842 So he walked the line for a while, but during the 1843 summer, he started to become critical of Trump. So 1844 those were the four that were sort of in their 1845 cross-hairs. Newsom, interestingly, was in and out.
1846 They went after Newsom sometimes, sometimes they
1847 didn't.

1848 So that was what was going on. And we received the 1849 inquiry about that letter on October 28th from the New 1850 York Post, signed by Jeff Clark. But then the letter 1851 didn't show up for four days after the fact, so we 1852 didn't even know what we were answering to. But this 1853 was all happening sort of in the background of all of 1854 this.

1855 BY

1856 Q I think you described the political environment at 1857 the time of all these letters. But why was it 1858 necessary to pause the response to the legislature 1859 because of those DOJ matters?

1860 A Look, in retrospect, you can say maybe you could 1861 have walked and chewed gum, but we were making the 1862 feds the priority. It's one thing to get a letter 1863 from your colleagues in the legislature who are asking a number of questions. It's another thing when DOJ is 1864 1865 potentially opening an inquiry, civil or criminal, 1866 into you. You put the other stuff aside and you deal with DOJ, and that was the decision we made at the 1867 1868 time.

1869 Q In your mind, what was the relationship between the

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1870	legislature's letters and the DOJ's letters?
1871	A I'm sorry, can you clarify the question?
1872	Q Were other requests for information to your
1873	administration also paused in response to the DOJ's
1874	letters?
1875	A Not that I'm aware of, but they were specifically
1876	asking for the same thing or subsets of the same
1877	thing. The original letter was public nursing homes,
1878	we had to focus our energy and attention on making
1879	sure that the out-of-facility numbers were properly
1880	vetted, verified, put on the front burner. And we
1881	were deferring to the feds.
1882	Q And at the time of making the ask in September to
1883	the legislature for a pause, was a specific deadline
1884	set for a response to the legislature?
1885	A Yes.
1886	Q And when was that deadline?
1887	A The first Health Committee hearing when the
1888	legislature came back in January of 2021.
1889	Q Do you have a date in mind?
1890	A I don't remember the specific day, but that
1891	was that they said, my two counterparts said both
1892	to me and the head of Intergov, we'll do this, it's
1893	fine, we understand it. But we when they come
1894	back, and they have that first hearing, they need

1895	these answers.
1896	And we said, absolutely, and we'll do a session the
1897	day before where you can get Dr. Zucker to yourself to
1898	grill him, ask him all the questions you want, so that
1899	you feel like you have that dialogue and you have that
1900	information. And then he'll do the public hearing.
1901	But that was our word that we gave them.
1902	Q Are you sure it was in January of 2021?
1903	A It was supposed to be in January 2021. It ended up
1904	being moved to February, after Tish did what she did.
1905	Tish James, for the record.
1906	Q So on September 9th, 2020, the administration
1907	responded to DOJ with the data requested in DOJ's
1908	August 26th letter. Does that sound right?
1909	Mr. <u>Morvillo.</u> What date did you say?
1910	September 9th, 2020.
1911	The <u>Witness.</u> I take you at your word.
1912	BY
1913	Q Did the September request to the legislative
1914	leaders for a pause occur before or after the
1915	administration's September 9 response to DOJ?
1916	A Before. My memory is before.
1917	Q Is it correct that in July of 2021, DOJ informed
1918	the administration that it was closing the CRIPA

1919 inquiry?

1920 A That is correct.

1921	Q If DOJ ultimately closed the CRIPA inquiry in July
1922	of 2021, what led you to say six months earlier in
1923	February that, on DOJ's end, all signs point to they
1924	are not looking at this and that they dropped it?
1925	Mr. <u>Morvillo.</u> I'm sorry, I didn't understand the
1926	question. Can you repeat it?
1927	The <u>Witness.</u> I understood the question.
1928	ВҮ
1929	Q So DOJ closed the inquiry in July 2021. Six months
1930	earlier, in February 2021, Ms. DeRosa said on the
1931	February 10th Zoom call that all signs point to that
1932	the DOJ had dropped the inquiry.
1933	I'm just asking how she came to that conclusion on
1934	February 10th.
1935	A So it was an assumption. But after they had done
1936	the first round of questions and we responded to them
1937	beginning middle of September, September 9th, I don't
1938	believe we had gotten any additional questions. And
1939	so it felt sort of like we satisfied their request.
1940	They looked at it, the numbers were correct, and they
1941	didn't have anything additional.
1942	Then they hit us on October 28th with the Jeff Clark
1943	letter. And so that was, once again, sort of a live
1944	issue. But the belief internally was there's

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1945	obviously no basis for this investigation, none, zero,
1946	and this is all political. And if there was some
1947	there, we would have heard about it.
1948	And then at this point, Trump is out of office, and so
1949	we assumed if something if they were going to try
1950	to do anything rightly or wrongly, it would have
1951	happened with Trump's DOJ because every lawyer I spoke
1952	to said the same thing.
1953	Mr. <u>Morvillo.</u> No, no, we're not talking about
1954	lawyers.
1955	The <u>Witness.</u> Sorry.
1956	The overwhelming reaction as well as from what I heard
1957	from my counterpoints in Michigan, Pennsylvania, and
1958	New Jersey was they have no clear basis for any of
1959	this. It's all obviously political.
1960	So I believed, and it was an assumption, clearly,
1961	because they didn't formally close it until July, that
1962	once Trump's henchmen were out of DOJ, it was over.
1963	BY
1964	Q Also on page 2 of the exhibit, you wrote that, on
1965	October 28th, DOJ sent letters. I believe you
1966	referred to this letter from Clark, I believe?
1967	A On the October 28th? Yeah, that was from Jeff
1968	Clark, yes.

1969 Q Was this inquiry different in any way from the

1970 August 26th inquiry? 1971 A Yes. 1972 Q In what ways? 1973 A It asked for a different group. The August letter 1974 was public hospitals, which -- I'm sorry, public 1975 nursing homes, which was the basis of a potential 1976 CRIPA investigation, which at least had some 1977 legitimate basis. 1978 In reality, like, you could conceivably look at 1979 a -- go through CRIPA if it was a public facility. 1980 This one asked for private facilities, in which case, 1981 no one could come up with a legal theory whatsoever 1982 for why or how it could possibly be justified. But that was the difference. It was private nursing 1983 1984 homes. 1985 Q Between September 9th and October 28th, now that a 1986 response had been provided to DOJ on the CRIPA 1987 inquiry, were there discussions about whether the 1988 administration could provide a response to the 1989 legislature ahead of the January 2021 deadline? 1990 A I believe after the Columbus Day conversation, 1991 which has been reported, where they were continuing to 1992 audit the numbers and look at, like, various 1993 accounting, that sometime in November, the Health 1994 Department -- and this is based on my refreshing of my

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1995	recollection, based on looking back at documents. I
1996	just want to be clear on that. This is not my
1997	immediate forethought.
1998	That Dr. Zucker did a response to the legislature, I
1999	think it was sometime around Thanksgiving or
2000	something, answering the questions the legislature had
2001	asked.
2002	Q Could you explain that a little bit more,
2003	Dr. Zucker providing a response?
2004	A So the letter that came I'm sorry, I didn't mean
2005	to speak over you.
2006	The letter that we were responding to was a letter
2007	that came from the health chairs from the legislature.
2008	So I believe after the additional some additional
2009	work had been done in September and October,
2010	Dr. Zucker prepared a response to that initial August
2011	inquiry from the legislature.
2012	Is that more clear?
2013	Q And the inquiry meaning like, what was asked?
2014	A They had asked, like, a catalog of questions, one
2015	of which was the out-of-facility number. But they
2016	were like sorry, there were approximately, you
2017	know, 20 questions, let's call it.
2018	Q So Dr. Zucker provided a response to the
2019	legislature. How did you know about that?

2020	A He and again, this is from refreshing my memory
2021	from reading articles. I don't remember this from
2022	realtime. He apparently submitted it emailed it
2023	over to the chamber. I may have been a recipient on
2024	it, I may not have been a recipient on it. But he
2025	sent that over at some point. I think it was around
2026	Thanksgiving.
2027	Q What happened to that letter? Did it make its way
2028	to the legislature?
2029	A No, the plan stayed the same. We were going to get
2030	back to them in January with the hearing with the
2031	agreement that we had reached with the leaders.
2032	Q Why?
2033	A Well, I can give you the reason I believe. I don't
2034	remember, like I don't remember having any thought
2035	towards this in realtime. But after the Jeff Clark
2036	letter came in on October 28th, it was sort of like
2037	back to square zero, if that makes any sense.
2038	We were now in a situation where we were dealing with
2039	the Department of Justice, they had a fresh inquiry,
2040	and we needed to be responding to them. And while we
2041	did that, we were waiting on the legislature. We had
2042	every intention of keeping our word to the
2043	legislature, which was to get back to them by their
2044	first hearing when they came back and resumed session

2045	in January. But that was not our priority. Our
2046	priority was getting back to DOJ.
2047	Q The numbers that Dr. Zucker had sent in that email,
2048	were they consistent with the numbers that were
2049	ultimately provided to DOJ?
2050	A I don't remember. I'm not even sure I looked at
2051	the Dr. Zucker prepared letter at that time.
2052	Q Okay. When did the administration provide to DOJ $$
2053	the data requested in the October 28th letter?
2054	A I don't think we ever did.
2055	Q Why not?
2056	A Because you know what? I think that that's a
2057	question for counsel.
2058	Mr. Morvillo. So if there was advice given from
2059	counsel, she is not going to answer that.
2060	The <u>Witness.</u> That decision wasn't made by me. That
2061	decision was with counsel's office, and outside
2062	counsel dealt with DOJ. So that's a question for
2063	them. And I could be wrong. Perhaps they did, but
2064	this is the best of my recollection, but that was
2065	handled by outside counsel and counsel.
2066	BY
2067	Q Did the administration provide a response letter to
2068	the October 28th DOJ letter?
2060	A I don't recall I know there was a lot of sheeting

2069 A I don't recall. I know there was a lot of shooting

2070 at each other in the press, but --2071 Q Did you ever speak with Dr. Zucker about the August 2072 20 legislature letter? 2073 A I'm sure at some point, but I don't have a specific 2074 memory of it. 2075 Q Do you remember if he ever expressed to you that 2076 pausing the response time to those letters was 2077 necessary? 2078 A I'm sorry, can you rephrase the question? 2079 Q Did he ever tell you that the ask for a pause in 2080 responding to the August 20 letters was necessary in 2081 light of the DOJ letters? 2082 A I don't recall. 2083 Q Who said it was necessary to you? 2084 A Those were conversations had with counsel. 2085 Q Do you know how Dr. Zucker felt about the pause? 2086 A I don't. 2087 Q What is your understanding of how the numbers that 2088 were in the Thanksgiving letter from Dr. Zucker came 2089 to be verified as accurate? 2090 A I can't speak specifically to the numbers in that 2091 letter, because as I said to you, I'm not sure I ever 2092 even opened that letter. I can tell you that the way 2093 they audited the numbers was that they would go 2094 through -- and I say they, which I know is a

2095	frustrating term but I know it was some combination
2096	of people of DOH, and I know Gareth Rhodes was
2097	involved in some points and not involved in other
2098	points.
2099	But essentially, when the data was initially entered
2100	into HRS, I think it was HRS. There are a few
2101	different surveys, but one of them was HRS. It was
2102	done it was done by initial, not by names. And so
2103	they would put into I'm sorry.
2104	Mr. <u>Benzine.</u> You can keep answering. When you exert
2105	a privilege, please exert the privilege. Don't just
2106	say it was a conversation with counsel. Actually
2107	assert the privilege.
2108	Mr. <u>Morvillo.</u> I do this my way.
2109	The <u>Witness.</u> Sorry about that.
2110	Mr. <u>Benzine.</u> No problem.
2111	The <u>Witness.</u> They entered it by initial. So for
2112	HIPAA purposes, if Greg Morvillo died, it would go in
2113	GM, and then some sort of identifying detail. I don't
2114	know if it was DOB or what.
2115	But that was so they would have to go through and
2116	then track that against what was put into the hospital
2117	system. And in some instances where the nursing homes
2118	were putting in what they believed to be probable
2119	deaths in hospitals, you actually had to go and pull

2120 the death certificate to see if that was what was 2121 actually listed as cause of death, and I believe 95 2122 percent of the time it was not. 2123 So I am pretty sure, although I was not directly 2124 involved in this process, much of the error rate came 2125 from those reported probables out of facility, which 2126 because the hospitals were doing the testing and were 2127 not recording as probables because they knew one way 2128 or another, that's where much of the error in that 2129 number came from. 2130 But it was a process. They had to track down a human 2131 being attached to initials based on numbers. And mind 2132 you, this is all -- and I know sitting here today, we 2133 forget. But this was while we were trying to get kids 2134 back in school, while we were trying to stand up a 2135 vaccine program, while we were still dealing with the 2136 second wave that was coming. 2137 And there was actually an article I read recently 2138 while I was prepping for this and taking a trip down 2139 memory lane, where all the nursing homes were 2140 complaining about the amount of data we were asking 2141 them to try to report in realtime, and how of course 2142 the error rates were high, and how the questions were 2143 poorly written. 2144 So, like, there was baked into all of this an error

2145 rate. And the thing that mattered when we were 2146 putting out numbers, in general, but specifically when 2147 you're answering a requests coming from DOJ is that 2148 they are right. It has to be right. 2149 BY 2150 Q That audit you've been mentioning, do you know who 2151 was involved in running that audit? 2152 A I'm not sure who at DOH. Gareth was my point 2153 person in August when I asked him to go over and work 2154 with them on it. But I don't know who he specifically 2155 worked with, and I don't know who was working on it 2156 afterwards. 2157 Q Do you know if any members of the Executive Chamber 2158 or the COVID Task Force were working on the audit? 2159 A I don't think so. At that point, Jim Malatras was 2160 gone. He was the head of the SUNY system. Linda had 2161 returned to her role at DFS. Larry Schwartz was back 2162 at his full-time job. So it was really at that point 2163 DOH. 2164 Q Is it correct that on the morning of February 10th, 2165 2021, the administration ultimately responded to the 2166 legislature's letters? 2167 A I will take you at your word. I don't remember 2168 the -- the date is not ingrained in my brain, but that 2169 sounds approximately correct.

PA

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2170	Q Were you involved in preparing that response?
2171	A I am sure I weighed in on that response.
2172	Q That response had an accounting of nursing home
2173	deaths that included out-of-facility deaths, correct?
2174	A Correct. Oh, is this the day Tish's report comes
2175	out?
2176	Q No, it's the date of the Zoom call.
2177	A Oh, it's the date of the Zoom call. But I think we
2178	had previously released those numbers. The date
2179	of the date Tish dropped her report, we released
2180	the audit. We released those numbers in the same news
2181	cycle.
2182	What we did on February 10th, the day of the Zoom
2183	call, was respond fulsomely to their whole list of
2184	questions, as I noted. It wasn't just that one
2185	question. And that was part of it.
2186	Mr. Morvillo. The "they" in that situation being the
2187	Assembly?
2188	The <u>Witness.</u> The legislature. It wasn't just the
2189	Assembly. It was both houses. But those numbers had
2190	been released earlier.
2191	BY
2192	Q So back to the February 10th response.
2193	A Yes.
2194	Q Do you know when the administration began preparing

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2195 that response? 2196 A I can't be certain. I assume it was based on the 2197 letters that Greg prepared around Thanksgiving. 2198 We can go off the record. 2199 (Recess.) 2200 Mr. Emmer. We can go back on the record. BY MR. EMMER. 2201 2202 Q So before we move on, I want to ask you some just 2203 general operational questions, how the governor's 2204 office operated, yourself. 2205 Ms. DeRosa, did you ever conduct business via personal 2206 email? 2207 A Official business via personal email? 2208 O Correct. 2209 A I tried not to. If I did, it was incidental. 2210 Q Did you ever conduct official business via personal 2211 cell phone? 2212 A Official business via personal cell phone. I would 2213 say, yes, because we had Blackberries. I mean, this 2214 is getting into a -- it doesn't matter. Yes. 2215 Q Let's just start with a more general question. How 2216 did the governor's team typically communicate with 2217 each other? 2218 A Verbally, in person. We all tried to be in the 2219 same space when we were working, or email, or pin.

2220	Q Can you explain pin messaging for some of us who
2221	don't have Blackberries.
2222	A Sure.
2223	Mr. <u>Morvillo.</u> That's not cool, okay? It's like
2224	ageist against the Blackberry community here.
2225	The <u>Witness.</u> Pin was just a device-to-device. So you
2226	sent a message, it didn't go through a server, it
2227	would go directly from me to you. It was the most
2228	secure forum, at least at that time. I'm sure there's
2229	many more secure forums now, especially since it's
2230	outdated, of communicating.
2231	BY MR. EMMER.
2232	Q To be clear, did the governor use pin messaging as
2233	well?
2234	A Yes.
2235	Q And as far as non-verbal communication, was that
2236	typically how you would communicate with the governor?
2237	A In non-verbal, yes.
2238	BY MR. BENZINE.
2239	Q He didn't have an email or
2240	A He did not have an email. He didn't text with us,
2241	either.
2242	BY MR. EMMER.
2243	Q Do you recall ever conducting official business by
2244	any other internal messaging app or service?

2245 A No. 2246 Q And did you have a state-issued cell phone? 2247 A Yes. 2248 O More than one? 2249 A At a point, I had two. We were trying to 2250 transition to iPhones, and so at one point, there was, 2251 like, training wheels, like we had a Blackberry and an iPhone, and they were trying to get us to change. 2252 2253 Q Did you have a state-issued email? 2254 A Yes. 2255 Q Did you have more than one state-issued email? 2256 A No. 2257 BY MR. BENZINE. 2258 Q Did you change emails during the pandemic? 2259 A I believe so, because -- I believe so. 2260 Q Because the governor put your email on a PowerPoint slide? 2261 2262 A No, I -- no, I don't think, no. I went through a 2263 period of time where I had, like, legitimate stalkers. 2264 And so it was, like, in consultation with the state 2265 police that we changed my email address. BY MR. EMMER. 2266 2267 Q Did you ever instruct anyone to conduct official 2268 business via personal email or phone?

2269 A Not that I recall.

2270	Q Did you ever instruct anyone from the
2271	administration to delete emails or other official
2272	records?
2273	A Did I ever instruct anyone to delete emails or
2274	other officials records. Only if it was something
2275	that shouldn't have been conducted on email.
2276	Mr. Emmer. At this time, I would like to introduce
2277	what will be marked as Majority Exhibit 1.
2278	(Majority Exhibit No. 1 was identified for
2279	the record.)
2280	BY MR. EMMER.
2281	Q This is an email thread.
2282	Mr. <u>Morvillo.</u> Just a one-pager?
2283	Mr. <u>Emmer.</u> Yes.
2284	BY MR. EMMER.
2285	Q This is an email thread between yourself, Linda
2286	Lacewell, Judith Mogul, Gareth Rhodes, Beth Garvey,
2287	Rich Azzopardi, and other Executive Chamber and Health
2288	Department officials. I will give you a moment to
2289	look it over.
2290	A Mm-hmm. I remember this.
2291	Q So we are focused just on your email at 8:43 a.m.,
2292	where you wrote in all caps, "DELETE THIS
2293	CHAIN - DON'T RESPOND TO IT."
2294	Why did you request that this email thread be deleted?

2295	A Because I, like a dumb-dumb, accidentally copied a
2296	reporter onto the prior chain. And so Taylor Antrim
2297	at condenast.com, I accidentally added when I expanded
2298	the chain and added assistants and other things, I
2299	accidentally added a reporter. And I didn't want
2300	someone to inadvertently respond to a chain with 30
2301	people on it and accidentally respond to a Conde Nast
2302	reporter.
2303	BY MR. BENZINE.
2304	Q Did you separately email Ms. Antrim? Taylor could
2305	go either way.
2306	A I don't remember. I don't remember if I don't
2307	remember.
2308	BY MR. EMMER.
2309	Q What was the administration's retention policy?
2310	A Well
2311	Mr. <u>Morvillo.</u> At what point?
2312	The <u>Witness.</u> At what point?
2313	BY MR. BENZINE.
2314	Q During the pandemic. So January 2020 going
2315	forward?
2316	A So early on in March, as we began issuing executive
2317	orders, we pretty quickly started getting sued. And
2318	so at that time, counsel's office turned off the
2319	deletion, so literally everything during the pandemic

2320 from the time we left office was retained in email. 2321 There was nothing that was deleted. 2322 There used to be, prior to that, a 30-day auto delete. 2323 If something had been deleted in your trash, it would 2324 then be deleted from the server. But because of the 2325 litigation, and we knew pretty early on, there was going to be mountains of it, in order to be 2326 2327 responsible, they turned that off. And so everything 2328 was retained on email during COVID. 2329 Mr. Morvillo. Like this. 2330 BY MR. EMMER. 2331 Q Well, all I'm going to say is, why that's 2332 interesting is because this was only produced by the 2333 Department of Health. We have similar emails from the 2334 Executive Chamber, but it does not include that email saying delete this chain. But we're only asking the 2335 2336 question. 2337 Mr. Morvillo. So we can't comment on why the 2338 Executive Chamber did or didn't turn things over. We 2339 don't have access to that anymore. 2340 BY MR. OSTERHUES. Q When you said that counsel's office had issued like 2341 2342 a litigation hold, we've heard in different interviews, there's lots of counsel obviously worked 2343 for the chamber or the administration. Was that the 2344

2345 counsel's office that Beth Garvey was a part of? 2346 A Kumiki Gibson, who was actually technically 2347 counsel. Beth Garvey was special counsel and later 2348 promoted to counsel. She dealt primarily with 2349 litigation, so she took the extraordinary step of 2350 turning off the auto delete, so that everything was 2351 backed up to server. 2352 Q Okay. 2353 BY MR. BENZINE. 2354 Q What was the retention policy on physical papers? 2355 A If it was an official document, it had to be 2356 retained. Like, if it was a official report. There 2357 was a certain category of things. It's not every 2358 scrap of paper you write something on. But there were 2359 official documents that fell into certain categories, 2360 they had to be retained, and then put into historical 2361 records and sent over to the Department of State and 2362 museum. 2363 Q I know and agree it's not every scrap of paper you 2364 write notes on. But I know in the federal government, 2365 specifically, like the White House, if the President 2366 wrote notes on it, it gets retained and goes to the 2367 archives. Is that similar? 2368 A Nowhere near as stringent.

2369 Q Okay.

2370	A But again, I don't know how the Executive Chamber
2371	decided what to turn over to you guys. But it
2372	was the auto delete was turned off during COVID and
2373	everything was retained.
2374	Q Do you know if the pin messages were retained on
2375	the Blackberries?
2376	A I know that once once investigations started or
2377	if there was anything pertaining to active litigation,
2378	they were retained.
2379	BY MR. EMMER.
2380	Q Just to conclude this line of questioning, did the
2381	governor ever request that you delete emails or other
2382	official documents?
2383	A Not that I recall.
2384	Q Are you aware of any Executive Chamber Task Force
2385	officials deleting official documents?
2386	A I'm sorry, can you reask that question?
2387	Q Are you aware of whether any Executive Chamber Task
2388	Force officials deleted official documents?
2389	A Not that I am aware of.
2390	Q Did you, yourself, ever delete official documents
2391	or emails?
2392	A Not that the emails were all saved, and the
2393	documents were what they were.
2394	Q Thank you. Let's talk about the COVID Task Force.

2419

2395 Can you briefly describe what role the Task Force 2396 played in the administration's response to the 2397 pandemic? 2398 A Sure. So there was the Department of Health, which 2399 was the driver of health policy, and then there was 2400 the COVID Task Force. The COVID Task Force primarily 2401 was to operationalize every aspect of government to 2402 deal with this unprecedented issue. 2403 For example, kids who were food insecure, but we were 2404 closing schools, had to eat lunch. The only way they 2405 would get food is if they went to school and they were 2406 provided free lunch. So you had to deal with that. 2407 We had to coordinate the National Guard going and 2408 picking up lunch from a secure facility, bringing it 2409 to the child's home, leaving it on the front door. We 2410 had to stand up testing facilities across the state. 2411 So that's an operational thing. DSHES came in and 2412 literally stood up and drivethrough testing 2413 facilities. 2414 You know, we stood up field hospitals. The Department 2415 of Labor collapsed, the unemployment system collapsed. 2416 We had to rebuild from the ground up the unemployment 2417 system. 2418 So it was two separate functions. DOH drove the

health policy. The Task Force dealt with all the

2420 operational stuff that was associated with the 2421 pandemic. 2422 Q Do you recall who the members of the Task Force 2423 were? 2424 A They were mainly cabinet members. Most of the 2425 major agencies that would have been impacted were 2426 represented through their commissioners, and then some 2427 former top staff people, like Larry Schwartz, for 2428 example, who came back, Linda Lacewell, although she 2429 was on it in a dual capacity. She sort of was serving 2430 as an on-the-floor person. 2431 You know, she came back and embedded with us, but she 2432 was also the head of the superintendent in the 2433 Department of Financial Services. There were lots of 2434 insurance issues that came up during COVID, so they 2435 were doing it in a dual way. 2436 But it was often sort of misreported and misunderstood 2437 that the COVID Task Force was in some way crafting 2438 health policy. It had nothing to do with one another. 2439 That was purely operational, whereas the DOH was 2440 driving the health policy through Zucker. 2441 BY MR. BENZINE. 2442 Q When Ms. Lacewell was embedded back, was she 2443 appointed special counsel to the governor? 2444 A She was definitely special counsel to the governor,

2445	and she acted as special counsel to me. I relied on
2446	her regularly for legal advice.
2447	Q But that actually went through an appointment
2448	process?
2449	A I don't know how you guys work, but we don't really
2450	have a formal, you know, like, you're not like
2451	Q No, so I'll give the example here. If there are
2452	staffers that are paid out of two buckets of money.
2453	A Yes.
2454	Q So you can be paid by the Speaker and paid by a
2455	committee.
2456	A That's nice that you do that.
2457	Q Well, there's still a cap on how much you can make.
2458	You can't go over the cap.
2459	Was there an agreement like that? Was she paid by DFS
2460	and by the governor's office?
2461	A No, nobody was paid everyone was doing multiple
2462	roles, and no one was receiving additional income for
2463	their time.
2464	Q Was there any kind of official agreement that she
2465	would serve as counsel to the governor?
2466	A I don't know that there was anything in writing.
2467	BY MR. EMMER.
2468	Q Did members of the Task Force have specific roles
2469	or areas of COVID policy that they were responsible

2470 for managing?

2471	A Sure. As I just said, for example, you know, the
2472	DSHES or the OEM like our OEM, they were
2473	responsible at the beginning, when we made New
2474	Rochelle a containment zone for going door to door and
2475	testing people, making sure that if something was
2476	closed, SLA had to go in and actually State Liquor
2477	Authority. They were represented on the Task Force.
2478	When we were reopening restaurants, and there were
2479	limitations on how many people could be inside, it was
2480	their job to go in to hand out tickets and do
2481	enforcement.
2482	So when you say COVID policy, I think of that much
2483	more broadly, in terms of all of the levers of
2484	government and how it impacted COVID, not just health
2485	policy which again was run through DOH.
2486	Q Were there any members that were responsible for
2487	managing nursing home-related issues?
2488	A Not on the Task Force, no.
2489	Q Was there anyone from the Task Force that was
2490	responsible for managing nursing home-related data?
2491	A I would say that the managing of the data, in
2492	general, was done through DOH. Linda Lacewell was
2493	sort of tasked with ensuring the quality of the data
2494	and making sure that the numbers DOH was giving to the

- 2495 governor to report were correct. So if that's what
- 2496 you mean, that's a function she played.
- 2497 Q Were there any members of the Task Force that had 2498 more authority to make decisions?
- 2499 Mr. Morvillo. More authority than what?
- 2500 BY MR. BENZINE.
- 2501 Q The others. Someone's got to make the decision.
- 2502 So, like, who was the decisionmaker?
- 2503 Mr. Morvillo. So you're asking if there was like a
- 2504 pyramid. Okay.
- 2505 The <u>Witness.</u> The only way that this could have worked 2506 was that people were empowered in the things that they 2507 were charged with to be able to make decisions in 2508 realtime, unless it was some massive decision like 2509 closing down the state. That obviously had to be done 2510 by the governor.
- 2511 So I would say that there were a lot of people who
- 2512 were empowered to make decisions in realtime based on
- 2513 their judgment and their elevated status.
- 2514 BY MR. EMMER.

2515 Q When we talked to Dr. Zucker this past December, he 2516 testified -- or effectively testified that, well, he 2517 was the only health care professional on the Task 2518 Force, and that he didn't have regular meetings with 2519 the governor. Do you agree with that

2520	characterization or his characterization?
2521	Mr. Morvillo. Just before she answers that, you're
2522	quoting not quoting, but you're representing
2523	something to her about someone else's testimony. It
2524	would be easier for us if you showed the testimony.
2525	But I don't want her to comment on your
2526	characterization of Dr. Zucker's testimony. I don't
2527	think that's fair to your record, I don't think it's
2528	fair to Melissa to do that.
2529	Mr. <u>Benzine.</u> I'll ask it.
2530	BY MR. BENZINE.
2531	Q Was Dr. Zucker the only medical doctor on the Task
2532	Force?
2533	A I don't know.
2534	Q Did Dr. Zucker have regular meetings with the
2535	governor during the pandemic?
2536	A On a daily basis.
2537	Q Were you a part of those meetings?
2538	A Yes.
2539	Q What were the contents of or like, was it a
2540	standard set meeting, or was it a "when things came
2541	up" kind of meeting?
2542	A It was both. But the standard set meeting
2543	certainly on an every day. As everybody around the
2544	table probably remembers, we did COVID briefings at

2545 11:30. And we would do a meeting for approximately an 2546 hour prior to those briefings that included me and 2547 Dr. Zucker 99 percent of the time. 2548 There were a few days when Dr. Zucker needed to be 2549 home with his family that he couldn't be there, but I 2550 would say 99 percent of the time, Dr. Zucker and I 2551 were always in the room, and then some iteration of 2552 Jim Malatras, Beth Garvey, Gareth Rhodes, whomever 2553 else was sort of plugged into that day's material. 2554 But it was literally for an hour every morning, we 2555 would all sit around the table, talk about the numbers 2556 that came in the night before, talk about the changing 2557 information we received, any shifting guidance, any 2558 shifting circumstances, what decisions needed to be 2559 made. 2560 We would sit and go through the PowerPoint as a group, 2561 including Dr. Zucker. Everyone had an opportunity to 2562 weigh in. The governor would say, am I missing 2563 anything? Am I getting anything wrong? 2564 We would do out, do the press conference. And much 2565 like how I described the meeting after the April 20th, 2566 we would then debrief the press conference in the governor's inner office. 2567 2568 So at least for that first 111 days, Dr. Zucker was 2569 meeting with the governor on a daily basis for an

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2570 extended period of time, and everyone had the floor. 2571 Q And were those meetings prior to the press 2572 conference, like the primary preparation for the 2573 governor for that day, or did he go home with a 2574 briefing book the night before? 2575 A You imagine a world that was much more organized 2576 than the one we were dealing in. 2577 No, it was literally overnight. We would -- we would 2578 wake up, I would get the numbers at 3:30 in the 2579 morning, I would get them texted to me, and I would 2580 send -- turn around and text them to the governor, 2581 like copy/paste -- pin, excuse me, pin them to the 2582 governor. Get to the office around 6:00 a.m. 2583 And it was sort of a mad scramble for 2584 overnight -- so-and-so called from Erie County, and 2585 this came up, and we need to address the fact that 2586 people can't pay their rent because they've all been 2587 laid off. So we need to put a moratorium on rent 2588 payments, so we need to add that to the PowerPoint. 2589 Run it through legal. What does this one say? You 2590 know what I mean? It was sort of that organized chaos 2591 of the moment that could only ever happen during a 2592 once in a century pandemic. 2593 But during those meetings, it was not like, oh, 2594 according to the briefing -- there was no briefing

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2595 done the night before. It was all realtime. And in 2596 those meetings, the governor, when he would do a 2597 PowerPoint, would go around the table and say, you got 2598 anything? You got anything? You got anything? 2599 Anything to add? Okay, let's go. 2600 So there was daily interactions that were substantive, 2601 not merely like a formality. Q Do you recall one of those meetings before the 2602 2603 press briefing where the governor received a phone 2604 call from Mr. Raske? 2605 A Not specifically. 2606 Q Okay. 2607 BY MR. EMMER. 2608 Q Did the governor seek advice from outside of the 2609 government on health care-related issues? 2610 A Yes. 2611 Q As best as you can describe, who was he talking to? 2612 A You know, he's someone who wanted to hear from as 2613 many people as possible. There was this guy, I think his name was Bruce Allred, I would have to double 2614 2615 check it, who was WHO, who came in, like, the governor 2616 developed a rapport with. He came and actually 2617 embedded in Albany with us for a period of time during 2618 the height of the pandemic. 2619 He read an op-ed in the Wall Street Journal, I

2620 remember at the end of March, where a doctor said the 2621 cure is going to be worse than the disease. Get that 2622 quy on the phone. You know, he's talking to him, why 2623 do you say this? Explain to me your rationale. He 2624 was talking to Fauci. He was talking to anyone and 2625 everyone that he could get on the phone with and ask 2626 questions, because we were building the plane while we 2627 were flying it. We were receiving very little 2628 guidance from the federal government. So we wanted to make sure that while we were making 2629 2630 these larger than life decisions, they were as 2631 informed as they possibly could be. 2632 Q Thank you. Can you explain how Health Department 2633 guidance was developed and issued during the pandemic? 2634 A I think I explained that a little bit earlier, but 2635 I can get a little bit more granular, so -- and I know 2636 about this because of one very specific example. 2637 But from what I understand, what would happen is local 2638 health departments, or like, subsets of medical 2639 professionals, let's use the example of EMTs, would 2640 call the Department of Health and say, what do we do 2641 when we show up to somebody's house, and they're 2642 passed out on the floor? Do we give mouth to mouth 2643 when we now know that COVID is a respiratory illness? 2644 And if I do that, am I endangering myself, am I going

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to get sick and die?

2645

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2646 So a lot of times, what I understand, retrospectively, 2647 DOH was constantly issuing guidance in response to 2648 questions it was getting from local governments, local health departments, Greater New York Hospital 2649 2650 Association, the EMTs, whomever it was, to answer 2651 specific questions in a way that's uniform across the 2652 state. Everyone was lost and looking for, what do I 2653 do here in this specific instance, that no one has 2654 ever dealt with before, so -- and then also, as I'm 2655 sure you guys are aware, because you've been working 2656 on this for a while, CDC, CMS, WHO, they were 2657 constantly issuing revised guidance. One day masks don't work, one day masks do work. 2658 One 2659 day everyone should wear a mask, one day no one should 2660 wear a mask. Outside doesn't matter, outside does 2661 matter. It was just constantly evolving. 2662 So it was also in response to the ever-changing 2663 information that they were getting from the federal 2664 government and other top health officials to tweak 2665 prior guidance that came out, in order to make it 2666 representative of whatever the latest best practice was. If that makes sense. 2667 2668 Q And just because you brought it up, and I believe

2669 that you touched on this in your book, but the example

2670 you used was the do not resuscitate order.

2671 A Mm-hmm.

2672 Q And I believe the administration rescinded that

2673 pretty quickly; is that right?

2674 A Yeah.

2675 Q Did you ever figure out where that order originated 2676 from?

2677 A Exactly what I just said, it was the EMTs had asked 2678 for advice through the Department of Health, what do 2679 we do in this specific instance? And so from what I 2680 understand, DOH consulted with their federal 2681 counterparts, and they issued guidance that they

2682 believed was adhering to best practices on what you
2683 should do in that situation.

2684 Q But guidance like that one, would the Task Force 2685 have been consulted on that?

2686 A Like, everyone's got to think of the Task Force as 2687 separate. Task Force is operational. The Health 2688 Department is making the health calls, the Task Force 2689 is standing up field hospitals and closing down 2690 restaurants that shouldn't be open, and getting food 2691 insecure kid lunches. Like, two totally different 2692 things.

2693 Q I just know in your book, you write, "But our 2694 Department of Health routinely issued directives

2695 independently in consultation with members of the 2696 COVID Task Force." 2697 So that's why I ask, would the standard practice be 2698 that Department of Health would develop the guidance, 2699 run it by the Task Force, and then run it by counsel's 2700 office? 2701 A It could or it couldn't. It depended. Like, 2702 sometimes it was Zucker. Sometimes, if it was an 2703 issue area that impacted kids in schools, they would 2704 talk to whoever the representative was representing 2705 K-12. Like, it was -- that was more like an 2706 either/or. Do you know what I mean? Like they could 2707 or they couldn't. They could do it on their own, they 2708 could do it in consultation with them and run it 2709 through counsel's office. 2710 BY MR. BENZINE. Q You said earlier they issued, like, 400 pieces of 2711 2712 guidance in -- I don't remember the period of time, 2713 but a short period of time. 2714 A Yeah. 2715 Q And we're going to get more into the March 25th order. But where I think there's some confusion is 2716 2717 obviously Dr. Zucker's the Commissioner of the 2718 Department of Health, but he didn't know that order 2719 came out, the governor didn't know that order came

2720	out, you didn't know that order came out. Would the
2721	Deputy Commissioner, Ms. Dreslin, be empowered enough
2722	to sign off on that on her own?
2723	A Yes. The same way that I was empowered and Kelly
2724	Cummings, who was our state operations director,
2725	Robert Mujica, were empowered by the governor to make
2726	certain decisions that fell within our bailiwick.
2727	From what I understood about how Dr. Zucker ran the
2728	Department of Health, he is the top deputy, and not
2729	just including Sally, but there were a few were
2730	empowered to make those calls. Otherwise, you would
2731	reach a bottleneck where nothing would happen and
2732	nothing would get done.
2733	BY MR. EMMER.
2734	Q Are you aware of guidance ever being issued
2735	independently from the Department of Health that is
2736	without their knowledge or consultation prior to
2737	issuance?
2738	A The Department of Health?
2739	Q Yes.
2740	A No.
2741	Q It's been reported that agencies, including the
2742	Health Department, needed permission from the
2743	Executive Chamber to issue guidance. Do you know if
2744	that's true?

- 2745 A That's not true.
- 2746 Q So let's pivot to the beginning of COVID-19. When
- 2747 did you learn about COVID-19?
- 2748 A Learned about it as a concept?
- 2749 Q Sure.

2750 A I think I read about it in the papers. You know, I 2751 don't remember if it was the end of December or early 2752 January -- end of December 2019 or early January 2020. 2753 Q When did you learn that elderly populations were 2754 vulnerable to COVID-19? 2755 A I want to say when it was in a nursing home in 2756 Seattle. So that timeframe, end of February, early 2757 March-ish, if that sounds right. 2758 Q Can you generally describe the initial acts that 2759 the administration took to protect nursing homes? 2760 A Yes. Early on in the pandemic, I believe we did, 2761 through executive order, we banned visitation, we 2762 mandated certain levels of PPE. I think that there 2763 was a mandate around dedicated staff, isolating people 2764 who were believed to be COVID positive or have COVID 2765 symptoms. I can't recite all of them, sitting here 2766 today, but --2767 Mr. Emmer. At this time, I would like to introduce 2768 what would be marked as Majority Exhibit 2.

2769 (Majority Exhibit No. 2 was identified

2770 for the record.) 2771 BY MR. EMMER. 2772 Q This is the nursing home guidance entitled 2773 Advisory: Hospital Discharges and Admissions to 2774 Nursing Homes, issued by the New York State Department 2775 of Health on March 25, 2020. 2776 Ms. DeRosa, do you recognize this document? 2777 A I do. 2778 Q And I know we touched on it before, but I just want 2779 to ask to make sure the record is clear. Did you play 2780 any role in the development of this guidance? 2781 A No. 2782 Q And I believe that Mitch already brought up that 2783 Dr. Zucker testified that there was a phone call that 2784 the governor received from the Greater New York 2785 Hospital Association asking him to do something about 2786 nursing home residents that the hospitals wanted to 2787 discharge back into the nursing homes. 2788 You said you didn't recall a phone call, but do you 2789 recall the Greater New York Hospital Association 2790 asking the governor to do anything similar to what was 2791 asked in that phone call? 2792 A No. 2793 Q Do you recall what the primary concern of the

2794 Greater New York Hospital Association in March 2020,

- 2795 what that was?
- 2796 A I mean, in a sentence I would say it was the
- 2797 collapse of the hospital system.
- 2798 Q Based on that, do you think it's possible that this
- 2799 order may have been something that the Greater New
- 2800 York Hospital Association would have been interested
- 2801 in having the administration issue?
- 2802 Mr. Morvillo. Wait, are you asking her to speculate
- 2803 on what the Greater New York Hospital Association
- 2804 wanted, or what she was aware of?
- 2805 Mr. Benzine. If she was aware of it.
- 2806 The Witness. I was not aware of it.
- 2807 BY MR. EMMER.
- 2808 Q And I believe you may have already been asked this, 2809 but did you ask where the order originated from?
- 2810 A Yes.
- 2811 Q And to be clear, did you receive an answer on where 2812 the order came from?
- 2813 A After the press conference, when I was asking 2814 questions about what the order did, where it came 2815 from, what it was based on, et cetera, I recall being 2816 told that it was drafted initially by -- I want to say 2817 it was like a midlevel person in the public health 2818 group that worked in the nursing home group, in 2819 consultation with or alongside with someone senior at

2820	DOH. I'm using Sally's name, but I don't want to
2821	commit myself to saying it was Sally. But it was
2822	someone at Sally's level that they were working with.
2823	Q And the person who told you this, was that
2824	Dr. Zucker?
2825	A I think it was Dr. Zucker. It could have been
2826	Linda Lacewell. It was someone in that the room
2827	was a little interchangeable.
2828	Q After the press conference, when you learned about
2829	the order, when you asked for an explanation, do you
2830	recall whether there were any discussions about
2831	potentially rescinding the order at that time?
2832	A Not
2833	Mr. <u>Morvillo.</u> Are you talking about on April 20th?
2834	Mr. Emmer. April 20th or the days following.
2835	Mr. <u>Morvillo.</u> Just a few days, okay.
2836	The <u>Witness.</u> Not at that time.
2837	BY MR. EMMER.
2838	Q When did you have discussions related to rescinding
2839	the order?
2840	A You're using the word rescinding. I would use the
2841	word superseding.
2842	Q Okay. And
2843	BY MR. BENZINE.
2844	Q When did those discussions begin?

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2845 A I believe we did the superseding order on May 10th. 2846 So in the days leading up to May 10th. 2847 Q We just talked a little bit about what you know 2848 about who drafted the order, and I think what you said 2849 in the first hour was that it originated based off of 2850 March 23rd CDC or CMS guidance. 2851 A Mm-hmm. 2852 Q So was it your understanding that it was Department 2853 of Health just kind of, like, independently putting 2854 out guidance, or were they being lobbied or asked for 2855 help? 2856 A My understanding, which I think I wrote in my book, 2857 was that it was issued at a time when the hospitals -- when they were concerned about the 2858 2859 hospitals collapsing. And in response to questions 2860 from both hospitals and nursing homes about when and 2861 under what circumstance is it appropriate to discharge 2862 nursing home patients who had been in hospitals who 2863 had either been COVID positive or suspected to be 2864 COVID positive. 2865 Q And I don't want to testify for you, so if this is 2866 kind of the wrong sequence of events, let me know. But so sometime -- we'll go like mid-March-ish, DOH is 2867 2868 getting those questions. They're like, how do we 2869 respond to this? Pull up CDC CMS guidance

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2870 from -- March 23rd was the most up to date, I think it 2871 was CDC guidance. March 13th or 14th might have been 2872 the most up-to-date CMS guidance. And then DOH 2873 drafted the March 25th advisory. 2874 A I think it was later than that. 2875 Q Okay. 2876 A And the reason I say that is in preparation for 2877 today, I looked back at some press releases and tried 2878 to refresh my memory of what was going on day-to-day, 2879 because everything evolved so quickly. It sort of 2880 went from 0 to 60 like that, and it wasn't like, oh, 2881 we've got this problem we're learning about on the 2882 15th. And ten days later, we'll issue guidance. 2883 Like, the issue of the hospitals potentially 2884 becoming -- collapsing was a result of -- I don't 2885 remember if Elmhurst predated or came after it, but 2886 Elmhurst I know was a big factor. Italy was obviously 2887 a big factor. But Johns Hopkins, Columbia University, 2888 a lot of these started putting out these projections 2889 of, if it kept going the way it was going, we were 2890 going to need 150,000 hospital beds. Statewide, we 2891 only had something like 42,000 hospital beds and we 2892 were going to become Europe. 2893 But that wasn't -- like, we didn't close down, we

didn't put New York on pause until March 20th. So if

2895	you think about that chronologically, March 13th, I
2896	think we closed the schools. And it wasn't even
2897	statewide, it was just the downstate schools.
2898	And then it was, like, all of a sudden and by the
2899	way, three days leading up to that the teachers union
2900	was, how dare you close schools. And then three days
2901	later, they were like, close the schools. This stuff
2902	was changing so rapidly.
2903	So I don't think that this was a middle of March
2904	discussion that then metastasized ten days later. I
2905	think it was a like a March 23rd or March 22nd
2906	discussion, you know what I mean, and turned around in
2907	a couple of days.
2908	Q That's what I was asking, what the timeline here
2909	was, if it was a 48-hour turnaround, a two-week
2910	turnaround.
2911	A Yeah.
2912	Q So it sounds like, based off of what you know, not
2913	being involved in the origination of it, it was like a
2914	March 22nd, 23rd, 24th
2915	A Yes.
2916	Q and then issued on the 25th.
2917	A Yes. And then something was recently reported in
2918	the press, which I had never seen before, and which
2919	there was a denial, and so I don't put a whole lot of

2920	credence in it. But a reporter recently said it was
2921	done over two days. It was done on the 23rd and 24th
2922	and issued on the 25th.
2923	Again, like, I need to take everybody back to what I
2924	said to you prior. March 20th, we shut down the
2925	state, and that was essentially when the governor said
2926	to me, Beth and Robert, go close the budget. This
2927	side of the world is going to do COVID.
2928	So that was when like, especially why I say, like,
2929	I'm sure it went through counsel's office for some
2930	sort of, does this fit within the four corners of the
2931	law review. Do I think Beth Garvey was negotiating a
2932	\$151 billion budget stuffed with policy, and also, why
2933	am I looking at this stuff? No way. I think it was
2934	probably a deputy of hers who it came across their
2935	desk.
2936	Q Thank you.
2937	Mr. Emmer. At this time, I would like to introduce
2938	what will be marked as Majority Exhibit 3.
2939	(Majority Exhibit No. 3 was identified
2940	for the record.)
2941	BY MR. EMMER.
2942	Q This is the Impeachment Investigation Report that
2943	was issued by the New York State Assembly Judiciary
2944	Committee on November 22nd, 2021.

- 2945 Ms. DeRosa, do you recognize this report?
- 2946 A I do.
- 2947 Q And I may have already asked this, but were you
- 2948 interviewed by the Judiciary Committee?
- 2949 A No, I was not.
- 2950 Q So I just want to direct your attention --
- 2951 BY MR. BENZINE.
- 2952 Q Were you requested to be interviewed and just not
- 2953 interviewed, or not even requested?
- 2954 A No, they requested and declined.
- 2955 Q You declined or they declined?
- 2956 A I declined.
- 2957 Q Does the New York State Assembly Judiciary
- 2958 Committee not have subpoena power?
- 2959 A They do not. They no longer had legal standing
- 2960 because the governor was out of office. So this was
- 2961 just basically like a taxpayer kind of fishing
- 2962 expedition with no legal standing.
- 2963 BY MR. EMMER.
- 2964~ Q So I want to direct your attention to page 41, and
- 2965 we're looking at subsection G, the second paragraph.
- 2966 And I'll give you a moment to read it.
- 2967 Mr. Morvillo. Subsection G, the second paragraph?
- 2968 Mr. Emmer. The second paragraph.
- 2969 The Witness. Okay.

2970 BY MR. EMMER.

2971	Q So it says, "During testimony before the New York
2972	State Senate in August 2020, a senior Executive
2973	Chamber official, who was in the room where a senior
2974	DOH official was remotely testifying, wrote a message
2975	on a whiteboard suggesting that the senior DOH
2976	official testify, in effect, that the March 25th
2977	directive was authored by DOH and that the Executive
2978	Chamber was not involved. This statement was not
2979	true, and the senior DOH official did not make such a
2980	statement in the testimony."
2981	I'm going to refer to Dr. Zucker's testimony, but he
2982	told us in December that he was the DOH official
2983	referenced here, and that you were the senior
2984	Executive Chamber official referenced. Do you recall
2985	this occurring?
2986	A I do not recall this occurring.
2987	Q Do you recall ever instructing Dr. Zucker to
2988	testify that the March 25th directive was authored by
2989	DOH and that the Executive Chamber was not involved?
2990	A I do not recall that.
2991	BY MR. BENZINE.
2992	Q I think I can guess the answer to this question,
2993	but I'll ask it anyway. The impeachment report said
2994	that the statement that the March 25th directive was

2995 authored by DOH and the Executive Chamber was not 2996 involved was not true. Asking you to speculate a 2997 little bit, but do you think the involvement that 2998 they're referencing is the counsel review or during 2999 your --3000 A So here's what I will say about this. The 3001 impeachment report has -- they looked at many things, 3002 and the section on sexual harassment, for example, has 3003 since been completely discredited. 3004 I put zero credibility in this report whatsoever 3005 because, by definition, it's incomplete, right? They 3006 spoke to, like, a handful of people who said they 3007 would speak to them probably because they were still 3008 working for the state and didn't have a choice or felt 3009 there was some interest in protecting themselves, 3010 whatever it was. But this is an incomplete document. 3011 The whiteboard, there was a whiteboard. I was in and 3012 out of the room. People -- multiple people, including 3013 lawyers, were putting notes up on the whiteboard as a 3014 reminder, or there's this fact, you said this wrong, 3015 make sure this is correct. 3016 If this was put up on the whiteboard, and it could 3017 have been, could it have been me? Maybe. I don't 3018 remember it. As I sat there in August, as I sat there 3019 in April, when I said, where did this come from? As I

3020 sit here today, it is my understanding that the 3021 Department of Health drafted the order -- guidance, 3022 excuse me, now I'm using your language -- drafted the 3023 guidance and were charged with implementation and 3024 oversight of it. 3025 So I don't think that that's an incorrect statement 3026 either way. If someone wants to say, oh, because it 3027 went through counsel's office review, that somehow 3028 means that, then that's their interpretation. And so 3029 he said or disagreed with it or didn't say or 3030 disagreed with it, but there was no malintent. 3031 Q And just in your, for lack of a better phraseology, 3032 after-action review of where the order came from, you 3033 didn't learn anything of any Executive Chamber 3034 involvement beyond the possibility of counsel? 3035 A Correct. Here's what I will say. No one ever said 3036 to me, the governor got a call from Ken Raske before 3037 the meeting that you were in. 3038 BY MR. EMMER. 3039 Q Thank you. So now I want to return back to the 3040 guidance itself. And we're looking at the first 3041 sentence of the fifth paragraph that is underlined. 3042 It says, "No resident shall be denied readmission or 3043 admission to the nursing home solely based on a 3044 confirmed or suspected diagnosis of COVID-19."

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3045 Can you briefly explain to us how nursing homes were 3046 to interpret that requirement? 3047 A Well, I'm not a doctor and I don't play one on TV, 3048 so I would defer to medical experts on that. The way 3049 I, as a layperson, interpret it, and as it was 3050 explained to me at the Department of Health, solely on 3051 the basis was so that you didn't end up in a situation 3052 like in the '80s, where they had to do similar 3053 guidance because of the AIDS epidemic, where all of a 3054 sudden, you had hospitals who said I'm not accepting a 3055 patient because they have AIDS. 3056 So anti-discriminatory language that was stating, 3057 unequivocally, you cannot discriminate against this 3058 person solely because of their confirmed or suspected 3059 COVID status. But the word solely does not take away 3060 their legal obligation under the law to only accept 3061 patients that you can provide care for, which in the 3062 context of COVID meant a whole host of things that we 3063 discussed earlier. BY MR. BENZINE. 3064 3065 Q It would be the isolation, quarantine? 3066 A Yes, PPE. 3067 Q Proper PPE, and other kind of medical care that 3068 nursing homes may not normally be able to have? 3069 A Correct.

3070 BY MR. EMMER.

3071 Q So the very next sentence writes, "Nursing homes 3072 are prohibited from requiring a hospitalized resident 3073 who is determined medically stable to be tested for 3074 COVID-19 prior to admission or readmission." 3075 Do you know why nursing homes would be prohibited from 3076 testing admitted and readmitted residents? 3077 A So at that time -- and again, this was what was 3078 explained to me after the fact. Because there was 3079 such little testing available, they didn't want people 3080 who were no longer sick and they knew not to be sick 3081 because there had been a certain number of days that 3082 had gone by since they had shown symptoms. And the 3083 term medically stable is not a lay term, as we may say 3084 pass it around as government people or lawyers, but is 3085 actually a term of art in the medical community. And 3086 under that, there were several different components of 3087 what it meant to be medically stable. 3088 And the concern was, if you mandated people to have to 3089 take tests or if you required people to be testing 3090 negative, and there were no tests available, you would 3091 have recovered patients in beds in hospitals where 3092 they could end up getting things like sepsis and die. 3093 Like, you never want -- as it was explained to me by 3094 the folks at DOH, you never want to keep a nursing

3095	home patient who doesn't need to be in a hospital in a
3096	hospital, because then they are susceptible of getting
3097	something else that could end up compromising them
3098	further.
3099	And so that was to address the lack of testing that
3100	was available at the time.
3101	Q And you've mentioned a few times that this language
3102	was explained to you. But when you first learned
3103	about it, just reading it, did you have any concerns
3104	with how it was drafted?
3105	A Yes.
3106	BY MR. BENZINE.
3107	Q What were they?
3108	A As a again, as a layperson, and if you actually
3109	look back at press conferences which I don't know
3110	why anyone would want to. But I never spoke about
3111	nursing homes in press conferences until after that
3112	April 20th press conference, because I sort of asked
3113	the DOH folks to give me, you know, all of the
3114	information and explain it to me 17 different ways.
3115	Because I was like, I can understand reading this, as
3116	a layperson, how it could be confusing. So I need you
3117	to explain it to me, so we can explain it to the
3118	public, and make sure it's crystal clear for health
3119	providers.

3120 And so I was concerned that if I -- which I think of 3121 myself as a somewhat intelligent human being read this 3122 and was a little bit confused, how others can be 3123 confused. That's when they explained to me, no, no, no, medically stable isn't medically stable. It has a 3124 3125 specific meaning. And solely, solely is based on this 3126 antidiscrimination stuff from the '80s with AIDS. 3127 So the more they explained it to me, the more I 3128 understood from their perspective why it was medically 3129 sound, and why it was consistent with the guidance 3130 they were getting from the federal government, and as 3131 Olson reported Friday, in keeping with the best 3132 practices. 3133 But I was concerned it wasn't being articulated in a 3134 way that people could understand it. And so I tried 3135 to educate myself as best as I could, so that in those 3136 press conferences where Dr. Zucker, who I think is a 3137 phenomenal medical professional, but maybe wasn't 3138 always as articulate as he could have been there, I 3139 tried to step in and explain some of these things so 3140 the public would be clear. 3141 Q And I think it's the CDC guidance does define medically stable. There's two different chunks, 3142 3143 various days or a test-out program.

3144 A Yes.

3145 Q But neither CDC nor CMS prohibited the testing 3146 prior to transfer. And as we talked about, nursing 3147 homes had both CMS regulatory duties and New York state law duties to quarantine, isolate, or otherwise 3148 3149 not take people that they can't care for. 3150 I guess in your conversations regarding the order, was 3151 the assumption that everyone leaving the hospital was 3152 COVID contagious and should be isolated and 3153 quarantined? If you're not able to test them, how do 3154 you know what protocols to follow? 3155 A So as it was explained to me, because it was 3156 medically stable, which meant X number of days since 3157 they demonstrated symptoms, and Y number of days since recovery, that their viral load was so low that they 3158 3159 were no longer believed to be infectious. 3160 So when you were discharging people, they were 3161 both -- they were recovered. I would never use the 3162 word healthy to describe a nursing home patient, 3163 because if you're in a nursing home, by definition, 3164 there's some issue. But that they were medically stable and that they had recovered from whatever they 3165 3166 were there for, and -- and more importantly, they were 3167 no longer contagious. 3168 So they were being sent back to their home which had

3169 skilled nursing capabilities that are unique to that

3170 population of people, many of which suffer from things 3171 like dementia, that you're not going to get anywhere 3172 else. So it was not only appropriate, but it was the 3173 right thing to do.

3174 BY MR. EMMER.

3175 Q You just mentioned how it was explained to you 3176 that, based on the viral loads, they wouldn't be 3177 contagious. Do you know, did they review information 3178 with you that would lead you to believe that the 3179 Department of Health knew that fact on March 25th when 3180 they issued the order?

3181 A Their explanation to me was that this was based on 3182 the guidance that was put out by CDC, CMS on the 23rd. 3183 And I think also, in keeping in line with, like, 3184 infectious disease protocols that had sort of been on 3185 the books for years and developed over time with how 3186 you deal with epidemiological disease and its spread 3187 and containment.

3188 And so, I mean, again, I'm not a doctor, I was doing 3189 my best to try to take them through their paces and 3190 get explanations, so that I could understand it. I 3191 understood why people could be confused. I thought 3192 clarity was really important, and so -- but I was also 3193 trusting the doctors around me.

3194 Q Can you explain the difference between an admission

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3195 and a readmission for the purposes of this document? 3196 A So my understanding is an admission is someone who 3197 had not been in a nursing home prior, had been maybe 3198 gone from home to a hospital, been treated, and then 3199 admitted for the first time to a nursing home. 3200 A readmission was someone who was in the nursing home, 3201 got COVID, went to a hospital to be treated, and then 3202 was readmitted back home. That was my understanding. 3203 Q Do you know who would have facilitated new 3204 admissions into nursing homes? 3205 A I don't. 3206 Q When you learned of the March 25th order, did you 3207 ask how many admissions and readmissions had already 3208 occurred? 3209 A Guidance. Not -- not at that point, and I don't 3210 think we knew. I think we did a survey later. 3211 BY MR. BENZINE. 3212 Q I'll point out it says it's a directive right there 3213 in the first paragraph. 3214 A I understand. But I will also say -- and this is 3215 another very specific example that's worthy of note 3216 for the record. When, at the end of March of 2020, mid-March of 2020, there were complaints that 3217 3218 hospitals were barring women who were giving birth 3219 from having a support partner in the room with them.

3220 PPE shortages, concerns about people in the hospital 3221 that don't need to be there. DOH put out something 3222 similar to this saying, you don't have a choice, you 3223 have to let them in the room. 3224 Hospitals were essentially ripping it up and throwing 3225 it in the trash, and we learned about this on press 3226 reports and on Twitter. So I went to the governor, 3227 and the governor issued an executive order which 3228 carries the force of law and carries a penalty, and 3229 very quickly they were allowing the support people 3230 into the room. 3231 So it's just important to note. I mean, health 3232 guidance carries no weight of law. Whether it has the 3233 word directive in there, it carries no weight of law, 3234 and it certainly does not supersede the underlying law 3235 of nursing homes, which is you cannot accept a patient 3236 you cannot care for. 3237 Q Were you ever aware of a nursing home resident 3238 being discharged from a hospital and readmitted to a nursing home which wasn't their home? 3239 3240 A Can you say that again? 3241 Q A nursing resident leaves nursing home A, goes to 3242 the hospital, is discharged to nursing home B. Were 3243 you aware of a situation like that? 3244 A No, but I'm not sure that's something that would

have bubbled up to me.

3245

3246 BY MR. EMMER. 3247 Q You said that the administration wasn't collecting 3248 data, as far as how many admissions and readmissions 3249 occurred; is that right? 3250 A I don't think at that point. I think we asked that 3251 in a retrospective survey later. 3252 Q Do you have any idea when that retrospective survey 3253 would have taken place? 3254 A I don't want to put my feet in cement on a 3255 timeline, but I think it was in May. 3256 Q When you eventually received the numbers of 3257 admissions and readmissions, were you concerned? 3258 A I didn't know what to think because, like anything, 3259 a number is what on what. Do you know what I mean? 3260 Like anything in a vacuum. But everything is context. 3261 So the question is, what does this mean? How does it 3262 impact? At what point? At what time? So those were 3263 questions. 3264 Q And I believe that you already answered this, but 3265 did you have any role in the enforcement of the March 25th order? 3266 3267 A No. Guidance, with no force of law. BY MR. BENZINE. 3268

3269 Q It's been reported that members of the Executive

3270	Chamber would call county executives and threaten to
3271	take vaccines or PPE. Do you recall anything like
3272	that, in conjunction with the March 25th call it
3273	directive?
3274	A No. And I think Steve McLaughlin is a little
3275	touched in the head.
3276	Mr. Morvillo. And by the way, I'll note it says
3277	advisory in the initial box.
3278	Mr. <u>Benzine.</u> Someone should make sure that it's
3279	consistent throughout.
3280	BY MR. OSTERHUES.
3281	Q It also uses words like "shall" in there a couple
3282	times, too. Those are not advisory words, at least
3283	where I come from.
3284	A No, I understand that, your position. I'm just
3285	articulating the fact, which is it has no force of
3286	law. In the header, it's an advisory, and it didn't
3287	supersede its underlying responsibility under the law,
3288	which is you can't accept a nursing home patient you
3289	can't care for.
3290	BY MR. EMMER.
3291	Q And we're going to talk about the May 10th
3292	superseding event. But were there ever discussions
3293	prior to the May 10th order to maybe reissue this and
3294	provide more clarification, as far as language that

3295 was used? 3296 A The Health Department did, the same week that the 3297 governor got the question at the press conference, the 3298 following day, I believe he went out and did a whole 3299 section of his PowerPoint, making sure people 3300 understood the underlying obligation under the law, 3301 that you couldn't accept somebody who you couldn't 3302 care for. 3303 And later that week, the Department of Health issued, 3304 like, clarifying guidance saying while, like, this is 3305 this, you also have to live up to your obligation 3306 under the law. And putting in writing that their 3307 legal obligation not to take patients they couldn't 3308 care for was never superseded by any guidance that was 3309 put out. 3310 Q Well, you brought up County Executive McLaughlin 3311 earlier. 3312 A For the record, that was a joke. It was a crass 3313 joke. He takes tons of shots. 3314 Q But you do recall him refusing to abide by the 3315 March 25th order? 3316 A I don't recall it in realtime, no. 3317 Q Do you recall how the administration responded to his refusal to abide by the order? 3318 3319 A I don't.

3320 Mr. Emmer. We can go off the record.

3321 (Recess.)

We can go back on the record.

3323 BY

3324 Q Ms. DeRosa, in our last hour of questioning, I 3325 believe you testified that you were unaware if the 3326 administration had ever responded to DOJ's October 3327 28th inquiry; is that correct?

3328 A That's correct.

3329 Q How did you, in your mind, reconcile that the 3330 apparent purpose of the pause by the legislature -- or 3331 for the legislature -- was not being fulfilled? 3332 A I'm sorry, can you ask that question differently? 3333 Q The purpose of the pause was so that the 3334 administration could respond to DOJ's inquiries; is

3335 that correct?

3336 A Yes.

3337 Q How did you, in your mind, reconcile that that was 3338 the purpose of the pause, but apparently the purpose 3339 of the pause was not being fulfilled in responding to 3340 DOJ's inquiries?

3341 A Well, we did respond to their first round of 3342 inquiries that came in sometime in the middle of 3343 September, and then we got the subsequent letter at 3344 the end of October. I'm not saying if we did or

3345	didn't, but at that point, I was deferring to the
3346	lawyers. I don't know if you guys have ever been
3347	involved in DOJ investigations, but you do what the
3348	lawyers tell you to do.
3349	Q Did anyone raise a concern that the administration
3350	apparently was not responding to the October 28th
3351	letter?
3352	A Not to me.
3353	Q To anyone else?
3354	A Not that I am aware of.
3355	Q And switching topics to the Thanksgiving letter
3356	that apparently Dr. Zucker sent to your inbox; is that
3357	correct?
3358	A I don't know if that came to my inbox or if it was
3359	put on my desk, or how it came. But let's say for
3360	purposes of the record, he drafted something, and I
3361	assume it reached me somehow.
3362	Q Do you know if it reached anyone else?
3363	A I don't.
3364	Q Okay.
3365	I'll turn it over to my colleague.
3366	BY
3367	Q Good morning, Ms. DeRosa. Just as an initial
3368	matter, you may have heard reports after Governor
3369	Cuomo's interview with this committee last week that

3370 he said something to the effect of, "who cares," when 3371 discussing nursing home death data. 3372 I want to make it clear and make sure it's on the 3373 record that Select Subcommittee Democrats do care very 3374 much about nursing home data, both the families that 3375 were impacted by COVID-19 in nursing homes and in terms of the need for public reporting of public 3376 3377 health data to be transparent. 3378 While we understand that in the early days of the 3379 COVID-19 pandemic, the New York response was frenzied 3380 by the nature of being caught off guard by an emerging 3381 public health threat, this should have been even more 3382 reason to provide data transparently to the public. 3383 Just putting some context around what we're talking 3384 about. 3385 So I am going to ask you about the Department of 3386 Health report that came out on July 6th that's been 3387 referenced a couple of times. It is titled Factors 3388 Associated with Nursing Home Infections and Fatalities 3389 in New York State During the COVID-19 Global Health 3390 Crisis, and I am going to introduce this as Minority 3391 Exhibit B. 3392 (Minority Exhibit B was identified 3393 for the record.) 3394 BY

3395 Q As an initial matter, are you familiar with this 3396 report? 3397 A Yes. 3398 Q This was the first in-depth analysis of nursing 3399 home data publicly released by DOH, so I assume 3400 multiple people at DOH were involved with pulling this report together. Is that accurate? 3401 3402 A I assume so. 3403 Q Do you know who at the Department of Health was 3404 involved in this report? 3405 A I don't. 3406 Q Do you know of anyone at the Department of Health 3407 who was involved in this report? 3408 A Certainly Eleanor Adams, Howard Zucker, Gary 3409 Holmes, and I assume others who worked under them. 3410 Q Were people outside of the Department of Health 3411 involved in drafting or editing this report? 3412 A Yes. 3413 Q Who was that? 3414 A Jim Malatras, McKinsey. I looked at a draft and 3415 certainly provided feedback. I -- and those are the 3416 people I would say I know for sure. 3417 Q Do you know at what point in the drafting process 3418 the Department of Health shared the report with the 3419 Executive Chamber?

3420 A I don't.

3421	Q And you mentioned reviewing a draft. Was that just
3422	a one-time occurrence?
3423	A No.
3424	Q Do you know how many drafts you did review?
3425	A I don't.
3426	Q Dr. Adams told us that there were two versions of
3427	the report. One was a data driven and academic
3428	version, and then the second was a public version that
3429	was released. She did not claim responsibility for
3430	the publicly released version. Dr. Zucker gave
3431	similar testimony.
3432	Are you aware of there being two versions of the
3433	report?
3434	A I'm sorry, what are you saying, Dr. Zucker claimed
3435	that he wasn't involved in this report?
3436	Q That there was a data driven report and then the
3437	public report, and the public report, he did not claim
3438	ultimate responsibility for?
3439	A The one with his name on it that he did a press
3440	conference?
3441	Q My question for you is, are you aware of there
3442	being two versions of the report?
3443	A No.
3444	Q So the drafts you saw, were they substantially

3445

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3446 A Yes. 3447 Q When you were reviewing drafts, what were you 3448 looking for? What was your role in reviewing the 3449 drafts? 3450 A Again, not dissimilar to when I was asking about 3451 the March 25th order, there's medical speak and jargon 3452 which doesn't translate to lay people, and then 3453 there's, say what you're trying to say, but say it in 3454 a way that a layperson can understand it. 3455 And so I was reading it with a skeptical eye. Number 3456 one, I was very clear that whatever they put out, 3457 whatever the Department of Health put out had to be bulletproof and stand up to scrutiny because it would 3458 3459 be very scrutinized. 3460 So if they were going to claim certain things around 3461 certain issues, it had to be bulletproof. Otherwise, 3462 forget it. This had to be done the right way. And if 3463 they were going to explain something, explain it in a 3464 way that someone like me, who's not a doctor, could 3465 understand it. 3466 And so I would read it and ask certain questions, what 3467 does this mean? What does this mean? Are you trying 3468 to say this? It was for that kind of thing. 3469 On the scientific report, it's not my understanding

similar to what was ultimately released?

3470 that there ever was a scientific report. It's my 3471 understanding that they had talked about wanting to do 3472 one, but that it would take six, nine, 12 months to do 3473 a real peer-reviewed study in a medical journal. 3474 And at the time, we were trying to answer questions 3475 from the press about how COVID got into nursing homes, 3476 and so that was a luxury that didn't exist. And doing 3477 one, then, didn't preclude doing another one later. 3478 Q We did speak to Dr. Malatras about this report as 3479 well, and he told us about his view of his involvement 3480 in the report. He also told us about a phone call 3481 that took place on June 27th, 2020, and he said you 3482 were on this phone call as well, and that you 3483 instructed those on the call about the specific 3484 numbers to include in the report. 3485 Do you recall a June 27th, 2020 phone call that 3486 included Dr. Malatras? 3487 Mr. Morvillo. You can answer that one. You can 3488 answer whether you recall a phone call. 3489 The Witness. I will take your word for the date. 3490 There were many calls around the report, but I don't 3491 challenge that there was a call that Jim was on around 3492 that time. 3493 BY

3494 Q Did you give instructions on a call about what

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3495	numbers to include in the report?
3496	Mr. Morvillo. We're not going to answer that question
3497	based on attorney-client privilege. She is not going
3498	to talk about what was said in that meeting.
3499	Because there were attorneys on the call?
3500	Mr. <u>Morvillo.</u> Correct.
3501	BY
3502	Q Do you recall who else besides Dr. Malatras and
3503	yourself was on this call?
3504	Mr. <u>Morvillo.</u> You can answer that question.
3505	The <u>Witness.</u> Again, there were many calls, but so
3506	there was some iteration of Beth, Linda, Dr. Zucker,
3507	Jim, myself, as a nucleus. There could have been more
3508	people.
3509	ВҮ
3510	Q Did you make decisions about what would go into the
3511	report?
3512	A No.
3513	Q So when you were reviewing drafts, how would you
3514	characterize your notes on the draft?
3515	A More like murder boarding, if that makes sense.
3516	You know, you read a section and then say, this
3517	doesn't make sense to me, answer this question, answer
3518	this question, answer this question. This sentence,
3519	the way it's written, it may make sense to you medical

3520	people, but it will never translate to the press or to
3521	real people who are trying to get at what you're
3522	saying, so say it a different way, or you may want to
3523	try it a different way. It was those sorts of edits.
3524	There were two major decision points on the report,
3525	both of which I went to Dr. Zucker and asked him to
3526	make the determination on, which he did.
3527	Q When you were just listing some of the folks who
3528	may have been included in some of these phone call
3529	conversations, to me, it sounded like Dr. Zucker was
3530	the only one from the Department of Health who was
3531	involved. Is that accurate?
3532	A No, it may he again, there were many calls.
3533	Sometimes Gary Holmes was on these conversations,
3534	sometimes Eleanor Adams was on these conversations,
3535	sometimes other folks that Dr. Zucker would tag in,
3536	tag out. I'm just saying those are the people I
3537	specifically recall.
3538	Q Do you know if Governor Cuomo reviewed a draft of
3539	this report prior to it being released?
3540	A I don't remember.
3541	Q Who had the final approval on the report before it
3542	was released?
3543	A Dr. Zucker.
3544	Q Was your level of involvement with this report

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3545 usual for an agency report?

3546 A It depended on the kind of agency report. Agencies 3547 issue reports all the time, some of which are 3548 statutorily mandated, some of which are important, 3549 some of which are unimportant, some of which I know is 3550 going to get a lot of scrutiny. This report was going 3551 to get a lot of scrutiny. So when they were doing it, 3552 I wanted to make sure that they were able to answer 3553 the questions fully, truthfully, and in a way that 3554 stood up to scrutiny for the press and the public. 3555 Q Let's take a look at the actual report itself. I 3556 want to turn to page 7. There's one full paragraph on 3557 page 7, and in the middle of that paragraph, it gives 3558 numbers of fatalities in nursing homes in New York and 3559 neighboring states. Here, it says that New York's 3560 fatality number was 6,432. Do you see that number? 3561 A Yes. 3562 Q And was that your understanding at the time of the 3563 total number of nursing home deaths that New York 3564 state had experienced at that point? 3565 A That was my understanding at the time of the total 3566 number of deaths in nursing homes confirmed and 3567 probable. Q And what number was not included in that 6,432? 3568 3569 A Out-of-facility deaths that we knew were wrong and

3570 unverified.

3571	Q And was that made clear in this report that there
3572	was a difference in those numbers?
3573	A Somewhere in this report, and I haven't looked at
3574	it in a long time, I know it makes clear that it's
3575	deaths in nursing homes, because this was something
3576	that was in the New York Times and also in the
3577	Assembly report, which says specifically they were
3578	clear about the fact that it was deaths in nursing
3579	homes. Like, that was something that they credited
3580	the Department of Health with, and the New York Times
3581	also had the same.
3582	Q And why were out-of-facility deaths not included in
3583	this report?
3584	A Because the out-of-facility deaths that had been
3585	collected at that point had not been audited and we
3586	knew were wrong. We knew there was an error rate
3587	associated with them.
3588	Q So you knew that even before Gareth Rhodes had gone
3589	and gone through
3590	A Yes.
3591	Q the data.
3592	A As I previously testified, when they first were
3593	dumped, and people at the Department of Health and
3594	people like Linda and others did a cursory review, and

3595 it was clear that there were problems with the 3596 numbers. And it wasn't, oh, we think they could be 3597 wrong, it's we know that they're wrong. We don't know 3598 the extent to which they're wrong, but we know they're 3599 wrong. 3600 And so that was the two decision points with 3601 Dr. Zucker. One was, what do we do with the numbers? 3602 Do we use the one we've always used up until this 3603 point that we feel confident? And confident even is 3604 like a little bit of a shaky term, because in 3605 retrospect, I'm not sure how anyone can be in that 3606 probable number. 3607 But at least confidence that these were the numbers that were given to us in the place of death where it 3608 3609 occurred versus these numbers that we're not 3610 speculating are wrong, we know are wrong, we just 3611 don't know how wrong they are. 3612 And Dr. Zucker's response was, it doesn't matter. 3613 We're looking at a different thing. We're looking at how it walked in. And so let's use the verified 3614 3615 numbers that we've been using, and then we'll promise 3616 to do the audit later, which he also later extensively 3617 testified about in his Assembly testimony. 3618 So if Jim said that I relayed that information to him, 3619 I don't recall. Or if it was in a privileged

3620	conversation, I don't know. But that decision and the
3621	decision about whether or not we included admissions
3622	and readmissions or just admissions were both made by
3623	Dr. Zucker.
3624	Q And were there conversations about holding off on
3625	this report until all the deaths could be verified and
3626	audited?
3627	A I don't remember. At the time, we
3628	felt collectively, DOH, Dr. Zucker, everyone, this
3629	question kept coming up and we really felt the need to
3630	answer to the public.
3631	As I said, the DOH had this idea of doing this medical
3632	journal, peer-reviewed, but it would take six, nine,
3633	12 months. And the decision collectively was made,
3634	let's do this now, and it doesn't preclude us from
3635	doing that down the road at some point. Doing one
3636	now, it doesn't have to be an either/or.
3637	Q Did DOH ever do that report?
3638	A No.
3639	I'm going to introduce Minority Exhibit C.
3640	(Minority Exhibit C was identified
3641	for the record.)
3642	BY
3643	Q This is a New York Times article from originally
3644	March 4, 2021. There's some specific sections of this

3645 article I'm going to point to, but if you want to take 3646 a moment just to look it over, you are welcome to do 3647 so.

3648 A Yep, okay.

3649 Q So the first section I want to draw our attention 3650 to is at the very beginning of the article. It reads, 3651 "Top aides to Governor Andrew M. Cuomo were alarmed: 3652 A report written by state health officials had just 3653 landed, and it included a count of how many nursing 3654 home residents in New York had died in the pandemic. 3655 "The number - more than 9,000 by that point in 3656 June - was not public, and the governor's most senior 3657 aides wanted to keep it that way. They rewrote the 3658 report to take it out, according to interviews and 3659 documents reviewed by the New York Times." 3660 So this 9,000 number that the article uses, or more 3661 than 9,000, that would be the in-facility and 3662 out-of-facility deaths combined? 3663 A I don't know what number that would be. 3664 Presumably, it would be the in-facility and 3665 in-facility probables and out-of-facility and 3666 out-of-facility probables. 3667 Q So closer to the total universe of nursing home-related deaths? 3668 3669 A Again, that -- the out-of-facility number ended up

3670 being wrong to the tune of over 20 percent. So it 3671 included an extra universe of people that hadn't been 3672 previously reported because there were concerns about 3673 the verification of the numbers. 3674 Q Was there a reason, other than accuracy, to keep a 3675 higher number of more than 9,000 out of public 3676 reporting with this July DOH report? 3677 A No. 3678 Q Turning to the next page, the paragraph right above 3679 the picture block. It reads, "The changes sought by 3680 the governor's aides fueled bitter exchanges with 3681 health officials working on the report. The conflict 3682 punctuated an already tense and devolving relationship 3683 between Mr. Cuomo and his Health Department, one that 3684 would fuel an exodus of the state's top public health 3685 officials." Were you aware of conflicts between Governor Cuomo and 3686 3687 the Health Department? 3688 A Was I aware of conflicts in what context? 3689 Q Any context that was happening around the time of 3690 this release of this report. 3691 A Not around the time of the release of this report, 3692 no. 3693 Q Turning to the next page, the third full paragraph 3694 down. It reads, "The aides who were involved in

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3695	changing the report included Melissa DeRosa, the
3696	governor's top aide; Linda Lacewell, the head of the
3697	state's Department of Financial Services; and Jim
3698	Malatras, a former advisor to Mr. Cuomo brought back
3699	to work on the pandemic. None had public health
3700	expertise."
3701	As an initial matter, is it true that none of the
3702	three of you were public health experts?
3703	A I can't speak for Jim. I don't know about
3704	Jim's Jim could have some public health official
3705	type background. He is a Ph.D., he's been head of the
3706	University you know, SUNY in New York. I can't
3707	speak for Jim. I don't have expertise in public
3708	health, beyond what I learned in my master's. And
3709	Linda is a lawyer.
3710	Q And none were public health employees?
3711	A Correct.
3712	Q Dr. Malatras, in his interview with us, did confirm
3713	being involved with drafting the report, but as I said
3714	earlier, he said the decision about what numbers to
3715	include came from you. Ms. Lacewell also confirmed
3716	working on the report, but as she characterized it, it
3717	was what would become the report working on the
3718	numbers and the graphs. But she did say the DOH
3719	report wouldn't exist without her. And again, while

3720	she would not speak about the details of the phone
3721	call on June 27th, she did say that decisions on the
3722	numbers were made on this call, and that you were the
3723	only one with that authority.
3724	Is it accurate that you would have had the authority
3725	to direct what numbers to include in the report?
3726	A No.
3727	Q Were you the one who initially directed
3728	Dr. Malatras to become involved with the DOH process
3729	on this report?
3730	A I'm not sure I would use the word directed. I
3731	think I asked him to get involved.
3732	Q And the same for Ms. Lacewell?
3733	A I don't know that I asked Linda or if the governor
3734	asked Linda, or if just purely by the role she was
3735	playing as that sort of an intermediary with DOH that
3736	she got involved. But if she said that, I
3737	wouldn't if that's her recollection, I wouldn't
3738	question it.
3739	Q Would they have meetings about the report with DOH $$
3740	without you present?
3741	A Yes.
3742	Mr. <u>Morvillo.</u> Wait, who is "they"?
3743	BY
2744	

3744 Q Dr. Malatras and Ms. Lacewell.

3745	A Yes. Sorry, that's who I interpreted that to be.
3746	Yes, they would.
3747	Q Was the report within Executive Chamber thought of
3748	as a DOH report or an administration report?
3749	Mr. <u>Morvillo.</u> Well, she can speak to how she thought
3750	it was, but not how everybody in the Chamber thought
3751	it was.
3752	ВҮ
3753	Q We'll start with that. How did you think of the
3754	report?
3755	A As a DOH report.
3756	Q Was there discussion within the Chamber of it being
3757	owned more by Executive Chamber than the Department of
3758	Health?
3759	A Not that I recall.
3760	Q After the release of the DOH report, there were a
3761	lot of media inquiries, right?
3762	A Yes.
3763	I am going to introduce Minority Exhibit D.
3764	(Minority Exhibit D was identified
3765	for the record.)
3766	BY
3767	Q Minority Exhibit D is an email chain from around
3768	July 9th and 10th, 2020. And you are not on these
3769	emails, so you are free to take a moment to review,

3770	but I'm going to ask some specific questions again.
3771	A Okay.
3772	Q So these emails, just for the record, are
3773	discussing how to respond to a ProPublica request
3774	regarding the DOH report. And if we look at it, I
3775	just want to point out a couple of specific lines
3776	referencing Dr. Malatras.
3777	A Okay.
3778	Q First, at the very top of the first page, so the
3779	last chronological email, it says, "Jim said he is
3780	reviewing the written answers."
3781	A Okay.
3782	Q And if we turn to the third page in the middle,
3783	there is an email from Peter Ajemian, which says,
3784	"hold on. I want Jim to review before you send."
3785	A Okay.
3786	Q And then on the fourth page, towards the bottom,
3787	there's an email from Jonah Bruno and he says,
3788	"Malatras recommendations are highlighted."
3789	A Okay.
3790	Q So in reference my understanding is that all of
3791	those are referring to Jim Malatras. Would that be
3792	accurate?
3793	A It appears that way.

3794 Q And again, just to confirm, Dr. Malatras was not a

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3795	DOH employee in 2020?
3796	A Correct.
3797	Q He was on the COVID Task Force, though, correct?
3798	A Correct.
3799	Q So working closely with the Executive Chamber?
3800	A And DOH.
3801	Q My reading of the way people are referring to him
3802	in these emails is that he was the final authority on
3803	answering questions for the media about or at least
3804	for this ProPublica response about the DOH report.
3805	People are deferring to him in these emails.
3806	A Is there a question?
3807	Q Would you say that is an accurate understanding of
3808	what these emails are saying?
3809	A No.
3810	Q Would you how would you characterize
3811	Dr. Malatras's involvement in the response to media
3812	requests about the DOH report?
3813	A I think that given that he was involved in the DOH
3814	report and had worked on it with DOH, that they're
3815	asking him for his advice on how best to respond,
3816	because he was intimately familiar with the ins and
3817	outs of it.
3818	Q So even Jonah Bruno, who was at the Department of

3819 Health --

3820 A Mm-hmm.

3821 Q -- would be referring to somebody outside of the 3822 Department of Health for the best way to respond about 3823 the report?

A Well, given that he was intimately involved in drafting the report, I don't think it's crazy that they would ask his opinion on how best to respond. But anything coming out of DOH had to be approved by DOH. Depending on how high up the issue was, it had to be approved by Zucker. If Zucker was putting his name on anything, he would line edit it.

3831 When he issued the report, he held a press conference 3832 to explain what was in the report, he line edited the 3833 PowerPoint that went with the report. He made the two 3834 major calls on the decision points about the 3835 admissions versus readmissions and the numbers, and he 3836 said flat out the numbers are irrelevant, it doesn't 3837 matter to what we're doing here. The conclusions are 3838 the same. Use the one we've been using and we'll 3839 audit it later. He testified to all of that to the 3840 Assembly.

3841 So I mean, at the end of the day, DOH -- we were all a 3842 team. So DOH could seek input and guidance from 3843 people, but DOH should not put its name on things DOH 3844 did not want to put its name on. HVC173550

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3845 Q Back on the first page of the exhibit, in the 3846 middle of the page, there is another email from Jonah 3847 Bruno, where he is trying to -- he's explaining that 3848 he is trying to set up an interview with ProPublica for Jim. That doesn't seem it was just the Department 3849 3850 of Health trying to get information to answer 3851 questions. It seems like they were trying to have 3852 Dr. Malatras actually answer the questions. 3853 Mr. Morvillo. Well, wait. There's no question. 3854 BY 3855 Q So how does that square with your understanding of 3856 the relationship? 3857 Mr. Morvillo. Are you asking her to comment on an 3858 email that she didn't see that someone else is sending 3859 about something? 3860 No, she just described a relationship and 3861 I'm asking how this squares with that. Or if it 3862 doesn't, that's fine. 3863 BY 3864 Q But how does this square with your characterization 3865 of him merely providing input for them to answer 3866 questions? 3867 A Because he was so heavily involved in the drafting 3868 of the report and Jim was very articulate. As I said 3869 earlier, Dr. Zucker was gifted in a lot of things.

3870 Articulating information to the public was not one of 3871 them, which is why oftentimes at the press 3872 conferences, others at the table had to help interpret 3873 and answer questions that were directed at him; that 3874 if they were trying to get Jim to do a background or 3875 to be able to explain to the reporter more fully to give them information about the report, that's what 3876 3877 was going on. 3878 Q Okay. I'm going to change topics a little bit. We 3879 have spoken a little bit today about the interactions 3880 between the federal government and state governments 3881 in pandemic response. Is it true that the federal 3882 government played an important role as a partner for state governments, particularly during the early days 3883 3884 of the pandemic? 3885 A I need you to be more specific. 3886 Q How would you describe the relationship between the 3887 federal government and the New York state government 3888 during the early days of the pandemic, so March? 3889 A March, almost nonexistent. We didn't know what to 3890 do. None of us had ever lived through anything -- and 3891 by the way, I give the federal government a lot of 3892 leeway. None of them had ever lived through anything 3893 like that, either. 3894 But the extent to which they had information they were

3895 withholding, they publicly downplayed, lied about the 3896 extent of the crisis as which we now know that they 3897 knew about it per Mark Meadow's memo and Bob 3898 Woodward's book. 3899 They didn't provide us with materials that their 3900 stockpiles were very thin. They sort of set up this 3901 Hunger Game type situation where states were competing 3902 against one another, which was just driving the price 3903 up on equipment, PPE, ventilators. It was -- it was a 3904 mess. And we would learn about things sometimes on 3905 Twitter, sometimes in the press about decisions that 3906 the President and the team were making. 3907 There was one point where Trump tried to close down 3908 New York, he was going to close off the bridges and 3909 any way to get into New York. He only backed off once 3910 it came out that it would impact the stock market 3911 negatively. 3912 To Jared's credit, you know, he was my point person, 3913 and when I would call him because I really needed 3914 something, I really felt at least in the early days, 3915 to the extent that he could help, he was trying. But a lot of our interactions with the federal 3916 3917 government was either an absence of information, 3918 confusing information, or politics where it was 3919 literally if you don't praise my response, we are

3920 going to withhold things from you. And it was scary. 3921 I will say that as someone who was on the front lines 3922 of this thing, who literally closed the door to my 3923 office and laid on the floor and cried at the end of 3924 the day after I called the families of the health care 3925 workers who died, like it was unlike anything I ever 3926 experienced. It was unlike anything I had ever 3927 believed I would live through in my life. And as 3928 someone who spent a lifetime believing in public 3929 health and science and medicine and government, it was 3930 a real low point for this country. 3931 And I only hope that whatever this exercise turns out 3932 to be, that you guys actually spend some time talking 3933 to emergency room doctors and other people in other 3934 states who were on the front lines, because we were 3935 not prepared for that pandemic, and I am afraid that 3936 this has all become so politicized that it's going to 3937 happen again in our lifetime and we only have each 3938 other to look at and blame because we will have 3939 learned nothing. 3940 Q Had you been working in New York government during 3941 any prior public health crisis, knowing that none of 3942 them compared at all to the COVID-19 pandemic, but 3943 Zika, Ebola, anything like that? 3944 A Yes.

3945 Q During those prior public health crises, was there 3946 a better working relationship with the federal 3947 government? 3948 A Ebola certainly, although we did disagree with the 3949 Obama administration on some things during Ebola, and 3950 we worked closely with Governor Christie in New Jersey 3951 on response, because it impacted our airports in the 3952 tri-state area. But it certainly didn't smack of 3953 the -- like, while there were disagreements on public 3954 health response, it didn't have the same vitriol and 3955 politics that it did during COVID. 3956 Q Early in the pandemic response, the federal 3957 government opted to create its own COVID-19 tests 3958 instead of using testing models that had been 3959 developed in other parts of the world that were also 3960 responding to early cases of COVID-19. Ultimately, 3961 the testing assays that the CDC developed and rolled 3962 out were contaminated and contained design flaws that 3963 rendered them ineffective. 3964 How did the federal government's failure to deploy 3965 effective testing hamper state level responses to the 3966 pandemic? A When I look back and think about COVID and 3967 how -- what went wrong, like from -- like the first 3968 3969 thing that I can think of, it was the testing. The

3970 testing was blown.

3971	Originally, the federal government controlled the
3972	tests, the states were not allowed to do our own
3973	testing. The federal government dictated who was
3974	allowed to get a test, which early on was just people
3975	that came from quote/unquote hot spots around the
3976	world that were known to have been COVID positive and
3977	test symptoms.
3978	And their inability A, we were the first state in
3979	the country that was allowed to get testing done.
3980	Pence was the head Vice President Pence was the
3981	head of the COVID Task Force for President Trump, and
3982	Governor Cuomo successfully lobbied him to grant
3983	access to New York to begin doing testing.
3984	We got the approval to start doing testing through one
3985	lab, Wadsworth, up in Albany. I think it was
3986	something like 200 tests a day when we first started.
3987	We got that approval granted on March 29th, it was a
3988	leap year I'm sorry, February 29th, it was a leap
3989	year. On March 1st, we had our first positive.
3990	And when I look back and think about how stupid we all
3991	were and when I say we all, I include Dr. Fauci, I
3992	include President Trump, I include every health
3993	official in this country. The fact that we thought,
3994	oh, we have this one positive who happens to be this

3995 woman who is a doctor coming from Iran who happens to 3996 have a fever. So we know she was in a hot spot, and 3997 we know she has a fever and that's the one positive, 3998 and we didn't think to ourselves it's everywhere? 3999 Like, we closed the airports coming from China, but we 4000 left the ones coming from Europe, the door wide open for two months, we just delayed COVID landing on the 4001 4002 West Coast and fed it to the tri-state area. 4003 Like, that's what happened here. Fundamentally, it 4004 was a failure from the top on down. And by the way, 4005 from what I understand, Trump didn't not close the 4006 airports because he didn't want to for political 4007 purposes. His advisers didn't advise him to. At some 4008 point, these people in these executive functions have 4009 to have medical professionals to rely on and make 4010 decisions. And from what I understand, Fauci wasn't 4011 telling them, close the airports, and he said no. 4012 So there's a lot -- and I don't want to use the word 4013 blame because it shouldn't be a blame exercise. But 4014 if, like, any real retrospective has to look at all of 4015 this. The states should have been testing starting in 4016 January. The minute we knew that this was in China, 4017 we should have started testing. All the states, every 4018 lab should have been granted permission. 4019 Whatever the antigens were, whatever -- they should

4020 have been distributed as widely as possible. It's 4021 like nobody talked about it, as if because nobody was 4022 talking about it, it wasn't happening. And I think 4023 there was a lot of politics involved, I think there 4024 was a lot of arrogance involved in that, and I think 4025 that the testing, first and foremost, is what caused a 4026 million Americans to die from COVID. 4027 Maybe some of that could have been cut off if there 4028 weren't so much disinformation and distrust built into 4029 the vaccine rollout later on, because you saw the 4030 deaths in red states spike as a result of the all the 4031 misinformation that was going on there in the second 4032 and third wave. 4033 But that first wave was preventable, and that's 4034 something that everyone should think long and hard 4035 about. If we had been doing the testing in January 4036 and February, we would have known where it was, we 4037 would have understood who was susceptible, and we 4038 could have had a proper response. Instead, we were 4039 caught completely flat-footed, blind-sided, and 4040 everywhere you looked, it was politics. 4041 Q When New York did get the authority to do its own 4042 testing February 29th, starting March 1st, you just 4043 said it was about 200 tests a day? 4044 A I'm estimating, but call it that.

4045 Q That's a low number.

4046 A 19-and-a-half million people, yeah.

4047 Q How was it determined who would be tested with that 4048 limited supply?

4049 A So originally, we tried to model some of it based 4050 on what the feds were doing. So it had to be somebody 4051 who was believed to have come into contact with 4052 someone who was COVID positive, had traveled to a 4053 location that we knew had COVID present, and was 4054 demonstrating symptoms. There were so few that it had 4055 to be done in that way.

4056 So my memory may be slightly off. Don't hold me to 4057 it, but that's my memory of how we originally set the 4058 structure for who was to be tested.

4059 Q And what was your role in developing that testing 4060 program?

A So at the very beginning, it was a scramble. And 4061 4062 once we got -- once the governor got Pence to sign off 4063 on Wadsworth -- excuse me, Vice President Pence to 4064 sign off on Wadsworth, he immediately said to us, a 4065 small group of us, we need to find out how many other 4066 labs in the state, if they were granted permission, 4067 could have the capacity to do testing, because testing 4068 is going to be ground zero for this thing. 4069 So when I tell you it was me and ten senior staff on

4070 my office, everyone on the cell phones with lists of 4071 labs printed out and phone numbers, and I'll take 4072 this, I'll take this, I'll take this. If you can 4073 picture that, that's what was going on on March 2nd 4074 sitting in my office, was us individually calling 4075 labs, and saying, if we got you the materials, how 4076 many could you do? What do you have the capability to 4077 do? 4078 We talked through initially people's fears around 4079 going to hospitals and being afraid to get tested 4080 because you didn't know if you'd expose yourself to 4081 someone with COVID. So the governor had this idea of 4082 doing these drive-through COVID sites. 4083 So I worked with our state operations director and the 4084 National Guard and our OEM people and our DSHES people 4085 to set up drivethrough testing facilities around the 4086 state, so people wouldn't have to get out of their 4087 car. 4088 I don't know if you guys remember those days, but it 4089 was like the images of doctors in the HAZMAT outfits 4090 like literally through the glass taking the swab 4091 samples and putting it to the state police and the 4092 state police driving it off to be tested. 4093 So it was like an operational role at the beginning 4094 that I played in helping to get testing going.

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4095 Q There have been allegations that early in the days 4096 of the pandemic, those close to Governor Cuomo 4097 received preferential access to the limited supply of 4098 COVID-19 tests that were available at that point. And 4099 while we appreciate the importance of ensuring that 4100 individuals close to the governor and other key 4101 officials have access to tests in order to minimize 4102 disruption to the continuity of government, there is a 4103 distinction between prioritizing tests for those 4104 reasons and prioritizing people for tests for personal 4105 reasons, particularly when there's a limited supply. 4106 Did you direct government employees at any point to 4107 administer COVID-19 tests to people with whom the 4108 governor had a purely personal relationship? 4109 A No. 4110 Q Are you aware of such priority testing being given 4111 to those who had a personal relationship with Governor 4112 Cuomo? 4113 A Not the way you've just explained it. 4114 Q How would you explain it? 4115 A After the fact, I learned that Chris Cuomo received 4116 testing at the end of March. He fell squarely into 4117 the same categories of the people who would have 4118 received testing. He had been exposed to COVID, he 4119 had symptoms, and he ended up being COVID positive.

4120 Also, vis-à-vis Chris, at the time we made -- we 4121 classified journalists as essential employees, and so 4122 journalists were given above and beyond access. 4123 Without using names due to HIPAA requirements, 4124 reporters at the New York Times received similar 4125 treatment, other reports at CNN received similar 4126 treatment, reporters at ABC and CBS received similar 4127 treatment. 4128 So his last name happens to be Cuomo, but he got 4129 similar treatment to other reporters in his field, and 4130 based on the same criteria that people who were 4131 receiving tests at that point were receiving them. 4132 Mr. Morvillo. You learned that when? 4133 The Witness. April of 2021. March, April of 2021, 4134 when press inquiries were coming in. 4135 BY 4136 Q Speaking of press, I am going to introduce Minority 4137 Exhibit E. 4138 (Minority Exhibit E was identified 4139 for the record.) 4140 BY 4141 Q This is a Washington Post article from March 29th, 4142 2021 regarding allegations of a priority testing 4143 program. I'll give you a moment to review it, but, 4144 again, I will direct your attention to specific

4145	sections.
4146	A Okay.
4147	Q So this article makes allegations that a top state
4148	physician, it doesn't name them, was sent to the
4149	Hamptons home of Governor Cuomo's brother, Chris
4150	Cuomo. And that is at the top of the second page,
4151	very top.
4152	You just described Chris Cuomo being tested. Were you
4153	aware that a state physician had gone to his home to
4154	test him?
4155	A Only as a result of the news inquiry.
4156	Q And was that following normal protocol at the time?
4157	A So again, other reporters did receive testing at
4158	their homes.
4159	I would also note for the record Democratic members of
4160	Congress requested this sort of testing, Republican
4161	members of the legislature requested this sort of
4162	testing. They also requested it for their staffs and
4163	their family members.
4164	Part of the reason and I want this in the
4165	record that the Assembly was ultimately first
4166	looking at this as part of their impeachment inquiry,
4167	but it never came to pass is because stories started
4168	to leak out about their own individual members and
4169	family members and staff members associated that

4170 received testing at the height of March 2020 and that 4171 includes members of Congress. 4172 So I just want to make sure everyone is aware that the 4173 administration viewed members of the media and elected 4174 officials as crucial to the response to COVID-19. And 4175 if they themselves requested testing or their family 4176 members or their staff, or their staff's family 4177 members, and it was granted, it was granted under the 4178 same circumstance the public was getting it, which is, 4179 they were either directly exposed to somebody known to 4180 have COVID or had COVID symptoms or both. But I 4181 didn't know about any of this until afterwards when 4182 the press inquiry came in. 4183 Q Sure. As I mentioned earlier, we understand the 4184 need for testing for continuity of government 4185 operations. That makes sense in a time of crisis, 4186 that you need your elected officials to be doing their 4187 jobs. 4188 A I would actually say that probably members of the 4189 legislature and Congress should have fallen lower on the list because what were they really doing as a 4190 4191 result of the pandemic response? But, yes. 4192 Q However, this article also makes reference to 4193 Kenneth Cole, who was the governor's brother-in-law, 4194 it's on the bottom of the first page. And Kenneth

4195 Cole would not seemingly fall into any of the 4196 categories you just have said. Is there any reason 4197 that he would be part of a priority testing program? 4198 A Well, I dispute the premise of what you just said, 4199 because he could have been -- I don't know the 4200 specific circumstances surrounding Kenneth. It's 4201 inappropriate that his name was ever leaked due to 4202 HIPAA purposes. But I assume if he was being tested, 4203 it's because he was in the presence of someone known 4204 to be COVID positive. 4205 I also know that during that period of time, the

4206 governor was very concerned about his mother and would 4207 make unannounced visits to try to see her in February 4208 leading up to the COVID pandemic, and she was moving 4209 around from house to house. And after Chris was known 4210 to have been COVID positive, there was concern that 4211 others could be and if the governor was going to 4212 interface with them.

4213 But again, the standard was, if you had been exposed 4214 to somebody who was known to be COVID positive or 4215 showing symptoms yourself. And that was available to 4216 the public, writ large.

4217 Mr. <u>Morvillo.</u> Was there a priority testing program in 4218 place, that you're aware of?

4219 The Witness. Not one that was called a priority

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4220 testing program that I was ever aware of, no. 4221 BY 4222 Q You mentioned there being -- and this is not an 4223 exact number, but around 200 tests a day at the very 4224 beginning. Were there more than 200 people a day who 4225 would fit the criteria to be tested? 4226 A Well, that's -- I'm talking the first week of 4227 March. I'm sure any report you guys do would do this 4228 homework. But I think by the end of March, we were 4229 churning out tens of thousands of tests a day. We 4230 were by the beginning of April, or late April, I know 4231 New York was doing more tests than any individual 4232 country on the globe. I mean, we ramped up in a way 4233 that was unimaginable how many tests we are doing. 4234 But by the end of March, it was not 200 tests a day. 4235 We were well into the tens of thousands I want to say. 4236 Q So at that point when the testing program was 4237 ramped up and there were thousands of tests a day, 4238 were testing sites being utilized to test people for 4239 COVID? 4240 A Yeah. 4241 Q And would anyone who needed a test be directed to 4242 those sites? 4243 A Generally speaking. Some would be directed to 4244 hospitals. In some instances, they did go to people's 4245 homes. It just depended on a case-by-case basis, and 4246 it was done in the judgment of the Department of 4247 Health. 4248 Q You mentioned not learning about the allegations of 4249 a priority testing program until after the fact. Can 4250 you please reiterate when you became aware of the 4251 allegations? 4252 A When Josh Tosi reached out. Although that's what I 4253 wrote in my book and I got a nasty phone call from a 4254 reporter from the Times Union who said, I reported it 4255 first. So it may have been when the Times Union 4256 reached out, but it was sometime in there. 4257 I remember when the requests came in, people being 4258 confused because people hadn't -- the people I was 4259 interacting with hadn't thought of anything as a 4260 quote/unquote priority anything. 4261 Q When you learned about these allegations, did you 4262 do anything to investigate whether there had, in fact, 4263 been a priority testing program? 4264 A I'm sure that I did, because I know I was part of 4265 the response to the press inquiry. But this is the 4266 particular period of time where my mind is not -- is a 4267 little bit fuzzy because there was a lot going on. There was like many press inquiries coming in every 4268 4269 day, there were three investigations going on. I was

4270 not my usual sharp self during that point, so I can't 4271 tell you anything specific I gleaned from those 4272 conversations. 4273 Q Sure. It seems that by its nature, if a priority 4274 testing program were to exist, it would necessitate 4275 inappropriately diverting state resources and using 4276 Department of Health employees inappropriately. 4277 Did that concept concern you when you learned about 4278 it? 4279 A That's not how it was explained to me. And I don't 4280 deal with words like inappropriate. Was this against 4281 the law, was it unethical? And the answers to those 4282 questions was no. Individual judgments, I leave for 4283 other people. 4284 Q As we have talked about pretty extensively, but 4285 feel free to weigh in more, there were many failures 4286 of the federal government at the beginning of the 4287 COVID-19 pandemic. We just went over testing, but now 4288 I want to focus a little bit on PPE, the disbursement 4289 of PPE. 4290 In the early days of the pandemic, you mentioned the 4291 federal government was not coordinating PPE in a way 4292 that was helpful for the states; is that correct? 4293 A Correct. 4294 Q And this led to the states competing with each

other for PPE?

4295

4296 A Correct. 4297 Q How did this hamper the public health response in 4298 New York, including in nursing homes and other 4299 congregate care facilities? 4300 A Massively is the word. I mean, I remember the 4301 front page of the New York Post, nurses in garbage 4302 bags because there was no PPE and there was nowhere to 4303 find it. 4304 I remember being on the phone to wealthy, you know, 4305 individuals around the country who had private planes 4306 and begging them to send their planes to China to try 4307 to get some of the PPE to come because we 4308 couldn't -- we were afraid of creating a staffing 4309 shortage if all the people you were sending in to 4310 service these patients were not themselves properly 4311 protected and thereby infecting or getting infected. 4312 It was a disaster. 4313 Q There has been a great focus in the medical 4314 research community about how COVID spread throughout 4315 the country in various different communities. And one 4316 that has been focused on is nursing homes. 4317 The American Geriatric Society published an article 4318 that found the most significant and consistent 4319 predictors of skilled nursing facility outbreaks was

4320 case count and case fatality rate and larger bed size4321 and higher SARS-Co-V-2 prevalence in the county where4322 the nursing home is located.

4323 One of the authors of that article, Vincent Moore, who 4324 is at Brown University, has said presumably staff were 4325 vectors early in the pandemic, too, but there was more 4326 trouble getting tested then. Bigger facilities and 4327 facilities in areas with high community prevalence are 4328 at a greater risk for COVID-19. It's about the staff 4329 coming and going every day.

4330 Is that consistent with your understanding of what you 4331 just described?

4332 A That is consistent with my understanding and what I 4333 described. That is consistent with the findings of 4334 the DOH report. That is consistent with what I've 4335 heard experts give testimony to Congress in the last 4336 four years, what international medical journals have 4337 put out. It was the staff.

4338 Q And I know we can't go back in time, but does it 4339 seem -- and from what we've learned about how COVID-19 4340 spread, that PPE and having more of it would have 4341 aided in protecting patients and those in nursing 4342 homes from community spread.

4343 A If used appropriately, yes.

4344 Q You may or may not be aware, but in 2019, the Trump

4345	administration proposed to relax a federal requirement
4346	that nursing homes employ onsite infection prevention
4347	specialists. According to public reporting, Trump's
4348	proposal led some facilities to cut corners in
4349	infection control.
4350	Based on your understanding, is the maintenance of
4351	infection control standards and compliance with those
4352	standards important to prevent viral infection and
4353	spread within nursing homes?
4354	A Critical.
4355	Q And would relaxing infection control standards in
4356	nursing homes better prepare staff and residents for a
4357	future pandemic?
4358	A Would relaxing them better prepare? No.
4359	Q What impact would it have?
4360	A The opposite impact, and it would have gotten much
4361	worse and many more people would have died.
4362	Q Thank you.
4363	We can go off the record.
4364	(Whereupon, at 12:45 p.m., the testimony in the
4365	above-entitled matter was recessed, to reconvene at

4366 1:21 p.m. this same day.)

4367	AFTERNOON SESSION
4368	(1:21 p.m.)
4369	Mr. Emmer. We can go back on the record.
4370	BY MR. EMMER.
4371	Q I want to redirect your attention to the March 25th
4372	guidance. And I guess my questions will be more
4373	general.
4374	Was this intended to be interpreted as mandatory?
4375	Mr. <u>Morvillo.</u> Intended by whom?
4376	BY MR. EMMER.
4377	Q By the drafters, interpreted by the nursing homes
4378	as mandatory?
4379	A I would say you would have to ask the Department of
4380	Health that question.
4381	Q Do you know whether nursing homes were consulted
4382	prior to this order being issued?
4383	A I know that the Department of Health had an ongoing
4384	dialogue with nursing homes. I don't know if they
4385	were consulted on this particular piece of guidance
4386	before it went out.
4387	Q So you wouldn't know if nursing homes were provided
4388	any sort of advanced notice?
4389	A I don't.
4390	Q I believe in a previous hour, you mentioned the
4391	obligation that nursing homes had to deny patients

4392 that they weren't capable of caring for; is that 4393 right? 4394 A Yes. 4395 Q And are those assertions related to Section 415.26 4396 of the New York Code? 4397 A It's related. Sure, yes, that sounds right. Q Are you familiar with Section 415.26? 4398 4399 A Only because after everything, after the press 4400 started asking questions, DOH pointed us to that 4401 section of law in explaining the other obligations 4402 that they had, and subsequently was in the clarifying 4403 order, et cetera. 4404 Q As best as you recall, can you describe what 4405 obligations the nursing homes had under that section? 4406 A Just broadly, that you could not accept a patient 4407 you could not care for, provide adequate care for. I 4408 don't remember the exact language. 4409 Q Are you aware of whether section 415.26 was in full 4410 effect when the March 25th order was issued? 4411 A It was. 4412 Mr. Emmer. At this time, I'm going to introduce what 4413 will be marked as Majority Exhibit 4. (Majority Exhibit No. 4 was identified 4414 4415 for the record.) 4416 BY MR. EMMER.

4417 Q This is Executive Order Number 202.5 issued by the 4418 Cuomo administration on March 18, 2020. I will give 4419 you a minute to review. 4420 A You can go ahead. 4421 Q Are you familiar with Executive Order 202.5? 4422 A Yes, to the extent I just looked over it. 4423 Q And it appears that the printing may have cut it 4424 off, but would you have signed this executive order? 4425 A Yes. 4426 Q I want to direct your attention to the first bullet 4427 point on the second page. It relates to subdivision 4428 (i) of Section 415.26 of Title 10. 4429 A Okay. 4430 Q This appears to suspend or at the very least limit 4431 Section 415.26. Were you aware of this? 4432 A It doesn't -- I know that Mr. Arbeeny has tried to 4433 make an issue of this and incorrectly stated it in an 4434 op-ed to the Daily News. 4435 The subdivision, that is the one that I just 4436 referenced that the Department of Health continues to 4437 point to was always in effect. It was a different 4438 subdivision, I think it was (ii), not (i). 4439 So this does not alter the legal obligation of the 4440 nursing home to adhere to the law, which is only 4441 accept patients you can care for is what has been

4442 explained to me by the lawyers and by the Department 4443 of Health. 4444 Q This wasn't a determination that you made. That's 4445 what you're testifying to? 4446 A I mean, my signature is on this document. But what 4447 I'm saying is, is that this, the -- the suspension of 4448 that subdivision is a different subdivision of that 4449 law that mandates that you can only accept a patient 4450 you can care for. It's been misrepresented previously 4451 in an op-ed in the Daily News, I know. 4452 BY MR. BENZINE. 4453 Q Do you recall what subdivision (i) was, is? 4454 A Beyond what's here? 4455 O Yes. 4456 A Just what's here. But it was subdivision (ii) is 4457 the one that I have been told by lawyers and by the 4458 Department of Health that governed your legal 4459 obligation to only accept patients you can care for. 4460 BY MR. EMMER. 4461 Q Are you aware of whether subdivision (i) had the 4462 operative language that nursing homes must deny 4463 residents that they cannot provide adequate care for? 4464 A I am not. But I am told that it's subdivision (ii) 4465 is where the pertinent language is, but this is a 4466 different subdivision.

4467	Q Do you recall what lawyers would have told you that
4468	it had to deal with another subdivision?
4469	A Generally, counsel's office. But I can't tell you
4470	who specifically.
4471	Q Thank you.
4472	Ms. DeRosa, do you recall arguing that the March 25th
4473	order was consistent with CMS and CDC guidance?
4474	A When?
4475	Q After you learned the March 25th guidance and
4476	throughout the pandemic thereafter.
4477	A Yes.
4478	Q Did you consult with anyone from CMS or CDC prior
4479	to the issuance of the order?
4480	A I didn't know about the order until afterwards, so,
4481	no.
4482	Q Do you know if anyone from the Executive Chamber,
4483	Task Force, or Health Department consulted with CMS
4484	prior to issuing the order?
4485	A I don't, but I assume the Department of Health.
4486	Q Do you know if anyone from the Executive Chamber
4487	Task Force or Health Department consulted with the CDC
4488	prior to issuing the order?
4489	A I don't.
4490	Q Do you recall whether anyone ever told scratch
4491	that.

4492	Do you recall whether anyone from the federal
4493	government ever told the administration that the March
4494	25th order was consistent with federal guidance?
4495	A I don't.
4496	Q And I may make you repeat yourself, but do you know
4497	who would have made the determination within the
4498	administration that the order was consistent with CMS
4499	and CDC guidance?
4500	A Department of Health people.
4501	I do want to say for the record that the Attorney
4502	General in her report said it was their people
4503	concluded it was consistent with CDC and CMS, and the
4504	Friday report from the Olson Group said that the March
4505	25th and other guidance put out by the Department of
4506	Health were consistent with best practices and federal
4507	policy.
4508	Q Are you today able to explain how the March 25th
4509	order was consistent with CMS's guidance?
4510	A I am not a health professional. I would leave that
4511	to them.
4512	Q So I believe you testified that you didn't learn
4513	about the CMS guidance until after you learned about
4514	the March 25th order; is that correct?
4515	A I don't know when I learned about the CMS guidance.
4516	It's possible I saw it on Twitter or it was in my

4517	inbox at some point. I'm saying I learned about the
4518	CMS guidance being what informed the or the CDC, I
4519	don't know which one it was being what informed the
4520	25th health advisory from DOH in the context of the
4521	conversation after the press conference.
4522	Q And that would be the same the answer would be
4523	the same with CDC, you would have learned about it
4524	after you learned about the March 25th order in the
4525	press conference?
4526	A I don't know. You know, again, like you see things
4527	on Twitter. There was a lot going on. I could have
4528	seen that earlier, understanding that that's what
4529	informed the March 25th DOH health advisory within the
4530	context of that conversation with the DOH folks.
4531	BY MR. BENZINE.
4532	Q On April 20th.
4533	A -ish, yeah, I believe, is my memory of that.
4534	Mr. Emmer. At this time, I would like to introduce
4535	Majority Exhibit 5.
4536	(Majority Exhibit No. 5 was identified
4537	for the record.)
4538	BY MR. EMMER.
4539	Q This is an email thread between the Executive
4540	Chamber and Health Department officials, including
4541	yourself, Dr. Malatras, Ms. Lacewell, and Dr. Zucker

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4542	on June 22nd, 2020, attaching an article entitled
4543	"Verma: Cuomo Contradicted Federal Nursing Home
4544	Guidance." There's obviously significant redactions,
4545	but I'll give you a minute to review.
4546	Mr. <u>Morvillo.</u> Is there anything not redacted other
4547	than the article?
4548	Mr. <u>Benzine.</u> The article, and then there's one email
4549	with resending with MDR correct email, adding
4550	Mr. <u>Morvillo.</u> Plus Melissa. Okay, got it.
4551	BY MR. EMMER.
4552	Q For the record, MDR is referring to you, right?
4553	A Yes.
4554	Q Do you recall CMS Administrator Verma saying that
4555	the March 25th guidance contradicted federal guidance?
4556	A Not specifically.
4557	BY MR. BENZINE.
4558	Q Do you recall any conversations about it within the
4559	Chamber?
4560	A I recall is it a she? I recall she had said
4561	that exclusively to Breitbart, which is obviously
4562	known to be a far right leaning publication. And the
4563	suspicion was that Trump or what was his
4564	name Michael Caputo put her up to it to try to go
4565	after the governor politically, because Dr. Fauci had
4566	just given testimony before the House Subcommittee on

4567 COVID, saying that what New York did was right, and 4568 that New York did an admirable job of flattening the 4569 curve and listening to all the guidance. And he had 4570 said something positive, and then it was like days 4571 later, this happened. 4572 And I believe -- I don't remember what Redfield said. 4573 Like, there was a splinter amongst those health 4574 professionals. Birx had said one thing, and Seema 4575 said one thing, and Fauci said another thing, and 4576 someone else said another thing. 4577 And the belief internally was this is Michael Caputo 4578 at DOH trying to get somebody who will parrot the 4579 President's talking points to try to attack the 4580 governor on our COVID response, and so we viewed it as political. 4581 4582 Q Why did you think it was Mr. Caputo? 4583 A So Michael Caputo had been campaign 4584 manager to -- this is really getting into the New York 4585 political weeds, but let's go. He had been campaign 4586 manager to Carl Paladino who ran in 2010 against Cuomo 4587 when Governor Cuomo crushed him, and he had been a 4588 constant adversary, political adversary of the 4589 governor and our administration ever since. 4590 And when you talk about putting political people in 4591 health positions, when they made him the DOH

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4592 communications director and I believe it was later 4593 reported that he downplayed numbers and he told them 4594 to hide information, and then he had a nervous 4595 breakdown and was forced to resign. When things like 4596 this happened, we tended to believe that Michael 4597 Caputo's fingerprints were all over this. 4598 Q You're giving me flashbacks of terrible hearings 4599 that I had to deal with in 2020. I've been doing this 4600 too long. 4601 A The same. 4602 Q And I think if my memory serves me, we released 4603 Dr. Birx's testimony, and her position was that the 4604 March 25th order violated federal guidance. She 4605 obviously has had harsh words for the former President 4606 as well. 4607 Do you recall any reaction within the Chamber on 4608 Dr. Birx saying that? 4609 A Well, Dr. Birx has sort of been a little bit of a 4610 chameleon, right? Dr. Birx, when she was there, was 4611 sort of happy to toe the line, and then when she left 4612 and the weather shifted, she was happy to attack Trump 4613 when it financially and politically benefited her and 4614 doing some reputation repair. So when she did that, at the time, I don't think that 4615 4616 we were very surprised. The larger point from where

4617 we were sitting was if this was the case, at that 4618 point the March 25th health guidance had been widely 4619 publicized beginning April 20th. That was when that 4620 really first reached the press in a meaningful way. 4621 Why wouldn't any of them have said anything sooner? 4622 If that was the case, why didn't they immediately call 4623 and say this goes against our guidance. You'd better 4624 scrap that guidance. Or what you're out there saying 4625 contradicts -- this is in line with what we said. 4626 You're wrong. You know what I mean? Why did it take 4627 three months? And what responsibility and rules did 4628 they sort of have? 4629 And so, again, it's really hard to separate during 4630 that period of time what was political versus what was 4631 not political. And that didn't -- that didn't just 4632 mean the politicians and the political actors. It 4633 also included, unfortunately, some of the health 4634 people. 4635 BY MR. EMMER. Q In the previous hour, you testified to other 4636 4637 states, including Republican states, issuing similar 4638 orders; is that right? 4639 A I did testify to that, yes. 4640 Q And so after you learned of the March 25th order on 4641 April 20th, do you recall whether you were briefed on

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4642 other states that issued similar orders?

4643 A Not at that time.

4644 Q When do you recall being briefed?

4645 A At some point later. I believe -- I may get this 4646 timeline screwed up, so just stipulate that for the 4647 record.

4648 I have the clearest memory of that when the Department 4649 of Justice started doing their -- when they made their 4650 overture, their request for information from the four 4651 Democratic states. Counsel's office, along with

4652 outside counsel, did a review of what other states

4653 did, and they came back to us and said --

4654 Mr. Morvillo. Not what they said.

4655 The Witness. I'm sorry.

4656 Mr. Morvillo. Your conclusion or your understanding.

4657 The <u>Witness.</u> My understanding after which was that

4658 there were 11 to 12 states that had similar admissions

4659 and readmissions guidance, and that there were a

4660 handful that were Republican, there were a handful

4661 that were Democrat, but it was -- and some, by the

4662 way, that were Democrat that were left out and they

4663 weren't looking into.

4664 As I said, Newsom -- you actually look at the 4665 California guidance, it's almost verbatim the New York 4666 guidance. So that, like, stoked this idea that 4667 politics were playing a role.

4668 BY MR. BENZINE.

4669 Q Do you remember the states?

4670 A I don't offhand. However -- and I don't know if 4671 you would be allowed to get this. I think it's a 4672 public document. But there was a white paper that had 4673 been prepared for DOH from our outside law firm who 4674 responded, like, on all of these different matters, 4675 and they had in that paper listed out and I believe 4676 they had like footnoted and hyperlinked to where 4677 those -- what those guidance were. 4678 And I just remember people kept saying Kentucky, and I 4679 was like Kentucky has a Democratic governor. 4680 Everybody, I know you think of it as Republican, it's 4681 a Democratic governor. 4682 BY MR. BENZINE. 4683 Q Was the outside firm at the time Fried Frank? 4684 A I don't think so. I think it was Abramowitz. 4685 Mr. Morvillo. We just refer to it as the Abramowitz 4686 firm. The Witness. But there is a white paper that -- I 4687 4688 believe that at some point, it was made public. At

4689 one time, it was a privileged document, but I'm sure 4690 we can get it to you guys if you want to see it.

4691 BY MR. EMMER.

4716

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4692 Q Were you briefed on whether those states restricted 4693 testing requirements for discharged patients? 4694 Mr. Morvillo. Other than by lawyers. 4695 The Witness. Other than by lawyers. I don't recall. 4696 BY MR. EMMER. 4697 Q Do you recall whether you were ever briefed on when 4698 the other states with similar orders rescinded their 4699 orders? 4700 A I don't recall. 4701 Q Did you ever talk to Governor Murphy or anyone from 4702 his staff regarding their order? 4703 A Their admissions guidance? Yes. Not Governor 4704 Murphy. It's his chief of staff, George Helmy. 4705 Q And what were the nature of your conversations with 4706 Mr. Helmy? 4707 A Honestly, I can't recall specifically. We 4708 just -- they were dealing with a lot of press 4709 incoming, like similar. And so I'm sure -- not I'm 4710 sure. I know at some point we discussed dealing with 4711 press incoming and the health people saying this was all consistent with CMS/CDC kind of conversations. I 4712 4713 think they scrapped theirs or they overrode theirs at 4714 some point a few weeks later as well. 4715 Q I believe it was April 13th. Would you have known

that around the time that you learned of the March

- 4717 25th guidance?
- 4718 A That's when they scrapped theirs?
- 4719 Q I believe it was April 13th.
- 4720 A It's like all a mush. But they got like grouped in
 4721 with us and with Michigan and with Pennsylvania later,
 4722 and I know nursing home deaths in general were a very
 4723 big topic in the Northeast, and we had a coalition of
- 4724 states that were talking all the time.
- 4725 BY MR. BENZINE.
- 4726 Q Do you recall any -- New Jersey issued theirs
- 4727 within a day or two of New York issuing theirs. Do
- 4728 you recall any conversations of whether or not they
- 4729 just copied New York's order?
- 4730 A I don't remember.
- 4731 Mr. Emmer. At this time, I would like to introduce
- 4732 what will be marked as Majority Exhibit 7.
- 4733 (Majority Exhibit No. 7 was identified
- 4734 for the record.)
- 4735 BY MR. EMMER.
- 4736 Q This is guidance issued by the New York State
- 4737 Health Department on April 7th entitled "Advisory:
- 4738 Possible Discharges and Admissions to ACFs."
- 4739 A Okay.
- 4740 Q Do you recognize this guidance?
- 4741 A I'm not sure that I ever looked at this guidance.

- 4742 I'm aware of it. I think it just mirrors, right -- it
- 4743 just does it for adult care facilities.
- 4744 Q For the record, did you have any role in the
- 4745 development of this guidance?
- 4746 A No.
- 4747 Q Would you know who drafted this guidance?
- 4748 A No. I would assume similar to the other guidance.
- 4749 I mean, is it a copy-paste?
- 4750 BY MR. BENZINE.
- 4751 Q No, it's a little more specific. It actually puts
- 4752 the code in there that they have to be able to care 4753 for them.
- 4754 A Okay.
- 4755 BY MR. EMMER.
- 4756~ Q Thank you. Do you recall how long the March 25th
- 4757 guidance was still in effect, or was in effect?
- 4758 A Until May 10th.
- 4759 Q And again, we talked about in a previous hour, what 4760 would you characterize May 10th's effect on the March
- 4761 25th guidance?
- 4762 A It superseded it.
- 4763 Q And what prompted the administration to issue the 4764 executive order that superseded it?
- 4765 A At the beginning of May, we were in a position4766 where we had a much greater testing capacity, and

4767 there were two conversations on the nursing homes 4768 happening at that point. 4769 One was all the medical professionals that we talked 4770 to kept saying it's the staff, it's the staff, it's 4771 the staff. So if it were, in fact, the staff that 4772 were bringing the COVID into the nursing homes, we 4773 thought it would be best to implement once a week 4774 testing. 4775 In conversations about it, they're like, if you do one 4776 day and six days go by, you should really do, if we 4777 can make it work, twice a week. So it's like one day, 4778 and then three days go by, and then four days go by, 4779 and then three days go by, so that you would catch it 4780 in realtime. 4781 And that was right around the same time that they 4782 started to develop these rapid tests that you could do 4783 at home and so we had the capability. We believed we 4784 had the capability to be able to operationalize that. 4785 So we decided we were going to do that by executive 4786 order. 4787 And then also there had become -- since that April 4788 20th press conference, it had become so politicized, 4789 and people got this idea of the admissions and people 4790 going in, were those people the ones that were 4791 bringing in.

4816

4792 So sort of to answer the hysteria, to answer the 4793 concern, the public concern, we said hospitals are no 4794 longer a concern. We hadn't just flattened the curve 4795 at that point, we had crushed the curve. Like the 4796 hospital bed capacity was no longer a concern. We 4797 were starting to reopen the state, in fact. 4798 So the governor did an executive order saying a 4799 negative test would be required. And it was more to 4800 answer people's individual concerns about their own 4801 loved ones and family members in nursing homes and try 4802 to tamp down the political hysteria and the hysteria 4803 around that. So we did both of those at once. We did 4804 it through executive order so it had the force of law, 4805 and -- yeah. 4806 So I would say it was beginning around May 1st, May 4807 2nd. And once we knew that we had both hospital 4808 capacity and that we could pull off the testing 4809 capacity, which was not a small feat, we set on May 4810 10th. 4811 Q Was there anyone in the administration that didn't 4812 want the March 25th order to be superseded by the 4813 executive order? A Not that I recall. 4814 Q You mentioned -- the first part of your answer had 4815

to do with the theory that it was nursing home

4817 or -- well, nurses bringing it in and you brought 4818 medical professionals that were telling you that. Can 4819 you be more specific as far as which medical 4820 professionals would have been saying that it was being 4821 brought in by the workers? 4822 A So primarily, I would say it was Dr. Zucker. But 4823 beyond Dr. Zucker, as I mentioned earlier, and I would 4824 want to check his name, it's been out there before. 4825 But the gentleman, I think his name is Bruce Allred 4826 who was from WHO is who came in and embedded with us. 4827 And then, again, I would have in my office during the 4828 day, CNN, MSNBC, Fox, congressional hearings. And any 4829 time the conversation of nursing homes came up, this was the resounding -- the nursing home rates mirrored 4830 4831 almost identically what they were in any given 4832 community. And that regardless of the admissions 4833 policy, you know, a state like Massachusetts which had 4834 far more nursing home deaths than New York didn't have 4835 that admissions policy, that it was very clearly the 4836 staff. 4837 And there was actually this person who I couldn't tell 4838 you who he was, but somehow got ahold of my phone 4839 number and was texting me relentlessly saying, how do 4840 you not see this? It's the staff, it's the staff. 4841 You need to be testing the staff.

4842 It was everywhere that we turned, the answer was 4843 always it's the staff. So that was why it was a 4844 good-faith effort to answer what we believed was what 4845 was introducing into it the nursing home, which was 4846 the staff. So that is why the testing of the staff. 4847 Mr. Emmer. At this time, I would like to introduce what will be marked as Majority Exhibit 8. 4848 4849 (Majority Exhibit No. 8 was identified 4850 for the record.) 4851 BY MR. EMMER. 4852 Q This is an email thread that you're not a part of 4853 that's between Department of Health staffers started 4854 by Jill Montag on May 12th, 2020. I'll give you a 4855 moment to read through it. 4856 Mr. Benzine. It's really only the first page, the 4857 first full page. 4858 The Witness. Okay. 4859 BY MR. EMMER. 4860 Q Do you know why the March 25th order was removed 4861 from the Department of Health website on April 29th? 4862 A Well, according to this email chain, it says it's because it was inconsistent. 4863 4864 Q Do you know what the authors of this email chain 4865 would mean by it was inconsistent? 4866 A Wait, hang on a second.

4867	Oh, so I remember what happened. So April 29th and
4868	I'm sure this is publicly available or was turned over
4869	to you guys. April 29th, I believe, is when the
4870	Department of Health issued its clarifying guidance,
4871	which was the initial March 25th admissions guidance,
4872	but expressly included the language around your
4873	responsibility to only accept patients who you could
4874	care for.
4875	And so I believe what happened because there were
4876	press inquiries on this. I believe what happened was
4877	they removed the original and then they replaced it
4878	with the updated guidance because, to the extent that
4879	the concern was people were confused or it was not
4880	clear what their obligations, standing obligation was
4881	under the law, that made it crystal clear. So it was
4882	replaced, I believe, with the exact same guidance, but
4883	with the guidance that included that additional
4884	statutory language saying as a reminder.
4885	Q Really quick. It says that they were instructed to
4886	remove it by the Executive Chamber. Do you know who
4887	would have instructed them to do it?
4888	A I don't.
4889	Q It wasn't you?
4890	A Not that I recall.
4891	Q Thank you.

4892 Mr. Emmer. At this time, I would like to introduce 4893 what will be marked as Majority Exhibit 9. 4894 (Majority Exhibit No. 9 was identified 4895 for the record.) 4896 BY MR. EMMER. 4897 Q This is an email thread started by Ms. Benton to 4898 you, Jim Malatras, Gareth Rhodes, and Dr. Zucker on 4899 June 7th, 2020. I will give you a minute to review. 4900 A Okay. 4901 Q So Ms. Benton attaches an article seemingly 4902 critical of the March 25th order and writes, "This is 4903 going to be the great debacle in the history books. 4904 The longer it lasts, the harder to correct. We have a 4905 better argument than we made. Get a report on the 4906 facts because this legacy will overwhelm any positive 4907 accomplishment. Also how many COVID people were 4908 returned to nursing homes in that period? How many 4909 nursing homes? Don't you see how bad this is? Or do 4910 we admit error and give up?" 4911 Do you remember receiving this email? 4912 A No. 4913 Q For the record, who is Ms. Benton? 4914 A The governor's right hand. She was the director of 4915 the governor's offices. 4916 Q Does "great debacle" sound like an expression that

- 4917 Ms. Benton would have used?
- 4918 A No.
- 4919 Q Numerous witnesses have testified that they
- 4920 believed, or at the very least it appeared to them
- 4921 that this email was actually from the former governor.
- 4922 What do you think?
- 4923 A I think that's correct.
- 4924 BY MR. BENZINE.
- 4925 Q Was that common?
- 4926 A He didn't have email, and so he would often dictate
- 4927 emails to Stephanie to send from us. And we were
- 4928 aware based on tone who it was coming to.
- 4929 Q In addition to pins and in-person meetings, if you
- 4930 needed to get something to the governor, would it go
- 4931 through Ms. Benton?
- 4932 A Potentially, yeah.
- 4933 BY MR. EMMER.
- 4934 Q During the pandemic, where was the governor
- 4935 primarily working? Did he have an office at the
- 4936 mansion, or was he at the Capitol?
- 4937 A I would say 90 percent of the time, he was working 4938 out of the Capitol, 10 percent of the time he did have 4939 an office at the mansion. But we were mostly, I mean,
- 4940 90 percent of our communications during COVID were
- 4941 in-person communications, I would say.

4942 Q So again, to reiterate what Mitch just discussed, 4943 if there was a document that the governor needed to 4944 review, you would send it to Ms. Benton who would 4945 print it out and present it to him? How did that 4946 work? 4947 A Either that, or you would just print it out 4948 yourself and bring it to Stephanie and say this is for 4949 the governor, and she would bring it to him. 4950 Q And if he had edits to any document, would he 4951 provide it to Benton who would scan it and send it 4952 back to everyone? 4953 A Correct. 4954 Q So the email writes, "Get a report on the facts." 4955 Do you think this email is referring to the July 6th 4956 report? 4957 A Yes. 4958 Q Did the governor direct the report to be drafted? 4959 A So the governor and Dr. Zucker had a conversation 4960 in front of me, I believe we were in a helicopter, 4961 actually, in May of 2020, where Dr. Zucker was 4962 lamenting after a press conference because more 4963 questions on nursing homes -- the March 25th 4964 admissions policy kept coming up. And Dr. Zucker kept saying, if they only looked at the facts they would 4965 4966 see it's the staff, it's the staff, it's the staff.

4967 And the governor said to him, well, if that's the 4968 case, then look at it. Do a report on it. Put the 4969 numbers out. You know, like do an actual report and 4970 explain this, because otherwise it's going to be 4971 tainted by the politics and the press and we're not 4972 explaining this properly. The entire time he's like 4973 we're not clearly explaining this. 4974 And so this email, I read as he's needling us because 4975 it's like, guys, how many times have we said we're not 4976 properly explaining this? It continues to get 4977 misconstrued, misrepresented in the press. You know? 4978 Go explain this properly. 4979 And I believe at this point, the report was already 4980 underway. I think the Health Department and McKinsey, 4981 Linda, started pulling together the data in May at 4982 some point, middle to end of May. And where he says 4983 here, how many people returned from nursing homes in 4984 that period? That's him saying, because Dr. Zucker 4985 kept assuring him over and over it's staff. 4986 Okay, so what's the answer? How many people? When 4987 were they? Which nursing homes? Which were the 4988 deaths in those nursing homes? How do you analyze if it was the staff. So this was him needling us a 4989 4990 little.

4991 BY MR. EMMER.

4992 Q For the record, do you have an approximate time 4993 that that helicopter ride would have taken place? 4994 A I want to say it was sometime around the early to 4995 middle of May, because it was around the time that we 4996 did the superseding May 10th executive order. 4997 Q So you responded to the email. You said, "Tracy, 4998 please set a call with this group for today after the 4999 press conference to go through." 5000 Do you recall having a phone call in response to this 5001 email? 5002 A Not specifically, but I'm sure it happened. 5003 Q The last line of the email says, "Don't you see how 5004 bad this is? Or do we admit error and give up?" 5005 Were there ever discussions related to admitting that 5006 the March 25th order was a mistake? 5007 A No. This is him saying you people are screwing up 5008 explaining this. This has been going on for months. 5009 You keep saying the facts tell the real story, get the 5010 facts out, is how I interpret that. 5011 Q And to conclude just this line of questioning, do 5012 you stand by the March 25th order? 5013 A You know, I've been asked that question in a lot of 5014 interviews that I've done off of my book, and this is what I will say. It is hard for me as a government 5015 5016 professional who is not a health professional, when

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5017 the health professionals continue to tell you that 5018 they did this on the best possible science and that it 5019 was the right thing. And that if you leave nursing 5020 home patients for hospitals for too long, they could 5021 die of sepsis and that they need a certain skill level 5022 of care that they only receive in nursing homes. 5023 And, you know, solely on the basis, it doesn't 5024 supersede this, that there was a -- you know, 5025 medically stable is a term of art which, by 5026 definition, means you're no longer contagious. 5027 It's hard for me, as a lay person, to say I know 5028 better than the doctors. I regret that we allowed it 5029 to become so -- that it got away from us in terms of 5030 communications-wise, and that it was allowed to become 5031 so politicized. And I think that there are very real 5032 people who endured a tremendous amount of pain in 5033 losing loved ones, and I regret that we didn't do a 5034 better job of explaining this, clarifying it if that's what needed to be done sooner, earlier than we did. 5035 5036 Q Thank you. Let's move on to discussing the data 5037 surrounding nursing homes. Just a question right off 5038 the top, yes or no. Is accurate data important for 5039 informing public health decisions? 5040 A Yes.

5041 Q Do you think the administration presented accurate

5042	data throughout the pandemic?
5043	A Yes.
5044	Q Do you think
5045	A To the best of our ability and in realtime, yes.
5046	Q Do you think the administration was fully
5047	transparent regarding the data throughout the
5048	pandemic?
5049	A Yes, to the best of our ability in realtime.
5050	Q Do you think that the administration was fully
5051	transparent regarding the amount of nursing home
5052	residents who died from COVID-19 during the pandemic?
5053	A I think that we were fully transparent in how we
5054	were presenting the data in saying that we were
5055	presenting the people who died in nursing homes and
5056	the people who died in hospitals based on their place
5057	of death. There was never any confusion as to how we
5058	were releasing the death data.
5059	Q I am going to make you repeat yourself a little bit
5060	here, but can you describe how the daily briefings
5061	were organized on a day-to-day basis?
5062	A Sure.
5063	Mr. Morvillo. You mean the press conferences?
5064	Mr. <u>Emmer.</u> Press conference, daily briefings.
5065	Mr. <u>Morvillo.</u> I'm actually asking for myself so I
5066	understand.

5067 BY MR. EMMER.

5068 Q Okay.

5069 A Linda would text me or email me the numbers 5070 overnight, usually between 3:00 and 4:00 a.m. I 5071 believe Jim and Gareth were on those emails or texts, 5072 whatever. I would copy and paste them and send them 5073 to the governor.

5074 We would go to the office. Generally speaking, we 5075 would arrive before the governor. And by we, I mean 5076 me, Linda, Jim, Gareth, Stephanie, Dr. Zucker, and a 5077 number of other people who I'm not giving their due, 5078 who killed themselves.

5079 People would roll in anywhere between 5:30 and 6:30 5080 every day and we would discuss what needed to be 5081 announced. For example, if the night before we got a 5082 phone call that said this is really becoming a 5083 problem, people can't go to notaries, things are 5084 getting backed up. We've got to do an executive order 5085 allowing people to do notaries on Zoom, whatever. The 5086 unforeseen pops up.

5087 We talk about whether or not it's something that we 5088 should do, the merits of it. If you say yes, okay, 5089 we'll recommend it to the governor, is it worthy of 5090 doing in the presentation or should we just put it on 5091 paper? How do we communicate the information? 5092 So we would come to a group consensus on what 5093 information needed to be conveyed that day from a 5094 policy perspective, and the numbers would be put into 5095 the PowerPoint by, I think, Gareth. And Linda would 5096 provide the numbers to Gareth and/or Jim and they 5097 would put the numbers in the PowerPoint. We would 5098 show visually where we were on the curve, the whole 5099 thing, flatten the curve. So they would do graphics 5100 around that.

5101 Some days the governor had his own opinions about what 5102 needed to be in the PowerPoint front and center. 5103 Sometimes he would take what we gave him and make 5104 minor edits, other times he would take it apart and do 5105 a whole new one. And they always sort of followed the 5106 same themes, which were facts and numbers first, 5107 policy announcements, and then something emotional, 5108 inspirational, empathetic, something to connect with 5109 the public who was stuck at home going through this traumatic period of time. And then we would do $\ensuremath{\textbf{Q}}$ and 5110 5111 Α.

5112 So we would put the presentation together, give it to 5113 him, he would make edits or throw it in the garbage 5114 and write it himself. And he would bring the team 5115 that was going to be on the dais that day in, which 5116 always 99 percent of the time consisted of me and

5117	Zucker, plus whomever was going to be up there,
5118	whether it be Robert Mujica or Jim or Gareth, Beth,
5119	Linda, whoever.
5120	We would talk about what we were going to talk about
5121	for the day. If anyone had any issues, that was their
5122	opportunity. He had a big screen at the end of the
5123	conference table, click through the PowerPoint. As a
5124	team we would watch it all together, Gareth, change
5125	this, change this, change this, and make edits in
5126	realtime, load it up, go do the press conference, come
5127	back, do the recap, and then everyone would sort of
5128	scatter to go do their work for the rest of the day.
5129	Q Let's focus on the numbers that were presented.
5130	You mentioned that Linda would email you the numbers
5131	every morning. Where was she receiving those numbers
5132	from?
5133	A From the Department of Health. I'm not sure who
5134	within the Department of Health.
5135	Q And when you received these numbers, you talked
5136	about I believe you said you talked to
5137	Dr. Malatras, Gareth Rhodes, others. Actually, let's
5138	back up.
5139	Did those numbers include nursing home fatalities?
5140	A Not at first.
5141	Q When would they have included nursing home

5142 fatalities?

5143 A At the very beginning -- and again, I only remember 5144 this because I recently read an article refreshing my 5145 memory. In the very beginning, we were reporting 5146 hospital deaths which started around March 13th-14th. 5147 And then I want to say by the end of the month or 5148 early the following month, we started reporting two 5149 categories, hospital deaths, people who died in 5150 hospitals and then people who died in nursing homes. 5151 Q And when the administration started to include the 5152 numbers of nursing home fatalities, at any point 5153 within your deliberations regarding the daily press 5154 briefings, did you decide not to include nursing home fatalities or certain numbers related to nursing home 5155 5156 fatalities? 5157 A I'm sorry, that question confused me. 5158 Q I guess I'm asking, how did you, Mr. Rhodes, 5159 Dr. Malatras determine which numbers would be 5160 presented to the public on a day-to-day basis?

5161 A Okay. So at the very beginning, it was just the 5162 hospitals. A lot of what we were doing was reactive 5163 to the press. The press played a hugely critical role 5164 during this time because they sort of served as our 5165 eyes and ears in the world for things we weren't 5166 seeing. 5167 So we started seeing press reports about certain 5168 nursing homes, particularly in New York City, where 5169 all of a sudden, there were high death rates. And so 5170 we were like, what is going on in these nursing homes? 5171 And at first, the Department of Health was issuing 5172 surveys, asking about infection rates and PPE and how 5173 many ventilators you have and things like that, but 5174 not asking about deaths. 5175 So in conversations with DOH, the decision was made, 5176 we have to be collecting the death data in these 5177 nursing homes. So they revised the survey to start 5178 asking, you know, how many people died in the last 24 5179 hours? And then we started presenting those two 5180 categories to the public daily. But at first, it was 5181 just hospitals, and then we added in nursing homes and 5182 I think that was the beginning of April. 5183 Q During the daily calls that you had, did you ever 5184 decide not to include certain numbers, namely those 5185 related to nursing homes? 5186 A In daily calls? 5187 Q When you were putting together the daily 5188 presentations with other staff, did you ever decide 5189 not to include certain numbers related to nursing home 5190 fatalities?

5191 A No.

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5192 Q And unfortunately I'm going to make you repeat 5193 yourself again but you briefly touched on it. Can you 5194 explain how the administration collected data 5195 specifically as it related to nursing homes during the 5196 pandemic? 5197 A It was an evolving process. At first, they were 5198 just -- DOH was just asking questions about basic 5199 preparedness essentially and infection control. So 5200 they were asking about people who were suspected COVID 5201 positive, were COVID positive, what their staffing 5202 levels were, how much PPE they had, how many empty 5203 beds they had, how many ventilators they had. And 5204 then it became, we need to know the death numbers. So then they asked a new question which was, how many 5205 5206 people died in your nursing home in the last 24 hours? 5207 And then at some point, we expanded that to say, how 5208 many people died -- and this is another -- o this was 5209 what I'm saying, like, it was evolving and some of it 5210 was reflective of the press, because we would go out 5211 at the daily presser and say seven people died at this 5212 nursing home. And then we would get a call from the 5213 AP or the Post or whomever saying, well, they're 5214 telling us 14 people died. 5215 And we were, like, DOH, square this. How can it be

5216 that this number is different than this number? So

5217	then they would call Cobble Hill or whichever nursing
5218	home and say, why are you reporting to us seven, but
5219	you're telling them 14?
5220	And then they said, oh, we believe even though we
5221	can't prove it, that seven additional people died.
5222	And then started saying, okay, well, then now we need
5223	to ask about probables. So then we started asking
5224	about probables, and then that became a subset within
5225	the death total in nursing homes.
5226	Then we start getting questions about, what about
5227	people who left nursing homes and died in a hospital?
5228	So then they started asking that question.
5229	Some of the nursing homes said that because of the
5230	wording of the surveys, that the survey wording was so
5231	terrible that they were giving incomplete information,
5232	because there was one point where there was
5233	accusations that they were misrepresenting to the
5234	state the number of deaths and we essentially I
5235	don't remember if it was by executive order or DOH by
5236	reg, said you've got to certify these deaths. And if
5237	you're lying, you can face penalties. Because there
5238	was reports from family members that they believed the
5239	nursing homes were underreporting deaths.
5240	So this was an ever-evolving situation. And at one
5241	point when we were trying to get to the bottom of the

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5242 question of, was it patients that brought it back in? 5243 Was it staff? Well, you can't have that informed 5244 conversation until you find out how many patients were 5245 discharged from nursing homes. And then there's this 5246 conversation, as I said, about admission versus 5247 readmission. 5248 So the surveys were redone north of a dozen times 5249 over, like, a month-and-a-half. And as has been 5250 reported, and I recently read in an article from back 5251 in the heat of things, the nursing homes were furious. 5252 They felt like they were being pulled away from 5253 important tasks that they were doing to have to do 5254 these surveys, that it was a waste of time, that their 5255 time could have been better spent and that the numbers 5256 obviously incomplete and they were given wrong answers 5257 because they were poorly worded questions. 5258 So to say it was imperfect is the understatement of 5259 the century, but it was an evolving process meant to 5260 try to get as much information as we could. 5261 Q Did you, yourself, have any role in crafting the 5262 surveys that the Department of Health was sending to 5263 nursing homes? 5264 A No. 5265 Q So is it your testimony that you would have learned

after that these surveys weren't including questions

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5267 related to fatalities or were confusing to nursing 5268 homes; that would have been something you would have 5269 learned after the fact? 5270 A Correct. 5271 Mr. Emmer. At this time, I would like to introduce 5272 what will be marked as Majority Exhibit 10. 5273 (Majority Exhibit No. 10 was 5274 identified for the record.) 5275 BY MR. EMMER. 5276 Q This is the report issued by the Office of New York 5277 State Comptroller entitled "Department of Health, Use, 5278 Collection, and Reporting of Infection Control Data, issued in March of 2022. 5279 5280 A Okay. 5281 Q Do you recognize this report? 5282 A Yes. But I will stipulate that I've never read it. 5283 Q And you weren't interviewed by the comptroller; is 5284 that right? 5285 A That's correct. 5286 Q I want to direct your attention to page 13, and I 5287 will actually give you a minute to read that page. 5288 A From the top? 5289 O Yes. 5290 A I don't think this is correct. 5291 BY MR. BENZINE.

5292 Q Which part?

5293 A So, I mean, a lot of it. But I will say I am 99.9 5294 percent positive we always reported nursing home 5295 deaths as in-facility, because at the time, the 5296 Department of Health said that's how they legally did 5297 it. Like, by law -- and apparently, this is a thing 5298 and perhaps it should be revisited. But by law, at 5299 the end of the year or the month or the quarter, 5300 whatever, every hospital in the State of New York has 5301 to report to the state how many people die in their 5302 facilities. The same thing with nursing homes. 5303 So when discussing this at the beginning, how should 5304 we do this? The Department of Health said. This is 5305 how it's always done. And presumed -- we didn't start 5306 collecting until afterwards, and the presumed didn't 5307 show up in the data until May 3rd. And there's news 5308 articles to this effect. 5309 On May 3rd, we literally did a data dump, because we 5310 had gathered this information at some point between 5311 when we first started collecting nursing home deaths 5312 and May 3rd. And the Department of Health said to us, 5313 I think it was something like 2,000 additional, what

5314 they believed were presumed in-facility deaths. And I 5315 said we have to report them.

5316 So on May 3rd, we put them up on the board, and there

5317 was this smattering of press stories -- you can find 5318 them. I remember the New York Times had like all of a 5319 sudden the nursing numbers jumped. 5320 So it's not as if they were in one category and then 5321 pulled out into a different category. It's that it 5322 was always the in-facility deaths. And one day we 5323 started reporting the presumed in-facility, and we did 5324 report them as subcategories, I believe, at least for 5325 a time. We ultimately may have just combined them, 5326 but we were reporting them separately, and that 5327 happened on May 3rd. 5328 And the out-of-facility deaths, we didn't report until 5329 after -- well after the audit into January. I'm 99 5330 percent positive that they were never up on the board 5331 that way. 5332 There was also an issue with, initially they tried 5333 to -- we tried to report them for transparency sake by 5334 facility, and there was this whole argument on, by 5335 facility of under five deaths, over five deaths, and what was allowed HIPAA and not allowed HIPAA. So that 5336 5337 was happening at the same time, too. So, anyway. 5338 Q And I appreciate that context, and you're more than 5339 welcome. We are not going to stand by the 5340 comptroller's report. 5341 A Yeah.

5342 Q We're just using it for the timeline that they 5343 have.

5344 A Yeah. But you should look, on May 3rd there's this 5345 article that's like, nursing home deaths in New York 5346 explode. And it was because for the first time, we 5347 introduced presumed, and Trump like lost his mind. 5348 And it was a couple weeks after New York City, when de 5349 Blasio unveiled this category of in-home COVID 5350 presumed. And he did it first and Trump went crazy 5351 and was like, New York is trying to make me look bad. 5352 And then we did it two weeks later with the nursing 5353 home presumed, and the number went from -- like it 5354 essentially doubled, call it 2,000 to 4,000 overnight. 5355 So this is incorrect. It wasn't that they were being 5356 reported and then being reported separately. Like, 5357 that's not actually accurate. 5358 Q I'm going to continue to just use this as a 5359 reference. 5360 A Okay. 5361 Q You're more than welcome to testify that it's 5362 wrong. 5363 A Sure. 5364 Q So I just want to focus on the first paragraph that 5365 says, "throughout the pandemic, the department used 5366 alternating methodologies to account for nursing home

5367 deaths." Is that a characterization that you disagree 5368 with? 5369 A That is a characterization I disagree with. 5370 BY MR. BENZINE. 5371 Q Would it be more fairly characterized -- so they're 5372 saying alternating methodologies, which I have never 5373 worked in the comptroller's office, I have no idea 5374 what they actually do other than this kind of stuff. 5375 A Other than cheap shot audits that can't actually 5376 hold up to scrutiny. 5377 Q I'm guessing what they're referring to is going 5378 from counting in-facility confirmed to then counting 5379 in-facility confirmed --5380 A And presumed. 5381 Q -- and presumed. And then counting in-facility 5382 confirmed and presumed and out-of-facility confirmed, 5383 and then out-of-facility confirmed and presumed. 5384 So instead of alternating methodology, it would be 5385 better characterized as just kind of adding more 5386 facts? 5387 A Yeah. I mean, and it was -- again, I wouldn't say 5388 throughout. It was like a two-week period of time or 5389 three-week period of time in April when it was just 5390 in-facility nursing home deaths. 5391 And then we tacked on at the end of April to try to

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5392 make a good-faith effort to reconcile why the press's 5393 numbers were different than the numbers we were 5394 getting from the DOH. We started asking this presumed 5395 number. And then on May 3rd, like all in one drop, we updated 5396 5397 with the presumed number and the numbers shot up. And 5398 then we consistently reported the presumed and 5399 in-facility confirmed on a daily basis until the end 5400 of August of 2020, we stopped reporting presumed 5401 because Dr. Zucker did a health -- I don't remember if 5402 it was an advisory, through regulation. 5403 But essentially, at that point, it was like tests 5404 runneth over. Like there was no concern at all about 5405 tests anymore. And so we mandated for accuracy that 5406 if someone dies and you think they died of COVID, you 5407 have to test them because we want to know. At this 5408 point, like, we want to know if they died of COVID. 5409 No more guesswork. Because there's no more guesswork 5410 necessary. So at the end of August, early September of 2020, we 5411 5412 stopped reporting presumed because we mandated that if 5413 you suspected someone died of COVID, you prove it one 5414 way or another, so that all statistics would be 5415 accurate. And then the out-of-facility numbers were 5416 added in January of 2021.

5417 BY MR. EMMER. 5418 Q And I think we're running out of time during this 5419 hour, so we'll come back with more specifics. But I 5420 guess to rephrase my earlier question, it seems to me that there were changes in how you reported nursing 5421 5422 home fatalities. Would you agree with that? 5423 A Yes. 5424 Q And who would have made the decision to make these 5425 changes? 5426 A Well, Dr. Zucker ultimately would have to make the 5427 decision of how and when to make the changes, but they 5428 were certainly in consultation with the Executive

5429 Chamber in that when we were going out and the 5430 governor, whose credibility was on the line, was 5431 saying there's ten nursing home deaths and the 5432 Associated Press would say, no, there's 17, and we 5433 would say to the Department of Health, reconcile this. 5434 And like if this is what the nursing homes are saying, 5435 and the concept of probable had been introduced at 5436 that point by CDC, then in the spirit of transparency 5437 and totality, then add the presumed. You know, we 5438 supported that decision and then got blasted for it, 5439 but --5440 Q But you, yourself, were involved in these

5440 Q But you, yourself, were involved in these 5441 discussions related to how this data would be 5442 presented to the public? You said Executive Chamber 5443 earlier. 5444 A We were certainly -- I was certainly involved in 5445 saying you can't have a situation where the press is 5446 reporting one thing and we're reporting something 5447 else. And once the concept of presumed was out there, I supported using and reporting presumed deaths. 5448 5449 Q Would you have to sign off on these changes? 5450 A No, but I was certainly a voice that was involved. 5451 Mr. Emmer. We can go off the record. 5452 (Recess.) 5453 Mr. Emmer. We can go back on the record. 5454 BY MR. EMMER. 5455 Q Ms. DeRosa, in the previous hour, we discussed the 5456 timeline of changes as far as how nursing home 5457 fatalities were reported to the public, and I just 5458 want to use the comptroller report just to inform the 5459 questions we are going to ask. 5460 So I want to direct your attention to the second full 5461 paragraph and it's the second sentence. I will read 5462 it out loud. 5463 Mr. Morvillo. Still on page 13? 5464 Mr. Emmer. Correct. 5465 BY MR. EMMER. 5466 Q I will read it out loud for the record. It says,

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5467 "For the next 18-day period, April 15 to May 2, 2020, 5468 the Department added reporting of presumed deaths by 5469 county as well as both confirmed and presumed deaths 5470 by individual facility - but only if the facility had 5471 five or more deaths." 5472 I believe you touched on it previously, but why would 5473 death totals at facilities with less than five deaths 5474 not be included? 5475 A That's a very good question that never made sense 5476 to me, and that I pushed the Department of Health on. 5477 They claimed it was a HIPAA issue, because if you were 5478 reporting -- let's say there's a facility and there 5479 were fewer than five deaths and they reported two 5480 deaths, and you know of somebody who just died there, 5481 then you could deduce that that person died of COVID, 5482 thereby robbing that family of the ability to tell 5483 people what their loved one died of. That was the 5484 theory. It never made sense to me, and pretty quickly 5485 we did away with that. 5486 But they were -- they were not initially broken down 5487 facility-by-facility data, but they were always included in the overall total. 5488 BY MR. BENZINE. 5489 5490 Q So like the hypothetical Excel sheet would be

Cobble Hill, less than five, and then if it was three,

5492 there would be three included in the total? 5493 A Exactly. Or it wouldn't show Cobble Hill at all, 5494 it would just put like a dash, but then at the bottom, 5495 the numbers wouldn't add up because the overall number 5496 would be more than the individuals if you added them 5497 up. 5498 Q Did that cause any issues or frustration? A A tremendous amount, especially during press 5499 5500 conferences. And that was another one where I was 5501 like, as a layperson, explain it to me like I'm stupid 5502 because this doesn't make any sense to me whatsoever. 5503 BY MR. EMMER. 5504 Q To be clear, you would not have been involved in 5505 any decisions to exclude those deaths? 5506 A Correct. 5507 Q And you brought up Dr. Zucker. Would he have made 5508 that decision? 5509 A He -- look, ultimately, any decision that came out 5510 of DOH, he was -- it was his responsibility. But 5511 Dr. Zucker delegated, and there was a lot going on at 5512 that time, so he certainly had deputies who were 5513 making those decisions. 5514 Q So moving on to, I believe, two sentences later. 5515 It says, "Subsequently, from May 3, 2020 to February 5516 3, 2021, the Department excluded deaths that occurred

5517 at other locations and separated confirmed and

5518 presumed deaths."

5519 Were you involved in any discussions related to not 5520 including out-of-facility death totals and what was 5521 presented to the public?

5522 A No. In fact, I don't think we started at -- I 5523 don't think the Department of Health started asking 5524 the out-of-facility number until later. It's my 5525 recollection that that came a couple of weeks after we 5526 collected the presumed when we were attempting to do 5527 the retrospective.

5528 Q And I guess it only says April 12 to April 14. Do 5529 you disagree with how this report characterizes the 5530 reporting of nursing home deaths between April 12th 5531 and April 14th that says reported all confirmed deaths 5532 at nursing homes and other locations?

5533 A Yes. That's not my recollection.

5534 BY MR. BENZINE.

5535 Q We'll talk about kind of the out-of-facility later.
5536 But one of the -- and any number of people have
5537 brought this up, that kind of like people that break
5538 their leg go to the hospital and catch COVID, or catch
5539 COVID and get in a car accident, and then are counted
5540 as a COVID death.

5541 I guess one of the concerns that the chairman has in

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5542 not counting the out-of-facility, regardless of where 5543 they died was where they caught COVID. And again, 5544 understanding the difficulties of having to figure 5545 that out. But looking back, do you think where the 5546 individual caught COVID is important in determining 5547 the scale of the crisis in nursing homes or in 5548 hospitals? A I think, looking back, understanding how the COVID 5549 5550 was getting into facilities was the most important 5551 thing, because that's how we're going to inform if 5552 there's another pandemic, trying to guard against it. 5553 And so I think that trying to get to that answer was 5554 the most important thing. 5555 I do also agree that early on it was stupid that 5556 someone would die of a -- you know, get into a car 5557 accident. And if they also had COVID, in New York out 5558 of an abundance of caution, the hospitals were listing 5559 them as a COVID death. And I think looking back on 5560 that, that doesn't do anything to help inform the 5561 situation, because if it was a healthy 45-year-old, 5562 they were throwing off the statistics. 5563 Q I know the death certificates that were made public 5564 at the time were pretty interesting. It was like 5565 cause of death number one was blunt force trauma from 5566 accident, and cause of death number two was COVID. I

5567 think number one is a little bit different than number 5568 two. 5569 A Yes. It was a desire to be as transparent and 5570 forthcoming as possible and try to let people know the 5571 extent of the crisis. But I think in retrospect, 5572 like -- and this is why this exercise, I hope, is taken somewhat seriously, because in the future, that 5573 5574 stuff needs to be considered because you can't really 5575 understand the scope of the thing if then later we 5576 find out school kids were 99 percent of the time okay 5577 and we were treating them the same as we were treating 5578 immunocompromised and elderly for a period of time 5579 which ultimately set them back, right? 5580 BY MR. EMMER. 5581 Q Do you recall becoming aware that deaths occurring 5582 after 5:00 p.m. weren't being counted? 5583 A Yes. 5584 Q And when did you become aware of this? 5585 A I don't remember the specific timeline, but 5586 somebody -- and I don't remember if it was Beth or if 5587 it was Megan or Linda, somebody at some point said to 5588 me, the Department of Health had a reporting screw-up 5589 where -- I don't know if it was because of the way the 5590 question was worded or because of a glitch in the 5591 system, there was a two-week period where they weren't

5592 counting people who died between 5:00 p.m. and 5:00 5593 a.m. And my reaction was, what are we doing about 5594 that? 5595 And they said, well, they've retrospectively gone and 5596 collected the data. And the response was, add them 5597 into the reporting numbers. 5598 So that was another instance, I think it was end of 5599 June perhaps, sometime in there, where there was all 5600 of a sudden a bump in the numbers and the press was 5601 like, where did that come from? And it was like, 5602 because there had been this Department of 5603 Health -- and I don't want to say screw-up because 5604 that's not kind to people who were doing their best in 5605 a pandemic. 5606 But was something that -- it was either the way the 5607 question was worded or it was an issue for the 5608 malfunctioning reporting thing, but it was 5609 acknowledged and fixed within a short period of time 5610 after it was identified. 5611 Q Do you recall how many deaths would have been 5612 excluded? 5613 A I don't. But it was during a period of time when 5614 the rate of death was much lower. It was like in May 5615 or something like that. It wasn't like March, April, 5616 when it was at its height.

5617 Q Do you recall whether there was any sort of 5618 reluctance to report that data when you became aware 5619 that it wasn't being included? 5620 A No. 5621 Q Do you recall having meetings regarding this issue? 5622 A To the extent -- I recall being told of the issue. 5623 I don't remember there being multiple meetings. But 5624 certainly it bubbled up that there had been a screw-up 5625 that needed to be addressed. 5626 Q Did you support the immediate release of data that 5627 wasn't being reported because of this mistake? 5628 A I think so. I don't think there was any like -- it 5629 wasn't just releasing the data that hadn't been 5630 released. I think they had to go back and collect it. 5631 I think that they hadn't been collecting it, not that 5632 it wasn't being reported. That's my memory of it. 5633 Q Do you recall having any conversations with 5634 Ms. Lacewell regarding the data that was being 5635 excluded because of this mistake? 5636 A I thought that I had the conversations with Beth, 5637 but it may have been Linda. I don't remember. 5638 BY MR. BENZINE. 5639 Q Do you recall any conversations with Ms. Baldwin 5640 about this? 5641 A I would usually communicate with Megan on email. I

5642 didn't talk to her voice-to-voice all that often, but 5643 she would have certainly been involved because I think 5644 Megan was sort of reporting to Linda or was acting at 5645 her person at DOH she was helping with numbers. 5646 Q What about Dr. Malatras? 5647 A I don't remember if he was involved in this or not. 5648 BY MR. EMMER. Q During one of the Minority's hours, they talked to 5649 5650 you about Dr. Zucker, the letters that were prepared 5651 in August and October. And I just want to rewind. 5652 On August 3rd, Dr. Zucker declined to provide the New 5653 York state legislature with the number of nursing home 5654 residents who died. Do you recall his testimony? 5655 A Yes. 5656 Q And at that time, again, I'm going to ask you to 5657 sort of repeat yourself. But why couldn't you provide 5658 the number? 5659 A Because they hadn't been audited yet and we knew 5660 that they were wrong. So they needed to be audited. 5661 Q Did he support releasing the number during or prior 5662 to that hearing? 5663 A Not that I recall. BY MR. BENZINE. 5664 5665 Q While he's looking over the questions, you were 5666 asked a lot of question's about Mr. Rhodes' audit, the

5667 600 or so, about 20 percent that he found potentially 5668 inconsistent. 5669 Do you recall -- and you might have answered this and 5670 my apologies if you did. Do you recall, did 5671 Mr. Rhodes advocate for releasing the remainder, the 5672 2400 or whatever it was? 5673 A Yes. 5674 Q Were they released at that time? 5675 A They were not released at that time. 5676 Q Why not? 5677 A That was -- I'll repeat myself from earlier. But 5678 that was almost exactly at the same moment that we 5679 received the inquiry from DOJ, and that's when we met 5680 with the lawyers and went to the leaders and said, we 5681 need to put your request aside. We can't have numbers 5682 floating around in the world. We have the DOJ 5683 inquiry. We have to get back to them. Our priority 5684 has to be making sure that their request is fulfilled 5685 in a timely, transparent, and truthful manner. And 5686 that's when they said, that's fine, just January. 5687 Q And we talked to Mr. Rhodes, obviously a smart guy. 5688 This isn't a question that's meant to disparage him. 5689 But when you get a DOJ request, you probably want 5690 someone more than Mr. Rhodes doing the audit to make 5691 sure the numbers are right. Was that the situation?

A Yes.

5692

5693 BY MR. EMMER. 5694 Q Do you recall Mr. Rhodes advising the release of 5695 the full numbers of the audit with some sort of 5696 disclaimer that there are 600 inconsistencies that 5697 warrant further follow-up? 5698 A He may have, but I don't remember. 5699 Q Do you recall whether the administration considered 5700 doing any such thing? 5701 A I don't recall entertaining saying, here are these 5702 extra numbers and there might be another 600 more, but 5703 we need to do more work. I remember, at least from my 5704 part and others, there being a desire to have the 5705 audit complete and done so that when we got back to 5706 the legislature, it was like, here it all is. 5707 And it was very troubling to me that on a cursory 5708 three-day long trip to DOH, they identified upwards of 5709 20 percent error rate, potential error rate in the 5710 numbers that three months earlier, McKinsey and DOH 5711 had just blindly dumped into a report despite knowing 5712 that they were wrong. 5713 So I think that there was a balance we were trying to 5714 strike between the desire to put these numbers out, 5715 which the press were asking for, and the desire to 5716 make sure what we were putting out was actually

correct.

BY MR. BENZINE.

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5719 Q Did you end up employing an audit firm or 5720 accounting firm to do it? 5721 A Once DOJ got involved, everything went to the 5722 lawyers. 5723 Q Do you know if they hired an accounting firm to do 5724 it? 5725 A I do not. 5726 BY MR. EMMER. 5727 Q And you talked with the Minority about the letter 5728 that Dr. Zucker drafted in August of 2020. Was it 5729 your testimony that you don't recall actually 5730 reviewing it? 5731 A Correct. 5732 Q Do you recall having conversations with Dr. Zucker 5733 about releasing the full data pursuant to the letter 5734 that he drafted? 5735 A I don't recall having conversations with him about 5736 it. 5737 Q And again, asking you repeat yourself. But why 5738 wasn't that letter shared with the legislature at that 5739 time? A Because we were prioritizing the DOJ request over 5740

5741 the legislative request.

5742 Q And was your testimony the same -- scratch that. 5743 Dr. Zucker seemed to also recall another letter that 5744 was also reporting the full numbers that he drafted in 5745 October, that he was confident. Do you recall 5746 reviewing such a letter? 5747 A No. And I think he -- I don't know if you guys have a copy of it or not, but my memory of that was it 5748 5749 was around Thanksgiving. But, no, I don't think that 5750 I reviewed it. 5751 Q You may have already answered this, but how long 5752 did it take for the administration to respond to the 5753 Department of Justice's August information inquiry? 5754 A A month, perhaps. 5755 Q And why couldn't the administration respond to the 5756 legislature's request while also responding to the 5757 Department of Justice? 5758 A We wanted to prioritize DOJ. The legislature was 5759 fine with it. We didn't know what kind of follow-up 5760 questions they were going to come back with, if they 5761 were going to expand the scope. But once DOJ was 5762 involved, we were giving deference to DOJ. 5763 Q At that time in August, the Department of Justice's 5764 request only involved around 30 public state-run 5765 nursing homes; is that right? 5766 A If that's the number you've got, I don't doubt it.

5767 Q So I guess if the goal was to continually audit the 5768 numbers, why would that necessitate pausing the audit 5769 of the rest of the nursing homes in the State of New 5770 York?

5771 A I don't think it did. I think that in October, 5772 after that reported on Columbus Day call, they were doing more work on the numbers. I think they were 5773 5774 doing additional auditing work on the private nursing 5775 home numbers because they had completed the -- they 5776 felt comfortable certifying to DOJ what they had done 5777 on the public nursing homes when they submitted that 5778 response, and then they continued to work on the 5779 private nursing homes separately from that through 5780 whenever Dr. Zucker did his second response to the 5781 legislature.

5782 Q And you mentioned just now and with the Minority 5783 that the legislature approved of this delay of 5784 receiving the numbers in January. Do you recall who 5785 in the legislature would have approved such an 5786 arrangement?

5787 A Yes.

5788 Q And who was?

5789 A Shontell Smith in the Senate and LouAnn Ciccone in5790 the Assembly.

5791 Q Thank you. I want to direct your attention to the

5792	July 6th report which is marked as Minority Exhibit B.
5793	Prior to this report, had you ever been involved in
5794	editing a Department of Health report?
5795	A Not that I recall. But I also can't remember
5796	another time we did a DOH health report.
5797	Q And to be clear, I think I may have jumped ahead.
5798	And I don't want to mischaracterize your testimony,
5799	but you testified that you did edit this report during
5800	the drafting process?
5801	A I provided suggestions and I asked a lot of
5802	questions.
5803	Q Do you recall what areas you would have provided
5804	suggestions on?
5805	A Not specifically. But it's more what I was saying
5806	before. You know, a lot of times these things get
5807	loaded up with jargon that is not easily
5808	understandable to lay people. And the purpose of this
5809	was to try to be as straightforward as possible and
5810	able to easily explain what happened in another less
5811	complicated situation.
5812	So that was the majority of my feedback. And it was
5813	also asking questions. You're making this assertion,
5814	where did it come from? How are you going to back it
5815	up? Where's the footnote? You know, things like
5816	that.

5817 BY MR. BENZINE.

5818 Q Were your edits in track changes and comments? 5819 A I don't know. I don't know. 5820 BY MR. EMMER. 5821 Q And you testified to who was involved from the 5822 administration in the Minority's hour. But were there 5823 any other individuals or organizations outside the 5824 government that were involved in drafting the report? 5825 A In drafting the report? No, not that I am aware 5826 of. 5827 BY MR. BENZINE. 5828 Q What about reviewing the report? 5829 A I sent it to -- I'm going to screw up his 5830 name -- Dr. Grabowski, who was someone I saw in that 5831 COVID congressional hearing in June of 2020 who 5832 I -- he was from Harvard, he seemed like he had a 5833 tremendous amount of credibility with both parties. 5834 So I just cold called him and said, I heard your 5835 testimony. DOH is preparing to release this report. 5836 I would love a gut check. Would you mind reviewing 5837 this and letting me know what you think? 5838 And then I think also, Howard Zucker shared it, I 5839 think, with a few other hospitals and some other 5840 doctors to ask them for their feedback, you know, 5841 informally. It wasn't what the DOH had initially

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5842 wanted, the formal peer review. This is more 5843 informal, hey, would you do me a favor, and I hold you 5844 in high esteem. What do you think? 5845 Q That was going to be my next question is Mr. 5846 Azzopardi put out a statement saying that it was a 5847 peer-reviewed paper. Was it a peer-reviewed paper? 5848 A I think that to the extent that, as I said, 5849 medically stable is a term of art in the medical 5850 community which is something that, like, lay people 5851 like me and Rich Azzopardi wouldn't have known and 5852 didn't fully appreciate. 5853 I think that when he used the word peer reviewed, he 5854 meant we sent this to other doctors, not used as in 5855 the term of art, like it would be traditionally used 5856 like in a medical journal. But in no way was that 5857 meant to mislead. We certainly sought outside input 5858 and reaction from medical professionals. 5859 BY MR. EMMER. 5860 Q Do you recall whether Michael Dowling may have 5861 reviewed the report? 5862 A I believe he did. 5863 Q Do you recall whether anyone from the Greater New 5864 York Hospital Association reviewed the report? 5865 A Not specially whom, but I believe they did. 5866 Q And in regards to Greater New York Hospital

5867 Association, Northwell Health, they would have just 5868 reviewed it, they wouldn't have made edits to it? 5869 A They would have reviewed it and said, did you 5870 consider -- and, again, this is -- I don't want to 5871 speak with 100 percent certainty. So my view on what 5872 they would have done, which is a hypothetical, is this 5873 section is strong, did you consider this? Do you have 5874 the numbers to back that up? Did you consider adding 5875 this graph? You know what I mean? That kind of 5876 feedback. But I don't think that they, like, line 5877 edited it. 5878 BY MR. BENZINE. 5879 Q Do you recall when you first saw a draft? 5880 A Sometime in the end of June, middle of June, end of 5881 June. 5882 Q Was it a pretty established paper by that point? 5883 A Yes, I think so. 5884 BY MR. EMMER. 5885 Q The impeachment report notices that throughout the 5886 drafting process, the former governor reviewed and 5887 edited the draft DOH report on multiple occasions and 5888 made edits to strengthen the defense of the March 25th 5889 directive. 5890 Is it true the governor reviewed and edited the report 5891 on multiple occasions?

- 5892 A If he did, I don't remember.
- 5893 Q Do you think it's possible that he may have edited
- 5894 the July 6th report?
- 5895 Mr. Morvillo. Anything is possible.
- 5896 The Witness. I don't know.
- 5897 BY MR. BENZINE.
- 5898 Q If he had made edits, would it have been
- 5899 communicated back to you by Stephanie Benton?
- 5900 A Possibly or Stephanie could have been communicating
- 5901 directly with Jim or with, you know --
- 5902 BY MR. EMMER.
- 5903 Q During one of the Minority's hours, they discussed 5904 the decision to not include out-of-facility deaths in 5905 the report. Do you recall whether you reviewed drafts 5906 that included the full hospital deaths?
- 5907 A I don't recall if I reviewed a draft that had the 5908 full number. I recall that there was a time at some 5909 point way late in the process where a draft was
- 5910 generated, where the unverified numbers were included.
- 5911 And also included in that initial draft I believe was 5912 the admissions and readmissions.
- 5913 And so this is what I was referencing before where 5914 there was two decision points and there was a 5915 consensus among the team that had been working on it 5916 that unverified numbers we knew were wrong would not

5917 be defensible.

5918	You can't reach a conclusion and at the same time say,
5919	we know not that these numbers aren't verified, we
5920	know these numbers are wrong, we just don't know how
5921	wrong they are. There was general consensus whether
5922	you use admissions or admissions and readmissions in
5923	the analysis, but not total consensus.
5924	So I went to Dr. Zucker, posed the question on both.
5925	He said the death number was irrelevant to the
5926	exercise, but what mattered was how it was walking in
5927	and he agreed that we should use the verified number
5928	and then audit the numbers when we had the opportunity
5929	to audit the numbers.
5930	And he said that we should use admissions because if
5931	we're looking at how COVID got into nursing homes, if
5932	you were an admit, you were, by definition, new to the
5933	nursing home with the COVID. If you were a
5934	readmission, you were already there had COVID left and
5935	came back. So that you left with it and came back
5936	after you were treated and weren't introducing it into
5937	the facility. So those were the two decision points
5938	we sought Dr. Zucker's guidance on, I sought
5939	Dr. Zucker's guidance on.
5940	Q Do you recall when you sought Dr. Zucker's
5941	guidance, was that a phone call or did you organize a

5942 meeting? 5943 A It was either a phone or in person. 5944 Q Do you recall --5945 A Everything was either on phone or in person. 5946 Q Do you recall who else would have been on or a part 5947 of this discussion? 5948 A There were a couple of discussions. There was one 5949 big group discussion that was on a call. I had one 5950 off conversations with Zucker on my own. And Zucker 5951 and Linda spoke separately. I know I think Dr. Zucker 5952 and Jim spoke separately. So there were all kinds of 5953 iterations of these conversations. 5954 Mr. Emmer. Off the record for one minute. 5955 (Recess.) 5956 Mr. Emmer. We can go back on the record. At this 5957 time, I would like to introduce what we will mark as 5958 Majority Exhibit 11. 5959 (Majority Exhibit No. 11 was identified 5960 for the record.) BY MR. EMMER. 5961 5962 Q This is a statement entitled statement from Beth 5963 Garvey, special counsel to the governor from March 5964 5th, 2021. 5965 A Okay. 5966 Q First, you recognize the statement?

5967 A Yes.

5968 Q Do you recall why Ms. Garvey had to issue this 5969 statement?

5970 A Yes.

5971 Q Can you explain why she issued it?

5972 A I believe there was a New York Times story or some 5973 story that reported that Jim, Linda, and I altered the 5974 numbers of the deaths in the July DOH report which was 5975 not truthful. Jim had issued a statement on his own, 5976 which then made it look as if since he issued a 5977 statement, but Linda and I hadn't issued a statement, 5978 that that meant that we had changed the numbers, which 5979 wasn't true.

5980 So Linda, on behalf of Chamber, issued, on behalf of 5981 everybody, issued a statement to clarify it. We were 5982 in the middle of a DOJ investigation, so the one thing 5983 we were told by the lawyers was none of us was allowed 5984 to individually speak or defend ourselves. So we were 5985 stuck in a position where we couldn't say anything. 5986 But Jim made a statement. So this was Beth's attempt, 5987 as a lawyer, to put out a statement explaining what 5988 had happened and made clear that none of us changed 5989 the numbers.

5990 Mr. Morvillo. You said Linda.

5991 The Witness. Beth.

5992 BY MR. EMMER.

5993 Q Do you recall a phone call asking Dr. Malatras to 5994 retract his statement? 5995 A I don't know that it was asking him to retract his 5996 statement. I remember he put out the statement and 5997 didn't tell anyone. And so I saw it on Twitter, and 5998 it wasn't just me, there was a group of people on the 5999 phone. 6000 But I think I said to Jim, the way that you did this 6001 without coordinating is going to look like just you 6002 and not Linda and I, when that's not the reality. And 6003 he said, I'm president of SUNY now, so I was getting 6004 asked questions, but you guys should put out whatever 6005 you want. And we were told we weren't allowed because 6006 of the ongoing investigation so Beth Garvey issued a 6007 formal statement. 6008 Q Who else was on that phone call? 6009 A I believe Linda, I believe -- I don't know Beth 6010 would have been on the phone, too. 6011 Q So I want to direct your attention to the second 6012 paragraph. It reads, "COVID Task Force members 6013 including Melissa DeRosa, Linda Lacewell, and Jim 6014 Malatras were involved in reviewing the draft report. 6015 None of them changed any of the fatality numbers or 6016 altered the data. After asking DOH questions as to

6017 the source of the previously unpublished data to which 6018 there were not clear or complete answers probing to 6019 determine whether it was relevant to the outcome of 6020 the report, a decision was made to use the dataset 6021 that was reported by the place of death, with 6022 firsthand knowledge of the circumstances which gave a 6023 higher degree of comfort in its accuracy." 6024 Now, several minutes ago, I believe we touched on the 6025 discussions that led up to that decision. Do you 6026 recall what questions were asked of the Department of 6027 Health that ultimately led to the decision to exclude 6028 the out-of-facility deaths.

6029 A Yes.

6030 Mr. <u>Morvillo.</u> When you say -- are you asking for 6031 conversations that she had with lawyers? Are you 6032 asking a different question? I'm just not sure which 6033 questions you're talking about. Are you talking about 6034 in the conversation that you know I'm going to object 6035 to, that had lawyers in it, or are you something about 6036 something different?

6037 Mr. <u>Benzine.</u> The questions that were asked to DOH 6038 that led to the decision to not publish that data. 6039 Mr. <u>Morvillo.</u> By the Executive Chamber or by the 6040 papers or by who? That's what I'm trying to figure 6041 out, who is asking the questions. 6042 BY MR. BENZINE.

6043 Q I would imagine it was you.

6044 Mr. <u>Morvillo.</u> So you can answer if lawyers aren't 6045 involved in that conversation. If it's not legal 6046 advice.

6047 The <u>Witness.</u> There was multiple conversations. There 6048 was one penultimate conversation that was privileged 6049 and we won't talk about because it's privileged. You 6050 say that it's privileged.

6051 Mr. <u>Morvillo.</u> I don't say it's privileged. But the 6052 Executive Chamber --

6053 BY MR. BENZINE.

6054 Q Is the penultimate conversation the June 27th phone 6055 call?

6056 A I don't know the actual date, but there was that 6057 conversation which was like the big group conversation 6058 which has been reported and discussed previously. 6059 But the questions to DOH not just from me, but from 6060 others including Linda. Including Beth, including 6061 other people, that were looking at this report, 6062 because it, was data that had never been previously 6063 published was, has this been vetted or verified? No. 6064 In looking at the cursory numbers, we've all agreed previously that this information has to be audited 6065 6066 because it's incorrect. Has anything changed? No.

6067 Have you done anything to figure out which information 6068 is incorrect? No. How certain are you of the numbers 6069 that are reported from outside facilities that they 6070 are correct? Silence. 6071 Are you seriously proposing using numbers in a report 6072 to back a conclusion that the March 25th guidance 6073 didn't influence bringing COVID into nursing homes, 6074 knowing that the numbers are wrong? Not thinking that 6075 they could be wrong but knowing that they're wrong? 6076 Silence. What do we want to do here, guys? What do 6077 you want to do here? 6078 And Zucker said, it doesn't alter the conclusion, the 6079 ultimate conclusion is the same, so let's use the 6080 vetted verified numbers, be clear that's what we're 6081 doing and we will audit them later.

6082 And so it was Zucker's call. Zucker had to defend it, 6083 Zucker had to put his name on it. As Zucker told the 6084 Assembly, if he disagreed with it, he would not say it 6085 out loud. His name was on it. It was his call. 6086 And then along with that, I'm not sure the same but 6087 similar conversation, the question on admissions or 6088 readmissions which was a much shorter discussion 6089 because he was very clear. We're looking at what 6090 brought it in, if it's a readmit they left with it and 6091 they brought it back or they didn't because the viral

6092 load should be so low. So that was sort of, 6093 like -- and that was how the decision was made. 6094 Q And those were the two decision points that you 6095 were talking about earlier that Dr. Zucker made the 6096 call on? 6097 A Yeah, where there were multiple conversations about 6098 what to do and it was Dr. Zucker's call. And then 6099 subsequently, we did make a good faith effort to try 6100 to do an audit. We did confirm the error rate was 6101 north of 20 percent, DOJ, and on and on. 6102 Mr. Morvillo. Just to be clear, when you were given 6103 questions and answers, those are not direct quotes --6104 The Witness. No, no. 6105 Mr. Morvillo. You're just sort of hypothesizing. 6106 The Witness. Correct. This is I'm giving you, this 6107 is the gist. 6108 Ms. Morvillo. Substance as opposed to specifics. 6109 THE WITNESS: Thank you. And it wasn't just me that 6110 was probing. There were a number of people probing. 6111 Because if you're going to introduce this brand new 6112 number into a report and say this report disproves 6113 that the thing you, DOH, are being accused of doing 6114 that caused people to die, you better damn be sure of 6115 the numbers you are using are right or you're going to 6116 be cooking the books.

6117 And now that we've established in this group 6118 conversation that not only do we not know the numbers 6119 are right, we know the numbers are wrong, to say 6120 nothing of the fact that people are questioning the 6121 use of probables and whether or not the probables are 6122 real and not real and are they inflating it. 6123 So the ultimate goal, which I stated in no uncertain 6124 terms, the governor and Zucker believed in his core 6125 was we had to be right. The numbers had to be right. 6126 So again, it was balancing the desire of the speed 6127 from the press and the legislature to get a certain 6128 set of numbers and making sure they were right. And 6129 the sort of bomb that was thrown into the middle of it 6130 was DOJ. 6131 BY MR. BENZINE. 6132 Q I want to go back to the June 27th penultimate, I

6133 think we're talking about the same one, but I

6134 understand recollection on dates. Was there any

6135 conversation on that phone call that was not

6136 privileged?

6137 Mr. <u>Morvillo.</u> Well, I'm not sure that she's the right 6138 person to ask that question. She's not a lawyer. 6139 She's not going to know exactly. I know you've had 6140 other lawyers in that were on that call, they're

6141 probably better to ask that question to.

6142	Mr. <u>Benzine.</u> Have you debriefed her on the contents
6143	of the conversation? Could you assert privilege on
6144	her behalf?
6145	Mr. <u>Morvillo.</u> It's not our privilege.
6146	Mr. <u>Benzine.</u> Based on that conversation.
6147	Mr. Morvillo. I don't work for the Chamber.
6148	Mr. <u>Benzine.</u> You've been asserting privilege all day.
6149	Mr. Morvillo. Because it's their privilege and we
6150	don't have the right to waive it. So my understanding
6151	is they haven't waived it, so we're protecting the
6152	privilege so if that's what you mean by asserting it,
6153	yes.
6154	Mr. <u>Benzine.</u> I'm trying to understand how you know
6155	Mr. Morvillo. Because I have been told they're not
6156	waiving the privilege.
6157	Mr. <u>Benzine.</u> Okay.
6158	BY MR. BENZINE.
6159	Q Was there any discussion on that call beyond what
6160	numbers to include?
6161	A I believe also
6162	Mr. <u>Morvillo.</u> No. Just yes or no on that one.
6163	The <u>Witness.</u> I don't recall specifically.
6164	Mr. <u>Morvillo.</u> Or I don't recall.
6165	The <u>Witness.</u> I don't recall.

6166 BY MR. BENZINE.

6167 Q We can stick with the yes or no. The discussion of 6168 whether to include the north of 9,000 number or the 6169 north of 6,000 number took place on that phone call, 6170 just yes or no? 6171 The Witness. Can I do --6172 Mr. Morvillo. You can answer that. Just yes or no. 6173 The Witness. Yes. But, like, let me, again, say, A, 6174 because there was many conversations around that time. 6175 BY MR. BENZINE. 6176 Q Yes, and --6177 Mr. Morvillo. If you want to ask questions about what 6178 happened around that call on that topic without 6179 getting into the that specific call, I have no 6180 objection. If you want to get it in a different way, 6181 more power to you. 6182 Mr. Benzine. I'm trying. 6183 BY MR. BENZINE. 6184 Q So we had an interview with Ms. Lacewell, and 6185 again, without furnishing the transcripts, she 6186 testified that she saw drafts of the report that had 6187 the 9,800 and whatever number prior to June 27th. And 6188 then after June 27, it was all the 6,000 number. She 6189 didn't get into the why on the phone call. 6190 Beyond discussing -- were there discussions prior to

6191 the penultimate phone call on what numbers to include.

6192 A I don't want to -- I'm sorry I used the word 6193 penultimate because there were many phone calls, so 6194 just know there were many conversations. There wasn't 6195 a definitive conversation, but that's the conversation everyone is claiming privilege over it. 6196 6197 Q And that's why I'm focused, I'm assuming that's the 6198 decision that was made if everyone is claiming 6199 privilege? 6200 A The decision was made by Dr. Zucker over a series 6201 of conversations. Again, I don't remember the first 6202 time I saw the report. I remember that on that date 6203 was sort of like when it called into question, because 6204 it bubbled up to a point where it was like, whoa, 6205 where did these numbers come from and how are we using 6206 the numbers no one has ever seen before. And who's 6207 looking at these numbers? 6208 And Department of Health, you're telling me you know 6209 these numbers are wrong, which is why we haven't used 6210 them previously, but you're just going to put them in 6211 the report? How did McKinsey get them? Tapped the 6212 database without asking any questions about whether or 6213 not they've been vetted or verified? 6214 It was -- there were many of these conversations that 6215 were being had around that discussion. And Dr. Zucker 6216 was the ultimate decisionmaker on this and the

6217	question about admissions versus readmissions and what
6218	to use. And his conclusion was it doesn't change the
6219	outcome so use the verified numbers and then we have
6220	to audit these other numbers, and which we then, a
6221	month later, attempted to begin a good faith effort to
6222	do.
6223	Q Was the governor ever consulted on the decision of
6224	which numbers to include?
6225	A I don't think he was involved in those
6226	conversations.
6227	Q And then my understanding of the series of phone
6228	calls is that it's some combination of you,
6229	Ms. Garvey, and Ms. Lacewell, and Dr. Zucker on them.
6230	Do you remember any other people involved?
6231	A I think Malatras.
6232	Q That's right?
6233	A Eleanor Adams may have been in and out of those.
6234	Gary Holmes may have been in and out of those. A
6235	press person. Like some iteration of that general
6236	group.
6237	Q Okay. Thank you.
6238	BY MR. EMMER.
6239	Q And you had touched on it, but you said the other
6240	decision that was made was whether or not to include

6241 readmission or readmitted residents versus admitted

6242 residents?

6243 A Correct.

6244 Q And what was the ultimate decision?

6245 A To use what Dr. Zucker said because what the report 6246 is trying to examine is how COVID was introduced into 6247 nursing homes, it didn't make sense to use the 6248 readmission number because the readmission number, by 6249 definition, means I had COVID, I'm in a nursing home, 6250 I went to a hospital, I was treated in a hospital. I 6251 was medically stable, I'm then going back to my home. 6252 So you weren't introducing COVID into the nursing home 6253 because you left with it and by the time you came back 6254 under the best medical advice at the time, this 6255 person -- the viral load was such that they were no 6256 longer contagious. So they certainly weren't 6257 introducing it because they got it there. 6258 BY MR. BENZINE. 6259 Q Do you know if hospitals were testing everybody 6260 that they admitted at that time? Mr. Morvillo. At which time? 6261 The Witness. Which time? 6262 BY MR. BENZINE. 6263 6264 Q While the admissions policy was in place? 6265 A From March 25th to May 10th?

6266 Q Yes.

6267 A Do I know if they were testing everyone who was 6268 admitted, any person, regardless of whether they were 6269 suspected with COVID, I don't believe so. 6270 Q Then the readmission definition you just used 6271 wouldn't work because it's possible a nursing home 6272 resident left without COVID, right? So you just said, 6273 by definition, readmissions would be someone going to 6274 the hospital with COVID, waiting for the viral load to 6275 go away, and then being readmitted? 6276 A So this is not me speaking, this is me speaking in 6277 Dr. Zucker's conclusion. But I think Dr. Zucker would 6278 say -- or at least the reasoning at the time was if 6279 they went in and they were suspected COVID, they were treated as a COVID patient whether they were tested or 6280 6281 not. 6282 So they were still given all those protocols and they 6283 weren't allowed to be discharged until they met the 6284 medically stable definition and they had been there 6285 over a period of time. So if they were considered a 6286 readmission, it was assumed that when they left, they 6287 had COVID because they left with COVID symptoms and 6288 they were believed to be COVID positive. 6289 Q And I will not belabor the point too much. All I'm 6290 saying is that a nursing home resident could have 6291 fallen, broken their leg, and gone to the hospital?

6292 A Under that circumstance, they were counted as an 6293 admission.

6294 Q Okay.

6295 A If they went in for a reason other than suspected6296 or known COVID, then they were considered an

- 6297 admission.
- 6298 Q Okay.

6299 BY MR. EMMER.

6300 Q Quickly on this point, I want to direct your 6301 attention to page 20 of the July 6 report. And we're 6302 looking to the first sentence under COVID admissions. 6303 And I will just read it out loud. Admissions into 6304 nursing homes for patients who went to the hospital 6305 and were treated and returned back to their nursing home. If the numbers of readmitted residents weren't 6306 6307 included, why did they define an admission as 6308 effectively a readmission here? 6309 A So they're basically -- this sentence, as I am reading it, is wrong. It's missing the word "re," it 6310 6311 should say readmission, so I think that's an editing 6312 issue. 6313 Q So you had no role in, I guess, the drafting of 6314 that paragraph?

6315 A I don't recall. I may have certainly weighed in or 6316 like murder boarded it, asked questions about it, but 6317 I think that should have said readmissions. And I 6318 actually kind of remember that being caught later and 6319 being fixed. 6320 Q So you testified that -- well, effectively 6321 testified what Dr. Zucker told you that the ultimate 6322 conclusion wouldn't change regardless of if it 6323 included out-of-facility deaths; is that right? 6324 A Correct. 6325 Q Why was there a rush to publish it on July 6th? 6326 A It wasn't about July 6th as you saw from the 6327 earlier note from the governor. And also, Im sure, 6328 from the exhaustive press clips. Once April 20th 6329 happened, the questions about whether or not this 6330 introduced it to nursing homes, in general, were 6331 relentless, and we were eager to get the public an 6332 answer. 6333 Q Did the reason -- or did it have -- the decision to 6334 publish it rather than delay until you could audit the 6335 data further, did it have anything to do with the 6336 governor's book? The governor met with the publisher 6337 on July 6th regarding the book; is that right? 6338 A I don't remember the specific day, but I don't 6339 challenge your --6340 Q Would you have been in those meetings? 6341 A I was in a couple of meeting. I like sat next to

- 6342 him while he was doing Zoom.
- 6343 Q Are you aware that there was a bidding war for the
- 6344 book on July 8th?
- 6345 A I'm aware that there was an auction for the book 6346 sometime that week.
- 6347 Q When did you become aware that the governor was

6348 intending to write a book?

6349 A Writing or publish?

- 6350 Q Well, that he --
- 6351 BY MR. BENZINE.
- 6352 Q Both.

A He started taking notes, voice notes at some point in June when things slowed down. He wanted to make sure that he was remembering everything that was going on around us because at some point, he wanted to tell the story. After the daily briefings ended, which was June 19th, he raised with me what do you think about now being the time to do the book.

6360 BY MR. EMMER.

G361 Q What role did you play in the governor's book?
G362 A I read a first draft. I edited it. I sat next to
G363 him while he spoke to publishers, took time off on my
G364 time sheets to do it, done on my own personal time.
G365 And then there were a couple of weekends, two
G366 weekends, I think one in July and one in August, where

6367	he really worked on it at the mansion with a couple of
6368	us. I was there for that. And then I weighed in on
6369	drafts of the book with factual edits and places where
6370	I thought things were either incorrect or should have
6371	been stated or could have been stated more clearly
6372	that I had personally been part of.
6373	Q And you said you did it on personal time. Were you
6374	paid for your work on the book?
6375	A I was not.
6376	Q Who else from the administration was involved in
6377	the drafting of the book?
6378	A I wouldn't say drafting, but like editing,
6379	reviewing, Jim Malatras, Gareth Rhodes, and then
6380	Stephanie Benton did like, he would dictate and
6381	then send her the dictation. And she would, like, you
6382	know you know when you do a voice note, it's
6383	clunky, it doesn't come out exactly right. She would
6384	take it, and clean it up.
6385	Q Did you ever assign administration staff to
6386	complete book-related projects?
6387	A I don't view it that way, no.
6388	Q How do you view it?
6389	A I don't think I did, no.
6390	BY MR. BENZINE.
6391	Q Did you ever ask Executive Chamber staff to work on

6392 the book?

6393 A I think I asked Gareth Rhodes if he wanted to be a 6394 part of the group coming over that weekend. I think I 6395 asked Jim Hodges if he want to. They were aware it 6396 was voluntary. They could say no. There was 6397 certainly no directing.

6398 BY MR. EMMER.

6399 Q Do you know when the governor was first approached 6400 about writing a book?

6401 A The governor was first approached by his -- I want 6402 to say -- so there was his last book that he wrote in 6403 2014. At the end of March, early April, the publisher 6404 from that book reached out through his old agent to 6405 say they want permission to publish it as a paperback, 6406 because the governor was like at the height of his 6407 popularity, and they thought they would be able to 6408 make money.

6409 So they came to us through Bob Barnett through Steve 6410 Cohen, can the governor give permission to do a 6411 reprint of the 2014 book. And the governor said no. 6412 If they do what they do, I'm not going to go after 6413 them or say they can't, but I'm not giving affirmative 6414 consent.

6415 So I don't know if that counts as an answer to your 6416 question, but when he decided that he wanted -- or

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6417 that he thought he was going to pursue actually taking 6418 the stuff that he had dictated and turn it into 6419 something, it was after the briefings were over at the 6420 end of June.

6421 BY MR. EMMER.

6422 Q So the JCOPE report, it says that March 19th, that 6423 an editor from Penguin Random House reached out to 6424 Robert Barnett around that -- what you just testified 6425 was -- you might have to correct me on this, but that 6426 it was around the end of March and April that he was 6427 approached. Are you saying that Barnett was reached 6428 out to on this, I'm writing a book, and didn't talk to 6429 the governor about it until later in the month? 6430 A No, no, no. My memory is Barnett reached out 6431 because his 2014 publisher wanted to print paperback 6432 version of the original. He, Bob Barnett, at the time 6433 wasn't talking to me. He was talking to Steve Cohen 6434 who was former secretary to the governor before me. 6435 He had my job in the first year of the administration. 6436 And Steve spoke to the governor about it. If 6437 somewhere in that conversation Barnett said and people 6438 are already saying they're going to want -- are you 6439 going to write a book at some point? That information didn't reach me. 6440

6441 Q And you may have already touched on this, but when

6442 did the governor start writing the book? Did you say 6443 it was after the press or the daily briefings were 6444 done? 6445 A My memory is in June of 2020, he started because he 6446 didn't want to forget anything and it was all fresh in 6447 his brain dictating into his iPhone in down time. And 6448 then this happened and then this happened and then 6449 this happened, so there would be a record of it. And 6450 it wasn't until after the end of the briefings that he 6451 actually said, I think I want to move forward and 6452 publish. Why don't we see -- I want to see from 6453 Barnett and see what the interest is. 6454 Q So the governor's book, he drafted it completely 6455 based on the notes that he dictated? 6456 A Correct. 6457 Q So no one from the administration retrieved 6458 information for him to use for the drafting of the 6459 book is what you're testifying to? 6460 A No one from the administration retrieved -- in 6461 July, August, when he was writing the book and we were 6462 fact-checking things, certainly like if I needed to 6463 check something online or look at a press release or 6464 look at something, you know, in that part of the 6465 fact-check process. But he also paid somebody through 6466 Random House to -- I think it was Random House, the

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6467 publisher, to do fact-checking. 6468 Mr. Emmer. At this time, I would like to introduce 6469 what we'll mark as Majority Exhibit 12. 6470 (Majority Exhibit No. 12 was identified 6471 for the record.) BY MR. EMMER. 6472 6473 Q I will give you a minute to review the document. 6474 A I am familiar with this. 6475 Q This is an email thread produced via FOIA started 6476 by you on March 30th, in which you write, who can do a 6477 timeline for me? Call me to discuss. 6478 Do you recall sending this email? 6479 A No. 6480 Q Do you have any idea why you would be requesting a 6481 timeline? 6482 A Only in the context of responding to a press 6483 inquiry, when I spoke to Tom and Jamie and asked them 6484 what was this, because I didn't remember and they 6485 refreshed my memory. 6486 Q Did Tom or Jamie have any role in the development 6487 of the governor's book? 6488 A None. 6489 (Majority Exhibit No. 13 was identified 6490 for the record.) 6491 BY MR. EMMER.

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6492	Q At this time, I would like to introduce what we
6493	have marked as Majority Exhibit 13. This is another
6494	email thread that was produced by FOIA that was
6495	started by Stephanie Benton on April 18th entitled
6496	call with Melissa. Stephanie writes Pouse and Jamie,
6497	can you please send to me and Melissa what you have
6498	for a tic-toc.
6499	A Pouse.
6500	Q Pouse. First, a tic-toc is referring to a
6501	timeline, right?
6502	A I assume so, yes.
6503	Q On the first page, Mr. Malanowski writes, here's
6504	the preface I've been working on. Was Mr. Malanowski
6505	writing this preface for the book?
6506	A No.
6507	Q Do you know what he was writing it for?
6508	A So again, I didn't until Bill Hammond did his piece
6509	and then the press inquiries. And I didn't remember
6510	any of this. So I had to go back and talk to them and
6511	I asked what was this from.
6512	And they reminded me or told me, I guess, because I
6513	still don't really remember this, that at the
6514	beginning of COVID, or sometime at the end of March,
6515	Jamie Malanowski who was one of our speech writers who
6516	also worked free-lance for a number of magazines had

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6517 written books on his own before, reached out to me and 6518 said, I would like to write a book at some point about 6519 all of this. What do you think about that? Like, as 6520 a side project.

And I said to him, I think there's a bazillion things 6521 6522 going on. I don't think now is the time to talk about 6523 doing a book. What I would love for you to do, 6524 because this is history, and we're living through this 6525 time in history, is if you guys aren't doing anything 6526 because you're speech writers and you're sitting 6527 around during this pandemic, record stuff. Like, keep 6528 everything in one place. We're going to want this at 6529 one point.

6530 At some point, we're going to want to be able to tell 6531 the story however that is. So I supported him trying 6532 to keep track of what was going on around us. It was 6533 a complete failure, nothing ever came of it. 6534 At one point, he said to me, could I interview you, 6535 could I interview the governor, could I interview

6536 Dr. Zucker. He expressed I could be a ghost writer 6537 for the governor. I could do it on his behalf when 6538 things slow down.

6539 And I said to him, I fully am supportive of you
6540 recording everything that's going on. Give it your
6541 best shot. I would love to make sure things are being

6542 recorded so we have it for posterity and it crashed 6543 and burned. I think he told me that he had one 6544 conversation with Zucker, they never even generated 6545 notes from it, nothing was ever turned over to the 6546 governor. This certainly never met the hands of 6547 Andrew Cuomo, and it just sort of faded away. And he was disappointed that the governor was doing a book 6548 6549 and that he hadn't been asked to take the lead and be 6550 a part of it. 6551 BY MR. BENZINE. 6552 Q Did he tell you what the preface that he was 6553 writing was for? 6554 A He wanted to do a book. 6555 Q Okay. This was for his own book? 6556 A Well, at first, he wanted to do his own book in his 6557 name. And then he was trying to pitch this idea that 6558 he could potentially ghost write it for the governor. 6559 Q So this was part of the pitch? 6560 A This was part of an idea of what he could do. And 6561 this was like literally what Hammond had reported was 6562 the extent of it. He never interviewed anyone other than -- I think he did one conversation with Zucker 6563 6564 that they never gave to anybody. And then I think his 6565 feelings were hurt when the governor announced he had 6566 a book deal.

6567 Mr. Emmer. Thank you. The last one I would like to 6568 introduce at least in this line of questioning, 6569 introduce what will be marked as Majority Exhibit 14. 6570 (Majority Exhibit No. 14 was identified 6571 for the record.) 6572 BY MR. EMMER. 6573 Q This is an email thread from June 13th started by Stephanie Benton that also includes the day-to-day 6574 6575 timeline of the COVID response including total cases 6576 by day. Please let me know when you have reviewed the 6577 document. 6578 A Okay. 6579 Q Was this document produced and used to write the 6580 book? 6581 A No. Not to my knowledge, no. 6582 Q And I believe that you touched on this earlier, but 6583 what months or when did the governor start drafting 6584 the book? 6585 A At some point in June. But you should also know, 6586 which I think is public, because I think the Times got 6587 an early draft of it. The book wasn't initially laid 6588 out chronologically. He did it by chapters, testing, contact tracing, the White House, like it was done not 6589 6590 chronologically at all, didn't follow anything that 6591 looked like that.

6592 There was, at the same period of time as we were 6593 winding down, discussion of doing some sort of a -- I 6594 hate to put it this way, but the only way I can 6595 explain it and make it easily understandable, an Al Gore sort of style. Remember the climate movie he did 6596 6597 doing that kind of a presentation on what happened 6598 during COVID as the first wave was winding down, where 6599 he was actively talking to different people who were 6600 involved in the film industry about what something 6601 like that would look like. 6602 He wanted to write an opus about what COVID was that 6603 could be published for the story of COVID, which we 6604 ultimately did do, I think around this time, that 6605 could be a blueprint for the rest of the country to 6606 follow. Which should still be available on the 6607 website. 6608 Like, this stuff was constantly being pulled and 6609 formed briefings, various projects of all kinds. But 6610 this stuff was not -- the book was something he was 6611 literally dictating like into his phone and originally 6612 was done by subject matter and the publishers, it was 6613 the publisher's idea in the middle of July to 6614 reorganize it into more of a chronological timeline. 6615 Q I believe I asked when he started drafting, but did 6616 he start dictating earlier than June 2020?

- 6617 A That I don't know.
- 6618 BY MR. BENZINE.
- 6619 Q Do you think Ms. Benton wrote this email on 4862?
- 6620 It's Exhibit 14, but the last page is 4862.
- 6621 A I'm sorry. I can't find it.
- 6622 Yes, that's Stephanie.
- 6623 Q Okay. It's hard to keep track.
- 6624 Mr. Emmer. We'll go off the record.
- 6625 (Discussion held.)
- 6626 Mr. Emmer. Back on the record, please.
- 6627 BY MR. EMMER.
- 6628 Q Do you recall whether anyone expressed ethical
- 6629 concerns or concerns with the appearance of
- 6630 impropriety related to the governor writing a book
- 6631 about the administration's response to the pandemic
- 6632 while simultaneously still responding to the pandemic?
- 6633 A Not ethical concerns, no.
- 6634 Q What concerns?
- 6635 A Political.
- 6636 Q Can you briefly elaborate on that?
- 6637 A That optically, it could politically be bad because
- 6638 people could say your ego is so big and you're trying
- 6639 to capitalize on this moment politically. But it
- 6640 wasn't an ethics question, it was a political one.
- 6641 Q Did the governor have a government ethics attorney

6642 advising him on the book? 6643 A Yes. 6644 Q Who? 6645 A Judy Mogul. 6646 O Did Linda Lacewell advise him on the book? 6647 A She was consulted as well. But it was Judy 6648 primarily. 6649 Q When did discussions related to the book occur as 6650 far as during the day-to-day drafting of the book when 6651 you were having discussions related to the book? 6652 A Do you mean working, like helping to volunteer on 6653 the book? 6654 Q Yes. 6655 A It was a very condensed period of time. To the 6656 extent that it happened during business hours which 6657 are defined which certainly I'll say that I'm sure you 6658 can appreciate it, too, absurdly defined between 9:00 6659 and 5:30 with an hour lunch break that floats in 6660 between. 6661 So if you were doing any volunteering on the book 6662 during 9:00 to 5:30, absent an hour of floating time 6663 you had to record that off on your time sheets. So that's how it was all recorded. But most volunteer 6664 6665 work that was done editing or assisting on the book 6666 other than Stephanie Benton who I understand took a

6667	tremendous amount of time during the day to assist the
6668	governor was done at night and on the weekends.
6669	Q Did discussions related to the book ever occur
6670	while the governor, his team, or Task Force were
6671	simultaneously discussing or making policy decisions?
6672	A No, not that I recall.
6673	Q Do you feel that the governor's book influenced the
6674	governor and his staff's decisions during the
6675	pandemic?
6676	A No, the book was written after the first wave was
6677	over and it was his reflections on what had happened
6678	during a lull in the pandemic when we were under 1
6679	percent for a few months.
6680	Q Did discussions related to the substance of the
6681	July 6 report ever occur while simultaneously
6682	discussing the book?
6683	A No.
6684	Q Did you feel that the release of the book
6685	influenced the administration to not release all the
6686	data on fatalities in nursing homes?
6687	A No.
6688	Q Were you aware that the Executive Chamber made
6689	representations to the Joint Commission on Public
6690	Ethics that could be perceived as misleading?
6691	A I am aware that some people think that. I don't

6692 believe that to be true.

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6693 Q Can you briefly describe why you don't believe that
6694 to be true?
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6695 A Sure. We sought guidance from our ethics counsel 6696 which is in writing in a memo that I believe has also 6697 been made public that specifically addressed whether 6698 or not people who worked for the state could volunteer 6699 their time for the book.

6700 She advised that we could so long as it was on our own 6701 personal time which meant not between 9:00 and 5:30, 6702 sans that hour, or if so, that it was reflected on our 6703 time sheets. And to the best of my ability, that was 6704 done -- to the best of my knowledge, excuse me, that 6705 was done appropriately.

6706 Q Our last line of questioning has to do with the 6707 threat of overcrowding hospitals at the beginning of 6708 the pandemic. Can you briefly describe the 6709 administration's concerns and what measures it took to

6710 accommodate?

6711 A Sure. So a number of academic institutions and 6712 federal government agencies put out predictions that 6713 given the rate of the rapid infection in New York City 6714 that we were on track to need upwards of 150,000 6715 hospital beds. The State of New York, in total, had 6716 something like 42,000 hospital beds. That 6717 necessitated two things.

6718	One was a number of measures to close things down to
6719	try to stop the spread and get people to isolate, stop
6720	moving so we could get the spread under control.
6721	The second thing was a lot of changes needed to be
6722	made in hospitals. So surge and flex happened which
6723	included not just all hospital systems had to speak to
6724	one another, to resource share and make sure they were
6725	balance loading properly, but also they had to add 50
6726	percent capacity to their hospitals which meant doing
6727	things like putting beds in cafeterias. They had to
6728	suspend elective surgeries. They had to limit you
6729	couldn't have visitors because that would, by
6730	definition, necessitate use of PPE unnecessarily.
6731	So there was a whole litany of things that the
6732	hospitals had to do to be able to meet the mandate of
6733	being able to do add 50 percent additional capacity
6734	to be able to be ready for the anticipated surge.
6735	Q The administration's actions included the Javits
6736	Center and the USNS Comfort; is that right?
6737	A Yes, as overflow.
6738	Q You answered my next question. Were these
6739	facilities able to accept COVID-19 patients when they
6740	first were announced?
6741	A Javits, yes. Although I don't believe that that

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6742	was the intent. I think that's where they were
6743	supposed to be routing people who were in need of
6744	medical care but were not COVID positive. The
6745	Comfort, no.
6746	Q Did you ever discuss transporting COVID positive
6747	nursing home patients from nursing homes to the
6748	Comfort?
6749	A No.
6750	Q Why not?
6751	A I'm not sure that I would have been the person
6752	having that conversation, but I will say that at first
6753	the Comfort wasn't taking COVID positive patients.
6754	Then because of the negative press the President was
6755	incurring, he did an about face and said we will
6756	accept COVID positive patients.
6757	Subsequently, the staff of the Comfort got COVID and
6758	couldn't take anybody because the entire staff was
6759	infected. And then at one point when they finally
6760	could, the curve was not just flattened, it was
6761	crushed, it was no longer necessary. So it was
6762	basically a floating press release.
6763	Q Do you recall requests or do you recall receiving
6764	requests from nursing homes to transfer COVID-19
6765	positive patients from the Javits or Comfort?
6766	A I never received those calls if they happened.

6767

6768 that the Javits and the Comfort were only receiving 6769 patients directly from hospitals? 6770 A I don't. 6771 Q At this time, I would like to introduce what will 6772 be marked as Majority Exhibit 15. 6773 (Majority Exhibit No. 15 was identified 6774 for the record.) 6775 BY MR. EMMER. 6776 Q This is an email chain collected by FOIA between 6777 Vice Admiral Mike DuMont and yourself, as well as 6778 Dr. Malatras, Stephanie Benton, and Jill DesRosiers. 6779 And I will give you a minute to look it over. 6780 A Okay. 6781 Q So the vice admiral writes, "we could use some help 6782 from your office. The governor asked us to permit use 6783 of the USNS Comfort to treat patients without regard 6784 to their COVID status and we have done so. Right now, 6785 we only have 37 patients on board the ship. Further, 6786 we are treating all 83 patients at the Javits Center. 6787 Our greatest concern is twofold, helping take the 6788 strain off local hospitals and not wasting high end 6789 capabilities the U.S. military has brought to NYC." 6790 Do you remember the vice admiral's request? 6791 A No, but I've since gotten press inquiries on it, so

Q Do you know why nursing homes would have been told

6792 I'm aware of it.

6793	Q And I believe you may have already answered, but
6794	why wasn't the Comfort or why wasn't the Comfort
6795	fully utilized at this time?
6796	A At first it was because they weren't allowed to
6797	accept COVID. Then when they were, the crew got sick.
6798	Then when they could, apparently it looks like based
6799	on this email, they have they had 37 patients on
6800	the ship. And then according to Mike Kopi, who is the
6801	point person and head of the Office of Emergency
6802	Management, the things that the admiral wrote in his
6803	email were all incorrect for all the reasons he states
6804	in his own words.
6805	Q And you write they are setting this up to say that
6806	we are the reason the ship and the Javits are empty.
6807	Did you interpret the vice admiral's email as a
6808	political ploy?
6809	A There were two options. One was that he was
6810	stupid. The other was that he was being political and
6811	I could not fathom that a vice admiral of the U.S.
6812	Navy was stupid so I assumed he was being political.
6813	BY MR. OSTERHUES.
6814	Q Final question. So this is the deputy commander of
6815	U.S. Northern Command. So he is, as the deputy
6816	commander, responsible for effectively a homeland

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6817 security mission in all of North America. And based 6818 on one email, you think he's being political? 6819 A So here's the thing. And you have to remember the 6820 circumstance. Everything with the Trump 6821 administration was political. They sent this ship, it 6822 was essentially a floating press release. All of a 6823 sudden it came under a tremendous amount of press 6824 scrutiny so it became the hot potato in the press, 6825 whose fault is it that they're not using this ship? 6826 And Trump was getting a lot of the blame. The 6827 governor asked for them. Under that pressure, they 6828 reversed themselves but they were still not getting 6829 patients. At this point in the timeline, they were no 6830 longer needed. 6831 So when he sent this right, usually if something like 6832 this would come up, in my experience with other people 6833 that I had worked with, Jared Kushner or others 6834 working under him in the White House, they would pick up the phone and just say, hey, Melissa, there's this 6835 6836 situation going on, can we work this out. 6837 The way this email was constructed, and I mean the 6838 governor asked us to do this, to date this. We've 6839 been saying this. And then I, in good faith, forward 6840 it on to Mike Kopi, who is the head of Emergency 6841 Management, saying what's going on here?

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6842 What's -- how can this possibly be? 6843 And when the head of emergency management immediately 6844 responds, saying, every single thing this person is 6845 saying is incorrect and wrong, it's really hard to 6846 believe that someone of his stature who's as 6847 experienced as he is could be that wrong. 6848 And so whether it was correct or not, I assumed he was 6849 being political and that's part of the problem with 6850 the entire interaction we had with the federal 6851 government, that everything felt inherently political. 6852 So give them the benefit of the doubt that he wasn't, 6853 but I'm telling you that was my realtime reaction and 6854 what it was based on. 6855 Q So I've been on that ship and deployed to places 6856 like Haiti as part of disaster relief. And other 6857 missions. And I served for 25 years in the Navy under three Democrat administrations and two Republican 6858 6859 administrations. 6860 And I can tell you that the men and women that were 6861 out there and the ship being out there, I'm just 6862 shocked at your characterization. I mean, you kept 6863 referring to it as a floating political stunt. Coming 6864 from New York which hosts the fleet week, I'm a little 6865 surprised at your characterization and I don't think 6866 that's going to look very good on the record.

6867 A Well, I'm sorry if I offended you. And I thank you 6868 for your service. I'm telling you what it felt like 6869 while we were living through it. It was there. It 6870 was made a big deal of. And ultimately, we couldn't use it for anything. And then by the time we could, 6871 6872 we had flattened the curve and it became a blame game 6873 in the press and that's not a testament to the men and 6874 women who were serving, but had more to do with the 6875 people above them. 6876 BY MR. EMMER. 6877 Q One final question. You said that the Comfort was 6878 no longer needed as of the day. This is April 7th. 6879 The March 25th order was still in effect for another 6880 month after this. Is that right? 6881 A Guidance. 6882 Q Is that a yes? 6883 A Yes. 6884 Q Thank you. 6885 BY MR. BENZINE. 6886 Q My final question, and then we'll get out of here before 4:00. What was former Lieutenant Governor 6887 6888 Hochul's involvement in the pandemic response? 6889 A Kathy was in charge of the western New York control 6890 room. There were control rooms around the state that 6891 basically worked with the local governments to help

6892 facilitate questions and answers and get them
6893 resources as needed on a needed basis and she was
6894 heading up the western New York control room out of
6895 Buffalo.

- 6896 Q Is that because she was not in Albany?
- 6897 A She was not in Albany.
- 6898 Q All right. Thank you.
- 6899 BY MR. EMMER.
- 6900 Q Did she play an important role in the state's
- 6901 response to the pandemic?
- 6902 A You can't serve me up that softball at this time of
- 6903 day. She did not play an important role in the
- 6904 state's response to the pandemic.
- 6905 Mr. Emmer. We can go off the record.
- 6906 (Whereupon, at 3:49 p.m., the proceedings concluded.)